



1

NIST Internal Report NIST IR 8214C ipd

2

3

NIST First Call for Multi-Party Threshold Schemes (Initial Public Draft)

4

5

Luís T. A. N. Brandão

6

René Peralta

7

This publication is available free of charge from:

8

<https://doi.org/10.6028/NIST.IR.8214C.ipd>

9

10

NIST Internal Report NIST IR 8214C ipd

11

NIST First Call for Multi-Party Threshold Schemes (Initial Public Draft)

13

14

Luís T. A. N. Brandão*

15

Strativia

16

René Peralta

17

Computer Security Division

18

Information Technology Laboratory

19

This publication is available free of charge from:

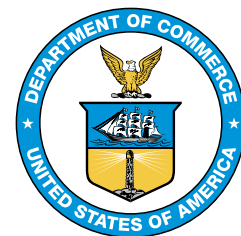
20

<https://doi.org/10.6028/NIST.IR.8214C.ipd>

21

January 2023

22



23

U.S. Department of Commerce

24

Gina M. Raimondo, Secretary

25

National Institute of Standards and Technology

26

Laurie E. Locascio, NIST Director and Under Secretary of Commerce for Standards and Technology

27 Certain commercial entities, equipment, or materials may be identified in this document in order to describe an
28 experimental procedure or concept adequately. Such identification is not intended to imply recommendation or
29 endorsement by the National Institute of Standards and Technology, nor is it intended to imply that the entities,
30 materials, or equipment are necessarily the best available for the purpose.

31 There may be references in this publication to other publications currently under development by NIST
32 in accordance with its assigned statutory responsibilities. The information in this publication, including
33 concepts and methodologies, may be used by federal agencies even before the completion of such companion
34 publications. Thus, until each publication is completed, current requirements, guidelines, and procedures,
35 where they exist, remain operative. For planning and transition purposes, federal agencies may wish to closely
36 follow the development of these new publications by NIST.

37 Organizations are encouraged to review all draft publications during public comment periods and provide
38 feedback to NIST. Many NIST cybersecurity publications, other than the ones noted above, are available at
39 <https://csrc.nist.gov/publications>.

40 **NIST Technical Series Policies**

41 [Copyright, Fair Use, and Licensing Statements](#)
42 [NIST Technical Series Publication Identifier Syntax](#)

43 **Publication History**

44 This version is the initial public draft (ipd).

45 **How to cite this NIST Technical Series Publication**

46 Luís T. A. N. Brandão, René Peralta (2023). NIST First Call for Multi-Party Threshold Schemes (Initial Public
47 Draft). (National Institute of Standards and Technology, Gaithersburg, MD) NIST IR 8214C ipd.
48 <https://doi.org/10.6028/NIST.IR.8214C.ipd>

49 **NIST Author ORCID identifiers**

50 Luís T. A. N. Brandão: [0000-0002-4501-089X](#)
51 René Peralta: [0000-0002-2318-7563](#)

52 **Contact Information**

53 nistir-8214C-comments@nist.gov

54 **Public Comment Period**

55 January 25, 2023 – April 10, 2023

56 **Submit Comments**

57 Only via email: nistir-8214C-comments@nist.gov

58 **All comments are subject to release under the Freedom of Information Act (FOIA).**

59 **Reports on Computer Systems Technology**

60 The Information Technology Laboratory (ITL) at the National Institute of Standards and
61 Technology (NIST) promotes the U.S. economy and public welfare by providing technical
62 leadership for the Nation’s measurement and standards infrastructure. ITL develops tests,
63 test methods, reference data, proof of concept implementations, and technical analyses to
64 advance the development and productive use of information technology. ITL’s responsi-
65 bilities include the development of management, administrative, technical, and physical
66 standards and guidelines for the cost-effective security and privacy of other than national
67 security-related information in federal information systems.

68 **Abstract**

69 This document calls for public submissions of multi-party threshold schemes, to support the
70 National Institute of Standards and Technology (NIST) in developing future recommenda-
71 tions and guidelines. In a threshold scheme, an underlying key-based cryptographic primitive
72 is executed while a private/secret key is or becomes secret-shared across various parties.
73 Submissions in response to this call should include security characterization, technical
74 description, open-source implementation, and performance evaluation. Submitted threshold
75 schemes should produce outputs that are “interchangeable” with a key-based cryptographic
76 primitive of interest. There are two **categories** of primitives for the submission of threshold
77 schemes: Cat1, for selected NIST-specified primitives; and Cat2, for primitives not specified
78 by NIST, but which are *friendlier* (more amenable to) to the threshold paradigm, have
79 enhanced functional features, or/and are based on different cryptographic assumptions. The
80 analysis of Cat1-submissions will help develop future recommendations and guidelines for
81 threshold implementations of the corresponding NIST-specified primitives. The analysis of
82 Cat2-submissions will help assess new interests on primitives not standardized by NIST.

83 **Keywords**

84 Cryptography; distributed systems; provable security; secure multi-party computation;
85 standards; threshold cryptography; threshold schemes.

86 **Preface**

87 **Please do not yet submit any threshold scheme.**

88 The present **draft** is published for the purpose of obtaining public feedback. The final version
89 of the “NIST First Call for Multi-Party Threshold Schemes” will consider received feedback
90 about this document and will integrate other formal components. Please submit feedback
91 comments to nistir-8214C-comments@nist.gov by April 10, 2023.

92 This document is intended for: technicians engaged in the development of recommendations
93 for threshold schemes; cryptography experts interested in providing constructive technical
94 feedback, or in collaborating in the development of open reference material; and all those,
95 including from academia, industry, government and the public in general, interested in future
96 recommendations about threshold schemes. Relevant preliminary context about this call
97 can be found in the [NIST-IR8214A](#) (2020), the [MPTC-Call2021a](#) for feedback on criteria for
98 threshold schemes (2021), and the [NIST-IR8214B-ipd](#) (2022).

99 **Acknowledgments**

100 The first author performed this work as a Foreign Guest Researcher (non-employee) at
101 NIST, while under a contract with (employed by) Strativia. The authors thank their NIST
102 colleagues Lily Chen, Michael Davidson, Dustin Moody, Ray Perlner, and Meltem Sönmez
103 Turan, for their feedback on diverse aspects of this call. The authors also thank Isabel Van
104 Wyk, from NIST, for various editorial comments.

105 **Call for Patent Claims**

106 This public review includes a call for information on essential patent claims (claims whose
107 use would be required for compliance with the guidance or requirements in this Information
108 Technology Laboratory (ITL) draft publication). Such guidance and/or requirements may be
109 directly stated in this ITL Publication or by reference to another publication. This call also in-
110 cludes disclosure, where known, of the existence of pending U.S. or foreign patent applications
111 relating to this ITL draft publication and of any relevant unexpired U.S. or foreign patents.

112 ITL may require from the patent holder, or a party authorized to make assurances on its
113 behalf, in written or electronic form, either:

114 a) assurance in the form of a general disclaimer to the effect that such party does not
115 hold and does not currently intend holding any essential patent claim(s); or

116 b) assurance that a license to such essential patent claim(s) will be made available
117 to applicants desiring to utilize the license for the purpose of complying with the
118 guidance or requirements in this ITL draft publication either:

119 i) under reasonable terms and conditions that are demonstrably free of any unfair
120 discrimination; or

121 ii) without compensation and under reasonable terms and conditions that are dem-
122 onstrably free of any unfair discrimination.

123 Such assurance shall indicate that the patent holder (or third party authorized to make
124 assurances on its behalf) will include in any documents transferring ownership of patents
125 subject to the assurance, provisions sufficient to ensure that the commitments in the assurance
126 are binding on the transferee, and that the transferee will similarly include appropriate
127 provisions in the event of future transfers with the goal of binding each successor-in-interest.

128 The assurance shall also indicate that it is intended to be binding on successors-in-interest
129 regardless of whether such provisions are included in the relevant transfer documents.

