

# NIST Internal Report NIST IR 8547 ipd

# Transition to Post-Quantum Cryptography Standards

**Initial Public Draft** 

Dustin Moody Ray Perlner Andrew Regenscheid Angela Robinson David Cooper

This publication is available free of charge from: https://doi.org/10.6028/NIST.IR.8547.ipd



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November 2024



U.S. Department of Commerce *Gina M. Raimondo, Secretary* 

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#### **Publication History**

Approved by the NIST Editorial Review Board on YYYY-MM-DD [Will be added to final publication.]

#### How to Cite this NIST Technical Series Publication

Moody D, Perlner R, Regenscheid A, Robinson A, Cooper D (2024) Transition to Post-Quantum Cryptography Standards. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Internal Report (IR) NIST IR 8547 ipd. https://doi.org/10.6028/NIST.IR.8547.ipd

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Public Comment Period November 12, 2024 – January 10, 2025

#### Submit Comments

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#### **Additional Information**

Additional information about this publication is available at <u>https://csrc.nist.gov/pubs/ir/8547/ipd</u>, including related content, potential updates, and document history.

All comments are subject to release under the Freedom of Information Act (FOIA).

# 1 Abstract

- 2 This report describes NIST's expected approach to transitioning from quantum-vulnerable
- 3 cryptographic algorithms to post-quantum digital signature algorithms and key-establishment
- 4 schemes. It identifies existing quantum-vulnerable cryptographic standards and the quantum-
- 5 resistant standards to which information technology products and services will need to
- 6 transition. It is intended to foster engagement with industry, standards organizations, and
- 7 relevant agencies to facilitate and accelerate the adoption of post-quantum cryptography.

# 8 Keywords

9 cryptography; post-quantum cryptography; public key cryptography; quantum computing.

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# 88 1. Introduction

- 89 Cryptographic algorithms are vital for safeguarding confidential electronic information from
- 90 unauthorized access. For decades, these algorithms have proved strong enough to defend
- 91 against attacks using conventional computers that attempt to defeat cryptography. However,
- 92 future quantum computing may be able to break these algorithms, rendering data and
- 93 information vulnerable. Countering this future quantum capability requires new cryptographic
- 94 methods that can protect data from both current conventional computers and the quantum
- 95 computers of tomorrow. These methods are referred to as *post-quantum cryptography* (PQC).
- 96 In response, NIST has released three PQC standards to start the next and significantly large
- 97 stage of working on the transition to post-quantum cryptography: the Module-Lattice-Based
- 98 Key-Encapsulation Mechanism [FIPS203], the Module-Lattice-Based Digital Signature Algorithm
- 99 [FIPS204], and the Stateless Hash-Based Signature Algorithm [FIPS205]. Historically, the journey
- 100 from algorithm standardization to full integration into information systems can take 10 to 20
- 101 years. This timeline reflects the complexity of companies building the algorithms into products
- and services, procuring those products and services, and integrating those products and
- 103 services into technology infrastructures.
- 104 Even though the transition to post-quantum cryptography is starting before a cryptographically
- relevant quantum computer has been built, there is a pressing threat. Encrypted data remains
- 106 at risk because of the "harvest now, decrypt later" threat in which adversaries collect encrypted
- 107 data now with the goal of decrypting it once quantum technology matures. Since sensitive data
- 108 often retains its value for many years, starting the transition to post-quantum cryptography
- 109 now is critical to preventing these future breaches. This threat model is one of the main reasons
- 110 why the transition to post-quantum cryptography is urgent.

# 111 **1.1. Scope and Purpose**

- 112 Updating cryptographic technology has occurred many times at different scales, such as
- increasing key sizes or phasing out insecure hash functions and block ciphers. While the
- transition to PQC is unprecedented in scale, it benefits from a level of awareness and
- understanding that previous cryptographic changes did not have. NIST recognizes the
- 116 complexity of migrating the vast array of systems that currently rely on public-key cryptography
- and acknowledges that this transition will demand substantial effort across diverse applications
- 118 and infrastructures with specific requirements and constraints.
- 119 This report serves as the initial step in a broader strategy to manage and guide the transition to
- 120 post-quantum cryptography. This transition will involve the adoption of new PQC algorithms as
- well as the careful deprecation, controlled legacy use, and eventual removal of quantum-
- vulnerable algorithms that are currently widespread in technological infrastructures. Public-
- 123 private engagement will be crucial on the path toward PQC. Additionally, this report continues
- 124 NIST's ongoing dialogue with industry, standards organizations, and relevant agencies to
- develop a clear roadmap and realistic timeline for transitioning to PQC. NIST is committed to

- 126 ensuring that this transition is as smooth and coordinated as possible, balancing the urgency of
- adopting PQC with the need to minimize disruption across critical systems.

# 128 **1.2. Audience**

- 129 This document is intended for a broad audience, including federal agencies, technology
- 130 providers, standards organizations, and Cryptographic Module Validation Program (CMVP)
- 131 laboratories. These groups play a critical role in preparing for the migration to PQC by
- developing, implementing, and standardizing the new cryptographic methods necessary to
- 133 secure information in the era of quantum computing. This document should inform these
- 134 stakeholder's efforts and timelines for migrating information technology products, services, and
- 135 infrastructure to PQC.

### 136 2. Background

### 137 **2.1. Cryptographic Standards**

138 Federal Information Processing Standards (FIPS) and the NIST Special Publication (SP) 800-series

specify a broad set of cryptographic primitives, algorithms, and schemes, including many publickey cryptosystems that will be deprecated and ultimately disallowed as part of the transition to

141 post-quantum cryptography. This section identifies quantum-vulnerable algorithms in NIST's

existing cryptographic standards as well as the post-quantum algorithm standards that have

been recently published. Section 4.1 provides the transition plan for the quantum-vulnerable

144 algorithms in these standards.

