

NIST Interagency Report NIST IR 8498 ipd

Cybersecurity for Smart Inverters

Guidelines for Residential and Light Commercial Solar Energy Systems

Initial Public Draft

James McCarthy Jeffrey Marron Don Faatz Daniel Rebori-Carretero Johnathan Wiltberger Nik Urlaub

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James McCarthy* Jeffrey Marron Applied Cybersecurity Division Information Technology Laboratory Don Faatz Daniel Rebori-Carretero Jonathan Wiltberger Nik Urlaub *The MITRE Corporation*

*Former NIST employee; all work for this publication was done while at NIST.

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1 Abstract

- 2 The use of residential and light-commercial inverters connected to the distribution network and
- 3 not directly owned and operated by the utility to generate electricity for homes and small
- 4 businesses continues to increase [1]. In addition to supplying power to individual homeowners
- 5 and small business owners these systems can supply power to the electric grid.
- 6 Smart inverters provide two critical functions to a small-scale solar energy system; they convert
- 7 the direct current (DC) produced by solar panels to the alternating current (AC) used on the
- 8 electric grid, in homes, and businesses. They also manage the flow of excess energy to the
- 9 electric grid. The "smart" in smart inverter allows these devices to assist the local electric utility
- 10 in addressing anomalies on the electric grid. However, properly responding to anomalies
- 11 requires that the smart inverter be configured to behave in a grid-friendly, supportive manner.
- 12 An improperly configured inverter can respond in inappropriate ways that exacerbate
- 13 anomalies.
- 14 While one smart inverter is unlikely to have significant impact on the grid if it is misconfigured,
- 15 a large number of misconfigured smart inverters could have a negative impact on a utility's
- 16 efforts to address anomalies. If a malicious actor were able to deliberately misconfigure many
- 17 smart inverters, grid stability and performance could be impacted.
- 18 This report provides practical cybersecurity guidance for small-scale solar inverter
- 19 implementations typically used in homes and small businesses. These guidelines are informed
- 20 by a review of known smart inverter vulnerabilities documented in the National Vulnerability
- 21 Database (NVD), a review of information about known smart inverter cyber-attacks and testing
- 22 five example smart inverters. The report also provides recommendations to smart inverter
- 23 manufacturers for cybersecurity capabilities needed in their products to implement the seven
- 24 guidelines. These recommendations build on the Internet of Things (IoT) cybersecurity
- capability baselines defined in NISTIR 8259A [2] and NISTIR 8259B [3] by providing smart-
- 26 inverter specific information for some of the baseline cybersecurity capabilities.

27 Keywords

- 28 IoT cybersecurity capabilities, light commercial inverter, residential inverter, small-scale solar
- 29 energy system, smart inverter cybersecurity.

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67 Table of Contents

| 68 | 1. Introduction | 1 |
|--|---|--|
| 69 | 1.1. Audience | 3 |
| 70 | 1.2. Report Organization | 3 |
| 71 | 2. Cybersecurity Guidelines for Owners and Installers | 5 |
| 72 | 2.1. Guideline #1: Change Default Passwords and Credentials | 6 |
| 73 | 2.2. Guideline #2: Use Role-Based Access Control (RBAC) | 6 |
| 74 | 2.3. Guideline #3: Record Events in a Log | 7 |
| 75 | 2.4. Guideline #4: Update Software Regularly | 8 |
| 76 | 2.5. Guideline #5: Backup System Information | 9 |
| 77 | 2.6. Guideline #6: Disable Unused Features | 9 |
| 78 | 2.7. Guideline #7: Protect the Communications Connections | 10 |
| 79 | 3. Cybersecurity Recommendations for Smart Inverter Manufacturers | 12 |
| 80 | 3.1. Recommended Baseline Cybersecurity Capabilities | 12 |
| 81 | 4. Conclusion | 20 |
| 82 | 5. References | 21 |
| 83 | Appendix A. Selected Bibliography | 22 |
| 84 | Appendix B. List of Symbols, Abbreviations, and Acronyms | 24 |
| | | |
| 85 | Appendix C. Residential and Light Commercial Solar Energy System Setup Cybersecurity Cl | hecklist 25 |
| 85 86 | Appendix C. Residential and Light Commercial Solar Energy System Setup Cybersecurity Cl Appendix D. Smart Inverter Testing | |
| | | 26 |
| 86 | Appendix D. Smart Inverter Testing | 26 27 |
| 86 87 | Appendix D. Smart Inverter Testing D.1. Testing Results for Guideline #1: Change Default Passwords and Credentials | 26 27 27 |
| 86 87 88 | Appendix D. Smart Inverter Testing D.1. Testing Results for Guideline #1: Change Default Passwords and Credentials D.2. Testing Results for Guideline #2: Use Role-Based Access Control | 26 27 27 27 28 |
| 86 87 88 89 | Appendix D. Smart Inverter Testing D.1. Testing Results for Guideline #1: Change Default Passwords and Credentials D.2. Testing Results for Guideline #2: Use Role-Based Access Control D.3. Testing Results for Guideline #3: Record Events in a Log | 26 27 27 27 28 29 |
| 86 87 88 89 90 | Appendix D. Smart Inverter Testing D.1. Testing Results for Guideline #1: Change Default Passwords and Credentials D.2. Testing Results for Guideline #2: Use Role-Based Access Control D.3. Testing Results for Guideline #3: Record Events in a Log D.4. Testing Results for Guideline #4: Update Software Regularly | 26 27 27 28 29 29 29 |
| 86 87 88 89 90 91 | Appendix D. Smart Inverter Testing D.1. Testing Results for Guideline #1: Change Default Passwords and Credentials D.2. Testing Results for Guideline #2: Use Role-Based Access Control D.3. Testing Results for Guideline #3: Record Events in a Log D.4. Testing Results for Guideline #4: Update Software Regularly D.5. Testing Results for Guideline #5:Backup Systems Information | 26 27 27 28 29 29 29 30 |
| 86 87 88 89 90 91 92 | Appendix D. Smart Inverter Testing D.1. Testing Results for Guideline #1: Change Default Passwords and Credentials D.2. Testing Results for Guideline #2: Use Role-Based Access Control D.3. Testing Results for Guideline #3: Record Events in a Log D.4. Testing Results for Guideline #4: Update Software Regularly D.5. Testing Results for Guideline #5:Backup Systems Information D.6. Testing Results for Guideline #6: Disable Unused Features | 26 27 27 28 29 29 29 30 30 |
| 86 87 88 90 91 92 93 | Appendix D. Smart Inverter Testing D.1. Testing Results for Guideline #1: Change Default Passwords and Credentials D.2. Testing Results for Guideline #2: Use Role-Based Access Control D.3. Testing Results for Guideline #3: Record Events in a Log D.4. Testing Results for Guideline #4: Update Software Regularly D.5. Testing Results for Guideline #5:Backup Systems Information D.6. Testing Results for Guideline #6: Disable Unused Features D.7. Testing Results for Guideline #7: Protect the Communications Connections | 26 27 27 28 29 29 30 30 30 32 |
| 86 87 88 90 91 92 93 94 | Appendix D. Smart Inverter Testing D.1. Testing Results for Guideline #1: Change Default Passwords and Credentials D.2. Testing Results for Guideline #2: Use Role-Based Access Control D.3. Testing Results for Guideline #3: Record Events in a Log D.4. Testing Results for Guideline #4: Update Software Regularly D.5. Testing Results for Guideline #5:Backup Systems Information D.6. Testing Results for Guideline #6: Disable Unused Features D.7. Testing Results for Guideline #7: Protect the Communications Connections | 26 27 27 28 29 29 29 30 30 30 32 32 |
| 86 87 88 90 91 92 93 94 95 | Appendix D. Smart Inverter Testing D.1. Testing Results for Guideline #1: Change Default Passwords and Credentials D.2. Testing Results for Guideline #2: Use Role-Based Access Control D.3. Testing Results for Guideline #3: Record Events in a Log D.4. Testing Results for Guideline #4: Update Software Regularly D.5. Testing Results for Guideline #5:Backup Systems Information D.6. Testing Results for Guideline #6: Disable Unused Features D.7. Testing Results for Guideline #7: Protect the Communications Connections Appendix E. Mapping to General Cybersecurity Guidance E.1. General Cybersecurity Guidance that Informs the Guidelines | 26 27 27 28 29 29 29 30 30 32 32 32 |
| 86 87 88 90 91 92 93 94 95 96 | Appendix D. Smart Inverter Testing D.1. Testing Results for Guideline #1: Change Default Passwords and Credentials D.2. Testing Results for Guideline #2: Use Role-Based Access Control D.3. Testing Results for Guideline #3: Record Events in a Log D.4. Testing Results for Guideline #4: Update Software Regularly D.5. Testing Results for Guideline #5:Backup Systems Information D.6. Testing Results for Guideline #6: Disable Unused Features D.7. Testing Results for Guideline #7: Protect the Communications Connections Appendix E. Mapping to General Cybersecurity Guidance E.1. General Cybersecurity Guidance that Informs the Guidelines E.1.1. The NIST Cybersecurity Framework (CSF) Version 2.0 | 26 27 27 28 29 29 30 30 30 32 32 32 32 |
| 86 87 88 90 91 92 93 94 95 96 97 | Appendix D. Smart Inverter Testing D.1. Testing Results for Guideline #1: Change Default Passwords and Credentials D.2. Testing Results for Guideline #2: Use Role-Based Access Control D.3. Testing Results for Guideline #3: Record Events in a Log D.4. Testing Results for Guideline #4: Update Software Regularly D.5. Testing Results for Guideline #5:Backup Systems Information D.6. Testing Results for Guideline #6: Disable Unused Features D.7. Testing Results for Guideline #7: Protect the Communications Connections Appendix E. Mapping to General Cybersecurity Guidance E.1. General Cybersecurity Guidance that Informs the Guidelines E.1.1. The NIST Cybersecurity Framework (CSF) Version 2.0 E.1.2. Center for Internet Security Critical Security Controls (CSC) Version 8 | 26 27 27 28 29 29 30 30 30 32 32 32 32 32 |
| 86 87 88 90 91 92 93 94 95 96 97 98 | Appendix D. Smart Inverter Testing D.1. Testing Results for Guideline #1: Change Default Passwords and Credentials D.2. Testing Results for Guideline #2: Use Role-Based Access Control D.3. Testing Results for Guideline #3: Record Events in a Log D.4. Testing Results for Guideline #4: Update Software Regularly D.5. Testing Results for Guideline #5:Backup Systems Information D.6. Testing Results for Guideline #7: Protect the Communications Connections D.7. Testing Results for Guideline #7: Protect the Communications Connections Appendix E. Mapping to General Cybersecurity Guidance E.1. General Cybersecurity Framework (CSF) Version 2.0 E.1.2. Center for Internet Security Critical Security Controls (CSC) Version 8 E.1.3. NIST Special Publication 800-53r5 | 26 27 27 28 29 29 30 30 30 32 32 32 32 32 32 32 32 32 32 |

| 103 | Appendix F. Smart Inverter Vulnerability Survey | 37 |
|-----|--|----|
| 102 | E.2. Guidelines Relationship to General Cybersecurity Guidance | 35 |