130 Such statements should be addressed to: nistir-8214C-comments@nist.gov

131 **Table of Contents**

132 1. Introduction 1

133 2. Acronyms 3

134 3. Call and Scope for Submissions 6

135 3.1. Category 1 (Cat1) 6

136 3.2. Category 2 (Cat2) 7

137 3.3. Vision 8

138 4. Components of a Submission 10

139 4.1. Phases Until Full Submission 10

140 4.2. Main component M1: Written specification 11

141 4.2.1. Frontmatter 11

142 4.2.2. Main matter 12

143 4.2.3. Backmatter 13

144 4.3. Main component M2: Reference Implementation 14

145 4.4. Main component M3: Execution Instructions 15

146 4.5. Main component M4: Experimental evaluation 16

147 4.5.1. Experimental setting 16

148 4.5.2. Measurements 16

149 4.5.3. Analysis 17

150 4.6. Main component M5: Additional Statements 17

151 5. Technical Requirements (T) for Submission of Threshold Schemes 18

152 5.1. T1: Primitives 18

153 5.2. T2: System Model 18

154 5.2.1. T2.1: Participants 19

155 5.2.2. T2.2: Distributed Systems and Communication 20

156 5.2.3. T2.3: Adversary 20

157	5.3. T3: Security Idealization	21
158	5.4. T4: Security Versus Adversaries	22
159	5.4.1. T4.1: Active Security (Against Active Corruptions)	22
160	5.4.2. T4.2: Adaptive Security (Against Adaptive Corruptions)	22
161	5.4.3. T4.3: Proactive Security (Against Mobile Attacks)	23
162	5.5. T5: Threshold Profiles	23
163	5.6. T6: Building Blocks	25
164	6. Cat1 primitives — Specified by NIST	26
165	6.1. Input/Output (I/O) Interfaces	27
166	6.2. Cryptographic Parameters	27
167	6.2.1. Elliptic Curves, for ECC-related Primitives	27
168	6.2.2. RSA Modulus, for RSA-related Primitives	29
169	7. Cat2 Primitives — Not Specified by NIST	30
170	7.1. “Regular” Primitives (Subcategories C2.1–C2.5)	30
171	7.2. “Other” Primitives/Schemes (Subcategories C2.6–C2.8)	31
172	7.2.1. Cat2 subcategory C2.6: “Advanced”	31
173	7.2.2. Cat2 subcategory C2.7: ZKPoK	31
174	7.2.3. Cat2 subcategory C2.8: Auxiliary Gadgets	32
175	A. Details for Subcategories and Primitives of Interest	33
176	A.1. Subcategory C1.1: Cat1 Signing	33
177	A.1.1. Subcategory C1.1.1: EdDSA Signing	33
178	A.1.2. Subcategory C1.1.2: ECDSA Signing	34
179	A.1.3. Subcategory C1.1.3: RSADSA Signing	35
180	A.1.4. Signing in Secret-Shared-Input (SSI) Mode	35
181	A.2. Subcategory C1.2: Cat1 Public-Key Encryption (PKE)	35
182	A.2.1. Subcategory C1.2.1: RSA Encryption (of a Secret-Value)	36
183	A.2.2. Subcategory C1.2.2: RSA Decryption	37
184	A.2.3. Implementation Recommendations and Options	37

185	A.3. Subcategory C1.3: Cat1 ECC Primitives for Pair-Wise Key-Agreement (2KA)	39
186	A.3.1. Subcategory C1.3.1: ECC-CDH Primitive	42
187	A.3.2. Subcategory C1.3.2: ECC-MQV Primitive	42
188	A.4. Subcategory C1.4: Cat1 “Symmetric”	43
189	A.4.1. Subcategory C1.4.1: AES Enciphering/Deciphering	43
190	A.4.2. Subcategory C1.4.2: KDM and KC for 2KE	44
191	A.4.2.1. Key Derivation Mechanism (KDM)	45
192	A.4.2.2. Key Confirmation (KC)	45
193	A.5. Subcategory C1.5: key-Generation (keygen) for Cat1 Schemes	45
194	A.5.1. Subcategory C1.5.1: ECC Keygen (for ECDSA, EdDSA, and ECC-2KA)	47
195	A.5.2. Subcategory C1.5.2: RSA Keygen	47
196	A.5.2.1. Criteria for the RSA Modulus and Primes	48
197	A.5.2.2. Criteria for the Private Exponent	49
198	A.5.3. Subcategory C1.5.3: Bitstring Keygen	49
199	A.6. Subcategory C2.6: Advanced	49
200	A.6.1. Use-Case Example: Non-Threshold FHE-Based AES Oblivious Enciphering	50
201	A.6.2. Threshold Schemes for FHE-based AES Oblivious Enciphering . . .	51
202	A.7. Subcategory C2.7: ZKPoKs	52
203	A.8. Subcategory C2.8: (Auxiliary) Gadgets	55
204	B. Submission Checklists	56
205	B.1. Checklist for Submission Ph ases (Ph)	56
206	B.2. Checklist for Package Main Components (M)	56
207	B.3. Checklist for M1: Written Specification Sections (S)	56
208	B.4. Checklist for M2: Open source (Src) Reference Implementation	57
209	B.5. Checklist for M3: Execution Instructions (X)	57
210	B.6. Checklist for M4: Performance Analysis (Perf)	57
211	B.7. Checklist for Technical Requirements (T)	57
212	References	58

213 **List of Tables**

214	Table 1.	Subcategories of interest in Cat1	6
215	Table 2.	Examples of primitives in subcategories of Cat2	8
216	Table 3.	Labels for some template threshold profiles	24
217	Table 4.	Primitives of interest in subcategories of Cat1	26
218	Table 5.	Recommended implementation parameters for Cat1 primitives	28
219	Table 6.	Notation of EdDSA versus ECDSA (in Draft FIPS 186-5)	34
220	Table 7.	RSA-based primitives per party per RSA-2KE scheme	38
221	Table 8.	Seven ECC-2KA schemes	40
222	Table 9.	ECC-2KA primitives of interest for thresholdization	41
223	Table 10.	Examples of keygen purposes	46
224	Table 11.	Criteria for the random primes of an RSA modulus	48
225	Table 12.	Example ZKPoKs of interest related to Cat1 primitives	53

226 1. Introduction

227 Over several decades, the National Institute of Standards and Technology (NIST) has
228 standardized important key-based cryptographic schemes, in various Federal Information
229 Processing Standards (FIPS) publications, and in Special Publications in Computer Security
230 (the SP800 series). For example, they provide specifications for digital signatures [[FIPS-
231 186-5-Draft](#)], public-key encryption [[SP800-56B-Rev2](#)], pair-wise key-agreement (including
232 key-derivation primitives) [[SP800-56A-Rev3](#)], and symmetric-key enciphering [[FIPS-197](#)].

233 In a traditional description or implementation of a key-based cryptographic primitive, the
234 operation is performed by an individual party that has access to the private/secret key, when
235 said key is created (in key-generation) or/and used as input (e.g., for signing, enciphering,
236 or decryption) in the underlying basic primitives. In a corresponding conventional imple-
237 mentation, said party is a *single-point of failure* for confidentiality, integrity and availability.

238 Modern cryptography enables a multi-party implementation paradigm, based on devel-
239 opments in the fields of threshold cryptography, secure **multi-party** computation (MPC)
240 and distributed systems. In a (multi-party) *threshold* scheme, multiple parties perform a
241 distributed computation, emulating the operation of a key-based cryptographic algorithm,
242 without combining the private/secret key in any single place, and ensuring security as long
243 as the number of corrupted parties does not exceed a certain *threshold*. This enables decen-
244 tralization of trust regarding the creation, storage and use of the private/secret keys. This
245 threshold paradigm can be applied to NIST-specified primitives and beyond.

246 The development of recommendations and guidelines for threshold schemes, tapping into
247 the domain of advanced cryptography, is an important step in addressing various challenges
248 in cybersecurity and privacy. As part of such development, it is expected that the present
249 “Call for Multi-Party Threshold Schemes” will motivate broad community engagement for a
250 diverse set of submissions, followed by expert public scrutiny by stakeholders.