# 145 **2.1.1. Digital Signature Algorithms**

146 Digital signature algorithms are used to provide identity authentication, integrity

authentication, source authentication, and support for non-repudiation. Digital signature

148 algorithms are used in conjunction with hash functions or eXtendable-Output Functions (XOFs)

- 149 to sign messages of arbitrary length.
- 150 NIST-approved digital signature algorithms were historically specified in FIPS 186 [FIPS186]. The
- 151 current revision of FIPS 186 specifies the Elliptic Curve Digital Signature Algorithm (ECDSA) and
- adopts the RSA algorithm specified in RFC 8017 and PKCS 1 (version 1.5 and higher) and the
- 153 Edwards-Curve Digital Signature Algorithm (EdDSA) specified in RFC 8032. The related SP 800-
- 154 186 [SP800186] specifies the elliptic curves to be used with ECDSA and the elliptic curve
- 155 cryptography (ECC) based key establishment schemes in SP 800-56A [SP80056A]. These
- algorithms are vulnerable to Shor's Algorithm on a cryptographically relevant quantum
- 157 computer.
- 158 FIPS 204 and 205 each specify quantum-resistant digital signature schemes. FIPS 204 specifies
- 159 the Module-Lattice-Based Digital Signature Algorithm (ML-DSA) [FIPS204], which is derived
- 160 from the CRYSTALS-Dilithium submission. FIPS 205 specifies the Stateless Hash-Based Digital
- 161 Signature Algorithm (SLH-DSA), which is derived from the SPHINCS+ submission [FIPS205].
- 162 SP 800-208, *Recommendation for Stateful Hash-Based Signature Schemes,* specifies two stateful
- 163 hash-based signature (HBS) schemes the Leighton-Micali Signature (LMS) system and the

164 eXtended Merkle Signature Scheme (XMSS) — along with their multi-tree variants, the

- 165 Hierarchical Signature System (HSS) and multi-tree XMSS (XMSS<sup>MT</sup>) [SP800208]. These schemes
- are also resistant to attacks by quantum computers. In stateful hash-based signature (HBS)
- 167 schemes, the HBS private key consists of a large set of one-time signature (OTS) private keys.
- 168 The security of these schemes relies on the signer to ensure that no individual OTS key is ever
- 169 used to sign more than one message. Due to this need to maintain state, HBS schemes are not
- 170 intended for general use.
- 171 In the future, NIST intends to develop a FIPS that specifies a digital signature algorithm derived
- 172 from FALCON as an additional alternative to these standards. In addition, NIST is evaluating
- 173 other proposed digital signature algorithms for possible standardization through the Additional

- 174 Digital Signature Schemes for the NIST Post-Quantum Cryptography Standardization Process
- 175 [NISTIR8528].

# 176 **2.1.2. Key Establishment**

- 177 Key establishment is the means by which keys are generated and provided to the entities that
- are authorized to use them. Current NIST-approved key-establishment schemes are specified in
- 179 SP 800-56A, Recommendation for Pair-Wise Key-Establishment Schemes Using Discrete
- 180 Logarithm-Based Cryptography [SP80056A], and SP 800-56B, Recommendation for Pair-Wise
- 181 Key Establishment Schemes Using Integer Factorization Cryptography [SP80056B].
- 182 SP 800-56A specifies key-establishment schemes based on the discrete logarithm problem over
- 183 finite fields and elliptic curves, including several variations of Diffie-Hellman and Menezes-Qu-
- 184 Vanstone (MQV) key establishment schemes.
- 185 SP 800-56B specifies key-establishment schemes based on the RSA public key cryptosystem.
- 186 This publication includes approved methods for both key agreement and key transport.
- 187 FIPS 203 specifies a cryptographic scheme called Module-Lattice-Based Key-Encapsulation
- 188 Mechanism (ML-KEM), which is derived from the CRYSTALS-KYBER submission. A key-
- 189 encapsulation mechanism (KEM) is a particular type of key-establishment scheme that can be
- 190 used to establish a shared secret key between two parties communicating over a public
- 191 channel. The fourth round of the NIST PQC Standardization process is evaluating additional KEM
- algorithms, and NIST anticipates selecting one or more alternatives to ML-KEM in the future.

# 193 **2.1.3. Symmetric Cryptography**

- 194 Symmetric-key algorithms (sometimes called secret-key algorithms) use a single key to both
- apply cryptographic protection and to remove or check the protection (i.e., the same key is
- 196 used for a cryptographic operation and its inverse). For example, the key used to encrypt data
- 197 (i.e., apply protection) is also used to decrypt the encrypted data (i.e., remove the protection).
- 198 In the case of encryption, the original data is called the plaintext, while the encrypted form of
- 199 the data is called the ciphertext. The key must be kept secret if the data is to remain protected.
- 200 Several classes of symmetric-key algorithms have been approved: those based on block cipher
- algorithms (e.g., AES) and those based on the use of hash functions (e.g., a keyed-hash message
   authentication code based on a hash function).
- 203 Symmetric-key algorithms are used for:
- Block ciphers
- Hash functions
- Encryption using block cipher modes of operation
- Data authentication using block cipher modes of operation
- Data authentication using key-hash constructions

- Key derivation
- Key wrapping
- Random bit generation

As discussed in Sec. 4.1.3, the existing algorithm standards for symmetric cryptography are less

vulnerable to attacks by quantum computers. NIST does not expect to need to transition awayfrom these standards as part of the PQC migration.

