

NIST Internal Report NIST IR 8579 ipd

Developing the NCCoE Chatbot

Technical and Security Learnings from the Initial Implementation

Initial Public Draft

Harold Booth Nakia Grayson Michael Dimond Michael Ekstrom John Kent Daniel Lee Jasmine O'Hannon Shahzad Rajput Ken Sandlin Theresa Suloway Kevin Wacome

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Michael Dimond Michael Ekstrom John Kent Daniel Lee Jasmine O'Hannon Shahzad Rajput* Ken Sandlin Theresa Suloway Kevin Wacome* The MITRE Corporation

*Former employee; all work for this publication was done while at employer.

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Nakia Grayson Applied Cybersecurity Division Information Technology Laboratory NIST IR 8579 ipd (Initial Public Draft) June 2025

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1 Abstract

- 2 Chatbots are emerging as alternative interfaces for structured information retrieval and internal
- 3 knowledge access. Chatbots can utilize the capabilities of large language models (LLMs) to help
- 4 interpret user-provided input and provide responses to a variety of requests. This paper
- 5 describes the development of an LLM chatbot by the National Cybersecurity Center of
- 6 Excellence (NCCoE) at NIST to enable internal search across its published cybersecurity
- 7 guidance. The paper provides a point-in-time examination of the tool's development process,
- 8 including the architecture, the system configuration, and the NCCoE's approach to addressing
- 9 cybersecurity challenges throughout the design and deployment lifecycle. Specific attention is
- 10 given to threats such as prompt injection, hallucinations, data exposure, and unauthorized
- 11 access. The paper also discusses the mitigations applied, including local deployment, access
- 12 controls, and validation filters. This paper is not intended to serve as implementation guidance.
- 13 Instead, it documents technical decisions, observed limitations, and risk-informed safeguards
- 14 that shaped the prototype. It provides an overview of the chatbot and its supporting
- 15 technologies so that other organizations might consider the benefits of their use.

16 Keywords

- 17 artificial intelligence (AI); chatbot; cybersecurity; large language model (LLM); machine learning;
- 18 retrieval-augmented generation (RAG).

19 Reports on Computer Systems Technology

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- 32 2. How do you expect to use these technologies in future practices and processes?33 Alternatively, how have you used these or related technologies in your organization?
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- 101 contributions to the paper and the ongoing work.

102 1. Introduction

- 103 In the digital age, internet search engines have been indispensable tools for finding
- 104 information. They offer a vast array of data from across the internet, allowing users to access a
- 105 wide range of topics with ease. Search engines primarily rely on keyword matching and ranking
- algorithms, which can sometimes lead to irrelevant or overwhelming results [1]. Additionally,
- search engines provide a highlighted text blurb containing a potential answer and lead the
- 108 reader to the highlighted document. The searcher is then left with the task of discovering the
- 109 context of the answer within the document. In contrast, LLM-based chatbots can potentially
- 110 provide more contextually relevant and precise responses by understanding the context of
- 111 natural language queries, summarizing the results of a query, and potentially synthesizing a
- 112 response from multiple documents.
- 113 LLMs are trained on extensive datasets, allowing them to generate human-like text responses.
- 114 A consideration when using LLMs is that they typically have a knowledge cutoff date, largely
- due to the amount of time and resources needed for training, meaning they cannot answer
- 116 questions about events occurring beyond that point. Additionally, LLMs may experience
- 117 hallucinations, where they generate information that is incorrect and not based on the
- 118 provided data. When interacting with LLMs, in addition to the intrinsic risk of hallucinations,
- security concerns such as prompt injection, data exposure, and unauthorized access should be
- 120 considered.
- 121 Another limitation of LLMs is their inability to access or respond to questions about information
- 122 contained within private document collections. Depending on the provider, interactions with
- some commercial LLMs may be stored and used for future analysis or training, raising privacy
- 124 concerns.