104 List of Tables

| 105 | Table 1 Technical Cybersecurity Capability Recommendations | 13 |
|-----|---|----|
| 106 | Table 2 Non-Technical Cybersecurity Capability Recommendations | 18 |
| 107 | Table 3 Characteristics of Tested Inverters | 27 |
| 108 | Table 4 Guideline #1 Testing Results | 27 |
| 109 | Table 5 Guideline #2 Testing Results | 28 |
| 110 | Table 6 Guideline #3 Testing Results | 28 |
| 111 | Table 7 Guideline #4 Testing Results | 29 |
| 112 | Table 8 Guideline #5 Testing Results | 29 |
| 113 | Table 9 Guideline #6 Testing Results | 30 |
| 114 | Table 10 Guideline #7 Testing Results | 30 |
| 115 | Table 11 Mapping between Cybersecurity Guidance Documents and Guidelines for Installation and | |
| 116 | Operation | 35 |
| 117 | Table 12 Smart Inverter Vulnerability Survey | 37 |

| 119 | Table 1 Technical Cybersecurity Capability Recommendations | 13 |
|------------|---|----|
| 120 | Table 2 Non-Technical Cybersecurity Capability Recommendations | 18 |
| 121 | Table 3 Characteristics of Tested Inverters | 27 |
| 122 | Table 4 Guideline #1 Testing Results | 27 |
| 123 | Table 5 Guideline #2 Testing Results | 28 |
| 124 | Table 6 Guideline #3 Testing Results | 28 |
| 125 | Table 7 Guideline #4 Testing Results | 29 |
| 126 | Table 8 Guideline #5 Testing Results | 29 |
| 127 | Table 9 Guideline #6 Testing Results | 30 |
| 128 | Table 10 Guideline #7 Testing Results | 30 |
| 129 130 | Table 11 Mapping between Cybersecurity Guidance Documents and Guidelines for Installation and Operation | 35 |
| 131 | Table 12 Smart Inverter Vulnerability Survey | 37 |
| 132 | List of Figures | |

| 133 F | Figure 1 U.S. Electric Generation 1 | |
|-------|-------------------------------------|--|
|-------|-------------------------------------|--|

| 134 | Figure 2 The role of a smart inverter in residential or light commercial solar energy system | . 2 |
|-----|--|-----|
| 135 | Figure 3 Guideline #1 Lifecycle Phase | . 6 |
| 136 | Figure 4 Guideline #2 Lifecycle Phases | . 7 |
| 137 | Figure 5 Guideline #3 Lifecycle Phases | . 8 |
| 138 | Figure 6 Guideline #4 Lifecyle Phases | . 8 |
| 139 | Figure 7 Guideline #5 Lifecycle Phases | . 9 |
| 140 | Figure 8 Guideline #6 Lifecycle Phases | 10 |
| 141 | Figure 9 Guideline #7 Lifecycle Phases | 11 |
| 142 | Figure 10 Functional Elements of a Smart Inverter | 17 |
| 143 | Figure 11 Inverter Connection Methods | 26 |
| 144 | | |

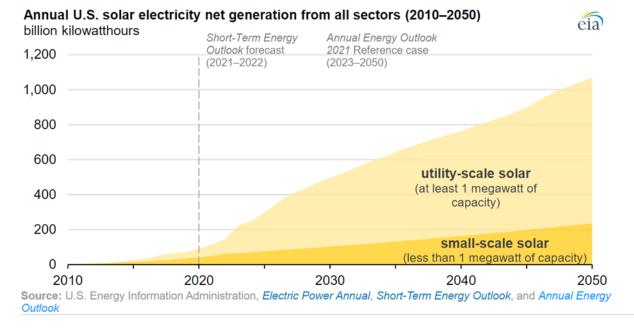
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149 1. Introduction

- 150 This report provides practical cybersecurity guidance for small-scale residential and light-
- 151 commercial inverters connected to the distribution network and not directly owned and
- 152 operated by the utility that are typically used in homes and small businesses. The guidance was
- developed by examining the current smart inverter threat landscape, currently available smart
- 154 inverter cybersecurity capabilities, and potential mitigations which system installers,
- 155 homeowners, and small business owners can implement. These capabilities and mitigations
- 156 were validated through testing to demonstrate their practicality. The report provides
- 157 recommendations to smart inverter manufacturers for cybersecurity capabilities needed in
- 158 their products to implement the seven guidelines. These recommendations build on the IoT
- 159 cybersecurity capability baselines defined in NISTIR 8259A [2] and NISTIR 8259B [3] by providing
- 160 smart-inverter specific information for some of the baseline cybersecurity capabilities.
- 161 According to the U.S. Energy Information Administration, solar generation is projected to
- 162 provide up to 20% of the U.S. total energy generation by 2050.



163

164

Figure 1 U.S. Electric Generation

165 The electric grid is incorporating more IoT and smart devices, such as smart inverters, that have

less centralized control. These devices often use the Internet to connect with cloud-based
 management capabilities. This Internet connectivity increases exposure to cyber threats thus

168 increasing the need for effective cybersecurity to prevent impacts to the grid.

169 The compromise of a single residential or light commercial smart inverter connected to the

- 170 distribution network would have a minimal impact to the grid today. However, as the solar
- 171 energy market grows, utilities may become more dependent on the supply of power from
- 172 distributed renewable energy resources during peak daytime power consumption. As this
- 173 transformation occurs, attacks developed to compromise multiple residential or light

- 174 commercial smart inverters may have a significant impact. Additionally, if vendors share
- 175 software across multiple product lines, weaknesses discovered and exploited in residential and
- 176 light commercial smart inverters could be leveraged to compromise larger commercial
- 177 inverters.
- Even without impacting the electric grid, cyber-attacks on small-scale solar energy systems canhave negative effects on homeowners and small business owners such as:
- Loss of financial benefit: an inverter disconnecting from the grid would lead to loss of any expected financial benefits from installing the equipment.
- Damage to installed equipment: an attack on an inverter could damage the inverter or cause the inverter's output to change (e.g., harmonic distortion) potentially damaging other equipment (e.g., AC, stove, electronics, etc.).
- Loss of equipment at key need: an attack on an inverter could prevent the system from operating when needed (e.g., power outage).
- 187 Cybersecurity protections for the smart inverters used in small-scale residential and small
- 188 business solar energy systems can reduce the likelihood of a successful cyber-attack.

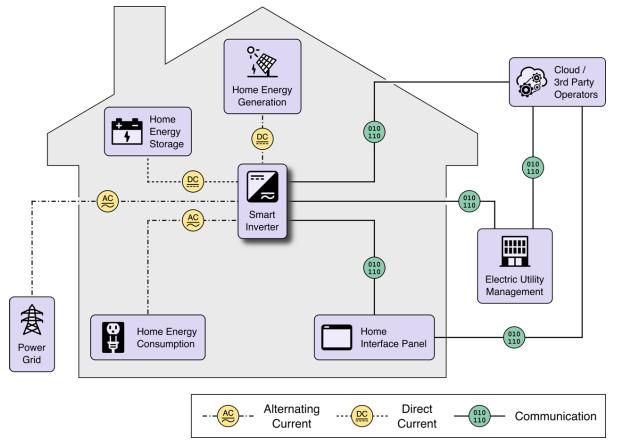


Figure 2 The role of a smart inverter in residential or light commercial solar energy system

Figure 2 illustrates the central role a smart inverter plays in a residential or light commercial solar energy system. The smart inverter orchestrates the behavior of the solar energy system

- and its interactions with the electric grid. The smart inverter receives DC power from the
- 194 system's solar panels and directs this energy to one of three uses.
- The smart inverter can convert the DC power to AC power and provide it to the home or
 small business for immediate use.
- If all the energy produced by the solar panels is not immediately needed in the home or business, the smart inverter can provide the excess AC power to the electric utility. The smart inverter coordinates providing power by communicating with the electric utility or third-party operators via communications links.
- If AC power is not needed by the home, business, or local utility, the smart inverter can use the DC power produced by the solar panels to charge the batteries in the home energy storage component of the system.
- 204 The smart inverter can draw energy from the home energy storage component if the home or
- 205 business needs more power than the solar panel can provide. The smart inverter can also
- 206 provide power from home energy storage to support the grid in times of high demand. The
- 207 smart inverter coordinates providing power from home energy storage by communicating with
- 208 the electric utility or third-party operators via communications links.
- 209 The communications links used for coordination with the electric utility or third-party
- 210 operators, if not protected, expose the smart inverter to potential cyber-attack

211 **1.1. Audience**

- 212 This paper provides cybersecurity guidance to residential and small commercial smart inverter
- solar energy system owners, solar energy system installers, solar energy system maintainers,
- and solar energy system component manufacturers.
- 215 System owners, homeowners, and small business owners can use this guidance to understand
- the cybersecurity capabilities that should be included in their systems. The guidance can help
- 217 system owners discuss cybersecurity of their system with vendors, installers, and maintainers.
- 218 System installers can use this guidance to develop installation procedures and checklists that
- 219 help ensure the systems they install provide appropriate cybersecurity for the system owners.
- 220 System maintainers can use this guidance to define procedures that ensure cybersecurity-
- related maintenance, such as system patching, is being performed and verify that the system
- 222 continues to provide appropriate security.
- 223 Manufacturers can use this guidance to ensure their products provide cybersecurity capabilities 224 needed to support secure installation and operation.

225 **1.2. Report Organization**

226 The cybersecurity guidance is presented in four main sections each tailored to a specific

227 audience.

- Section 1 (this section) provides background on why cybersecurity is important for
 residential and small business solar energy systems and explains why the cybersecurity
 guidance is focused on smart inverters.
- Section 2 provides seven guidelines for homeowners, solar energy system installers, and solar energy system maintainers. These guidelines define actions that should be taken to ensure a residential or small business solar energy system is installed, configured, and operated securely.
- Section 3 provides a table of recommendations for smart inverter manufacturers. These 235 236 recommendations identify the six technical and the four non-technical cybersecurity 237 capabilities defined in NISTIR 8259A and NIST IR 8259B, respectively, as core cybersecurity capabilities that manufacturers should consider including in smart 238 239 inverters. The section also provides smart inverter specific recommendations in the 240 form of additional information for six of the NISTIR 8259A/B cybersecurity capabilities. 241 These cybersecurity capabilities can help ensure that smart inverters can be installed, 242 configured, and operated securely.
- <u>Section 4</u> summarizes the development and presentation of this cybersecurity guidance.

The cybersecurity guidance is augmented by a collection of appendices that provide supportinginformation for the guidelines and recommendations.

- <u>Appendix A</u> is a bibliography of publications consulted in developing this guidance.
- Appendix B provides a list of abbreviations and acronyms.
- Appendix C provides a Provisioning Checklist that solar energy system installers can tailor and use to both verify they have completed the actions defined in the guidelines and share with a homeowner or small business owner as a record of the cybersecurityrelated actions completed with the installation.
- Appendix D records the results of testing five installed smart inverters to determine
 their ability to implement the guidelines presented in Section 2.
- Appendix E maps the guidelines and recommendations to six general cybersecurity guidance sources. Manufacturers may use these mappings to better understand the recommendations and how to implement them in their products.
- Appendix F presents information about known smart inverter cybersecurity
 vulnerabilities documented in the National Vulnerability Database (NVD). This
 information was used in formulating the guidelines.

260 **2. Cybersecurity Guidelines for Owners and Installers**

- 261 This section provides seven guidelines for homeowners, solar energy system installers, and
- solar energy system maintainers. These guidelines define actions that should be taken to help
- 263 ensure a residential or small business solar energy system is installed, configured, and operated
- securely. These guidelines encompass smart inverter configuration actions that should be
- 265 performed across the solar energy system lifecycle by installers, maintainers, and homeowners.

266 These guidelines provide a collection of cybersecurity protections that should be utilized for a

secure solar energy system installation. The guidelines are informed by a collection of general

268 cybersecurity guidance presented in Appendix E. The guidelines were tested against the

- 269 cybersecurity capabilities available in five smart inverters. The results of that testing are
- 270 presented in Appendix D.

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- 271 Each guideline contains the following sections:
- A description of the guideline
- A definition of the solar energy system lifecycle phase(s) where the guideline should be
 implemented.¹
- Like people, every system has stages in its life. In the case of a smart inverter for
 a solar energy system there are five phases: manufacturing², setup (or
 installation), operation, maintenance, and decommissioning (retirement). The
 guidelines in Section 2 are implemented in one or more of four lifecycle phases:
 - Setup In this lifecycle phase, the smart inverter is installed and configured at a home or business.
- Operation In this lifecycle phase, the homeowner or business owner uses the smart inverter to perform its intended function.
 - Maintenance In this lifecycle phase the smart inverter undergoes maintenance to correct a problem or to ensure continued safe and reliable operation.
 - Decommissioning In this lifecycle phase the smart inverter is removed from the home or business.
- Example(s) of configuration actions to implement the guideline.
- 289 o The examples are described at a high level as the process to implement the guideline will vary by manufacturer and smart inverter model. Consult the manufacturer's documentation for specific instructions.

¹ Information about the device's design and manufacturer is not included.

² Cybersecurity recommendations implemented in the manufacturing lifecycle phase are discussed in Section 3

292 **2.1. Guideline #1: Change Default Passwords and Credentials**

293 Device manufacturers often create pre-configured accounts for access to a device. These pre-294 configured accounts will have well-known default passwords or other access credentials that 295 are readily available in vendor documentation or are publicly available. These pre-configured 296 accounts with default passwords and credentials simplify the installation and setup of a device 297 as the information needed to access and configure the device is readily available. However, 298 once installed and connected to communications networks, the default passwords and 299 credentials may allow anyone who knows the default values to access the device and change its 300 configuration.