# 215 **2.2. Cryptographic Technologies and Components**

- 216 Once PQC algorithms have been standardized, applications will need to be modified to make
- 217 use of them. Many applications include components that are based on standardized protocols
- and security technologies that will need to be revised to support the use of the PQC algorithms.
- 219 In addition, applications are built on top of software cryptographic libraries that either provide
- the implementations of the cryptographic algorithms or provide an interface to hardware
- 221 cryptographic modules. Any software cryptographic libraries and hardware cryptographic
- 222 modules used by an application will also need to be revised to support the PQC algorithms.
- 223 Applications may also rely upon infrastructure components, such as public key infrastructures
- 224 (PKI), that would need to be updated to support the PQC algorithms before the applications
- themselves can migrate to using the PQC algorithms.

# 226 **2.2.1. Network Protocol and Security Technology Standards**

- 227 Network protocols and security technology standards define the rules for data exchange over
- networks and ensure secure and reliable communication. Examples include Transport Layer
- 229 Security (TLS), Secure Shell (SSH), Internet Protocol Security (IPsec), and Cryptographic Message
- 230 Syntax (CMS).
- 231 These protocols and security technologies often rely on classical cryptographic algorithms that
- are vulnerable to quantum attacks. Updating them to incorporate PQC algorithms is essential to
- 233 maintaining data confidentiality and integrity. This involves revising protocol specifications to
- support new key exchange mechanisms and authentication methods that are quantum-
- resistant. In some cases, this will involve simply assigning an identifier for the new algorithm. In
- other cases, more significant changes will be required to accommodate the larger sizes of the
- 237 PQC algorithms or as a result of the new algorithms having different interfaces.

# 238 **2.2.2. Software Cryptographic Libraries**

- 239 Software cryptographic libraries are collections of cryptographic algorithms and protocols that
- are implemented in software to provide essential cryptographic functions to applications.
- 241 OpenSSL, BoringSSL, Libsodium, and the Java Cryptography Architecture (JCA) are a few
- examples of cryptographic libraries that are used to provide cryptographic support for
- 243 applications.

- 244 These libraries need to incorporate PQC algorithms that are standardized by bodies like NIST.
- 245 Updating them ensures that developers have access to quantum-resistant cryptographic
- 246 functions without implementing complex algorithms themselves. This transition involves adding
- 247 new algorithms, optimizing their implementations for performance, and ensuring those
- 248 implementations are secure against side-channel attacks.

# 249 2.2.3. Cryptographic Hardware

- 250 Cryptographic hardware modules, such as hardware security modules (HSMs) and Trusted
- 251 Platform Modules (TPMs), provide secure environments for performing cryptographic
- 252 operations and storing sensitive keys. They are used in various applications, from securing
- 253 server infrastructure to protecting cryptographic keys on personal devices.
- 254 Hardware modules must be upgraded or redesigned to support PQC algorithms, which often
- 255 have larger key sizes and different computational requirements. This includes updating
- 256 firmware or hardware to handle new algorithms and ensuring that the modules can perform
- 257 quantum-resistant cryptographic operations efficiently while maintaining the high security
- 258 standards expected of these devices.

# 259 2.2.4. PKI and Other Infrastructure Components

- 260 Public key infrastructure (PKI) systems manage digital certificates and public-private key pairs to
- 261 enable secure communication and authentication across networks. Other infrastructure
- 262 components include certification authorities (CAs), registration authorities, key management
- 263 systems, and directory services.
- 264 PKI components must be updated to issue, distribute, and manage certificates that use PQC
- algorithms and to sign certificates and revocation status information using PQC algorithms. This
- 266 includes supporting new cryptographic algorithms in certificate issuance processes and
- 267 modifying validation and revocation mechanisms. Ensuring backward compatibility and
- 268 interoperability during the transition period is crucial to maintaining trust and security across
- the network.

# 270 2.2.5. IT Applications and Services

- 271 IT applications and services encompass a wide array of software and platforms used by
- 272 organizations, including web applications, databases, communication tools, cloud services, and
- 273 enterprise software. These applications rely on cryptography for securing data, authenticating
- 274 users, and ensuring secure transactions.
- 275 Applications and services must be modified to support PQC algorithms for encryption, digital
- signatures, and key exchange. This requires updating the underlying cryptographic
- 277 implementations, adjusting to changes in key sizes and algorithm performance, and ensuring
- 278 compatibility with updated protocols and libraries. Developers need to refactor code, conduct
- extensive testing, and potentially redesign user interfaces to accommodate these changes.

# 280 **3. Migration Considerations**

- 281 Even though there are no existing cryptographically relevant quantum computers that currently
- threaten levels of security, it will take a significant amount of time to transition to new post-
- 283 quantum algorithms. Past cryptographic migrations have taken over a decade, and this more
- 284 complex migration will likely take at least that long.
- 285 *Mosca's theorem* emphasizes the urgency of migrating to post-quantum algorithms by
- 286 introducing a simple but powerful timeline: if "X" represents the number of years that data
- 287 must be kept secure, and "Y" is the estimated time needed to complete the transition, then
- 288 organizations must start transitioning to post-quantum algorithms before X + Y exceeds the
- expected time *Z* for a cryptographically relevant quantum computer to be built. This means that even if quantum computers are a decade away, organizations must begin the migration to post-
- quantum cryptography today to avoid having their encrypted data exposed once quantum
- 292 computers become operational in the future. This threat, often referred to as "harvest now,
- 293 decrypt later," underscores the necessity of acting immediately, especially for data with long-
- term sensitivity, such as government secrets or medical records. Ensuring security today will
- 295 safeguard it for the future.