251 Recent context leading to the formulation of this call can be found in the Multi-Party
252 Threshold Cryptography (MPTC) [project webpage](#), the [NIST-IR8214A](#) (2020) with con-
253 siderations toward criteria, the [MPTC-Call2021a](#) for feedback on criteria for multi-party
254 threshold schemes (MPTS), the 2020 [MPTS workshop](#) webpage, and the [NIST-IR8214B-ipd](#)
255 on threshold EdDSA/Schnorr signatures (2022). The present call has the following goals:

- 256 1. **[Reference material]** Create a basis of properly motivated, specified, implemented
257 and analyzed threshold schemes, to support future recommendations and guidelines.
- 258 2. **[Threshold feasibility]** Assess the viability of threshold implementations of various
259 primitives of interest, including of selected NIST-specified primitives.
- 260 3. **[Pertinence of other primitives]** In the threshold context, facilitate an initial assess-
261 ment of the merits of other cryptographic primitives that may be mature for adoption.

262 4. **[Quantum resistance and other features]** Help explore the space of threshold
263 readiness in terms of quantum-resistance versus other advanced functional features.

264 The process of collecting high-quality security formulations, technical descriptions, open
265 implementations, and performance evaluations is intended to compose a body of reference
266 material. This will support a phase of analysis to identify sound approaches, best practices,
267 and reusable building blocks. The results will help shape recommendations and guidelines.

268 **Two categories for submissions.** To assess the viability of threshold schemes for cryp-
269 tographic primitives, the present call is organized into two categories of submissions, with
270 regard to the primitives in consideration for thresholdization:

- 271 • **Cat1:** Selected NIST-specified primitives used in digital signature schemes in [FIPS-](#)
272 [186-5-Draft](#), public-key encryption and respective decryption in [SP800-56B-Rev2](#),
273 elliptic-curve based pair-wise key-agreement in [SP800-56A-Rev3](#), symmetric encipher-
274 ing/deciphering in [FIPS-197](#), key-derivation and key-confirmation mechanisms in the
275 SP 800-56 series (parts [A](#), [B](#), and [C](#)); and the corresponding key-generations.
- 276 • **Cat2:** Primitives not specified by NIST, including primitives for “regular” schemes
277 of type similar to those in Cat1 (signing, public-key encryption, key-agreement,
278 enciphering/deciphering, key-derivation and key-confirmation, and their keygen),
279 primitives for “advanced” functionalities (e.g., fully-homomorphic, identity-based or
280 attribute-based encryption), zero-knowledge proofs/arguments of knowledge (e.g., of
281 a secret-shared private key that is consistent with a public key); and other threshold-
282 auxiliary gadgets. Primitives submitted in Cat2 should aim for threshold-friendliness
283 and may be based on cryptographic assumptions different from those in Cat1. There
284 is a particular interest in combined threshold-friendliness and quantum resistance.

285 The analysis in Cat1 will help assess threshold friendliness and develop future recommenda-
286 tions and guidelines for threshold schemes of NIST-specified primitives. The analysis in
287 Cat2 will help assess new interests on primitives not currently standardized by NIST, and
288 help characterize the possible alignment between (i) threshold-friendliness, (ii) quantum
289 resistance, and (iii) additional useful features. This may also serve as relevant input to assess
290 the ability to deploy secure multi-party applications with advanced privacy features.

291 **Organization.** Section [2](#) explains the acronyms used in the document. Section [3](#) calls for
292 submissions and explains the partition into two categories. Section [4](#) enumerates logistic
293 and formatting requirements for the submission of packages. Section [5](#) defines technical
294 requirements for threshold schemes. Section [6](#) lists primitives and threshold modes of interest
295 for each subcategory of Cat1 (NIST-specified primitives), mentioning possible I/O interfaces
296 and recommending cryptographic parameters. Section [7](#) describes the subcategories of
297 interest in Cat2 (primitives not specified by NIST). Appendix [A](#) provides further details about
298 subcategories. Appendix [B](#) displays a checklist of the elements of a submission.

299 **2. Acronyms**

300	Acronym	Extended form
301	2KA	Pair-wise key -agreement
302	2KE	Pair-wise key -establishment
303	ABE	A tttribute- b ased E ncryption
304	AEAD	A uthenticated encryption with a ssociated d ata
305	AES	A dvanced E ncryption S tandard
306	API	A pplication p rogramming i nterface
307	CDH	C ofactor D iffie- H ellman
308	CMAC	C ipher-based M AC
309	CPU	C entral p rocessing u nit
310	CRS	C ommon r eference string
311	CRT	C hinese r emainder theorem
312	DKG	D istributed k ey g eneration
313	DOI	D igital o bject i dentifier
314	ECC	E lliptic c urve cryptography
315	ECDSA	E lliptic C urve D igital S ignature A lgorithm
316	EdDSA	E dwards C urve D igital S ignature A lgorithm
317	FFC	F inite f ield c ryptography
318	FHE	F ully- h omomorphic encryption
319	FIPS	F ederal I nformation P rocessing S tandards
320	FR	F ield r epresentation indicator
321	GB	G igabyte (1,000,000,000 bytes)
322	GC	G arbled circuit
323	HMAC	H ash-based M AC
324	IBE	I ntity- b ased encryption
325	IETF	I nternet E ngineering T ask F orce
326	I/O	I nput/ o utput
327	IRTF	I nternet R esearch T ask F orce
328	ITL	I nformation T echnology L aboratory

300	Acronym	Extended form
329	KA	Key agreement
330	KAS1/2	Key agreement scheme 1 or 2
331	KAT	Known-answer test
332	KC	Key confirmation
333	KDM	Key-derivation mechanism
334	KT	Key-transport
335	KMAC	Keccak-based MAC
336	LCM	Least common multiplier
337	LTS	Long term support
338	LWC	Lightweight Cryptography
339	MAC	Message authentication code
340	MPC	(Secure) multiparty computation
341	MPTC	Multi-Party Threshold Cryptography
342	MPKA	Multiparty key agreement
343	MQV	Menezes-Qu-Vanstone
344	NIST	National Institute of Standards and Technology
345	NIZK	Non-interactive zero-knowledge
346	NISTIR	NIST Internal Report
347	NSS	not-secret-shared (input/output)
348	OAEP	Optimal Asymmetric Encryption Padding
349	PC	Personal computer
350	PDF	Portable document format
351	PF	Platform
352	PEC	Privacy-Enhancing Cryptography
353	PQC	Post-Quantum Cryptography
354	PKC, PKCS	Public-Key Cryptography, PKC Standards
355	PKE	Public-key encryption
356	PRF	Pseudorandom function family
357	PRP	Pseudorandom permutation family

300	Acronym	Extended form
358	PSS	P robabilistic signature scheme
359	PVSS	P ublicly verifiable secret sharing
360	QR	Q uantum-resistant or q uantum resistance
361	RAM	R andom access m emory
362	RBG	R andom- b it generator/generation
363	RFC	R equ e st for C omments
364	RO	R andom o racle
365	RSA	R ivest– S hamir– A dleman
366	RSADP	R SA D ecryption P rimitive
367	RSADSA	R SA D igital S ignature A lgorithm
368	RSAEP	R SA E ncryption P rimitive
369	RSASSA	R SA S ignature S cheme with A ppendix
370	RSASVE	R SA S ecret- V alue E ncapsulation
371	S2PC	S ecure t wo- p arty computation
372	SHA	S ecure h ash a lgorithm
373	SHAKE	S ecure h ash a lgorithm with KECCAK
374	SNARK	S uccinct n on-interactive a rgument of k nowledge
375	SP 800	S pecial P ublication in C omputer security
376	SSD	S olid state d rive
377	SSI, SSIO	S ecret-shared input, secret-shared i nput- a nd- o utput
378	SSO	S ecret-shared o utput
379	SVE	S ecret- v alue e ncapsulation
380	TB	T erabyte (1,000,000,000,000 bytes)
381	TF	T hreshold- f riendly
382	URL	U niform r esource l ocator
383	VSS	V erifiable secret sharing
384	XOF	E xtendable o utput f unction
385	ZKP	Z ero k nowledge p roof
386	ZKPoK	Z ero k nowledge p roof o f k nowledge

387 **3. Call and Scope for Submissions**

388 This document is a **call** for multi-party threshold schemes. It solicits high-quality specifi-
 389 cations of threshold schemes for primitives across two **categories**: **Cat1** (selected NIST-
 390 specified primitives) and **Cat2** (primitives not specified by NIST). Each submission should
 391 include a security characterization, a technical description, an open-source reference imple-
 392 mentation, and a performance evaluation. Submitted schemes will benefit from exposure
 393 to public analysis, and will be considered in a future report. This is a preliminary phase
 394 for collection of reference material, and assessment of threshold schemes. The results of
 395 this phase will inform future development of recommendations, and may be considered in
 396 possible future efforts for development of guidelines or standards.