302

Figure 3 Guideline #1 Lifecycle Phase

303 As shown in Figure 3, during the device setup and installation process, each pre-configured

304 account should be assigned a new, unique password or credential. Any new accounts created as

305 part of installation or operation of the device should be assigned unique passwords or

- 306 credentials. If supported, use of multi-factor authentication³ (MFA) can improve security
- 307 especially for more privileged accounts as discussed in Guideline #2 below. See <u>NIST SP 800-63B</u>
- 308 <u>Digital Identity Guidelines: Authentication and Lifecycle Management</u> for further discussion of
- 309 best practices in a variety of authentication techniques including multi-factor authentication

and passwords. Additional password best-practice guidance is provided by Cybersecurity and

311 Infrastructure Security Agency (CISA) <u>Choosing and Protecting Passwords</u> or Federal Bureau of

312 Investigation (FBI) <u>FBI Tech Tuesday: Strong Passphrases and Account Protection</u>.

313 In addition to interacting with people, smart inverters may also interact with other systems and

devices. These interactions need to be mutually authenticated using strong credentials such as

digital certificates. If supported, these credentials should also be changed from their factory

316 defaults during setup.

317 2.2. Guideline #2: Use Role-Based Access Control (RBAC)

Limiting access to only those capabilities a person needs to perform their responsibilities is a key tenet of good cybersecurity. A smart inverter in a home or small business solar energy system will have various people and organizations that will need access. Controlling access for these different users requires granting them the specific access permission they need. Rather than assigning access permissions directly to each person or organization, a more manageable approach is to define a collection of roles where each role defines the access permissions needed to perform specific responsibilities. For example, a smart inverter might have defined roles for installers, maintainers, the electric utility, third party energipers.

roles for installers, maintainers, the electric utility, third-party operators, and homeowners.

³ MFA is authentication using two or more factors to achieve authentication. Factors include: (i) something you know (e.g. password/personal identification number (PIN)); (ii) something you have (e.g., cryptographic identification device, token); or (iii) something you are (e.g., biometric).

NIST IR 8498 ipd (Initial Public Draft) May 2024

- 326 Adding or removing access permissions for a person or organization involves adding their
- 327 account to or removing their account from one or more roles.
- 328 For a system installer, it may be important to make interface changes and set values for
- 329 different aspects of the system to ensure that it functions properly in its environment. A
- 330 homeowner may instead need the ability to view monitor data and historical usage graphs. A
- 331 homeowner may not need, nor should they be able to reconfigure the smart inverter, and a
- 332 system installer may not need some of the historical data. Although these may be visited on a
- 333 case-by-case basis, the concept of separate roles is vital to ensuring secure access to the device
- 334 based on the user.
- 335 As shown in Figure 4, an initial collection of roles with appropriate access permissions should be
- 336 defined during the setup phase of the smart inverter lifecycle. Roles may need to be created,
- 337 modified, or deleted during the operation and maintenance phases. For example, software
- 338 updates may add or remove smart inverter capabilities that require updates to role definitions.
- 339 The assignment of people and organizations to roles should be reviewed periodically to ensure
- 340 they are up to date and appropriate.



Figure 4 Guideline #2 Lifecycle Phases

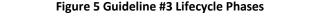
- 343 A basic collection of roles for a smart inverter might include:
- An Installer role with the access permissions needed to perform initial setup and 344 345 configuration of the smart inverter. A smart inverter manufacturer might include this as 346 a default role along with a default user account as described in Guideline 1.
- 347 A Maintainer role with the access permissions needed to install software updates, 348 perform diagnostics, and make repairs.
- A Homeowner role with access permissions needed to monitor the operation of the 349 350 smart inverter and respond to alerts.

351 2.3. Guideline #3: Record Events in a Log

- 352 When an unexpected event occurs, it is important to be able to examine the activities that led 353 to the event. This is true for cybersecurity as well as other aspects of a smart inverter. If a 354 malicious actor manages to compromise a smart inverter, it will be important to examine the 355 cybersecurity-relevant activities that led to the compromise both to determine how to prevent 356 such compromises in the future and to recover from the compromise. A log of cybersecurity-357 relevant activities, created by the smart inverter and stored in another location off the device, 358 is an important element of determining how an event occurred.
- 359 As shown in Figure 5, logging capabilities should be configured and enabled by the installer
- 360 during solar energy system setup. During operations, the owner or maintenance technician
- 361 should verify that information is being collected and stored in logs as intended.

- 362 Logs contain information about the operation of the smart inverter such as values of system
- 363 parameters when an event is detected whether those values are normal for the solar energy
- 364 system processes or represent an anomaly. The device event log contains information that
- 365 allows someone reviewing the log to understand what was happening on the device at the time
- of the event with a degree of fidelity. Event logging supports troubleshooting of smart inverter
- 367 issues as well as response to a cyber event.





- In addition to system parameters, logs should contain cybersecurity relevant information suchas:
- Successful and unsuccessful user authentication including the identity associated with
 the authentication.
- Changes to smart inverter configuration settings including both the previous values and new values and the identity of the user or system making the change. These should include both changes to which features are enabled/disabled and changes to the assigned user roles and role permissions.
- Records of software and firmware updates including how the update was initiated (e.g.,
 by which user, or automatically) the source of the update, and any update integrity
 information such as checksums or hashes.
- Communications events such as network connections or loss of connectivity.
- Actions performed directly from the smart inverter's control panel

383 2.4. Guideline #4: Update Software Regularly

- Smart inverters depend on software to provide the "smart" elements of their operation. All software, even well-developed software, has vulnerabilities that are discovered after deployment and need to be corrected. Additionally, manufacturers continuously evolve the software capabilities in their devices. Therefore, it is important to provide a secure avenue to update smart inverter software to ensure software stays up to date with the latest security and functional capabilities. Updates that impact the security of the smart inverter should be deployed as soon as possible. Keeping smart inverter software updated helps protect against
- 391 weaknesses discovered after the device has been setup in a home or small business solar
- 392 energy system.



- 395 Updating a smart inverter's software should be part of the device's initial setup and ongoing
- 396 maintenance⁴ as shown in <u>Figure 6</u>. Manufacturers generally have a support lifecycle and once
- that cycle has completed, they discontinue or no longer support the device. Once a device has
- been discontinued, the manufacturer stops developing patches for the device. When this
- 399 occurs, the owner should (when possible) replace the discontinued device with the current
- 400 model.

401 **2.5. Guideline #5: Backup System Information**

- 402 Smart inverters have configuration parameters or settings that are customizable to the
- 403 requirements of a particular home or small business solar energy system. These parameters
- 404 and settings must be set properly for the inverter to operate correctly within the home,
- 405 business, and power grid. These parameters are normally stored in a configuration file. Some
- 406 examples of these parameters and settings are the battery recharge voltage, and security
- 407 settings such as user credentials discussed in Guideline #1, <u>Section 2.1</u> or permissions and user
- 408 role assignments discussed in Guideline #2, <u>Section 2.2</u>.
- 409 Having a copy or backup of the smart inverter configuration is important to restoring operation
- 410 in case the smart inverter experiences an event, either cyber or non-cyber, that leaves the solar
- 411 energy system in a non-operating state. Creating a configuration backup is the process of
- 412 copying the current configuration information to a different location. As shown in Figure 7, a
- 413 backup should be created after the initial configuration of the smart inverter during setup and
- 414 after any parameter change or upgrade during maintenance. Once a backup is created it should
- 415 be stored in a retrievable location, such as a flash drive or cloud storage.



- 418 The process for creating a configuration backup will be manufacturer and product specific.
- 419 If the smart inverter experiences an event that leaves it inoperable or operating incorrectly,
- 420 restoring a configuration back up may correct the problem. If simply restoring the backup
- 421 configuration does not correct the problem, performing a factory reset, which returns the
- 422 smart inverter to the default configuration installed during manufacture, and then restoring a
- 423 configuration backup may correct the problem.
- 424 Configuration restoration capabilities may also be used to load a pre-built configuration into a425 smart inverter during setup.

426 **2.6. Guideline #6: Disable Unused Features**

427 Smart inverters may be built with features and capabilities that support multiple deployment428 scenarios and user requirements. While having these features and capabilities provides

⁴ Inverters should be designed to only allow updates when it is safe to do so.

- 429 flexibility in deployment, each enabled feature potentially adds to a device's exposure to
- 430 cybersecurity threats.
- 431 Disabling features and capabilities that are not used in a particular device deployment is
- 432 another key tenet of good cybersecurity. If any features or capabilities of a smart inverter are
- 433 not required for a particular deployment, they should be disabled to enhance security and
- 434 reduce exposure to threats.
- 435 In addition to smart inverter features and capabilities that are necessary to the operation of a
- 436 solar energy system, there may be features and capabilities that are nice to have or may be a
- 437 convenience in operating the system. In determining whether to use the features and
- 438 capabilities, consider if the benefits they offer outweigh any increase in exposure to
- 439 cybersecurity threats.



Figure 8 Guideline #6 Lifecycle Phases

Smart inverter features and capabilities may be enabled or disabled during the setup and
maintenance lifecycle phases as illustrated in <u>Figure 8</u>.

444 Some features and capabilities that may be included in a smart inverter but may not be needed 445 in a particular deployment are:

- Remote access protocols and interfaces. Operation and maintenance of a smart inverter
 may require remote access to the device. Since there are several different approaches
 for providing this access, a smart inverter may support multiple approaches. Any remote
 access protocols or interfaces that are not used in a deployment should be disabled.
- Wireless communications. Smart inverters may support both wired and wireless
 network connectivity. If a deployment uses only wired connectivity, the wireless
 communications capability should be disabled.
- Guest and/or anonymous smart inverter access. A Guest role that allows access to some smart inverter features or capabilities without a defined user account may be convenient in some deployments. If used, the features and capabilities accessible by this role should be read-only, meaning features and capabilities that cannot change the configuration or operation of the device.

458 **2.7. Guideline #7: Protect the Communications Connections**

459 An important aspect of smart inverters is their ability to communicate. A smart inverter's

- 460 communication can take many forms, including communications with the electric utility, third-
- 461 party operators, the device manufacturer, or other devices in the local environment. The
- inverter may communicate operating information to the owner and the local utility. It may also
- 463 communicate with the device manufacturer or a device's maintenance contractor to receive
- 464 software updates or share operating information to detect potential problems before they

- 465 occur. However, this communication capability also provides an avenue for cyber-attack.
- 466 Therefore, it is important to consider how the smart inverter can be protected from threats
- 467 while still being able to communicate as needed for its intended purpose.



Figure 9 Guideline #7 Lifecycle Phases

- 470 There are many potential approaches to protecting smart inverter communications from
- 471 malicious actors while still allowing needed communications. Inverters may have a dedicated
- 472 cellular connection for communication to the local utility. This ensures interaction with the
- 473 utility is not exposed to a public network such as the Internet. Communication with the owner
 474 may be through a control panel connected directly to the inverter. Updates may be performed
- 474 may be through a control panel connected directly to the inverter. Updates may be pe
- using portable storage devices such as USB "thumb drives."⁵
- 476 A smart inverter may leverage an existing home Internet connection for communication with
- 477 the owner, the electric utility, third-party operators, and the manufacturer. When the inverter
- 478 uses an existing Internet connection, the installation should take steps to separate the inverter
- from other activity on the network. There are several ways to provide this protection such as
- 480 separate logical networks created by the home or business router. Separation techniques
- depend on the capabilities available from the Internet Service Provider (ISP). Connections used
- to communicate with the local utility or manufacturer should not be accessible from other
- 483 devices on the local network. Cybersecurity capabilities such as a Virtual Private Network (VPN)
- 484 connection between the utility or manufacturer can also provide this protection.
- 485 As shown in <u>Figure 9</u>, protection should be established during the setup lifecycle phase and 486 should be monitored during the operations lifecycle phase to ensure it remains effective.

⁵ Some older smart inverters may provide this update mechanism. Smart inverter installers/operators should be aware of the risks of using external media to perform software updates. Installers/operators should be certain of the media's origin and that the media is free of malicious software.