# 296 3.1. Use Cases

# 297 3.1.1. Code Signing

298 Code signing involves digitally signing executables and software packages to verify the author's

identity and ensure that the code has not been tampered with. This process is critical for
 maintaining trust in software distribution channels and preventing the spread of malicious
 code

301 code.

302 The devices that install and execute this code need the ability to verify the signatures on the

- 303 code. In some cases, it is not feasible to update the code that performs the signature
- 304 verification after the devices have been manufactured. When this is the case, it is important for
- 305 the devices to be designed to require quantum-resistant signatures on the executables, if there
- is a risk that the devices will still be in use after cryptographically relevant quantum computers
- 307 become available.

# 308 **3.1.2. User and Machine Authentication**

- 309 User and machine authentication systems verify identities to control access to resources. This
- often involves cryptographic protocols that use asymmetric algorithms for secure key exchange
- and authentication, ensuring that only authorized users or devices can access sensitive data or
- 312 services. Depending on the protocol, authentication may be performed using either a digital
- 313 signature algorithm or a key-establishment scheme.
- 314 Unlike with encryption, where there is a threat of "harvest now, decrypt later," an
- authentication system remains secure as long as the cryptographic algorithms and keys used to
- perform the authentication are secure when the authentication is performed. Authentication

- 317 systems may continue to use quantum-vulnerable algorithms until quantum computers that are
- 318 capable of breaking current, quantum-vulnerable algorithms become available, at which point
- 319 authentication using these algorithms will need to be disabled.
- 320 Supporting quantum-resistant algorithms for authentication will require upgrades to both the
- 321 system performing and accepting the authentication, as well as to any supporting
- 322 infrastructure, such as a PKI. It may also require obtaining hardware cryptographic tokens that
- 323 support the quantum-resistant algorithms.

# 324 **3.1.3. Network Security Protocols**

- 325 Network security protocols like TLS and virtual private networks secure data transmission over
- public networks. They use cryptographic techniques to provide confidentiality, integrity, and
- 327 authentication between communicating parties.
- 328 Modern network security protocols tend to use separate asymmetric keys for key
- 329 establishment and authentication. While long-term keys are used for authentication, key-
- establishment keys are used for a short period of time, usually for a single key establishment.
- 331 This provides the property of forward secrecy, where the compromise of a long-term key does
- 332 not result in the compromise of communication sessions that occurred before the compromise.
- As symmetric keys that are established through the key-establishment process are used to
- 334 provide confidentiality, the "harvest now, decrypt later" threat needs to be considered when
- determining a migration timeline for the key-establishment scheme. The cryptographic
- algorithm used for authentication may be transitioned at a different time, and for that the
- 337 considerations in Sec. 3.1.2 apply.

# 338 **3.1.4. Email and Document Signing and Encryption**

- Email and document signing employ digital signatures to verify the authenticity and integrity of
- 340 electronic communications and documents. Common algorithms like RSA and ECDSA are widely
- 341 used to create a cryptographic binding between the content and the sender's identity, ensuring
- 342 that the message has not been altered and that it originates from a legitimate source.
- 343 Secure/Multipurpose Internet Mail Extensions (S/MIME) is a standard for public-key encryption
- and the signing of MIME data. It provides end-to-end encryption and authentication for email
- and file exchanges to ensure that only intended recipients can access the content. As with other
- 346 applications providing data confidentiality, email encryption is subject to "harvest now, decrypt
- 347 later."

# 348 **3.2. PQC-Classical Hybrid Protocols**

- 349 The migration to post-quantum cryptography may initially include hybrid solutions that
- incorporate the use of quantum-resistant and quantum-vulnerable algorithms when
- 351 establishing cryptographic keys or generating digital signatures. These hybrid solutions are
- 352 typically designed to remain secure if at least one of the component algorithms is secure.

- 353 Such hybrid solutions are often utilized as a hedge against a cryptographic or implementation
- flaw in one of the underlying component algorithms. It may also provide a path for
- accommodating the use of PQC if sector-specific requirements still require legacy quantum-
- 356 vulnerable algorithms. However, hybrid solutions add complexity to implementations and
- architectures, which can increase security risks and costs during the transition to PQC. When
- used, hybrid solutions are typically expected to be temporary measures that lead to a second
- 359 transition to cryptographic tools that use only PQC algorithms.
- 360 These trade-offs will vary based on the hybrid techniques used, the applications involved, and
- 361 the vendor and user communities that will develop and deploy them. Implementers and
- 362 standards organizations that specify cryptographic protocols and technologies need to carefully
- 363 consider the security, costs, and complexity of hybrid solutions in their environments.
- 364 Industry and standards organizations are considering a variety of techniques for hybrid
- 365 solutions with key-establishment schemes and digital signatures. NIST intends to accommodate
- the use of hybrid techniques in its cryptographic standards to facilitate the transition to PQC
- 367 where their use is desired.
- 368 Whether hybrid solutions are used or not, quantum-vulnerable and quantum-resistant
- 369 cryptographic algorithms will be fielded and used alongside each other in many applications
- and systems during the transition to PQC to facilitate interoperability. For example, many
- 371 network security protocols support the use of multiple sets of cryptographic algorithms and
- allow two communicating parties to negotiate which algorithms to use in each session.
- 373 Similarly, during the transition to PQC, public key infrastructures using quantum-vulnerable
- digital signature algorithms are expected to be deployed and used alongside those using
- 375 quantum-resistant algorithms. Such approaches are not considered hybrid solutions if each
- 376 session only uses a single cryptographic algorithm for key establishment and/or digital
- 377 signatures.