397 **3.1. Category 1 (Cat1)**

398 Cat1 consists of selected, stateless, NIST-specified cryptographic primitives, organized in
 399 Table 1 across five subcategories:

- 400 • **C1.1**, for EdDSA, ECDSA and RSADSA signing [FIPS-186-5-Draft];
- 401 • **C1.2**, for RSA encryption (for key-encapsulation) and decryption [SP800-56B-Rev2];
- 402 • **C1.3**, for ECC-based pair-wise **key-agreement** (2KA) [SP800-56A-Rev3] via CDH or MQV;
- 403 • **C1.4**, for AES-enciphering/deciphering [FIPS-197], and **key-derivation** (KD) and
 404 **key-confirmation** (KC) for 2KE [SP800-56C-Rev2; SP800-135-Rev1; SP800-108-Rev1];
- 405 • **C1.5**, for ECC keygen [FIPS-186-5-Draft; SP800-56A-Rev3; SP800-186-Draft], RSA
 406 keygen [FIPS-186-5-Draft; SP800-56B-Rev2], and bitstring (or integer) keygen.

407 **Table 1.** Subcategories of interest in Cat1

408 Subcategory: Type	Families of specifications	Section in this call
409 C1.1: Signing	EdDSA sign, ECDSA sign, RSADSA sign	A.1
410 C1.2: PKE	RSA encryption, RSA decryption	A.2
411 C1.3: 2KA	ECC-CDH, ECC-MQV	A.3
412 C1.4: Symmetric	AES encipher/decipher, KDM/KC (to support 2KE)	A.4
413 C1.5: Keygen	ECC keygen, RSA keygen, bitstring keygen	A.5

414 Note: In the second column, each item within a subcategory is itself called a family of specifications, since it
 415 may include diverse primitives or modes/variants, some of which are mentioned in Table 4 (in Section 6).

416 Section 6 presents more details about versions and modes of primitives in Cat1, including
417 options for input/output interfaces (Section 6.1) and cryptographic parameters recommended
418 for evaluation (Section 6.2). The analysis of Cat1 submissions will facilitate the devel-
419 opment of recommendations and guidelines on threshold schemes for the corresponding
420 NIST-specified primitives, highlighting reference approaches, techniques, building blocks,
421 and best practices. The results will be reported in a NIST publication.

422 3.2. Category 2 (Cat2)

423 The goal of Cat2 is to enable submissions that make a strong case for certain threshold-
424 feasible primitives that are not standardized by NIST. While the scope is wide, Cat2-
425 submissions should be justified on the basis of the primitives being thresholdized having/en-
426 abling useful differentiating features, such as having/being: (i) **threshold-friendly(ier)** (TF);
427 (ii) based on alternative cryptographic assumptions (e.g., pairings), possibly **quantum-resistant**
428 (QR) (e.g., lattice-based); (iii) useful probabilistic properties (e.g., determinism versus non-
429 determinism), (iv) more efficient in a relevant metric, or/and (v) advanced functional features
430 (e.g., allowing homomorphic computation over encrypted data).

431 Cat2 has eight subcategories, including five “regular” (somewhat matching the subcategories
432 of Cat1), and three others (“advanced”, “ZKPoK” and “gadgets”), as listed in Table 2:

433 • “Regular”:

- 434 – C2.1, for signing (e.g., verifiably-deterministic succinct signatures, and/or TF-QR);
- 435 – C2.2, for PKE (e.g., TF-QR decryption and key-encryption);
- 436 – C2.3, for key agreement (e.g., TF primitives that are QR and/or that facilitate
437 low-round key-agreement for more than two parties);
- 438 – C2.4, for symmetric-key primitives (e.g., TF enciphering/deciphering), and hash-
439 ing-related primitives for key derivation and key confirmation;
- 440 – C2.5, for keygen for primitives in other subcategories.

441 • “Others”:

- 442 – C2.6, for primitives for cryptographic schemes with **advanced** functional features,
443 e.g., **fully-homomorphic**, **identity-based**, and **attribute-based encryption** schemes.
- 444 – C2.7, for **zero-knowledge proofs of knowledge** (ZKPoK) that are deemed useful
445 to support the threshold setting, such as for proving knowledge of private/secret
446 information consistent with a correct secret-sharing setup.
- 447 – C2.8, for other auxiliary “gadgets” deemed useful to support the threshold setting,
448 namely to support the implementation of other threshold schemes in scope.

449 **Table 2.** Examples of primitives in subcategories of Cat2

450	Subcategory: Type	Example scheme	Example primitive
451	C2.1: Signing	Succinct & verifiably-deterministic signatures	Signing
452	C2.2: PKE	TF-QR public-key encryption (PKE)	Decryption/encryption
453	C2.3: KA	Low-round multi-party key-agreement (KA)	Single-party primitives
454	C2.4: Symmetric	TF-QR blockcipher/PRP	Encipher/decipher
455		TF-QR key-derivation / key-confirmation	PRF and hash function
456	C2.5:Keygen	Any of the above	Keygen
457	C2.6: Advanced	QR fully-homomorphic encryption	Decryption; Keygen
458		Identity-based and attribute-based encryption	Decryption; Keygens
459	C2.7: ZKPoK	ZKPoK of private key	ZKPoK.Generate
460	C2.8: Gadgets	Garbled circuit (GC)	GC.generate; GC.evaluate

461 Legend: PRF = **pseudorandom function** [family]. PRP = **pseudorandom permutation** [family]. QR
 462 = **quantum resistant**. TF = **threshold-friendly**. ZKPoK = **zero knowledge proof of knowledge**.

463 Section 7 contains more details and examples on Cat2. Some Cat2-submissions may be
 464 evaluated within the scope of the NIST Privacy-Enhancing Cryptography (PEC) project
 465 [Proj-PEC]. It is expected that the results of this exercise will be reported in a NIST publication.

466 **3.3. Vision**

467 **Quantum-resistant versus quantum-breakable primitives.** There is a strong interest
 468 in receiving submissions of threshold schemes for **threshold-friendly quantum-resistant**
 469 (TF-QR) primitives. As there is currently a gap between some known useful cryptographic
 470 features and quantum-resistance, there is also interest in submissions that have enhanced
 471 functional features even if they are only secure with respect to non-quantum adversaries.

472 **Interchangeability.** This call is scoped on threshold schemes whose output can be used
 473 in subsequent operations (e.g., signature verification) that were specified to use the output
 474 of the corresponding conventional (non-threshold) primitive (e.g., signing). The intended
 475 notion is that of *interchangeability*, from §2.4 of NIST-IR8214A. EdDSA signing provides
 476 a notable example: the threshold setting favors a consideration not only of pseudorandom
 477 signatures, but also of probabilistic ones that are *interchangeable* in the sense of being
 478 verifiable by the standardized EdDSA verification (see NIST-IR8214B-ipd). In Cat1, the
 479 primitives of interest are already fixed. In Cat2-submissions, the primitives of interest need
 480 to be specified along with the corresponding threshold schemes.

481 **Provable security.** The security of submitted threshold schemes is expected to be assessed
482 based on *multi-party protocol analysis*, which is supported by a large and mature body of
483 knowledge in *provable security*. This is different from the extensive cryptanalysis that would
484 be required in a call for basic primitives based on new cryptographic assumptions. That
485 said, the security of threshold schemes is still recognized as multi-dimensional, depending
486 on security formulation (e.g., which ideal functionalities or security games to choose),
487 implementation (e.g., susceptibility to side-channels), and deployment suitability (e.g.,
488 whether security assumptions are appropriate for the deployment environment).

489 **Diversity.** The domain space of multi-party threshold schemes is considerably wider than
490 that of the primitives (e.g., digital signatures) being thresholdized. Acknowledging this,
491 the present call allows leeway for the submitters to select from a variety of system models,
492 threshold configurations, security formulations, technical approaches, and benchmarking
493 focuses. Thus, the usual criteria for “apples-to-apples” comparison (e.g., number of par-
494 ties, common programming language, application programming interface, etc.) will not
495 be required in the initial phase. Nonetheless, the submissions are expected to adhere to
496 certain criteria, with respect to both technical documentation (see Section 4) and technical
497 characteristics of the proposed threshold schemes (e.g., needs to include a security formu-
498 lation against active corruptions — see Section 5). After a review of the system models
499 proposed in the initial set of submissions, a request may be made for submitters to provide
500 new performance evaluation results (e.g., with a particular number of parties and threshold
501 values) based on adjusted parameters to facilitate a comparison across submissions.