125 **1.1. Project Overview**

- 126 The National Cybersecurity Center of Excellence (NCCoE), part of the National Institute of
- 127 Standards and Technology (NIST), is a collaborative hub that brings together experts from
- industry, government, and academia to tackle real-world cybersecurity challenges.
- 129 Large language model (LLM) technology has shown promise in the development of specialized
- tools that could potentially increase the speed of certain tasks. To further enhance its mission,
- the NCCoE identified a potential application for a secure, internal-use chatbot. The
- development of the NCCoE chatbot aims to assist users in discovering and summarizing
- 133 cybersecurity guidelines specific to their needs.
- This was a significant motivation for the NCCoE when choosing to implement a locally availablechatbot.
- 136 To mitigate these challenges, the NCCoE opted for a local installation of an LLM, ensuring that
- all interactions with the LLM remain within their secure network. To obtain more accurate
- 138 responses from the chatbot regarding questions about NCCoE publications, the team employed
- 139 RAG technology.

- 140 The chatbot was built using retrieval-augmented generation (RAG)-based LLM technology. This
- 141 approach combines techniques from information retrieval and natural language generation,
- 142 enabling the chatbot to provide more focused, contextually relevant responses by leveraging a
- 143 repository of cybersecurity knowledge. Specifically, the chatbot is currently designed to search
- 144 NIST publications exclusively, enabling users to receive information that is aligned with the
- 145 NCCoE's guidelines and best practice documents.
- 146 RAG combines information retrieval with natural language generation, allowing the chatbot to
- search through a specific repository of documents and generate responses based on the
- 148 retrieved information. This approach ensures that users receive relevant answers aligned with
- 149 NCCoE's guidelines and best practice documents.
- 150 Moreover, the NCCoE chatbot has been customized to provide page-level citations for the
- 151 source documents from which its responses are drawn. This feature not only allows for
- verification of the information provided but also enables users to explore the original
- 153 publications for a deeper understanding. By adopting these and the approaches described
- 154 below, the NCCoE chatbot offers an internal tool that is at the appropriate level of security,
- 155 efficiency, and reliability risk for this context.
- 156 In addition to leveraging RAG, the NCCoE has taken measures to mitigate potential
- 157 vulnerabilities such as prompt injections, which can manipulate the chatbot into generating
- unintended responses. To counteract this, the chatbot is designed with robust input validation
- and filtering mechanisms to provide guardrails around user inputs and responses. These
- 160 precautions help maintain the integrity and reliability of the chatbot's interactions,
- 161 safeguarding against potential misuse or exploitation.
- 162 The implications of using a RAG-supported chatbot is that users will be able to more rapidly find
- actionable cybersecurity guidelines. NCCoE publications contain large amounts of cybersecurity
- 164 guidelines as well as precise details for their implementation. Using a RAG in this way will
- 165 increase the searchability of cybersecurity guidelines for both NCCoE researchers as well as the
- 166 wider community. Increasing the accessibility of organizational guidelines can be helpful to
- 167 internal users, as it allows them to maintain awareness of past work when developing future
- 168 guidelines. This has the potential to lead to more consistent documents, as well as more
- 169 efficient use of workers' time.
- 170 Utilizing LLMs to generate content comes with the risk of misleading or fabricated information.
- 171 For an internal audience, this risk is minimal. It is assumed that NIST researchers will be able to
- identify issues with the provided output, especially given the included citation to the relevant
- 173 section of a document. However, there still exists the chance that such tools can mislead the
- user, especially when expanding access to a larger audience.

175 1.2. Related Work

- 176 Using a RAG in support of a chatbot is applicable across many use cases. These technologies
- 177 have been utilized to support efforts such as Security Operations Center workflows [2], as just
- 178 one example. The NCCoE's use case specifically considers the need to navigate a body of
- 179 technical publications.

- 180 Other work on LLMs at NIST has conducted research in the manufacturing space to evaluate
- bodies of literature to discover changes to relative topics over time [3]. Furthermore, NIST is
- actively considering the usage of AI tools in the field of information retrieval through the Text
- 183 Retrieval Conference [4].
- 184 Research has also been done by the National Library of Medicine at the National Institutes of
- 185 Health to evaluate improvements in searching for health-related information [5]. This serves as
- another example of how LLMs can be leveraged to assist in retrieving information from a broad
- 187 dataset. For such a use case, there is additional importance placed on the accuracy and
- 188 relevancy of responses.
- 189 There are specific considerations and implications for each use case of these technologies.