487 **3. Cybersecurity Recommendations for Smart Inverter Manufacturers**

- 488 Section 2 presents basic cybersecurity guidelines for securing smart inverters used in home and 489 small business solar energy systems. Manufacturers need to design and build their smart 490 inverter products with the cybersecurity capabilities needed to implement those guidelines. 491 Testing of five smart inverters (Appendix D) indicates there are smart inverters that do not have 492 the cybersecurity capabilities needed to implement the guidelines in Section 2. This section 493 provides recommendations to smart inverter manufactures to provide the cybersecurity 494 capabilities needed to implement the guidelines as well as capabilities that would better 495 address cyber threats to smart inverter operation. These recommendations involve changes to 496 inverter design, changes to inverter software and firmware, or addition of new front-end
- 497 devices to protect inverter interfaces.
- 498 <u>Section 3.1</u> presents baseline cybersecurity capabilities for smart inverters based on NIST IR
- 499 8259, Foundational Cybersecurity Activities for IoT Device Manufacturers. Smart inverters used
- 500 in home and small business solar power systems are examples of Internet of Things (IoT)
- 501 devices. NIST IR 8259 describes its guidance as applying to devices that "have at least one
- 502 transducer (sensor or actuator) for interacting directly with the physical world and at least one
- 503 network interface (e.g., Ethernet, Wi-Fi, Bluetooth, Long-Term Evolution [LTE], Zigbee, Ultra-
- 504 Wideband [UWB]) for interfacing with the digital world." Smart inverters sense the state of the
- 505 power grid, provide power to the grid, and communicate with owners and grid operators
- 506 through communications interfaces satisfying this description. Emerging inverter controllers
- 507 may also require autonomous exchange of information between inverters in addition to
- 508 communication with owners and grid operators. Hence, the general cybersecurity guidance
- 509 presented in NIST IR 8259 is applicable to smart inverters.

510 **3.1. Recommended Baseline Cybersecurity Capabilities**

- 511 Two publications in the NIST IR 8259 series provide baseline cybersecurity capabilities
- 512 recommended for all IoT devices. NIST IR 8259A, IoT Device Cybersecurity Core Baseline,
- 513 defines a baseline of 6 technical cybersecurity capabilities, which are cybersecurity features or
- 514 functions that IoT devices provide through their own technical means (i.e., device hardware and
- 515 software). NIST IR 8259B, IoT Non-Technical Supporting Capability Core Baseline, defines a
- 516 baseline of 4 non-technical cybersecurity capabilities. Non-technical supporting capabilities are
- actions a manufacturer or third-party organization performs in support of the cybersecurity of
- an IoT device. Examples of non-technical support include providing information about software
- 519 updates, instructions for configuration settings, and supply chain information. Used together,
- 520 technical cybersecurity capabilities and non-technical supporting capabilities can help mitigate
- 521 cybersecurity risks related to the use of IoT devices while assisting customers in achieving their
- 522 goals.
- 523 As smart inverters are IoT devices, manufacturers should consider including all the baseline
- 524 cybersecurity capabilities in their products to enable owners and installers to implement the
- 525 seven basic guidelines. <u>Table 1</u> and <u>Table 2</u> list the cybersecurity capabilities from the NIST IR
- 526 8259A and NIST IR 8259B baselines, respectively, in the first column of each table. Additionally,

- 527 columns 2 and 3 of each table include information about the baseline cybersecurity capability
- 528 that is specific to smart inverter cybersecurity capabilities. This additional information was
- 529 derived in part from the smart inverter testing presented in <u>Appendix D</u> and smart inverter
- 530 vulnerability research presented in <u>Appendix F</u>.
- 531

Table 1 Technical Cybersecurity Capability Recommendations

| NIST IR 8259A Technical Device Cybersecurity Capability | Additional Smart Inverter Cybersecurity Capability Recommendation Information for Manufacturers | Smart Inverter Specific Cybersecurity Capability Description |
|---|---|---|
| Device Identification: The IoT device can be uniquely identified logically and physically. | The smart inverter has the capability to inventory other components of a solar energy system. This recommendation supports Guideline #3. | As shown in Fig. 11 in Appendix D, solar energy systems may contain components in addition to the smart inverter. These components may include specialty gateway devices, cloud-based services, or even mobile apps. Having the capability to identify and inventory these components can aid in comprehensive logging of system activity and in recognizing trusted system components. |
| | | NIST IR 8425 [21], Profile of the IoT Core Baseline for Consumer IoT Products, expands on the unique identification of IoT devices to include inventorying the components of an IoT product (e.g., cloud-based services, mobile apps, etc.) as recommended here for smart inverters. |
| Device Configuration: The configuration of the IoT device's software can be changed, and such changes can be performed by authorized entities only. | The smart inverter can back up its configuration to an external location and restore its configuration from a backup. This recommendation supports Guideline #5. | A backup of smart inverter configuration parameters and settings enables rapid restoration of the configuration should an accidental or malicious action change the configuration. The smart inverter should be able to create a backup of all parameters and settings that affect its operation and export the backup to an external storage location. The smart inverter should be able to verify the integrity of a previously created backup and restore its configuration from the backup. |
| | The smart inverter should be able to disable or remove capabilities not needed in a deployment. This Recommendation supports Guideline #6. | Smart inverter products need to support a variety of deployment approaches. Hence, they may include capabilities that are not used in all deployments. Any enabled but unused capabilities increase the opportunity for a malicious actor to gain unauthorized access to the smart inverter. |
| | | Capabilities that are not used in all deployment approaches should be disabled by default, and require an installer proactively enable them when needed. This recommendation should also be applied to communications protocols. |
| | | Smart inverters may include software acquired from third parties. Any capabilities in such software that are |

| NIST IR 8259A Technical Device Cybersecurity Capability | Additional Smart Inverter Cybersecurity Capability Recommendation Information for Manufacturers | Smart Inverter Specific Cybersecurity Capability Description |
|--|---|--|
| | | not used by the smart inverter should be removed or disabled. |
| | The smart inverter can reset its configuration to the factory default configuration. This recommendation supports Guideline #5. | For troubleshooting, it may be advantageous to restore a smart inverter to a default configuration. Additionally, when disposing of a smart inverter, it should be reset to the default factory configuration to remove any information that might aid a malicious actor. |
| Data Protection: The IoT device can protect the data it stores and transmits from unauthorized access and modification. | The smart inverter uses secure communication protocols that provide mutual authentication of the communication end points and protects the integrity of data in transit. This recommendation supports Guideline #7. | Communication interfaces to a smart inverter should provide mutual authentication of the communications channel endpoints, integrity protection of data in transit, and confidentiality protection of data in transit. Therefore, smart inverters should use communications protocols that provide these security capabilities. Smart inverters should either use protocols that inherently provide security capabilities such as Transport Layer Security or wrap protocols that lack security capabilities using techniques such as virtual private networks. |
| Logical Access to Interfaces: The IoT device can restrict logical access to its local and network | The smart inverter supports multi-factor authentication (MFA) to determine the identity of entities attempting to | To control access to capabilities, the inverter needs to know who is attempting to access its capabilities and what capabilities they are authorized to use. The inverter authenticates users and systems to establish their identity. |
| interfaces, and the protocols and services used by those interfaces, to authorized entities | access its services. This recommendation supports Guideline #1. | Traditionally, a single authentication factor, a password, has been used. However, passwords are no longer an appropriate authentication approach for access to capabilities that could affect critical infrastructure. |
| only. | | Smart inverters should use stronger authentication techniques such as multi-factor authentication (MFA) for authenticating users. |
| | | The NIST SP 800-63 series of publications provide detailed guidance on authentication techniques. |
| | | Smart inverters also communicate with non-person entities such as other devices and systems. These interactions should use strong system-to-system credentials, such as digital certificates, and provide mutual authentication. |
| | The smart inverter supports role-based access control and provides the ability to | Rather than assigning access permissions directly to each person, a more manageable approach is to define a collection of roles where each role defines the access permissions needed to perform specific responsibilities. |

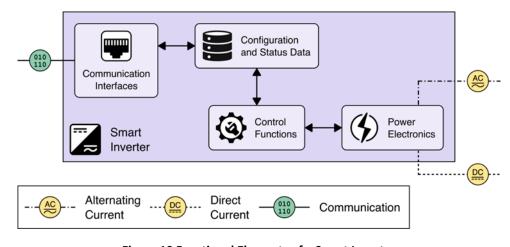
| NIST IR 8259A Technical Device Cybersecurity Capability | Additional Smart Inverter Cybersecurity Capability Recommendation Information for Manufacturers | Smart Inverter Specific Cybersecurity Capability Description |
|--|--|---|
| | create, modify, and configure the roles. This recommendation supports Guideline #2. | An initial collection of roles with appropriate access permissions should be defined during the setup phase of the smart inverter lifecycle. Roles may need to be created, modified, or deleted during the operation and maintenance phases. |
| | The smart inverter minimizes the amount and type of information and type of services available via unauthenticated access. This recommendation supports Guideline #2. | It may be necessary to provide some information about the smart inverter and its operation to any person or system that requests the information without authenticating their identity. In providing such access, the manufacturer should follow the cybersecurity principle of "least privilege." That is, the smart inverter should minimize the amount of information it will provide to unauthenticated users. |
| | | Device "fingerprinting," learning as much as possible about a device without explicitly gaining access to the device, can help a malicious actor pinpoint vulnerabilities and weaknesses that can be used to gain unauthorized access. |
| | | Manufacturers should minimize information shared on non-authenticated interfaces. Information such as product versions, specific software and firmware installed, and network information should likely not be shared to protect against targeted cyber-attacks. |
| Software Update: The IoT device's software can be updated by authorized entities only using a secure and configurable mechanism. | The smart inverter's software can be updated automatically, without owner action ⁶ . This recommendation supports Guideline #4. | Installing software updates to correct software flaws that create exploitable vulnerabilities is critical to maintaining smart inverter cybersecurity. Updates are most effective when installed in a timely manner. However, if update installation depends on explicit action by the owner or maintainer, they may not be installed quickly. |
| | | Automatic update can ensure that updates are applied as quickly as feasible. |
| | | The owner should be notified when updates are installed. If meaningful, the notification should identify what inverter capabilities and services were affected by the update. |
| | | The device should recognize software update failures, rollback any changes made to known-good software, and notify the owner of the update failure. |

⁶ This recommendation is forward thinking and describes an ideal scenario. Some smart inverters may require user interaction to update software.

| NIST IR 8259A Technical Device Cybersecurity Capability | Additional Smart Inverter Cybersecurity Capability Recommendation Information for Manufacturers | Smart Inverter Specific Cybersecurity Capability Description |
|---|---|---|
| | | Enabling automatic update should be configurable as its use may not be appropriate in all deployment scenarios. |
| | | The automatic update function should be designed to recognize when it safe to install an update and when current operating conditions require deferring an update. |
| Cybersecurity State Awareness : The IoT device can report on its cybersecurity state and make that information accessible to authorized entities only. | The smart inverter records a log of all cybersecurity-relevant events. This recommendation supports Guideline #3. | Determining what happened when a smart inverter fails to operate as expected requires information about activities leading up to the failure. Such failures may be caused in many ways, including cybersecurity incidents. To provide this information, the smart inverter should monitor, and log events related to both device health and cybersecurity. Smart inverter configuration changes should be included in the log as these changes can affect device health and cybersecurity This information should be exported to remote storage to ensure it is not compromised in a cyber incident. |
| Device Security ⁷ : The capability to secure the loT device to meet organizational requirements. | The smart inverter should protect sensing and control capabilities that interact with the power grid from other capabilities that may be exposed to cyber-attacks. This Recommendation supports Guideline #7. | To better protect operations, smart inverters should control the interactions among different functions and services within the device. This includes physical or software protection of real-time control functions and power electronics from data communications interfaces. This protection reduces the potential impact of cyber incidents on interactions with the physical world. For user interaction interfaces, including locally hosted webservers, techniques such as virtualization and out of band security monitoring should be employed. Virtualization can separate the user interaction interfaces from the control and monitoring functions that are critical to device operations. Whenever possible, these functions should be separated at a hardware level, assuming zero trust between the control system hardware and the user interaction hardware. Figure 10 illustrates the high-level functions in a smart inverter whose interactions should be carefully controlled. |
| | The smart inverter only should accept software updates from known | To protect against unauthorized or malicious software updates, smart inverters should have a list of known trusted sources from which they will accept software |

⁷ The Device Security capability is not part of the NISTIR 8259A baseline but was introduced in Special Publication (SP) 800-213A *IoT Device Cybersecurity Guidance for the Federal Government: IoT Device Cybersecurity Requirement Catalog* It is included here because some recommendations for manufacturers align well with the Device Security technical capability.