# 378 3.2.1. Hybrid Key-Establishment Techniques

- A hybrid key-establishment mode is defined here to be a key establishment scheme that is a
- 380 combination of two or more components that are themselves cryptographic key-establishment
- 381 schemes. The hybrid key-establishment scheme becomes a composite of these component
- 382 schemes.
- 383 NIST currently allows a generic composite key-establishment technique described in SP 800-56C
- 384 [SP80056C]. Assume that the value Z is a shared secret that was generated as specified by SP
- 385 800-56A or 800-56B and that a shared secret *T* is generated or distributed through other
- schemes. The value Z'=Z||T may then be treated as a shared secret and any of the key
- derivation methods given in SP 800-56C may be applied to Z' to derive secret keying material.
- 388 NIST intends to update SP 800-56C so that the value Z may be generated as specified by any
- current and future NIST key-establishment standards. This will include SP 800-56A, SP 800-56B,
- 390 FIPS 203, and any additional post-quantum key-establishment standards. The desired property
- of hybrid techniques is that derived keys remain secure if at least one of the component
- 392 schemes is secure. Security properties can be complex, and for composite key establishment

- 393 schemes they will need to be analyzed on a case-by-case basis with the requirements of the
- application in mind. NIST intends to offer guidance on various key combiners in the forthcoming
- 395 SP 800-227, *Recommendations for Key Encapsulation Mechanisms*.
- Additionally, the output of the key-establishment scheme specified in FIPS 203 is a shared
- 397 secret key which is a shared secret that does not require further key derivation. NIST
- 398 emphasizes that any shared secret key generated as specified in FIPS 203 may be used as the
- 399 value Z in the generic composite mode described in SP 800-56C. These same properties will
- 400 apply to any future FIPS which standardize KEMs.

# 401 **3.2.2. Hybrid Digital Signature Techniques**

- 402 Common techniques for hybrid digital signatures involve the use of dual signatures, which
- 403 consist of two or more signatures on a common message. It may also be known as a hybrid
- signature or composite signature. The verification of the dual signature requires all of the
- 405 component signatures to be successfully verified, such as by creating a single logical composite
- 406 signature from two or more component signature algorithms.
- 407 Dual signatures could be used to sign user data (e.g., a document or e-mail) or digital
- 408 certificates that contain references to user key pairs within a PKI. Existing NIST standards and
- 409 guidelines accommodate their use provided that at least one component digital signature
- 410 algorithm is NIST-approved.
- 411 NIST leaves the decision to each specific application as to whether it can afford the
- 412 implementation cost, performance reduction, and engineering complexity (including proper
- and independent security reviews) of a hybrid mode for key establishment or the use of dual
- signatures. To assist external parties that desire such a mechanism, NIST will accommodate the
- 415 use of a hybrid key-establishment mode and dual signatures in FIPS 140 validation when
- 416 suitably combined with a NIST-approved scheme.

# 417 **4. Towards a PQC Standards Transition Timeline**

- 418 NIST's cryptography standards provide comprehensive guidance on a broad spectrum of
- 419 cryptographic mechanisms that are essential for securing sensitive information across both
- 420 federal and nonfederal systems. These standards cover fundamental areas that are crucial for
- 421 ensuring the confidentiality, integrity, and authenticity of data, such as encryption algorithms,
- 422 digital signatures, hash functions, key establishment, and random number generation.
- 423 Additionally, NIST's standards define key-management practices and offer frameworks for
- 424 securely generating, storing, distributing, and destroying cryptographic keys.
- 425 Beyond individual algorithms, NIST standards provide guidance on cryptographic protocols that
- 426 secure communications, such as the TLS protocol, which protects internet data exchanges. They
- 427 also specify requirements for cryptographic modules through the CMVP to ensure that
- 428 implementations meet stringent security standards. NIST has also developed PQC standards to
- 429 safeguard systems against future quantum attacks. Through collaboration with industry,
- 430 academia, and other stakeholders, NIST continually updates its cryptographic standards to
- 431 address evolving security threats and technological advances.
- 432 National Security Memorandum 10 (NSM-10) establishes the year 2035 as the primary target
- 433 for completing the migration to PQC across Federal systems [NSM10]:
- 434 "Any digital system that uses existing public standards for public-key cryptography, or
  435 that is planning to transition to such cryptography, could be vulnerable to an attack by a
  436 Cryptographically Relevant Quantum Computer (CRQC). To mitigate this risk, the United
  437 States must prioritize the timely and equitable transition of cryptographic systems to
  438 quantum-resistant cryptography, with the goal of mitigating as much of the quantum
- 439 risk as is feasible by 2035."
- 440 This date reflects the urgency of transitioning to cryptographic methods that can withstand
- future quantum threats. However, it is important to recognize that migration timelines may
- 442 vary based on the specific use case or application. Some systems, particularly those with long-
- term confidentiality needs or more complex cryptographic infrastructures, may require earlier
- 444 transitions, while others may adopt PQC at a slower pace due to legacy constraints or lower risk
- 445 profiles. Flexibility in migration planning is essential to balance the urgency of securing critical
- systems with the practical challenges that different sectors face during this transition. NIST will
- 447 work to ensure that these varying timelines are acknowledged and supported while maintaining
- the overall goal of achieving widespread PQC adoption by 2035.