2. Retrieval-Augmented Generation Technical Details

- 191 Retrieval-augmented generation (RAG) can be used when context is needed that is not
- 192 contained in the pre-trained foundation large language model (LLM). RAG allows the
- 193 introduction of information like internal organization documents that was not part of the
- 194 training of the foundation LLM.
- 195 The selection of the foundation model informs the available options for configuring a RAG-
- 196 based pipeline. Setting up a RAG-based pipeline then involves several key steps: preprocessing
- 197 external data, creating an index from external data, retrieving relevant information based on
- 198 the user's information need, querying the LLM with a prompt containing the user's query and
- 199 the relevant information retrieved in the previous step as a context.
- 200 Since all our documents were PDF documents containing text, we focus only on the case where 201 the external data is restricted to text documents.

202 2.1. The Foundation Model

- 203 Which foundation model to use is another variable in the RAG-based pipeline, as it determines
- 204 the quality and cost of the final response. The model must be carefully evaluated for features
- 205 like its complexity, speed, and maximum context window supported. This will dictate the
- acceptable parameters that can be used by the RAG.
- A model with lower parameters is generally limited in its ability to generate a quality response.
- However, complex, higher-parameter foundation models may require a much powerful GPU
 and may be comparatively slower to generate a response.
- 210 Another factor to consider is the maximum context window supported by the model. This
- 211 becomes of particular interest if the foundation model is a shared resource and is being
- 212 provided as a service. In which case, the options provided need to not be evaluated by the
- value of the maximum context window the foundation model supports. Rather, it should be
- 214 evaluated by the maximum context window offered by the service, since the service provider
- 215 may choose to use context window size much lower than what the model supports.
- 216 Note that the same model when using a larger context window may be slower in generating its
- response compared to when using a smaller context window.

218 2.2. Preprocessing External Data

- First, metadata such as the filename and authors, along with the clean text, is extracted from
- 220 each PDF file. This metadata and text are then converted into a JSON object. Finally, each
- document's JSON object is stored on a separate line in a JSONL file.

222 2.3. Creating an Index

- 223 The JSONL file generated as part of the preprocessing step, containing extracted metadata and
- 224 clean text from external source documents, is then used for chunking and indexing. Each

- 225 document is first split into smaller chunks which are then transformed into corresponding
- vector representations using an embedding function. These vector embeddings are used to
- 227 represent meaning such that words closer in meaning should be closer in the vector spaces as
- 228 compared to words representing more different meanings. A vector embedding is generally
- represented as a list of numbers, and the number of values in this list is referred to as
- 230 *dimension*. Vectors with higher dimensions capture more information as compared to those
- with lower dimensions, but higher-dimensional vectors are harder to work with. There are
- several choices for embedding functions, and the one used dictates the maximum size of the
- 233 chunks. Chunks from every document are converted to a vector representation using an
- embedding function, and these vectors are stored in the vector database. This process is called*indexing*.
- 236 There are several options available when choosing a vector database depending on the features
- 237 offered. One of these features to consider is the availability of support for metadata tags and
- 238 operations supported over these tags.

239 2.4. Retrieving Relevant Information

- 240 Vector databases help with mathematical operations over high-dimensional vectors. Most
- 241 important among these operations is the search function, which is used to find vectors the
- 242 most similar to a query vector. This comes in handy when one is interested in finding chunks of
- 243 documents semantically the most similar to a given user query.
- 244 Upon receiving a query from the user, the embedding function is used, this time to convert the
- 245 user query into a corresponding vector representation. The vector representation of the user
- 246 query is then matched against all vectors, representing document chunks already in the vector
- 247 database, to return a ranked list of chunks. The top chunk in the ranked list is the one that is
- the closest to the query in a semantic sense. Note that it is therefore important that the user's
- 249 query is a sufficient representation of the information need.
- 250 Retrieval is the key component of RAG-based pipelines. A set number of the most closely
- 251 matching chunks are selected. This process is called *top-k*.