| NIST IR 8259A Technical Device Cybersecurity Capability | Additional Smart Inverter Cybersecurity Capability Recommendation Information for Manufacturers | Smart Inverter Specific Cybersecurity Capability Description |
|--|--|--|
| | trustworthy sources whose identity has been verified. This recommendation supports Guidelines #4. | updates. The identity of sources attempting to provide a software update should be authenticated and the update allowed only if the authenticated identity is a known trusted source for software updates. |
| | The smart inverter should verify the integrity of software updates before installation. This recommendation supports Guidelines #4. | In addition to authenticating sources providing updates, smart inverters should verify that the update received was produced by the trusted source providing the update and that the update has not been modified since it was produced. Techniques such as a cryptographic hash and a digital signature can be used to verify the integrity of a software update. |



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532

535

Figure 10 Functional Elements of a Smart Inverter

Table 2 Non-Technical Cybersecurity Capability Recommendations

| NIST IR 8259B Non- Technical Device Cybersecurity Capability | Additional Smart Inverter Cybersecurity Capability Recommendation Information for Manufacturers | Smart Inverter Specific Cybersecurity Capability Description |
|---|---|--|
| Documentation: The ability for the manufacturer and/or the manufacturer's supporting entity, to create, gather, and store information relevant to cybersecurity of the IoT device prior to customer purchase, and throughout the development of a | The manufacturer provides and maintains a Software Bill of Materials (SBOM) for the smart inverter. This recommendation supports Guideline #4 | A Software Bill of Materials (SBOM) provides details on the libraries and software that are used in a product. An SBOM allows both the vendor and the end user to be better aware of how newly discovered vulnerabilities might affect the system. Since it provides information on the components that make up a product, owners and maintainers can use the SBOM to determine if a newly discovered vulnerability applies to the software in a particular device. The SBOM should be maintained throughout the life of a smart inverter. It should reflect the current state of system software including changes resulting from software updates. |
| device and its subsequent lifecycle. | The manufacturer creates documentation that enables owners/installers to perform Guidelines #1- 7. | Extensive documentation may be required to support owners/installers performing all recommended Guidelines. This documentation may include how to: Set up and change authentication techniques (e.g., MFA, passwords) Create and configure roles Configure software update settings Enable/disable features Configure logging Enable and configure backups Configure communication interfaces |
| Information and Query Reception: The ability for the manufacturer and/or supporting entity to receive information and queries from the customer and others related to cybersecurity of the IoT device. | The manufacturer initiates capabilities to receive information about smart inverter vulnerabilities. This recommendation supports Guideline #4. | Manufacturers need to have capabilities to receive information about smart inverter vulnerabilities and other issues with the product. It is via these capabilities that manufacturers will be able to learn of and develop software updates and improvements. |
| | The manufacturer initiates capabilities to receive and respond to questions from owners/installers about | Owners/installers may encounter issues during all phases of the smart inverter lifecycle. These questions may concern any aspect of smart inverter installation and initial configuration as well as routine maintenance during system operation. Manufacturers should have a way to |

| NIST IR 8259B Non- Technical Device Cybersecurity Capability | Additional Smart Inverter Cybersecurity Capability Recommendation Information for Manufacturers | Smart Inverter Specific Cybersecurity Capability Description |
|---|---|--|
| | the smart inverter. This recommendation can support Guidelines #1- 7. | receive questions from owners/installers and respond in a timely manner. |
| Information Dissemination: The ability for the manufacturer and/or supporting entity to broadcast and distribute (e.g., to the customer or others in the IoT device ecosystem) information related to cybersecurity of the IoT device. | The manufacturer disseminates updated information that enables owners/installers to perform Guidelines #1- 7. | Throughout a smart inverter's support lifecycle, it is likely that new information about relevant threats, vulnerabilities, and risks will surface that impact cybersecurity and the implementation of the Guidelines. Manufacturers should have capabilities in place to disseminate updated documentation, bulletins, and/or notices so that owners/installers can successfully perform all recommended Guidelines. |
| Education and Awareness: The ability for the manufacturer and/or supporting entity to create awareness of and educate customers and others in the IoT device ecosystem about cybersecurity-related information, considerations, features, etc., of the IoT device. | The manufacturer provides relevant information and awareness materials in a format that is easily used by owners/installers. This recommendation can support Guidelines #1- 7. | Secure and safe installation of the smart inverter—as well as routine maintenance—depends on effective education and awareness. Manufacturers can help ensure that owners/installers successfully perform all recommended Guidelines by providing needed information, resources, and awareness materials in a format that owners/installers can easily use and understand. |

538 **4. Conclusion**

- 539 Smart inverters are exposed to an array of potential cybersecurity threats. This exposure
- 540 creates risks that can affect the intended operation of the smart inverters. The growing
- 541 prevalence of, dependence on, and interconnection of these systems means the risks to smart
- 542 inverters can create broader risks to the electric grid.
- 543 To reduce cyber risks to smart inverters, this report provides seven basic cybersecurity
- 544 guidelines for the installation and operation of small-scale residential and small-business solar
- 545 energy systems. Solar energy system owners, installers, and maintainers can use these
- 546 guidelines to improve the cybersecurity of their systems. This report also provides
- 547 cybersecurity recommendations for smart inverter manufacturers. These recommendations
- 548 build on the IoT cybersecurity capability baselines in NIST IR 8259A [2] and NIST IR 8259B [3] by
- 549 providing smart inverter specific guidance for some of the baseline cybersecurity capabilities.
- 550 Manufacturers should consider including all the baseline cybersecurity capabilities in their
- 551 products to enable owners and installers to implement the seven basic guidelines.
- 552 The guidelines and recommendations presented here were derived from several sources. The
- 553 National Vulnerability Database was reviewed to identify known vulnerabilities in existing smart
- 554 inverters. These vulnerabilities are summarized in Appendix F. Where possible, the guidelines
- address actions to reduce the risk from these vulnerabilities. Six sources of general
- 556 cybersecurity guidance were reviewed to identify specific guidelines and recommendations for
- 557 smart inverters and solar energy system component manufacturers. Appendix E maps
- 558 information from the general cybersecurity guidance to the guidelines. The practicality of the
- 559 guidelines was verified by applying them to five existing commercially available smart inverters.
- 560 While the guidelines are basic, only two of the inverters could implement all seven guidelines.
- 561 <u>Appendix D</u> presents the results of this testing. The recommendations, if followed by
- 562 manufacturers, should enable future smart inverters to implement all seven guidelines.

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| 632 | Appendix B. List | of Symbols, | Abbreviations, | and Acronyms |
|-----|------------------|-------------|----------------|--------------|
|-----|------------------|-------------|----------------|--------------|

| 633 | AC |
|-----|---|
| 634 | Alternate Current |
| 635 | CIS |
| 636 | Center for Internet Security |
| 637 | CISA |
| 638 | Cybersecurity and Infrastructure Security Agency |
| 639 | DC |
| 640 | Direct Current |
| 641 | FBI |
| 642 | Federal Bureau of Investigation |
| 643 | IoT |
| 644 | Internet of Things |
| 645 | ISA/IEC |
| 646 | International Society of Automation / International Electrotechnical Commission |
| 647 | ISP |
| 648 | Internet Service Provider |
| 649 | NCCoE |
| 650 | National Cybersecurity Center of Excellence |
| 651 | NIST |
| 652 | National Institute of Standards and Technology |
| 653 | NIST IR |
| 654 | NIST Internal or Interagency Report |
| 655 | NVD |
| 656 | National Vulnerability Database |
| 657 | RBAC |
| 658 | Role-Based Access Control |
| 659 | SBOM |
| 660 | Software Bill of Material |
| 661 | USB |
| 662 | Universal Serial Bus |
| 663 | US-CERT |
| 664 | United States Computer Emergency Readiness Team |
| 665 | VPN |
| 666 | Virtual Private Network |

Appendix C. Residential and Light Commercial Solar Energy System Setup Cybersecurity Checklist

| | | Action | Notes |
|---|---|--|-------|
| Guideline #1 – Change Default Passwords and Credentials | Change default credentials to a unique, secure password | | |
| | | Create user accounts | |
| Guideline #2 – Use Role- | | Create user roles | |
| Based Access Control (RBAC) | | Assign user accounts to roles | |
| | | Disable unused accounts | |
| Guideline #3 – Record Events in a Log | | Enable logging | |
| | | Setup external location for logs | |
| Guideline #4 – Update | | Download and verify newest software/firmware version | |
| Software Regularly | | Update device with current software/firmware version | |
| | | Download device configuration | |
| Guideline #5 – Backup and Restore System Information | | Download all available configurations | |
| | | Store configuration in retrievable location | |
| Guideline #6 – Disable Unused Features | | Disable unused interfaces, features, etc. | |
| | | Enable security features | |
| Guideline #7 – Isolate the Network Connection | | Device is isolated from a personal network | |

670 Appendix D. Smart Inverter Testing

- 671 Five smart inverters were tested to determine their ability to support the cybersecurity
- 672 guidelines presented in <u>Section 2</u>. Testing was conducted in one of two ways: Examine and Test.
- 673 Examine reviewed publicly available documentation to determine if it is possible to implement
- 674 the recommendation. Tests used interaction with an inverter through one of its communication
- 675 interfaces to determine if the guidelines could be implemented. NIST SP 800-53A Rev. 5
- 676 provides additional information on testing methods.
- 677 Each tested inverter implemented one of three connection methods:
- Direct connection to the inverter. This interface method requires the inverter itself to be
 capable of implementing all the cybersecurity guidelines.
- Gateway device connection to the inverter. This interface method allows the gateway
 device to implement some of the cybersecurity guidelines.
- Cloud-based service connection to the inverter. Cloud-based services typically
 connected to an inverter through a gateway device. This interface method allows
 distributing implementation of the cybersecurity guidelines among the inverter, the
 gateway device, and the cloud-based service.
- 686 For gateway device connections and cloud-based service connections, testing did not
- 687 determine which components of the connection implemented the cybersecurity guidelines.

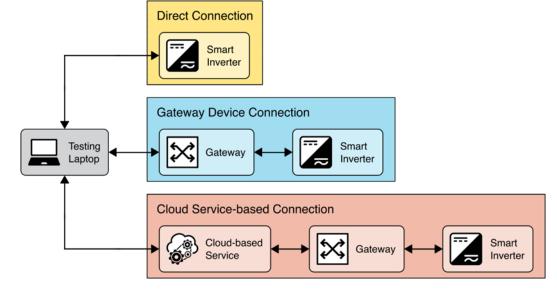


Figure 11 Inverter Connection Methods

Table 3 Characteristics of Tested Inverters

| System | Inverter Size ⁸ | External Gateway ⁹ | Cloud Connectivity ¹⁰ |
|------------|----------------------------|-------------------------------|----------------------------------|
| Inverter A | 5.5 kW | Yes | Yes |
| Inverter B | 5.5 kW | Yes | No |
| Inverter C | 10.5 kW | Yes | Yes |
| Inverter D | 5 kW | Yes | Yes |
| Inverter E | 15 kW | No | No |

691 D.1. Testing Results for Guideline #1: Change Default Passwords and Credentials

- This test verified a smart inverter's ability to identify all default accounts and change the default
- 693 credentials associated with those accounts to a unique, secure credential. This test was
- 694 considered passed if:
- 695

Table 4 Guideline #1 Testing Results

| Inverter | Default Accounts in Vendor Documentation [#] | Identified Default Accounts on Device [#] | Ability to Change Credentials [Yes/No] | Ability to implement MFA [Yes/No] |
|----------|--|---|--|---|
| А | 3 | 3 | Yes | No |
| В | 2 | 2 | Yes | No |
| С | NA ¹¹ | NA | Yes | No |
| D | NA | NA | Yes | No |
| E | 2 | 2 | Yes | No |

696 D.2. Testing Results for Guideline #2: Use Role-Based Access Control

- This test verified a smart inverter's ability to manage access to features and capabilities using
 role-based access control. Three levels of access control were identified for smart inverters:
- Level 1 Basic. The smart inverter provides a single user account. The ability to login to
 the single user account provides access to all smart inverter features and capabilities.
- Level 2- Role Account. The smart inverter provides a single account per defined role
 such as Installer, Maintainer, or Owner. The role account credential is shared with
 people authorized to act in that role. The ability to login to a role account provides
 access to all features and capabilities associated with that role.
- Level 3 Role-Based Access Control. The smart inverter provides the capability to define
 a collection of roles and associated access permissions. Users are granted access by
 assigning their accounts to those defined roles.
- This test assessed the level of access control supported by the inverter.

⁸ The rated size of the inverter

⁹ The inverter has an external system that serves as a gateway to access and potentially control the inverter.