502 **Initial phase.** The initial phase of analysis is expected to take about one year after the
503 submission deadline, and will consider comments from the public. It will also include a
504 workshop for presentation of the submitted threshold schemes. A NIST report will follow.
505 For [Cat1](#), the results will help determine how the development of future recommendations
506 and guidelines may be differentiated per primitive, and whether it will focus on full-fledged
507 threshold schemes, on identifying building blocks and composition techniques, or a hybrid of
508 these. For [Cat2](#), the results will include an initial characterization of the space of submissions
509 to help assess possible interest in a subsequent more-focused analysis.

510 **Reliance on contributions.** The success of the process will depend on:

- 511 • **high-quality submissions** by teams with appropriate expertise, including in the areas
512 of secure multiparty computation and distributed systems;
- 513 • **expert public scrutiny**, including assessments of security;
- 514 • **comments on pertinence**, by stakeholders of applications of threshold schemes.

515 4. Components of a Submission

516 4.1. Phases Until Full Submission

517 The submission process is organized with a deadline for package submissions, while also
518 considering a possible early abstract and preliminary submission, as follows:

519 **Ph1. (Optional) Early abstract:** No later than **about 90 days** (exact date to be deter-
520 mined) after the final version of this call is published, a short document (with no
521 more than three pages) can be submitted with a title, a list of team members, and
522 a preliminary abstract of a planned full package to be submitted later ([Ph3](#)). The
523 abstract should identify the primitives to be thresholdized and their corresponding
524 category and subcategory(ies)/type(s), give an outline of the threshold approach
525 (including system model, the protocol approach, and main security properties), and
526 list the most relevant bibliographic references. This phase for optional submission
527 (not mandatory and non-committing) is intended to facilitate early discussion of the
528 expected coverage of each category/subcategory, and may help determine useful
529 merges, differentiations, or alternative submissions.

530 **Ph2. (Optional) Preliminary package:** Submission packages received by NIST at least
531 **45 days before the deadline** for full packages will be early reviewed for complete-
532 ness. The submitters will be notified of identified deficiencies, tentatively within 25
533 days, to allow amendments before the deadline.

534 **Ph3. Full package:** Full submission packages must be received by NIST no later than
535 **about 150 days** (exact date to be determined) after the final version of this call is
536 published. Despite possible adjustments to be made in this call, submitters are en-
537 couraged to prepare early for future submissions, using the present draft as a baseline.
538 A complete and proper package must contain the following **main** components:

- 539 • **M1. Written specification:** A technical specification (including security analy-
540 sis) of the threshold scheme and primitives (see [Section 4.2](#)).
- 541 • **M2. Reference implementation:** An open-source implementation (software),
542 including code, license, comments, and explaining an API (see [Section 4.3](#)).
- 543 • **M3. Execution instructions:** Instructions to enable the execution of the thresh-
544 old scheme and reproduction of experimental results (see [Section 4.4](#)).
- 545 • **M4. Experimental evaluation:** A report describing an experimental setting,
546 measuring performance, and interpreting the results (see [Section 4.5](#)).
- 547 • **M5. Additional statements:** Various statements (see [Section 4.6](#)).

548 **Submissions medium.** The submission of any documentation — early abstract (Ph1),
549 preliminary package (Ph2), full package (Ph3), or any amendment — must be at least
550 confirmed by sending an email to MPTS-submissions@nist.gov. The final version of this
551 call may specify a complementary platform to help manage the process of submission and
552 review. More-specific instructions will be provided in the final version of this call.

553 **Public posting.** after the SUBMISSION deadlines, approved submissions of early abstracts
554 (Ph1) and full packages (Ph3) will be posted online, and hyperlinked from the MPTC project
555 website [[Proj-MPTC](#)], for public review.

556 **Note on LaTeX templates.** To facilitate some common document structure across submis-
557 sions, the final version of the call will provide LaTeX-based templates applicable to some of
558 the submission documents, for compilation into portable document format (PDF) files.

559 **Note on multiple threshold schemes per package.** A submission package may include a
560 family of distinguished threshold schemes based on common building blocks, and whose
561 implementations may make use of common portions of open-source code. Even if a
562 submission package proposes more than one threshold scheme, each of the above-mentioned
563 five components should appear only once, possibly using subsections (when applicable) to
564 distinguish which primitives/schemes the comments relate to.

565 4.2. Main component M1: Written specification

566 Submitted specifications of threshold schemes must be compiled in a PDF document,
567 written in English and aided with mathematical notation, containing various (numbered or
568 unnumbered) sections, as described ahead across a frontmatter (see Section 4.2.1), a main
569 matter (see Section 4.2.2), and backmatter (see Section 4.2.3).

570 4.2.1. Frontmatter

571 **S1. Title pages:** Two title-pages, as follows:

- 572 • A first title-page (cover page) with: a title for the proposed submission, the names
573 and affiliations of the submitters; and the submission date.
- 574 • A second title-page, with all content of the first title-page, and additionally includ-
575 ing: contact email-addresses for all the submitters; applicable disclaimers related
576 to affiliations and funding; and, if applicable, other pertinent information about the
577 team and the submission.

578 **S2. Abstract:** A text with up to 500 words, identifying the primitives being thresholdized,
579 their corresponding category and subcategory/type in the scope of this call, and the
580 types of threshold schemes being proposed (i.e., their main features, cryptographic
581 assumptions and performance highlights).

582 **S3. Executive summary:** An abridged explanation (up to four pages) of the content of
583 the submission, highlighting relevant properties of the proposed threshold schemes,
584 their applicability, their performance, and some of the challenges (e.g., in proving
585 security). It should also briefly mention the submitted components beyond the
586 specification, including the open-source software with reference implementation.

587 **S4. Index:** A table of contents (i.e., index of sections, subsections, etc.); and (however
588 applicable) lists of figures, tables, pseudo-code, and other relevant enumerated com-
589 ponents. Each referenced element in the index should be hyperlinked to the respective
590 position in the document, and also indicate the corresponding page number.

591 4.2.2. Main matter

592 **S5. Clarification of prior work:** An enumeration of the building blocks, techniques and
593 ideas known to have been developed or authored in prior work and that are used in
594 the specification of the primitives and threshold schemes of the present submission.
595 With regard to the building blocks, techniques and ideas in the submission (preferably
596 including hyper-references to the related portions of the submitted specification),
597 this section should aim to clarify and distinguish between (i) those that may have
598 been designed by authors that are not part of the submitters' team, (ii) those that may
599 have been previously developed/authored by members of the submitters' team, and
600 (iii) those that may be original in the present submission. Appropriate bibliographic
601 references should be given where applicable, preferably including (when possible)
602 a hyperlink to online-accessible documentation. If applicable, this section can also
603 include known information pertinent to the "[call for patent claims](#)".

604 **S6. Conventional primitives/scheme:** A review of the conventional (non-threshold)
605 primitives/scheme that constitute the objects of thresholdization and determine the
606 interchangeability requirements. For example, if a submitted package proposes a
607 threshold scheme for ECDSA signing, then this section will provide a brief review
608 of the conventional ECDSA signing algorithm, and the requirements related to
609 the corresponding keygen and verification algorithms. The notation used in this
610 description should be consistent with the one later used to describe the threshold
611 scheme. [Cat2](#)-submissions are expected to be more thorough in this description.

612 **S7. System model:** A thorough description of the system model, including participants,
613 communication network, and adversary (see T2).

614 **S8. Protocol description:** A detailed description of the multi-party threshold scheme,
615 modularizing the description of primitives/gadgets where appropriate.

616 **S9. Security analysis:** A detailed security analysis, including security formulation (e.g.,
617 ideal functionalities and/or games), proof(s) of security, and discussion of security
618 properties and ideal components (see T3 and T4).