252 2.5. Querying the LLM

- 253 The LLM processes each of the top-k chunks individually to respond to the user's query, using
- 254 only that specific chunk as context. A key difference exists between how the first chunk and
- subsequent chunks are handled. When the first chunk is presented to the LLM, it responds to
- the user's query based solely on the content of that chunk. For subsequent chunks, the LLM is
- 257 given the new chunk along with its previous response and the user's query. It then refines its
- 258 earlier response if necessary, considering the new context provided by the additional chunk.
- 259 When the LLM has seen all the top-k chunks, the answer given by the LLM is finally shown to
- the user.
- 261 It is important to note that when a RAG-based pipeline fails to respond to a user question, it is
- 262 mostly due to retrieval failures. If the LLM is asked to restrict itself to a given context and to not

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- use any knowledge given at pretraining, but the context doesn't contain an answer, the LLM
- stands no chance of giving a correct answer. This is why retrieval plays a key role in the success
- 265 of a RAG-based pipeline. Therefore, selecting the right value for top-k is critical.

266 **3. Implementation Details and Considerations**

- Disclaimer: The latest technologies and best practices in the AI space
 are constantly evolving. The tools and technologies discussed below
 represent the latest deployment of the NCCoE chatbot as of April 2025.
 When implementing a solution, please look for the latest versions of
 software, as well as for advancements in AI models and supporting
- 272 software.

273 **3.1. Chatbot Configuration**

274 **3.1.1. Virtual/Physical Environment and Configuration**

- 275 The NCCoE chatbot operates on a single Nvidia Deep GPU Xceleration (DGX) station, equipped
- with 4x Tesla V100 GPUs, providing the necessary computational power for running large-scaleAI models.
- 278 The system runs on Ubuntu 20.04.6 LTS, utilizing a Python 3.12.4 virtual environment for
- 279 dependency management. The chatbot leverages Ollama 0.3.6, an open-source tool for easily
- and efficiently running state-of-the-art LLMs locally, ensuring a controlled and reproducible
- 281 setup. Ollama is straightforward to set up on most hardware, but other technologies such as
- 282 SGLang or vLLM can be considered to better support multiple users.
- 283 The chatbot is hosted within the NCCoE AI Lab network, allowing access to NCCoE engineers for
- both testing and business use. Requests are routed through an NGINX reverse proxy, which
- forwards traffic to the chatbot's front-end UI, ensuring efficient request handling.

286 **3.1.2.** Preprocessing and Page-Level Citations

- 287 In order to support page-level citations, each PDF file is first split into pages, and each page is
- then stored as a separate JSON structure in the JSONL file for indexing. Each JSON structure is
- augmented to contain page-specific metadata along with clean text from the corresponding
- 290 page. This page-specific metadata contains filename, URL, and page number. In the future, we
- 291 plan to include the document title, document number, and other information in the metadata
- which will ultimately be used to build an auto-retrieval based chatbot [6].

293 **3.1.3. The Software Development Framework**

- 294 There are a number of software development frameworks that can be used to help speed up
- the process of building LLM-based applications, including LlamaIndex and LangChain [7][8].
- 296 LlamaIndex offers an easy-to-use setup for RAG. It provides flexible indexing methods suitable
- 297 for various data types and structures, whereas LangChain offers high flexibility in customizing
- 298 every aspect of the RAG pipeline but building a fully functional RAG pipeline with LangChain
- 299 could be more complex compared to LlamaIndex. We chose LlamaIndex due to prior
- 300 experience.

301 **3.1.4. The Embedding Function and Vector Database**

- There are numerous embedding models available, primarily categorized into open-source and closed-source [9]. We chose open-source models to address privacy concerns. Among these, we selected models based on sentence-transformers, given that our queries were expected to be short. We were also limited to those embedding models that were supported by LlamaIndex. From the available options, we chose the all-mpnet-base-v2 model for its good performance, manageable size, and optimal embedding dimensions [10]. The selected embedding model maps sentences and paragraphs into a 768-dimensional dense vector space. These dimensions
- 309 are neither too large to hinder performance on our hardware nor too small to compromise the
- complexity of concepts in the text. We set the max chunk size to 512 as dictated by the
- 311 embedding model selected.
- 312 There are several vector databases to choose from, including but not limited to Chroma,
- 313 Pinecone, Weaviate, Faiss, and Qdrant. We selected Chroma due to the features it offers. For
- this iteration of the chatbot, we used the top-k value of 3 as the optimal value for our use case.