# 449 **4.1. NIST Cryptographic Algorithm Standards and Guidelines**

450 SP 800-131A [SP800131A] [SP800131A] describes the transitions associated with the use of

- 451 cryptography by Federal Government agencies to protect controlled unclassified information.
- 452 The document addresses the use of algorithms and key lengths specified in FIPS and NIST SPs.
- 453 During the transition to post-quantum cryptography, NIST will revise SP 800-131A with more
- 454 detailed guidelines and schedules.

The terms "acceptable," "deprecated, "disallowed," and "legacy use" are used throughout SP 800-131A to indicate the approval status of an algorithm:

- 457 Acceptable means that the algorithm and key length/ strength in a FIPS or SP are
   458 approved for use in accordance with any associated guidance.
- Deprecated means that the algorithm and key length/strength may be used, but there is some security risk. The data owner must examine this risk potential and decide whether to continue to use a deprecated algorithm or key length.
- Disallowed means that the algorithm, key length/strength, parameter set, or scheme is
   no longer allowed for the stated purpose.
- Legacy use means that the algorithm, scheme, or parameter set may only be used to
   process already protected information (e.g., to decrypt ciphertext data or to verify a
   digital signature).

467

468 Transition schedules are primarily driven by the level of cryptographic protection that a given algorithm and associated parameter set can provide, which is described as a rough measure 469 470 known as security strength. Historically, the security strength that an algorithm could provide 471 was defined in terms of the amount of work (i.e., the number of operations) that is required to 472 break the algorithm (i.e., an algorithm has s bits of security strength if breaking the algorithm 473 requires  $2^{s}$  operations of some kind, where s = 112, 128, 192, or 256). However, there are 474 significant uncertainties in estimating the security strengths of post-quantum cryptosystems 475 given the difficulty of accurately predicting the performance characteristics of future quantum 476 computers, such as their cost, speed, and memory size.

477 While NIST guidelines continue to use bit-length security strengths to describe the level of

478 protection offered by an algorithm and parameter set against attacks by classical computers,

479 post-quantum security is described using a collection of broad security categories. Each

480 category is defined by a comparatively easy-to-analyze reference primitive, whose security

481 serves as a floor for a wide variety of metrics that are deemed potentially relevant to practical

482 security. NIST based its classification on the range of security strengths offered by the existing

- 483 standards in symmetric cryptography. Table 1 provides a summary of these security categories.
- 484

# Table 1: Post-Quantum Security Categories

Security Category	Attack Type	Example
1	Key search on a block cipher with a 128-bit key	AES-128
2	Collision search on a 256-bit hash function	SHA-256
3	Key search on a block cipher with a 192-bit key	AES-192
4	Collision search on a 384-bit hash function	SHA3-384
5	Key search on a block cipher with a 256-bit key	AES-256

# 485 4.1.1. Digital Signatures

- 486 Table 2 lists currently approved quantum-vulnerable digital signature algorithm standards.
- 487
- 488

Table 2: Quantum-vulnerable digital signature algorithms

Digital Signature Algorithm Family	Parameters	Transition
	112 bits of security strength	Deprecated after 2030
ECDSA [FIPS186]	112 bits of security strength	Disallowed after 2035
	≥ 128 bits of security strength	Disallowed after 2035
EdDSA [FIPS186]	≥ 128 bits of security strength	Disallowed after 2035
	112 bits of convrity strongth	Deprecated after 2030
RSA [FIPS186]	112 bits of security strength	Disallowed after 2035
	≥ 128 bits of security strength	Disallowed after 2035

489

490

NIST's long-term cryptographic algorithm transition plans are outlined in SP 800-57pt1 (Part 1)
[SP80057]. These guidelines had projected that NIST would disallow public-key schemes that
provide 112 bits of security on January 1, 2031. However, based on the need to migrate to
quantum-resistant algorithms during this timeframe, NIST intends to instead deprecate classical
digital signatures at the 112-bit security level. Organizations may continue using these
algorithms and parameter sets as they migrate to the post-quantum signatures identified in
Table 3.

498

499

### Table 3. Post-quantum digital signature algorithms

Digital Signature Algorithm Family	Parameter Sets	Security Strength	Security Category
ML-DSA [FIPS204]	ML-DSA-44	128 bits	2
	ML-DSA-65	192 bits	3
	ML-DSA-87	256 bits	5

Digital Signature Algorithm Family	Parameter Sets	Security Strength	Security Category
<b>SLH-DSA</b> [FIPS205]	SLH-DSA-SHA2-128[s/f] SLH-DSA-SHAKE-128[s/f]	128 bits	1
	SLH-DSA-SHA2-192[s/f] SLH-DSA-SHAKE-192[s/f]	192 bits	3
	SLH-DSA-SHA2-256[s/f] SLH-DSA-SHAKE-256[s/f]	256 bits	5
<b>LMS, HSS</b> [SP800208]	With SHA-256/192 With SHAKE256/192	192 bits	3
	With SHA-256 With SHAKE256	256 bits	5
<b>XMSS, XMSS<sup>MT</sup></b> [SP800208]	With SHA-256/192 With SHAKE256/192	192 bits	3
	With SHA-256 With SHAKE256	256 bits	5