619 **S10. Analytic complexity:** An analytical estimation of (i) memory complexity, (ii) com-
620 putational complexity, (ii) communication complexity, and (iii) round complexity.
621 The estimates should: include a breakdown across the various possible phases of the
622 protocol; clarify the complexity per party versus the aggregate in the entire system;
623 clarify its dependence on various configurable parameters, such as for example the
624 security strength, the number of parties and the thresholds.

625 **S11. Choices and comparisons:** A rationale for design decisions and the chosen system
626 model, as well as an explanation of known advantages and limitations compared to
627 other options and approaches.

628 **S12. Technical criteria:** An evaluation of various items of technical criteria (see Section 5
629 and Section B.7).

630 **S13. Deployment recommendations:** A set of deployment requirements and recommen-
631 dations, including those related to security. This section should also include a list of
632 known and proposed applications of the submitted threshold scheme(s).

633 4.2.3. Backmatter

634 **S14. Notation:** A section explaining the notation, including:

- 635 • a list of the used acronyms, and their extended expressions;
- 636 • a list of the used abbreviations, and their complete words;
- 637 • a list of the used mathematical symbols, and their brief explanations;
- 638 • (optional) a glossary of selected important terms, with succinct explanations.

639 **S15. References:** A list of external references cited throughout the document, ideally
640 including persistent identifiers (e.g., DOI, and ia.cr) and a link to a corresponding
641 publicly and (when possible) freely accessible version of the referenced document.

642 **S16. Appendices:** Auxiliary elements deemed too detailed or cumbersome for a first
643 read may be deferred to appendices, at the end of the document, as long as properly
644 referenced and hyperlinked in the corresponding above-mentioned sections.

645 **4.3. Main component M2: Reference Implementation**

646 **Required clear implementation.** The submissions packages must contain **open-source**
647 **code** (software), including explanatory inline comments, constituting a “clear” reference
648 implementation of the proposed threshold scheme(s). The code and comments should strive
649 for clarity and understanding, even if at some detriment to efficiency. Optionally, some
650 modules may include additional code optimized for some efficiency metric(s), to enable
651 demonstration of better experimental performance.

652 The implementation(s) must support all main features of the threshold scheme and be
653 suitable to run each “party” in a modern **personal computer** (PC). To facilitate testing, the
654 implementation should enable “running” the set of all parties in a *baseline* platform (PF1)
655 consisting of a single PC (possibly virtualized), equipped with:

- 656 1. **Processor:** Central processing unit (CPU) with up to eight 64-bit processing cores.
- 657 2. **Fast primary memory:** Up to 32 gigabytes (e.g., of random-access memory [RAM])
- 658 3. **Secondary memory:** Up to 4 terabytes (e.g., in a solid state drive [SSD])

659 The code (and its instructions) should be designed to allow for a compilation and execution
660 of the submitted implementation on top of a Linux Ubuntu Desktop 22.04.1 long-term
661 support (LTS) operating system running installed in platform PF1, without requiring software
662 download from external sources. Each party should be executed as one (or more) process(es),
663 or within a software virtual container, separate from the other parties.

664 The submitted open-source software (and documentation) should satisfy the following:

665 **Src1. Is self-contained:** The code was tested to compile and execute properly within the
666 baseline platform (PF1) with a Linux Ubuntu Desktop v22.04.1 operating system.

667 **Src2. Is licensed as open-source:** The code is explicitly licensed as open-source (e.g.,
668 possibly based on a license listed in <https://opensource.org/licenses>).

669 **Src3. Contains inline comments:** The code is explained with auxiliary comments.

670 **Src4. Has a clear API:** It explains the **application programming interface** (API), aimed
671 at facilitating (i) testing, (ii) use in higher-level applications, and (iii) comparison
672 of performance with other implementations that may follow the same API.

673 **On programming choices.** As explained in Section 3.3, it is intentional that this call
674 does not specify a concrete programming language, compiler, or API to be used across
675 submissions. That said, it would be useful that the provided open-source reference im-
676 plementation comes accompanied with explained rationale for choices made. This may
677 include recommendations on the API that future implementations should follow to be easily
678 comparable with the provided reference implementation.

679 **On validation and verification.** The validation of implementations and formal verification
680 are not included as technical requirements for this call. However, it is expected that the
681 public scrutiny of submitted schemes (namely their specifications and implementations) will
682 facilitate the production of high-assurance software. The analysis of the submissions may
683 clarify what software testing may be proposed across various types of threshold schemes.

684 **4.4. Main component M3: Execution Instructions**

685 A submission package must include execution instructions, as follows:

- 686 1. **User manual:** A “user manual” with instructions (and examples) on:
 - 687 **X1. Compilation:** How to compile the open-source code.
 - 688 **X2. Parametrization:** How to configure execution parameters, such as the number
689 of parties, the corruption threshold, the type of communication channels, some
690 adversarial choices, and some client choices (e.g., input to the cryptographic
691 primitive). Preferably the configuration of each parameter can be done via the
692 editing of a human-readable text file, and/or command line arguments.
 - 693 **X3. Execution:** How to test and execute the various phases of the proposed threshold
694 schemes and underlying primitives.
 - 695 **X4. KAT set:** A set of “known answer-test” (KAT) values, to aid in correctness
696 verification of the execution of the protocol.
- 697 2. **Set of scripts:**
 - 698 **X5. KAT-script:** A script to automatically execute the threshold schemes in a way
699 that reproduces the set of KAT values (X4) provided in the user manual.
 - 700 **X6. Benchmark-script:** A script to automatically benchmark the threshold scheme
701 in platform PF1, using the “clear” reference implementation, to produce a
702 table recording various performance measurements (similar to that required
703 in Section 4.5) for various configurations. If the submitted implementation

704 includes additional code optimized for performance, and whose performance
705 results are reported in M4, then corresponding scripts should also be provided,
706 to enable reproducibility of results.

707 **X7. Other scripts (optional):** Optionally, other scripts to provide better insights
708 into the workings of the underlying primitives and threshold scheme.

709 **4.5. Main component M4: Experimental evaluation**

710 The package must include a report on experimental performance, obtained by executing the
711 provided code in the baseline platform (PF1), evaluating a representative set of configurations
712 supported by the proposed threshold scheme(s). The report must describe:

- 713 1. the experimental setting (see Section 4.5.1);
- 714 2. the measured performance (see Section 4.5.2); and
- 715 3. an analysis/interpretation of the results (see Section 4.5.3).

716 **4.5.1. Experimental setting**

717 The report must describe the expected performance characteristics of the experimental setting
718 (namely of the underlying hardware) supporting the baseline implementation platform PF1.
719 The description must describe at least the relevant expected characteristics of the (possibly
720 emulated) processor (e.g., instruction set, and clock frequency), communication network
721 (e.g., bandwidth, and latency), and memory (e.g., read and write speed).

722 The benchmarking can also include experimentation with different platforms (PF2, ...) of
723 the submitter's choice (motivated by real or conceivable applications). The performance
724 results obtained with these alternative platforms (to also be described) may be better or worst
725 than with PF1. For example, if there are more than eight parties and all require intensive
726 computing, then the testing in a platform with more than eight cores may provide better
727 results than with the baseline PF1.

728 **4.5.2. Measurements**

729 The evaluation of experimental performance should report, at least for platform PF1, at least
730 the following metrics:

- 731 • **Perf1. Memory complexity** (in # bytes required to be simultaneously stored).
- 732 • **Perf2. Processing time** (in seconds) and/or processing (e.g., # of processing cycles).

- 733 • **Perf3. Communication complexity** (in # communicated bytes).
- 734 • **Perf4. Networking time** (in seconds).
- 735 • **Perf5. Round complexity** (in # alternations of the direction of communicated messages).

736 The mentioned metrics should be evaluated and reported in (i) total per execution, (ii) per
737 identifiable phase of the protocol, and (iii) per party. The results can be reported across
738 various configurations, e.g., with distinct numbers of parties, and across two distinct security
739 strengths (e.g., 128 and 224–256 bits).

740 The reported measurements should include results obtained with the submitted “clear”
741 reference implementation (see Section 4.3). If the submission includes additional code
742 optimized for performance, then the corresponding results can be added to the measurements’
743 report. As prescribed in X7, all these benchmarking should be reproducible by a simple
744 execution of the submission-required scripts.