¹⁰ The system is designed to support a cloud service for monitoring and potentially controlling the system.

¹¹ NA means the smart inverter does not utilize traditional accounts. It is configured through a cell phone app which connects via a wireless access point or configuration is created using the smart inverter's front panel.

709

Table 5 Guideline #2 Testing Results

| Inverter | Access Control Level Supported |
|----------|--------------------------------|
| А | Level 3 |
| В | Level 2 |
| С | Level 3 |
| D | Level 3 |
| E | Level 2 |

- 710 Some level of access control based on roles was supported by 100% of the smart inverters
- 711 tested. Most smart inverters supported an "administrator/installer" account/role and a "user"
- account/role. Some smart inverters also supported a "Guest" account/role.

713 D.3. Testing Results for Guideline #3: Record Events in a Log

- 714 This test verified a smart inverter's ability to log record security-relevant events in a log and 715 periodically export them to an external source.
- 716 This test is considered passed if:
- The device can, at a minimum, record the following security-related events in its log:
- 718 o Successful login
- 719 o Failed login
- 720 o Configuration changes
- 721 o Firmware Update
- 722 Events (including network connections)
- 723 The device can export the logs to an external source.
- 724

Table 6 Guideline #3 Testing Results

| Inverter | Supports Logging | Support Security-Related Event Logging |
|----------|------------------|---|
| А | Yes | No |
| В | Yes | No |
| С | Yes | No |
| D | Yes | No |
| E | Yes | Yes |

- 725 100% of devices tested support logging. However, most of these systems' logging capabilities
- are focused on the functions related to inverter operation, such as power output or grid
- 727 connectivity, and provide little security-related information. Only one of the tested smart
- 728 inverters fully support the test criteria.

729 D.4. Testing Results for Guideline #4: Update Software Regularly

- 730 This test verified a smart inverter's ability to update its software. The update mechanism was
- 731 tested to determine if updates can be performed in both the setup and maintenance lifecycle
- 732 phases.
- 733 This test was considered passed if:
- The smart inverter has a mechanism to perform software updates.
- The smart inverter manufacturer provides software updates.
- 736 The integrity of software updates provided to the smart inverter is protected and verifiable.
- 737

Table 7 Guideline #4 Testing Results

| Inverter | Fully Supports Software Update |
|----------|-----------------------------------|
| А | Yes |
| В | Yes |
| С | Yes |
| D | Yes |
| E | Yes |

- All smart inverters tested provide a complete mechanism to perform software updates. The
- 739 mechanism varies among the smart inverter vendors and includes web-based interfaces,
- 740 custom update applications, pushing updates from cloud services.

741 D.5. Testing Results for Guideline #5:Backup Systems Information

- 742 This test verified a smart inverter's ability to backup and store smart inverter configurations in a
- separate location and install a prebuilt configuration or restore a configuration from a backup.
- 744 This test is considered passed if:
- The smart inverter can back up its configuration to a separate location.
- The smart inverter can restore its configuration from a backup.
- 747

Table 8 Guideline #5 Testing Results

| Inverter | Supports Configuration Backup | Supports Configuration Restore |
|----------|-------------------------------|--------------------------------|
| А | Yes | Yes |
| В | No | No |
| С | No | No |
| D | No | No |
| E | No | No |

748 Only 20% of tested smart inverters supported backup and restore of device configurations.

749 **D.6. Testing Results for Guideline #6: Disable Unused Features**

- 750 This test verified a smart inverter's ability to enable only those features and capabilities
- 751 required in a particular deployment. The smart inverter should be able to enable or disable
- 752 features and capabilities that are not required in all operating conditions.
- 753 This test was considered passed if:
- The smart inverter has the ability to disable unused interfaces.
- The smart inverter has the ability to disable unused features and capabilities.
- 756

Table 9 Guideline #6 Testing Results

| Inverter | Can Disable Functions |
|----------|---------------------------------------|
| А | Yes, Modbus interface |
| В | Yes, Modbus and Web Server interfaces |
| С | No |
| D | No |
| E | No |

757 Only two of the five tested inverters had the ability to disable interfaces, features, and758 capabilities.

759 **D.7. Testing Results for Guideline #7: Protect the Communications Connections**

- Smart inverters are tested for the capability to be located on a different network than personal
 devices. This test determines a device's ability to operate on a dedicated network.
- 762 This test is considered passed if:
- The smart inverter supports an Ethernet or Wi-Fi connection.
- The smart inverter supports a secondary network (e.g., cellular) connection.
- 765

Table 10 Guideline #7 Testing Results

| Inverter | Connection Type |
|----------|-----------------|
| А | Ethernet |
| В | Ethernet |
| С | 4G |
| D | 4G |
| E | Ethernet |

766 40% of smart inverters tested support a cellular network connection. These smart inverters are

767 designed to use dedicated cellular connections and leverage cloud services. The two smart

inverters that supported cellular connections did not provide Ethernet connectivity. These two

smart inverters used a Wi-Fi access point or the smart inverter's control panel for configuration

770 of their cellular connection.

NIST IR 8498 ipd (Initial Public Draft) May 2024

- 771 The ability to segment an Ethernet network or establish a dedicated Wi-Fi network for smart
- inverter connectivity is a function of the network infrastructure and is not dependent on smart
- inverter capabilities.

NIST IR 8498 ipd (Initial Public Draft) May 2024

774 Appendix E. Mapping to General Cybersecurity Guidance

- This appendix provides mappings between general cybersecurity guidance and the guidelines
- for installation and operation of smart inverters presented in <u>Section 2</u>.

777 E.1. General Cybersecurity Guidance that Informs the Guidelines

- 578 Six cybersecurity guidance sources, The NIST Framework for Improving Critical Infrastructure
- 779 Cybersecurity (CSF), the Center for Internet Security Critical Security Controls (CSC) v8, NIST
- 780 Special Publication 800-53r5, NIST Special Publication 800-213A, the MITRE ATT&CK
- 781 Framework, and ISA/IEC 62443 informed development of the guidelines and recommendations.

782 E.1.1. The NIST Cybersecurity Framework (CSF) Version 2.0

- 783 The NIST Cybersecurity Framework (CSF), defines a collection of cybersecurity objectives. The
- objectives are presented at three different levels of detail. At the highest level the CSF defines
- 785 six functions, Govern, Identify, Protect, Detect, Respond, and Recover. Each of these functions
- is composed into several categories. The categories are further decomposed into 106
- subcategories. This appendix maps the guidelines developed here to subcategories of the CSF.
- 788 This mapping illustrates the contribution of each guideline to achieving cybersecurity
- 789 objectives.

790 E.1.2. Center for Internet Security Critical Security Controls (CSC) Version 8

- 791 The Center for Internet Security (CIS) Critical Security Controls (CSC) version 8 puts forth 18
- 792 different prioritized controls that are focused on securing small to large enterprises. Each
- control is presented with implementation guidelines for enterprises of different scales, as well
- as overview information on controls, criticality, procedures for implementation, and safeguard
- descriptions. CSC Version 8 also includes mappings to the NIST Framework for Improving Critical
- 796 Infrastructure Cybersecurity (CSF) V1.1 to align with matching controls.

797 E.1.3. NIST Special Publication 800-53r5

798 NIST Special Publication 800-53 Rev. 5, titled Security and Privacy Controls for Information 799 Systems and Organizations provides a catalog of security and privacy controls for information 800 systems and organizations. These controls are developed to support protection of 801 organizational operations and assets from threats and risks. With 20 different control families, 802 NIST SP 800-53r5 presents controls designed to address security and privacy risks within an 803 organization and include potential impact levels. These controls are aimed at individuals or 804 entities with oversight responsibilities across wide ranges of organizational units, establishing 805 controls and implementation strategies that are mandatory for federal information systems yet 806 applicable for use outside of the federal sphere.

807 E.1.4. NIST Special Publication 800-213A

- 808 NIST's Cybersecurity for the Internet of Things (IoT) program supports development and
- application of standards, guidelines, and related tools to improve cybersecurity of connected
- 810 devices and the environments in which they are deployed. By collaborating with stakeholders
- 811 across government, industry, international bodies, and academia, the program aims to cultivate
- 812 trust and foster an environment that enables innovation on a global scale.
- 813 NIST's Cybersecurity for IoT program has defined a baseline set of capabilities (in NIST
- 814 Interagency Report 8259A and NIST Interagency Report 8259B) that manufacturers should
- 815 consider integrating into their IoT devices and that consumers should consider
- 816 enabling/configuring in those devices. **Device cybersecurity capabilities** are cybersecurity
- 817 features or functions that IoT devices provide through their own technical means (i.e., device
- 818 hardware and software). Non-technical supporting capabilities are actions a manufacturer or
- 819 third-party organization performs in support of the cybersecurity of an IoT device. Examples of
- 820 non-technical support include providing information about software updates, instructions for
- 821 configuration settings, and supply chain information. Used together, device cybersecurity
- 822 capabilities and non-technical supporting capabilities can help mitigate cybersecurity risks
- 823 related to the use of IoT devices while assisting customers in achieving their goals.
- 824 Beyond the baselines defined in NIST IR 8259A and 8259B, NIST's Cybersecurity for IoT Team
- has also published a larger catalog of **device cybersecurity capabilities** and **non-technical**
- 826 supporting capabilities in NIST <u>SP 800-213A</u>. The capabilities in this catalog are derived from
- 827 security controls in NIST <u>SP 800-53</u> and include standardized identifiers for easy reference
- 828 within the catalog.
- 829 <u>Table 11</u> provides mappings from the smart inverter cybersecurity guidelines to **device**
- 830 cybersecurity capabilities and non-technical supporting capabilities in NIST SP 800-213A. In
- 831 <u>Table 11</u>, the purpose is to list device cybersecurity capabilities and non-technical supporting
- 832 capabilities from NIST SP 800-213A that consumers should consider looking for in smart
- 833 inverters. Selecting devices and manufacturers/third parties that provide these capabilities can
- 834 support the achievement of the guidelines.

835 E.1.5. The MITRE ATT&CK Framework

- The MITRE Adversarial Tactics, Techniques, and Common Knowledge (ATT&CK) Framework is a knowledge base consisting of methods and cyber adversary behaviors for adversarial actions
- 838 across the lifecycle of a cyber event. The MITRE ATT&CK Framework was developed based on
- 839 observed tactics, techniques, and procedures (TTPs) from advanced persistent threats (APTs)
- against Microsoft Windows enterprise networks. ATT&CK consists of four core components:
- 841 tactics, techniques, sub-techniques, and documented adversary usage of those techniques and
- 842 procedures. Each procedure presented in the ATT&CK matrix provides descriptions of tactics
- 843 and techniques, examples, mitigation strategies, and detection strategies.

844 E.1.6. ISA/IEC 62443-2-1

- 845 ISA/IEC 62443, Security for Industrial Automation and Control Systems (IACS) is a collection of
- 846 standards that address requirements and methods of managing cybersecurity for control
- systems and operational technology. The standards are organized in four layers, general, policy
- and procedures, system, and component. ISA-62443-2-1 defines requirements for Security
- 849 Programs (SP) that consists of implementing and maintaining procedural, personnel and
- technology-based capabilities that may reduce the cyber security risk of an IACS. The primary
- 851 purpose for each of the SP requirements in this document is to mitigate risk. Each SP
- requirement addresses a vulnerability, and failure to meet the requirement can result in the
- 853 presence of the vulnerability.