315 **3.1.5. The Foundation Model**

- 316 Commercial LLMs may store any interactions with them and use them for future analysis or
- training, raising privacy concerns, which is why using a commercial LLM was not an option for
- us. Additionally, the expense associated with utilizing commercial LLMs was a significant
- 319 concern. We chose Meta's open-source Llama models due to its performance and attempted to
- run its largest available model, Llama 3.1 with 405B parameters, but found that our Tesla V100
- 321 GPUs, with 64GB memory, were not powerful enough for this model to be able to respond in a
- timely manner. For this reason, Llama 3.1 with 70B parameters is presently being used as the
- foundation model. Note that a new version of Llama, Llama 3.3 with 70B parameters, was
- recently released, which claims to be on par with Llama 3.1 405B in terms of performance; we
- 325 plan to switch to this model in the upcoming weeks.

326 **3.2. Risk Mitigation and Discussion Around Threat Analysis**

327 3.2.1. Hallucinations

- 328 When an LLM is asked to answer a user query restricting the response to a given context, the 329 LLM may respond with, "Answer not found in the context of NCCoE Documents" if there were
- 330 no answers to the question in the context. However, it may still respond with a made-up
- answer, even when answers to the question are found in the context. When it generates a
- made-up response, we refer to it as *hallucinating*. To make sure the responses displayed to the
- user are legitimate, we run the final response through a filter to make sure the response is
- supported by the document chunks seen by the LLM. The foundation model is used as this filter
- 335 where the LLM is asked to determine if the response previously generated by the RAG-based
- 336 chatbot is supported by the text provided to it as part of the context. The user is not shown this
- 337 response if it is determined to be hallucinated.

338 3.2.2. Threat Analysis

- 339 Threat analysis for a RAG-based LLM application involves systematically identifying and
- evaluating potential security risks that could compromise the system's integrity, confidentiality,
- and availability. Given the hybrid nature of RAG systems, which combine LLMs with external
- 342 data retrieval mechanisms, threats can arise from multiple vectors. Key concerns include data
- poisoning, where malicious actors manipulate the external data sources to influence the
- 344 model's outputs adversely. Additionally, there is the risk of adversarial attacks that exploit
- 345 vulnerabilities in the LLM's architecture. Unauthorized access to the system's API or data
- 346 repositories could lead to data breaches or misuse of sensitive information.
- 347 To mitigate these risks, it is crucial to implement robust authentication protocols, continuous
- 348 monitoring for anomalous activities, and regular updates to both the LLM and its data sources.
- 349 Furthermore, incorporating explainability and transparency in the model's decision-making
- process can help in identifying and addressing potential inaccuracies in the generated content.
- 351 It is also important to utilize trusted models. We are using open-source models downloaded
- directly from the producer of the model. The input and output are sanitized and filtered in the
- 353 same way we mitigate hallucinations, as mentioned above, to reduce the likelihood of any
- 354 successful prompt injection attack. In addition, only trusted users on the VPN can access the
- 355 chatbot to manage unauthorized access risk. We are considering expanding the logging of
- 356 security events in the next phase.

357 3.3. Deployment

- 358 The end-to-end chatbot application was deployed on an NCCoE server running Ubuntu and
- 359 restricted to the internal network. Nginx is used for HTTPS port forwarding, and the interface of
- 360 the application was embedded using iFrame into a development instance of the NCCoE main
- 361 webpage. The use of the chatbot was restricted to trusted users as opposed to the public to
- 362 mitigate security concerns relating to various kinds of attacks.

363 3.4. Testing and Evaluation

- 364 We have conducted a small study to get a sense of the quality of responses generated by the 365 NCCoE chatbot to guide us to improvements. The first part of this study entailed development of about 100 questions and corresponding ground truth answers. Due to time constraints, these 366 367 100 questions and corresponding answers were manually selected and further revised from a 368 larger set of LLM-generated question-answer pairs. As part of this exercise, we found that the 369 LLM-generated question-answer pairs were largely not up to the mark mainly due to limited 370 specificity in the questions. We plan to revise the prompts in the future to help the LLM 371 generate quality question-answer pairs in the next phase.
- 372 In the second part of this study, we used the chatbot to answer these 100 questions. These
- 373 responses were then automatically assessed for correctness against ground truth using the
- evaluation tool Ragas which uses an LLM-as-a-Judge rather than human assessors [11]. Only a
- very small subset was assessed manually due to limited resources. The Pearson product-

- 376 moment correlation coefficients between manual and automatic assessments of 0.7872
- 377 indicates a linear relation between the two assessments; however, human assessment for a
- 378 much larger set is needed to draw any conclusion with statistical confidence. Failure analysis
- not only helped us with making informed decisions around the improvements in the chatbot,
- but it also gave us insights into the trustworthiness of using an automated tool like Ragas to
- replace human assessors. We found that Ragas frequently gave us a score of 0.2 for empty
- responses. This led us to conclude that automatic assessment systems can be useful for
- development purposes, but they cannot replace rigorous human assessment at this time.