745 **4.5.3. Analysis**

746 The performance analysis should include a written explanation/interpretations of the ex-
747 perimental results, indicating expected or unexpected observations (e.g., some observed
748 correlation between some complexity metric and the number of parties). The comparison
749 of results across different configurations and/or experimental settings may be useful to
750 understand, test of verify tradeoffs and scalability of the system across different metrics.

751 **4.6. Main component M5: Additional Statements**

752 The packages must include certain statements (on intellectual property, agreements or dis-
753 closures) to ensure free worldwide availability of the submitted packages for public review
754 and evaluation purposes, and allowing derivative work and use, in particular for the possi-
755 ble future elaboration and publication of recommendations, guidelines and standards. The
756 concrete statements (to be included or referenced in the final version of this call) will be
757 aligned with the NIST [ITL Patent policy](#), and are likely to be similar to those used by the
758 NIST Post-Quantum Cryptography (PQC) project [[Proj-PQC](#)].

759 **5. Technical Requirements (T) for Submission of Threshold Schemes**

760 In addition to the structural [requirements for submission packages](#), the specification of
761 threshold schemes is subject to certain technical requirements (T1–T6) at a logical level.
762 The following are based on a previous call for feedback on criteria [[MPTC-Call2021a](#)].

763 **5.1. T1: Primitives**

764 A submitted specification must explain in [S6](#) the conventional (non-threshold) primitives
765 (e.g., decryption) that are the object of thresholdization. Each such primitive must be framed
766 within the subcategories structure established for Cat1 (see Sections [3.1](#) and [6](#)) and Cat2
767 (see Sections [3.2](#) and [7](#)). The primitive must also be explained within the scope of an
768 underlying conventional scheme, composed of various primitives. For example, a decryption
769 primitive of a **public-key encryption (PKE)** scheme relates to corresponding encryption and
770 key-generation primitives. The explanation of the primitive must define the corresponding
771 scope of *interchangeability*, to be considered by the proposed threshold scheme.

772 Notwithstanding the advantage of referenceability to NIST specifications, a submission
773 in Cat1 still needs to include a technical description of the primitives being thresholdized.
774 The description should try to follow the notation and operations specified in the cor-
775 responding NIST documentation. Some Cat2-submissions may require a more thorough
776 description, since their underlying non-threshold primitive is not part of a NIST specification.
777 The explanation should also include references to authoritative descriptions in publicly free
778 documentation (e.g., papers and standards).

779 **5.2. T2: System Model**

780 A proposal of threshold schemes must strive for a clear description that facilitates under-
781 standing various options across possible deployment scenarios. Therefore, the specification
782 of each submitted threshold scheme must describe (in [S7](#)) one system model (and may
783 identify possible variants), including the set of participants, the communication model and
784 the adversarial model (goals and capabilities). In addition to the actual “parties” that hold
785 the secret-shared keys, the system may include coordinators, administrators, clients and
786 other devices (e.g., routers, clocks, random-bit generators), etc. The model must also explain
787 how the parties are activated (e.g., via an authorized/authenticated client request, or by an
788 administrator). See also §2.3 of [NIST-IR8214A](#).

789 Some of the paragraphs ahead describe baseline assumptions and options for a system
790 model, with regard to participants (Section [5.2.1](#)), communication (Section [5.2.2](#)), and

791 adversary (Section 5.2.3). These assumptions are intended as a baseline, neither precluding
792 submissions with sophisticated nuances, nor eliminating the utility of security evaluation
793 across diverse deployment scenarios.

794 5.2.1. T2.1: Participants

795 **The parties in a threshold entity.** There is a “threshold entity” composed on n “parties”,
796 responsible for executing a cryptographic primitive. At the onset, all parties “know who” the
797 n parties are, agreeing on n identifiers (e.g., possibly public keys to support authenticated
798 channels). The suitability of public keys may need to be verified, locally or interactively,
799 possibly via zero-knowledge proofs, in the keygen phase or in subsequent proposed phases.

800 It is conceivable that a threshold scheme is bootstrapped without prior agreement of who the
801 n parties/identifiers are (or even what is value of n). However, said agreement problem may,
802 in some system models, be a distributed-systems problem outside the scope of exploring the
803 essential cryptographic thresholdization of the primitive at stake. Therefore, the assumption
804 of initial agreement on n identifiers is a possibility, not a requirement. A submission that
805 considers an additional preparatory phase for agreement of n and who the n parties are
806 should try to present said phase modularly separated from the remaining threshold scheme.

807 **Beneficiaries.** For some operations, such as threshold keygen, the *beneficiaries* of the
808 computation are the parties, who end with a new (secret sharing) state (possibly requiring
809 agreement in the sense of “security with **unanimous** abort”), and/or an administrator (e.g.,
810 who receives a new public key). For other operations, such as threshold signing, the
811 beneficiary can be an external client who requested the computation, to obtain an output.

812 **Client interface.** The client may or may not be aware of (and be able to interact distinctively
813 based on) the n -party threshold composition. This can be affected by the input/output (I/O)
814 interface (see §2.3 of NIST-IR8214A). For example, a secret-sharing of the I/O can affect
815 whether or not a client can separately send/receive input/output shares to/from each party.

816 **Intermediaries.** The possibility of **concurrent** execution requests must be considered. A
817 baseline description can assume that there is a possibly malicious **proxy** that can: interme-
818 diate the communication between clients and the threshold entity, and authorize requested
819 operations (e.g., the signing of a message).

820 **5.2.2. T2.2: Distributed Systems and Communication**

821 As long as the interface and rules for composition are clear, the specification of a threshold
822 scheme can (and is recommended to) decouple the description of (i) the building blocks
823 (e.g., consensus, reliable broadcast) of classical distributed-systems, from (ii) the description
824 of cryptographic operations needed to support the secure multiparty computation over (or
825 of) a secret-shared key.

826 The specification of instantiations of building blocks that make use of weaker resources (e.g.,
827 enabling broadcast based on point-to-point channels) can be provided by referencing existing
828 specifications, while evaluating the impact of those replacements. Then, the provided open-
829 source implementation (see Section 4.3) of the overall threshold scheme can include (with
830 proper attribution) open-source code from the referenced existing implementation of the
831 applicable building blocks. The protocol can also be described with various phases (e.g.,
832 offline, online, secret resharing), which may have differentiated requirements.

833 A baseline description can make strong assumptions about the communication network,
834 including synchrony and reliability of transmission. However, the proposal must discuss the
835 pitfalls of deployment in environments with weaker guarantees (e.g., with asynchronous and
836 unreliable channels), and possible mitigations.

837 Different threshold schemes may be better suited to different communication environments,
838 with dependence on guarantees (or lack thereof) of **synchrony**, **broadcast**, and **reliability**. It
839 is important to understand how security guarantees break across these environments.

840 **5.2.3. T2.3: Adversary**

841 The security analysis in S9 must consider a well-specified adversary, namely their goals and
842 capabilities. In particular, the specification must consider an adversary that:

- 843 1. **[active]** is able to corrupt parties (up to one or various specified corruption thresholds),
844 them controlling them to arbitrarily deviate from the prescribed multi-party protocol;
- 845 2. **[adaptive]** is able to decide which parties to corrupt after observing some of the
846 protocol execution; and
- 847 3. **[mobile]** persistently continues (attempting to) corrupt parties across multiple execu-
848 tions of the main protocol, possibly corrupting parties after they have been recovered
849 from a previous corruption.

850 The concrete ways in which the adversary performs corruptions may be related to other
851 system-model options (e.g., communication network). In practice, some of the adversary's

852 capabilities will be modeled as part of the idealization required in T3. The characterization
853 of threshold security may vary across various ranges of acceptable corruption thresholds
854 mentioned in item 1. Furthermore, the case of item 3 is intended to induce characterization
855 of various levels of insecurity (e.g., which properties break and which ones do not) when
856 acceptable thresholds are surpassed. The latter characterization may in particular be affected
857 by the use of proactive recovery mechanisms (see Section T4.3).

858 5.3. T3: Security Idealization

859 As mentioned in Section 3.3, provable security is a fundamental component of how modern
860 cryptography analyzes the security of proposed multi-party threshold schemes. Therefore,
861 the present call includes a requirement to include a security idealization that supports a proof
862 of security. Such idealization will encompass the security goals of the threshold scheme.
863 That said, there are aspects of security analysis that overflow the scope of a proof/idealization
864 and that should also be discussed.