854

855 E.2. Guidelines Relationship to General Cybersecurity Guidance

856

Table 11 Mapping between Cybersecurity Guidance Documents and Guidelines for Installation and Operation

| CSF 2.0 | CSC | SP 800-53 r5 | SP 800-213A | MITRE ATT&CK Mitigation | 62443-2-1 |
|----------------------------------|-------------------|---------------------------------------|--|---|----------------------|
| Subcategory | v8 | | | | |
| Guideline 1: C | hange D | efault Passwords and Crede | entials | | |
| PR.AA-05 | 4.7 5.2 | Access Enforcement [AC-3] | DC: PRV(1), AUT(1), INT(1), CTL(4d) LA: AUN(1), AUN(2), ACF(2) DO: SMP(5b,c,e), MNT(1g) EA: CSC(2c), CSC(3a,b,c), RSP(1, g) | Access Management [M0801] Password Policies [M0927] | USER 1.11 |
| Guideline 2: U | se Role- | Based Access Control | | | |
| PR.AA-05 PR.AA-03 PR.AT-02 | 5.1 5.4 6.8 | Access Enforcement [AC-3] | DC: PRV(1), AUT(1), LA: ROL(1), ROL(2), ROL(3), ROL(4), ROL(5), ROL(6), ROL(8), ROL(9) DO: SMP(3b), SMP(5j, k.l), DSC(4b), MNT(1g) EA: CSC(2c), CSC(3a, b,c), RSP(1d,e,f,g,h) | Privileged Account Management [M1026] User Account Management [M1018] | USER 1.5 USER 1.8 |
| Guideline 3: R | ecord Ev | vents in a Log | | | |
| PR.PS-04 | 8.2 | Event Logging [AU-2] | DI: AID(2) CS: AEI(2), EIM(1), EIM(2), EIM(3), LCT(1), RDL(1), RDL(2), RDL(3), RDL(4), RDL(5), RDL(6), LSR(1), LSR(2), LSR(3), LSR(4), SRT(1), SRT(2), SRT(3), SRT(4), AUP(3), AUP(4), AUP(7) DS: OPS(1) DO: SMP(8) ID: CRI(7b) | Remote Data Storage [M1029] | EVENT 1.1 |
| PR.DS-10 | 7.3 | oftware Regularly Flaw Remediation | | Undate Software [M0051] | COMP 3.1 |
| ru'n2-10 | /.5 | [SI-2] | DI: AID(3), DP: CRY(3), CRY(4), CRY(5), STX(3) | Update Software [M0951] | COMP 3.1 COMP 3.2 |

| | | | SU: UPD(1), UPD(6), APP(1). APP(2), APP(3) DO: SMP(12), IQ: BUG(1a,b,c,d,e) ID: CRI(1a,b,c), CRI(2a,c), CRI(3a,b,c) EA: CSC(4a,b), EOL(1a,b), VMG(2a,b) | | |
|-----------------|-----------|-----------------------------|--|-------------------------------------|-----------|
| Guideline 5: Ba | ackup ar | nd Restore System Configura | ation | | |
| ID.AM-03 | 11.1 | System Backup [CP-9] | DC: CTL(2) | Data Backup [M0953] | AVAIL 1.1 |
| PR.IR-03 | 11.2 | System Recovery & | DP: STO(3) | | AVAIL 2.5 |
| RC.RP-01 | | Reconstitution [CP-10] | EA: BAK(1a,b,c) | | |
| Guideline 6: D | isable Ui | nused Features | | | |
| ID.AM-01 | 2.1 | Baseline Configuration | DC: CTL(1), CTL(2) | Software Configuration [M0954] | CM 1.1 |
| ID.AM-02 | 4.8 | [CM-2] | LA: IFC(2), IFC(3), IFC(6) | Disable or Remove Feature or | COMP 1.1 |
| | | Least Functionality [CM- | DS: OPS(8) | Program [M0942] | |
| | | 7] | DO: SMP(10) | Limit Software Installation [M1033] | |
| | | | EA: EXP(1) | | |
| Guideline 7: Is | olate the | e Network Connection | • | · | - |
| PR.IR-01 | 12.2 | Boundary Protection | DS: COM(1) | Network Segmentation [M0930] | NET 1.1 |
| | | [SC-7] | DO: SMP(5h) | | |

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NIST IR 8498 ipd (Initial Public Draft) May 2024

858 Appendix F. Smart Inverter Vulnerability Survey

A review of the National Vulnerability Database (NVD), NVD - Home (nist.gov), was conducted in early 2022 to better understand known cybersecurity vulnerabilities that have been identified in smart inverters. Table 12 was created from several point in time searches of the NVD. The searches were performed using a variety of keywords, some generic (e.g., solar, inverter, photovoltaic,

862 etc.) and some manufacturer specific. The entries in the table are a subset of applicable Common Vulnerabilities and Exposures from

863 the NVD. This research identified real cybersecurity concerns that the guidelines should address.

864

Table 12 Smart Inverter Vulnerability Survey

| ID | Vuln ID | Summary | Published | CVSS v3 Severity |
|----|---|---|-----------|-----------------------|
| 1 | <u>CVE-</u> <u>2019-</u> <u>19229</u> | admincgi-bin/service.fcgi on Fronius Solar Inverter devices before 3.14.1 (HM 1.12.1) allows action=download&filename= Directory Traversal. | 4-Dec-19 | V3.1: 6.5 MEDIUM |
| 2 | <u>CVE-</u> <u>2019-</u> <u>19228</u> | Fronius Solar Inverter devices before 3.14.1 (HM 1.12.1) allow attackers to bypass authentication because the password for the today account is stored in the /tmp/web_users.conf file. | 4-Dec-19 | V3.1: 9.8 CRITICAL |
| 3 | <u>CVE-</u> 2018- 12927 | Northern Electric & Power (NEP) inverter devices allow remote attackers to obtain potentially sensitive information via a direct request for the nep/status/index/1 URI. | 28-Jun-18 | V3.0: 7.5 HIGH |
| 4 | <u>CVE-</u> <u>2018-</u> <u>12735</u> | SAJ Solar Inverter allows remote attackers to obtain potentially sensitive information via a direct request for the inverter_info.htm or english_main.htm URI. | 25-Jun-18 | V3.0: 7.5 HIGH |
| 5 | <u>CVE-</u> 2017- 9863 | ** DISPUTED ** An issue was discovered in SMA Solar Technology products. If a user simultaneously has Sunny Explorer running and visits a malicious host, cross-site request forgery can be used to change settings in the inverters (for example, issuing a POST request to change the user password). All Sunny Explorer settings available to the authenticated user are also available to the attacker. (In some cases, this also includes changing settings that the user has no access to.) This may result in complete compromise of the device. NOTE: the vendor reports that exploitation is unlikely because Sunny Explorer is used only rarely. Also, only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL- 30 could potentially be affected. | 5-Aug-17 | V3.0: 8.8 HIGH |

| ID | Vuln ID | Summary | Published | CVSS v3 Severity |
|----|--|---|-----------|-----------------------|
| 6 | <u>CVE-</u> <u>2017-</u> <u>9860</u> | ** DISPUTED ** An issue was discovered in SMA Solar Technology products. An attacker can use Sunny Explorer or the SMAdata2+ network protocol to update the device firmware without ever having to authenticate. If an attacker can create a custom firmware version that is accepted by the inverter, the inverter is compromised completely. This allows the attacker to do nearly anything: for example, giving access to the local OS, creating a botnet, using the inverters as a steppingstone into companies, etc. NOTE: the vendor reports that this attack has always been blocked by "a final integrity and compatibility check." Also, only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL-30 could potentially be affected. | 5-Aug-17 | V3.0: 9.8 CRITICAL |
| 7 | <u>CVE-</u> 2017- 9859 | ** DISPUTED ** An issue was discovered in SMA Solar Technology products. The inverters make use of a weak hashing algorithm to encrypt the password for REGISTER requests. This hashing algorithm can be cracked relatively easily. An attacker will likely be able to crack the password using offline crackers. This cracked password can then be used to register at the SMA servers. NOTE: the vendor's position is that "we consider the probability of the success of such manipulation to be extremely low." Also, only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL-30 could potentially be affected. | 5-Aug-17 | V3.0: 9.8 CRITICAL |
| 8 | <u>CVE-</u> <u>2017-</u> <u>9858</u> | ** DISPUTED ** An issue was discovered in SMA Solar Technology products. By sending crafted packets to an inverter and observing the response, active and inactive user accounts can be determined. This aids in further attacks (such as a brute force attack) as one now knows exactly which users exist and which do not. NOTE: the vendor's position is that this "is not a security gap per se." Also, only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL-30 could potentially be affected. | 5-Aug-17 | V3.0: 7.5 HIGH |
| 9 | <u>CVE-</u> <u>2017-</u> <u>9855</u> | ** DISPUTED ** An issue was discovered in SMA Solar Technology products. A secondary authentication system is available for Installers called the Grid Guard system. This system uses predictable codes, and a single Grid Guard code can be used on any SMA inverter. Any such code, when combined with the installer account, allows changing very sensitive parameters. NOTE: the vendor reports that Grid Guard is not an authentication feature; it is only a tracing feature. Also, only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL-30 could potentially be affected. | 5-Aug-17 | V3.0: 9.8 CRITICAL |
| 10 | <u>CVE-</u> 2017- <u>9853</u> | ** DISPUTED ** An issue was discovered in SMA Solar Technology products. All inverters have a very weak password policy for the user and installer password. No complexity requirements or length requirements are set. Also, strong passwords are impossible due to a maximum of 12 characters and a limited set of characters. NOTE: the vendor reports that the 12-character limit provides "a very high security standard." Also, only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL-30 could potentially be affected. | 5-Aug-17 | V3.0: 9.8 CRITICAL |

| ID | Vuln ID | Summary | Published | CVSS v3 Severity |
|----|-------------------------------------|--|-----------|-----------------------|
| 11 | <u>CVE-</u> 2012- 5861 | Multiple SQL injection vulnerabilities on the Sinapsi eSolar Light Photovoltaic System Monitor (aka Schneider Electric Ezylog photovoltaic SCADA management server), Sinapsi eSolar, and Sinapsi eSolar DUO with firmware before 2.0.2870_2.2.12 allow remote attackers to execute arbitrary SQL commands via (1) the inverterselect parameter in a primo action to dettagliinverter.php or (2) the lingua parameter to changelanguagesession.php. | 23-Nov-12 | N/A |
| 12 | <u>CVE-</u> 2019- 13529 | An attacker could send a malicious link to an authenticated operator, which may allow remote attackers to perform actions with the permissions of the user on the Sunny WebBox Firmware Version 1.6 and prior. This device uses IP addresses to maintain communication after a successful login, which would increase the ease of exploitation. | 9-Oct-19 | V3.1: 8.8 HIGH |
| 13 | <u>CVE-</u> 2017- <u>9864</u> | ** DISPUTED ** An issue was discovered in SMA Solar Technology products. An attacker can change the plant time even when not authenticated in any way. This changes the system time, possibly affecting lockout policies and random-number generators based on timestamps and makes timestamps for data analysis unreliable. NOTE: the vendor reports that this is largely irrelevant because it only affects log-entry timestamps, and because the plant time would later be reset via NTP. (It has never been the case that a lockout policy or random-number generator was affected.) Also, only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL-30 could potentially be affected. | 5-Aug-17 | V3.0: 7.5 HIGH |
| 14 | <u>CVE-</u> 2017- 9862 | ** DISPUTED ** An issue was discovered in SMA Solar Technology products. When signed into Sunny Explorer with a wrong password, it is possible to create a debug report, disclosing information regarding the application and allowing the attacker to create and save a .txt file with contents to his liking. An attacker may use this for information disclosure, or to write a file to normally unavailable locations on the local system. NOTE: the vendor reports that "the information contained in the debug report is of marginal significance." Also, only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL-30 could potentially be affected. | 5-Aug-17 | V3.0: 7.5 HIGH |
| 15 | <u>CVE-</u> 2017- <u>9861</u> | ** DISPUTED ** An issue was discovered in SMA Solar Technology products. The SIP implementation does not properly use authentication with encryption: it is vulnerable to replay attacks, packet injection attacks, and man in the middle attacks. An attacker can successfully use SIP to communicate with the device from anywhere within the LAN. An attacker may use this to crash the device, stop it from communicating with the SMA servers, exploit known SIP vulnerabilities, or find sensitive information from the SIP communications. Furthermore, because the SIP communication channel is unencrypted, an attacker capable of understanding the protocol can eavesdrop on communications. For example, | 5-Aug-17 | V3.0: 9.8 CRITICAL |