384 **3.5. Risks and Limitations**

- 385 At this prototype stage, the NCCoE chatbot is configured as a question-answering bot. It lacks 386 the ability to hold conversations with the user. We plan to address this in the next phase.
- Additionally, despite the mitigations in place, there is still a chance that the response generated
- by the RAG-based model is a hallucinated one. Continued evaluation of the tool is needed to
- 389 understand the level of this risk.
- 390 Furthermore, since we are not employing auto-retrieval, the chatbot's ability to answer some
- 391 metadata-specific questions, like names of the authors of a publication, is limited. Active work
- is being conducted to address this limitation.
- 393 Another limitation of our chatbot is in responding to questions that touch upon answers found
- in the images contained in the PDF document. Addressing this limitation is considered out of
- 395 scope of this work at present.

4. Comparison Against COTS Tools

- 397 Following is an example that highlights the benefits of using a RAG-based chatbot compared to
- 398 commercial off-the-shelf (COTS) tools. We ran the following question against our chatbot, Meta
- 399 AI, and OpenAI: "What are the steps involved in executing active scans as described in NIST SP
- 400 1800-30C: Securing Telehealth Remote Patient Monitoring Ecosystem?"
- 401 The screen-capture of the correct answer is shown in Fig. 1.

at at a second s	After the engineers created and correlated the Policies and Active Scans to each other, they executed the scans. Execute Active Scans 1. Navigate to the Scans drop-down list in the menu ribbon. 2. Select Active Scans. 3. Next to HDO Asset Scan click ▶.
	Execute Active Scans
Construction of the second secon	1. Navigate to the Scans drop-down list in the menu ribbon.
	2. Select Active Scans.
35	3. Next to HDO Asset Scan click ►.
A constraint of the second sec	 Navigate to the Scan Results menu option shown at the top of the screen under the menu ribbon to see the status of the scan.
36	5. Click HDO Asset Scan to see the scan results.
1.0000110000000000000000000000000000000	6. Repeat the above steps for HDO Network Scan.
	View Active Scan Results in the Dashboard
	1. Navigate to the Dashboard drop-down list in the menu ribbon.
37	2. Select Dashboard.
38	NIST SP 1800-30C: Securing Telehealth Remote Patient Monitoring Ecosystem 39

402

- Figure 1. NIST 1800-30C Active Scan Instructions
- 403 Table 1 shows the results from asking the question to all three services.