# 500

# 501 **4.1.2. Key Establishment**

502 Table 4 lists currently approved quantum-vulnerable key-establishment.

503

# Table 4: Quantum-vulnerable key-establishment schemes

Key Establishment Scheme	Parameters	Transition
Finite Field	112 bits of security strength	Deprecated after 2030
DH and MQV	TIZ bits of security strength	Disallowed after 2035
[SP80056A]	≥ 128 bits of security strength	Disallowed after 2035
Elliptic Curve	112 bits of security strength	Deprecated after 2030
DH and MQC	112 bits of security strength	Disallowed after 2035
[SP80056A]	≥ 128 bits of security strength	Disallowed after 2035
	112 bits of security strength	Deprecated after 2030
<b>RSA</b> [SP80056B]	TTZ DITS OF SECURITY STIELIBUT	Disallowed after 2035
	≥ 128 bits of security strength	Disallowed after 2035

504

- 505 Similar to the transition for digital signature algorithms, NIST intends to instead deprecate
- rather than fully disallow classical key-establishment schemes at the 112-bit security level.
- 507 Organizations may continue using these algorithms and parameter sets as they migrate to ML-
- 508 KEM or other approved quantum-resistant techniques. However, in order to mitigate the risk of
- 509 "harvest now, decrypt later" attacks on network communications, application-specific
- 510 guidance, as described in Sec. 4.2, may require or recommend migration to quantum-resistant
- 511 key establishment schemes before the classical schemes are generally disallowed.
- 512 Table 5 lists current quantum-resistant key establishment schemes. At this time, ML-KEM is the
- 513 only approved post-quantum key-establishment scheme based on public key cryptography.
- 514 Additional algorithms are still being considered as part of the fourth round of the NIST PQC
- 515 Standardization process. NIST expects to select one or more alternatives to ML-KEM in the
- 516 future.
- 517

### Table 5: Post-quantum key-establishment schemes

Key Establishment Scheme	Parameter Sets	Security Strength	Security Category
ML-KEM [FIPS203]	ML-KEM-512	128 bits	1
[1173203]	ML-DSA-768	192 bits	3
	ML-DSA-1024	256 bits	5

518

# 519 **4.1.3. Symmetric Cryptography**

520 NIST's existing standards in symmetric cryptography — including hash functions, XOFs, block 521 ciphers, KDFs, and DRBGs — are significantly less vulnerable to known quantum attacks than the public-key cryptography standards in SP 800-56A, SP 800-56B, and FIPS 186. In particular, all 522 523 NIST-approved symmetric primitives that provide at least 128 bits of classical security are 524 believed to meet the requirements of at least Category 1 security within the system of five 525 security strength categories for evaluating parameter sets in the NIST PQC standardization 526 process (see Table 1). NIST has a few symmetric cryptography standards at the 112-bit security 527 level, which will be disallowed in 2030. Applications should move away from these when

527 level, when will be disallowed in 2050. Applications should528 transitioning to post-quantum cryptography.

529

# Table 6: Block ciphers

Block Cipher	Parameter Sets	Security Strength	Security Category
AES	AES-128	128 bits	1
[FIPS197]	AES-192	192 bits	3
	AES-256	256 bits	5

530

### 531

### Table 7: Hash functions and XOFs

Hash/XOF Algorithm Family	Variants	Collision Security Strength	Collision Security Category	Preimage Security Strength	Preimage Security Category
<b>SHA-1</b> [FIPS180]	SHA-1	80 bits	< 1	160 bits	1
<b>SHA-2</b> [FIPS180]	SHA-224 SHA-512/224	112 bits	< 1	224 bits	3
	SHA-256 SHA-512/256	128 bits	2	256 bits	5
	SHA-384	192 bits	4	384 bits	5
	SHA-512	256 bits	5	512 bits	5
<b>SHA-3</b> [FIPS202]	SHA3-224	112 bits	< 1	224 bits	3
[]	SHA3-256	128 bits	2	256 bits	5
	SHAKE128	128 bits	2	128 bits	2
	SHA3-384	192 bits	4	384 bits	5
	SHA3-512	256 bits	5	512 bits	5
	SHAKE256	256 bits	5	512 bits	5

532

# 533 4.2. Application-Specific Standards and Guidelines

534 NIST develops and maintains standards and guidelines addressing cryptography used in certain 535 security technologies, protocols, and systems. For example, FIPS 201-3 and its supporting 536 technical guidelines in NIST Special Publications specify the Personal Identity Verification 537 standard, including security and interoperability requirements for PKI-based credentials used to 538 authenticate federal employees and contractors. Other Special Publications provide guidance on the configuration and use of cryptographic technologies, such as NIST SP 800-52 Revision 2 539 on the use of TLS servers and clients. These standards and guidelines are regularly updated to 540 541 address changes to underlying standards and technologies as well as new threats. 542 Throughout the migration to PQC, NIST will revise its documents to provide more detailed 543 guidelines for deprecating quantum-vulnerable algorithms, tailored to relevant applications.

544 While NIST's cryptographic algorithm standards may continue to specify quantum-vulnerable

- 545 techniques until 2035 and generally allow their use, these application-specific standards and
- 546 guidelines may specify earlier transitions for certain cryptographic algorithms, techniques, and
- 547 protocols used within these applications. These guidelines will be developed based on the
- 548 expected impact that a cryptographically relevant quantum computer would have on these
- applications as well as the level of support for PQC in the relevant standards, products, and
- 550 services. NIST expects to prioritize the migration to quantum-resistant key-establishment
- schemes within these updates to protect against "harvest now, decrypt later" attacks,
- 552 particularly in interactive protocols like TLS and IKE.
- 553 NIST will also coordinate with standards-developing organizations and industry to ensure that
- 554 critical security protocols and technologies are updated to support PQC in a timely manner,
- recognizing that different application areas will have different risks, security needs, and
- 556 adoption challenges.