| ID | Vuln ID | Summary | Published | CVSS v3 Severity |
|----|--|---|-----------|-----------------------|
| | | passwords can be extracted. NOTE: the vendor's position is that authentication with encryption is not required on an isolated subnetwork. Also, only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL-30 could potentially be affected. | | |
| 16 | <u>CVE-</u> <u>2017-</u> <u>9857</u> | ** DISPUTED ** An issue was discovered in SMA Solar Technology products. The SMAdata2+ communication protocol does not properly use authentication with encryption: it is vulnerable to man in the middle, packet injection, and replay attacks. Any setting change, authentication packet, scouting packet, etc. can be replayed, injected, or used for a man in the middle session. All functionalities available in Sunny Explorer can effectively be done from anywhere within the network if an attacker gets the packet setup correctly. This includes the authentication process for all (including hidden) access levels and the changing of settings in accordance with the gained access rights. Furthermore, because the SMAdata2+ communication channel is unencrypted, an attacker capable of understanding the protocol can eavesdrop on communications. NOTE: the vendor's position is that authentication with encryption is not required on an isolated subnetwork. Also, only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL-30 could potentially be affected. | 5-Aug-17 | V3.0: 8.1 HIGH |
| 17 | <u>CVE-</u> <u>2017-</u> <u>9856</u> | ** DISPUTED ** An issue was discovered in SMA Solar Technology products. Sniffed passwords from SMAdata2+ communication can be decrypted very easily. The passwords are "encrypted" using a very simple encryption algorithm. This enables an attacker to find the plaintext passwords and authenticate to the device. NOTE: the vendor reports that only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL-30 could potentially be affected. | 5-Aug-17 | V3.0: 9.8 CRITICAL |
| 18 | <u>CVE-</u> <u>2017-</u> <u>9854</u> | ** DISPUTED ** An issue was discovered in SMA Solar Technology products. By sniffing for specific packets on the localhost, plaintext passwords can be obtained as they are typed into Sunny Explorer by the user. These passwords can then be used to compromise the overall device. NOTE: the vendor reports that exploitation likelihood is low because these packets are usually sent only once during installation. Also, only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL-30 could potentially be affected. | 5-Aug-17 | V3.0: 9.8 CRITICAL |

| ID | Vuln ID | Summary | Published | CVSS v3 Severity |
|----|--|--|-----------|-------------------------|
| 19 | <u>CVE-</u> <u>2017-</u> <u>9852</u> | ** DISPUTED ** An Incorrect Password Management issue was discovered in SMA Solar Technology products. Default passwords exist that are rarely changed. User passwords will almost always be default. Installer passwords are expected to be default or similar across installations installed by the same company (but are sometimes changed). Hidden user accounts have (at least in some cases, though more research is required to test this for all hidden user accounts) a fixed password for all devices; it can never be changed by a user. Other vulnerabilities exist that allow an attacker to get the passwords of these hidden user accounts. NOTE: the vendor reports that it has no influence on the allocation of passwords, and that global hardcoded master passwords do not exist. Also, only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL-30 could potentially be affected. | 5-Aug-17 | V3.0: 9.8 CRITICAL |
| 20 | <u>CVE-</u> 2017- 9851 | ** DISPUTED ** An issue was discovered in SMA Solar Technology products. By sending nonsense data or setting up a TELNET session to the database port of Sunny Explorer, the application can be crashed. NOTE: the vendor reports that the maximum possible damage is a communication failure. Also, only Sunny Boy TLST-21 and TL-21 and Sunny Tripower TL-10 and TL-30 could potentially be affected. | 5-Aug-17 | V3.0: 7.5 HIGH |
| 21 | <u>CVE-</u> <u>2015-</u> <u>3964</u> | SMA Solar Sunny WebBox has hardcoded passwords, which makes it easier for remote attackers to obtain access via unspecified vectors. | 11-Sep-15 | V3.x:(not available) |
| 22 | <u>CVE-</u> <u>2018-</u> <u>7797</u> | A URL redirection vulnerability exists in Power Monitoring Expert, Energy Expert (formerly Power Manager) - EcoStruxure Power Monitoring Expert (PME) v8.2 (all editions), EcoStruxure Energy Expert 1.3 (formerly Power Manager), EcoStruxure Power SCADA Operation (PSO) 8.2 Advanced Reports and Dashboards Module, EcoStruxure Power Monitoring Expert (PME) v9.0, EcoStruxure Energy Expert v2.0, and EcoStruxure Power SCADA Operation (PSO) 9.0 Advanced Reports and Dashboards Module which could cause a phishing attack when redirected to a malicious site. | 17-Dec-18 | V3.0: 6.1 MEDIUM |
| 23 | <u>CVE-</u> 2012- 5864 | The management web pages on the Sinapsi eSolar Light Photovoltaic System Monitor (aka Schneider Electric Ezylog photovoltaic SCADA management server), Sinapsi eSolar, and Sinapsi eSolar DUO with firmware before 2.0.2870_2.2.12 do not require authentication, which allows remote attackers to obtain administrative access via a direct request, as demonstrated by a request to ping.php. | 23-Nov-12 | V3.x:(not available) |
| 24 | <u>CVE-</u> 2012- 5863 | ping.php on the Sinapsi eSolar Light Photovoltaic System Monitor (aka Schneider Electric Ezylog photovoltaic SCADA management server), Sinapsi eSolar, and Sinapsi eSolar DUO with firmware before | 23-Nov-12 | V3.x:(not available) |

| ID | Vuln ID | Summary | Published | CVSS v3 Severity |
|----|---|---|-----------|-------------------------|
| | | 2.0.2870_2.2.12 allows remote attackers to execute arbitrary commands via shell metacharacters in the ip_dominio parameter. | | |
| 25 | <u>CVE-</u> 2012- 5862 | login.php on the Sinapsi eSolar Light Photovoltaic System Monitor (aka Schneider Electric Ezylog photovoltaic SCADA management server), Sinapsi eSolar, and Sinapsi eSolar DUO with firmware before 2.0.2870_2.2.12 establishes multiple hardcoded accounts, which makes it easier for remote attackers to obtain administrative access by leveraging a (1) cleartext password or (2) password hash contained in this script, as demonstrated by a password of astridservice or 36e44c9b64. | 23-Nov-12 | V3.x:(not available) |
| 26 | <u>CVE-</u> <u>2012-</u> <u>5861</u> | Multiple SQL injection vulnerabilities on the Sinapsi eSolar Light Photovoltaic System Monitor (aka Schneider Electric Ezylog photovoltaic SCADA management server), Sinapsi eSolar, and Sinapsi eSolar DUO with firmware before 2.0.2870_2.2.12 allow remote attackers to execute arbitrary SQL commands via (1) the inverterselect parameter in a primo action to dettagliinverter.php or (2) the lingua parameter to changelanguagesession.php. | 23-Nov-12 | V3.x:(not available) |
| 27 | <u>CVE-</u> 2017- 6019 | An issue was discovered in Schneider Electric Conext ComBox, model 865-1058, all firmware versions prior to V3.03 BN 830. A series of rapid requests to the device may cause it to reboot. | 7-Apr-17 | V3.0: 7.5 HIGH |
| 28 | <u>CVE-</u> <u>2021-</u> <u>33209</u> | An issue was discovered in Fimer Aurora Vision before 2.97.10. The response to a failed login attempt discloses whether the username or password is wrong, helping an attacker to enumerate usernames. This can make a brute-force attack easier. | 3-Nov-21 | V3.1: 5.3 MEDIUM |
| 29 | <u>CVE-</u> <u>2021-</u> 33210 | An issue was discovered in Fimer Aurora Vision before 2.97.10. An attacker can (in the WebUI) obtain plant information without authentication by reading the response of APIs from a kiosk view of a plant. | 11/3/2021 | V3.1: 4.3 MEDIUM |
| 30 | <u>CVE-</u> <u>2020-</u> <u>25755</u> | An issue was discovered on Enphase Envoy R3.x and D4.x (and other current) devices. The upgrade_start function in /installer/upgrade_start allows remote authenticated users to execute arbitrary commands via the force parameter. | 16-Jun-21 | V3.1: 8.8 HIGH |
| 31 | <u>CVE-</u> <u>2020-</u> <u>25754</u> | An issue was discovered on Enphase Envoy R3.x and D4.x devices. There is a custom PAM module for user authentication that circumvents traditional user authentication. This module uses a password derived from the MD5 hash of the username and serial number. The serial number can be retrieved by an unauthenticated user at /info.xml. Attempts to change the user password via passwd or other tools have no effect. | 16-Jun-21 | V3.1: 7.5 HIGH |

| ID | Vuln ID | Summary | Published | CVSS v3 Severity |
|----|---|--|-----------|-----------------------|
| 32 | <u>CVE-</u> <u>2020-</u> <u>25753</u> | An issue was discovered on Enphase Envoy R3.x and D4.x devices with v3 software. The default admin password is set to the last 6 digits of the serial number. The serial number can be retrieved by an unauthenticated user at /info.xml. | 16-Jun-21 | V3.1: 9.8 CRITICAL |
| 33 | <u>CVE-</u> <u>2020-</u> <u>25752</u> | An issue was discovered on Enphase Envoy R3.x and D4.x devices. There are hardcoded web-panel login passwords for the installer and Enphase accounts. The passwords for these accounts are hardcoded values derived from the MD5 hash of the username and serial number mixed with some static strings. The serial number can be retrieved by an unauthenticated user at /info.xml. These passwords can be easily calculated by an attacker; users are unable to change these passwords. | 16-Jun-21 | V3.1: 5.3 MEDIUM |
| 34 | <u>CVE-</u> <u>2019-</u> <u>7678</u> | A directory traversal vulnerability was discovered in Enphase Envoy R3.*.* via images/, include/, include/js, or include/css on TCP port 8888. | 9-Feb-19 | V3.0: 9.8 CRITICAL |
| 35 | <u>CVE-</u> 2019- 7677 | XSS exists in Enphase Envoy R3.*.* via the profileName parameter to the /home URI on TCP port 8888. | 9-Feb-19 | V3.0: 6.1 MEDIUM |
| 36 | <u>CVE-</u> <u>2019-</u> <u>7676</u> | A weak password vulnerability was discovered in Enphase Envoy R3.*.*. One can login via TCP port 8888 with the admin password for the admin account. | 9-Feb-19 | V3.0: 7.2 HIGH |
| 37 | <u>CVE-</u> 2021- 20662 | Missing authentication for critical function in SolarView Compact SV-CPT-MC310 prior to Ver.6.5 allows an attacker to alter the setting information without the access privileges via unspecified vectors. | 24-Feb-21 | V3.1: 7.5 HIGH |
| 38 | <u>CVE-</u> <u>2021-</u> <u>20661</u> | Directory traversal vulnerability in SolarView Compact SV-CPT-MC310 prior to Ver.6.5 allows authenticated attackers to delete arbitrary files and/or directories on the server via unspecified vectors. | 24-Feb-21 | V3.1: 8.1 HIGH |
| 39 | <u>CVE-</u> <u>2021-</u> <u>20660</u> | Cross-site scripting vulnerability in SolarView Compact SV-CPT-MC310 prior to Ver.6.5 allows an attacker to inject an arbitrary script via unspecified vectors. | 24-Feb-21 | V3.1: 6.1 MEDIUM |
| 40 | <u>CVE-</u> <u>2021-</u> <u>20659</u> | SolarView Compact SV-CPT-MC310 prior to Ver.6.5 allows an authenticated attacker to upload arbitrary files via unspecified vectors. If the file is PHP script, an attacker may execute arbitrary code. | 24-Feb-21 | V3.1: 8.8 HIGH |

| ID | Vuln ID | Summary | Published | CVSS v3 Severity |
|----|---|---|-----------|-----------------------|
| 41 | <u>CVE-</u> 2021- 20658 | SolarView Compact SV-CPT-MC310 prior to Ver.6.5 allows an attacker to execute arbitrary OS commands with the web server privilege via unspecified vectors. | 24-Feb-21 | V3.1: 9.8 CRITICAL |
| 42 | <u>CVE-</u> <u>2021-</u> <u>20657</u> | Improper access control vulnerability in SolarView Compact SV-CPT-MC310 prior to Ver.6.5 allows an authenticated attacker to obtain and/or alter the setting information without the access privilege via unspecified vectors. | 24-Feb-21 | V3.1: 5.4 MEDIUM |
| 43 | <u>CVE-</u> 2021- 20656 | Exposure of information through directory listing in SolarView Compact SV-CPT-MC310 prior to Ver.6.5 allows an authenticated attacker to obtain the information inside the system, such as directories and/or file configurations via unspecified vectors. | 24-Feb-21 | V3.1: 4.3 MEDIUM |
| 44 | <u>CVE-</u> <u>2021-</u> <u>34544</u> | An issue was discovered in Solar-Log 500 before 2.8.2 Build 52 23.04.2013. In /export.html, email.html, and sms.html, cleartext passwords are stored. This may allow sensitive information to be read by someone with access to the device. | 7-Dec-21 | V3.1: 6.5 MEDIUM |
| 45 | <u>CVE-</u> <u>2021-</u> <u>34543</u> | The web administration server in Solar-Log 500 before 2.8.2 Build 52 does not require authentication, which allows remote attackers to gain administrative privileges by connecting to the server. As a result, the attacker can modify configuration files and change the system status. | 7-Dec-21 | V3.1: 7.5 HIGH |

865