404

Table 1. Service Responses to Test Question

NCCoE Chatbot (Llama)	Meta AI (Llama)	OpenAI (ChatGPT)
To execute active scans as described in NIST SP 1800-30C: Security Telehealth Remote Patient Monitoring Ecosystems, follow these steps: • Navigate to the Scans drop- down list in the menu ribbon • Select Active Scans • Next to HDD Asset Scan click. • Navigate to the Scan Results menu option shown at the top of the screen under the menu ribbon to see the status of the scan. • Click HDO Asset Scan to see the scan results. • Repeat the above steps for HDO Network Scan Page number: 39 Source document URL: https://nccoe8fy2020dev.prod.acq uia- sites.com//sites/default/files/2022 -02/rpm-nist-sp1800-30c-final.pdf	 Executing active scans as described in NIST SP 1800 30C involves several key steps: Configuring scans: This step involves setting up your scanning system to identify vulnerabilities in the telehealth remote patient monitoring ecosystem ¹². Vulnerability Scanning: Use your configured scanning system to scan for endpoint vulnerabilities, including missing patches and outdated software ¹. Linking a Scanner: Integrate a scanner, such as Nessus, into your vulnerability management system to streamline the scanning process ². Repeating Scans: Regularly repeat scans, such as basic network scans, to ensure ongoing security and identify new vulnerabilities ³. Analyzing Results: Review and analyze scan results to identify areas for impreovement and implement necessary patches or updates. By following these steps, you can effectively execute active scans and enhance the security of your telehealth remote patient monitoring ecosystem, as outlines in NIST SP 1800-30C. 	 Executing scans in accordance with NIST SP 1800-30C for securing the Telehealth Remote Patient Monitoring Ecosystem involves the following steps: Preparation: Define the scope of the scan, including systems, networks, and devices to be tested. Ensure that you have the necessary permissions to perform the scans to avoid legal issues. Select Scanning Tools: Cohose appropriate active scanning tools that can identify vulnerabilities and misconfigurations to the telehealth environment. Configure the scanning tools according to the specific requirements of the telehealth systems. Set parameters such as scan depth, types of vulnerabilities to look for, and authentication methods if needed. Conduct Scans: Execute the active scans on the defined scope. Monitor the process to address any issues that may arise during scanning (e.g. system performance impacts) Analysis of Results: Review the scan results to identify vulnerabilities and security issues. Prioritize findings based on severity and potential impact on the telehealth ecosystem. Reporting: Document the findings in a comprehensive report, detailing vulnerabilities, affected systems, and suggested remediation steps. Ensure the report is understandable for technical and non-technical stakeholders Remediation: Collaborate with relevant teams to address identified vulnerabilities. Implement patches, configuration changes, or other mitigations as necessary. Verification: Continuous Monitoring: Establish a process for ongoing vulnerability scanning and assessment to maintain security in the telehealth rewiroment. By following these steps, organization can effectively execute active scans to secure their telehealth remote patient monitoring ecosystems.

405 Our RAG-based chatbot provided an informed response and also provided the page-level

406 citation back to the source document.

407 **5. Future Considerations**

- 408 The following are future work areas being considered:
- Incorporate secure logging practices.
- Establish pipelines which monitor the logs continuously.
- 411 Store common questions and answers to improve user interactions and performance on
 412 repeat questions.
- Implement tools for the LLM that better serve concurrent users.
- Upgrade the tool to hold a conversation with the user.
- Deploy the chatbot to a dedicated domain.
- Enhance the guardrail systems in place and separately perform rigorous validation to continue to address security concerns such as prompt injection [12].
- 418 Continue to improve the user interface for a better user experience, potentially
 419 involving a user study to gauge chatbot usability.
- Conduct testing and evaluation before public release.

421 **5.1.** Future Considerations for Testing and Evaluation

- Evaluating the proper function of an LLM is an area of active interest to the research
 community. As we continue to iterate on the chatbot, the testing and evaluation process will
 evolve as well. There are two research areas of particular interest as we move forward:
- Perturbation testing utilizes variations in input to test model performance. For instance,
 introducing typos or slight variations to the prompts that are given to an LLM can lead to
 variations in output. Given the expectations that a variety of users may use a chatbot, it
 is useful to analyze how these variations impact the performance of the tool.
 Furthermore, it is useful to understand how a model will perform when it is asked to
 conduct a task that is slightly outside of an area it is most reliable in, such as asking it
 slight variations to common logic puzzles.
- The concept of topic modeling may also be useful for informing further development of the chatbot. Topic modeling can be used to understand the primary pillars of the vector database that is utilized by the RAG. Utilizing this information, automated testing can be implemented to understand how far a query to the chat is from the central topic pillars. This can be used to inform quality control for responses, such as providing a disclaimer when a question strays outside of the most well-supported topics.

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471 Appendix A. List of Symbols, Abbreviations, and Acronyms

472	AI
473	Artificial Intelligence
474	COTS
475	Consumer Off-The-Shelf
476	DGX
477	Deep GPU Xceleration
478	GPU
479	Graphics Processing Unit
480	JSON
481	JavaScript Object Notation
482	JSONL
483	JavaScript Object Notation Lines
484	LLM
485	Large Language Model
486	NCCoE
487	National Cybersecurity Center of Excellence
488	NIST
489	National Institute of Standards and Technology
490	RAG
491	Retrieval-Augmented Generation
492	SP
493	Special Publication
494	VPN

495 Virtual Private Network