557

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#### 645 **Appendix A. Glossary**

#### 646 acceptable

647 Approved for use. An allowed algorithm and key length/strength in a FIPS or SP is approved for use in accordance 648 with any associated guidance.

#### 649 approved

- 650 FIPS-approved and/or NIST-recommended. An algorithm or technique that is either 1) specified in a FIPS or NIST
- 651 recommendation, 2) adopted in a FIPS or NIST recommendation, or 3) specified in a list of NIST-approved security 652
- functions.

#### 653 asymmetric (cryptography)

654 Cryptography that uses two separate keys to exchange data — one to encrypt or digitally sign the data and one to 655 decrypt the data or verify the digital signature. Also known as public-key cryptography.

#### 656 block cipher

657 An invertible symmetric-key cryptographic algorithm that transforms one block of information at a time using a 658 cryptographic key. The resulting output block is the same length as the input block.

#### 659 certificate

- 660 A set of data that uniquely identifies a public key that has a corresponding private key and an owner that is
- 661 authorized to use the key pair. The certificate contains the owner's public key and possibly other information and
- 662 is digitally signed by a certification authority (i.e., a trusted party), thereby binding the public key to the owner.

#### 663 cryptographic module

664 The set of hardware, software, and/or firmware that implements approved cryptographic functions (including key 665 generation) that are contained within the cryptographic boundary of the module.

#### 666 cryptographically relevant quantum computer

- 667 A quantum computer which is capable of actually attacking real world cryptographic systems that would be
- 668 infeasible to attack with a normal computer.

#### 669 deprecated

- 670 The algorithm and key length may be used, but the user must accept some security risk. The term is used when
- 671 discussing the key lengths or algorithms that may be used to apply cryptographic protection.

#### 672 digital certificate

673 See certificate.

#### 674 digital signature

675 The result of a cryptographic transformation of data that, when properly implemented, provides a mechanism to 676 verify origin authenticity and data integrity and to enforce signatory non-repudiation.

#### 677 disallowed

678 The algorithm or key length is no longer allowed for applying cryptographic protection.

#### 679 dual signature

680 A dual signature consists of two (or more) signatures on a common message. It may also be known as a hybrid 681 signature or composite signature.

#### 682 encryption

683 The process of transforming plaintext into ciphertext using a cryptographic algorithm and key.

#### 684 eXtendable-Output Function (XOF)

685 A function on bit strings in which the output can be extended to any desired length.

686

### 687 forward secrecy

688 Providing protection against the use of compromised old keys that could be used to attack the newer derived keys689 still in use for integrity and confidentiality protection.

### 690 hash function

- A function on bit strings in which the length of the output is fixed. Approved hash functions (such as those
- 692 specified in FIPS 180 and FIPS 202) are designed to satisfy the following properties:
- 693 1. (One-way) It is computationally infeasible to find any input that maps to any new pre-specified output.
- 694 2. (Collision-resistant) It is computationally infeasible to find any two distinct inputs that map to the same output.

### 696 KEM combiner

697 A function that takes in two or more shared secret keys and returns a combined shared secret key.

#### 698 key agreement

- 699 A (pair-wise) key-establishment procedure where the resultant secret keying material is a function of information
- 700 contributed by two participants so that no party can predetermine the value of the secret keying material
- independently from the contributions of the other party. Contrast with key-transport.

### 702 key derivation

The process of deriving a key in a non-reversible manner from shared information, some of which is secret.

### 704 key encapsulation mechanism (KEM)

A set of three cryptographic algorithms (KeyGen, Encaps, and Decaps) that can be used by two parties to establish
 a shared secret key over a public channel.

#### 707 key establishment

708 A procedure that results in establishing secret keying material that is shared among different parties.

### 709 key transport

- 710 A (pair-wise) key-establishment procedure whereby one party (the sender) selects a value for the secret keying
- 711 material and then securely distributes that value to another party (the receiver). Contrast with key agreement.

### 712 key wrapping

- A method of protecting secret keying material (along with associated integrity information) that provides both
- 714 confidentiality and integrity protection when using symmetric-key algorithms.

### 715 legacy use

- The algorithm or key length may be used only to process already protected information (e.g., to decrypt ciphertext
- 717 data or to verify a digital signature).

### 718 message authentication code (MAC)

- 719 A cryptographic checksum on data that uses a symmetric key to detect both accidental and intentional
- 720 modifications of data.

#### 721 mode of operation

722 An algorithm for the cryptographic transformation of data that features a symmetric key block cipher.

#### 723 public key infrastructure (PKI)

724 A framework that is established to issue, maintain and revoke public key certificates.

### 725 public key cryptography

- 726 Cryptography that uses two separate keys to exchange data one to encrypt or digitally sign the data and one to
- 727 decrypt the data or verify the digital signature. Also known as asymmetric cryptography.

### 728 security category

A number associated with the security strength of a post-quantum cryptographic algorithm, as specified by NIST.

# 730 security strength

A number associated with the amount of work (i.e., the number of operations) that is required to break a
 cryptographic algorithm or system.

#### 733 shared secret

- A secret value that has been computed during a key-establishment scheme, is known by both participants, and is
- vsed as input to a key-derivation method to produce keying material.

### 736 shared secret key

- 737 A shared secret that can be used directly as a cryptographic key in symmetric-key cryptography. It does not require
- additional key derivation. The shared secret key must be kept private and must be destroyed when no longer
- 739 needed.

# 740 symmetric key cryptography

- 741 A cryptographic algorithm that uses the same secret key for its operation and, if applicable, for reversing the
- effects of the operation (e.g., an AES key for encryption and decryption).