865 A proposal of threshold scheme must be supported on a **simulation**-based and/or a **game**-
866 based security formulation. This entails defining an ideal **functionality** (e.g., in the ideal-real
867 simulation paradigm, within the universal composability framework) or/and an idealized
868 adversarial **game** (or set of games). Since security analysis is a multi-dimensional exercise,
869 it may include more than one form of idealization, and possibly even diverse proofs across
870 different nuanced security properties or formulations.

871 A submission must include, in S9, a “security proof” that the proposed threshold scheme
872 satisfies the proposed security formulation in a suitable adversarial context (see T4). Such
873 proof can be given by showing “emulation” of the ideal functionality, or by showing that a
874 non-negligible adversarial advantage in each security game implies breaking an assumption.

875 The security analysis must discuss which known useful properties are captured, and which
876 ones are not, by the idealized security formulation. For example, even though availability is
877 a desirable property, generically speaking, a security formulation with stronger emphasis
878 on confidentiality and integrity may purposely specify that an adversary is allowed to
879 abort protocol executions, so that the formulated security notion is achievable. As another
880 example (now of an unsuitable formulation), a sole requirement of hiding and binding for a
881 commitment scheme would not suffice for a use (e.g., committing bids in an auction) that
882 would also require a non-malleability property.

883 In both cases (simulation and game-based), the security analysis should also discuss the
884 security consequences of real implementation of idealized components. In particular, it must:

- 885 • identify the required cryptographic assumptions, and any possibly-idealized trusted
886 components in the setup or operations;
- 887 • discuss the (in)security consequences of foreseen real instantiations of the setup and
888 ideal components.

889 The “security analysis” (S9) asked in this call relates to the logical specification of the thresh-
890 old scheme (S6–S8), and not to the submitted reference implementation (M2). Nonetheless,
891 comments about implementation security are also welcome in the security analysis. Further
892 details about implementation security can be included in S13.

893 5.4. T4: Security Versus Adversaries

894 The security analysis in S9 must consider a well-specified adversary (see T2.3), namely their
895 goals and capabilities. In consideration of the modeled adversary (see T2.3), a proposed
896 threshold scheme must aim for certain security goals, particularly with regard to how the
897 adversary corrupts up to a corruption threshold number f of parties.

898 5.4.1. T4.1: Active Security (Against Active Corruptions)

899 Proposed threshold schemes **must** achieve **active security** (i.e., against active corruptions,
900 which enable corrupted parties to “maliciously” deviate from the protocol), as opposed to
901 *passive* only.

902 5.4.2. T4.2: Adaptive Security (Against Adaptive Corruptions)

903 There is a strong preference for considering threshold schemes that achieve **adaptive**
904 **security** (i.e., security against adaptively chosen corruptions), as opposed to *static* only,
905 with respect to critical safety properties (e.g., unforgeability [NIST-IR8214B-ipd, §5.2.3] and
906 key-secrecy). Therefore, submitted schemes should also aim for security against adaptive
907 corruptions for the major safety properties of interest.

908 Adaptive security may pose significant challenges in formal proofs of security, depending
909 on the security formulation. For example, while deniability of execution may in some
910 cases be required for indistinguishability between ideal and real executions, the use of
911 non-committing encryption to achieve it could be excessive without a necessary practical
912 benefit. On the other extreme, a proposed protocol must not allow the major safety properties
913 of interest to be trivially broken in case of adaptive corruptions, as in the classical example
914 of a protocol that delegates all capabilities to a small quorum that is difficult to guess in
915 advance, but whose overall corruption (by an adaptive adversary) would be disastrous.

916 The set of security formulations across submissions of threshold schemes (some possibly
917 proving adaptive security based on unrealizable assumptions, such as a programmable
918 random oracle) is expected to serve as reference material for public discussion. It is
919 acceptable that certain security assurances (e.g., liveness and termination options) vary
920 across different adversaries. For example, a security analysis may prove security against
921 static corruptions with respect to some formulation (e.g., simulation-based), and then in
922 complement show which fundamental security properties or attributes (e.g., unforgeability)
923 remain preserved against adaptive corruptions in another formulation (e.g., game-based),
924 even if some other security properties (e.g., some aspect of composability) are not preserved.

925 **Practical feasibility** is also needed. Feedback is welcome on security formulations and
926 reference approaches that simultaneously enable both practical feasibility and security
927 against adaptive corruptions, as well as possible acceptable tradeoffs.

928 **5.4.3. T4.3: Proactive Security (Against Mobile Attacks)**

929 The proposed threshold schemes should be compatible with modular subprotocols / mech-
930 anisms for **proactive** (and reactive) recovery, which attempt to recover possibly corrupted
931 parties back to an uncorrupted state. This is especially important to better handle a persistent
932 **mobile** adversary that continuously attempts to corrupt more parties. With respect to re-
933 freshing secret shares, the solutions can be based on a modularized phase of secret-resharing
934 (see T6), while also specifying the needed conditions (e.g., requirement of some initial/final
935 agreement by a qualified quorum) for its integration.

936 **5.5. T5: Threshold Profiles**

937 For each primitive (to be identified in S6, within the scope established in Sections 6 and 7)
938 considered for thresholdization, it may be useful to consider differentiated solutions across
939 possible threshold parametrizations. Therefore, it is useful to consider a “threshold profile”
940 that defines, for certain threshold-related parameters, which parametrization ranges are
941 suitable for secure operation. The threshold profile should characterize at least the total
942 number (n) of parties and the various thresholds (f) of corruption and (k) of participation.
943 Table 3 proposes succinct labels for each default profile obtained from a restriction in the
944 number of parties and the corruption threshold.

945 For convenience of discussion, the following nomenclature is defined to easily identify
946 some default threshold profiles, based on the total number of parties and/or some corruption
947 threshold (f) assumed clear in the context.

- 948 • **Number n of parties:** (2) “two” for $n = 2$; (3) “three” for $n = 3$; (S) “small” for
 949 $4 \leq n \leq 8$; (M) “medium” for $9 \leq n \leq 64$; (L) “large” for $65 \leq n \leq 1024$; and (E)
 950 “enormous” for $n > 1024$.
- 951 • **Corruption proportion f/n :** (D) “dishonest majority” for $f \geq n/2$; (h) “honest
 952 majority” for $f < n/2$; (H) “two-thirds honest majority” $f < n/3$.

953 **Table 3.** Labels for some template threshold profiles

Corruption proportion		Number of parties (n)					
f/n	Majority type	Two (2): $n = 2$	Three (3): $n = 3$	Small (S): $4 \leq n \leq 8$	Medium (M): $9 \leq n \leq 64$	Large (L): $65 \leq n \leq 1024$	Enormous (E): $n \geq 1025$
$\geq 1/2$	Dishonest (D)	$n2$	$n3fD$	$nSfD$	$nMfD$	$nLfD$	$nEfD$
$> 1/3$	Honest (h)	—	$n3fh$	$nSfh$	$nMfh$	$nLfh$	$nEfh$
$< 1/3$	2/3 Honest (H)	—	—	$nSfH$	$nMfH$	$nLfH$	$nEfH$

959 Note: the default profiles exclude the cases $f = 0$ and $f = n$. Therefore: for the “two”-party
 960 profile (with $n = 2$) — the usual secure two-party computation (S2PC) setting — only
 961 the “dishonest majority” case matters (with $f = 1$); for the “three”-party profile, the 2/3
 962 honest majority case does not apply. Other threshold profiles can be considered in concrete
 963 submissions. For example, some threshold schemes may have advantageous properties when
 964 considering an even stricter honest majority, such as more than 3/4 of honest parties.

965 A submission can focus on a single or on various threshold profiles. In particular, a protocol
 966 may be designed for *full threshold*, i.e., to ensure (for some range of number n of parties)
 967 some specific useful security notion regardless of the corruption threshold value f (with
 968 $f < n$) that it is instantiated with. In some of such cases it may be especially relevant to
 969 distinguish between corruption threshold and participation-minus-1 threshold. For each
 970 submitted threshold scheme, the system model (S7) and the security analysis (S9) must:

- 971 • characterize its proposed threshold profile(s), including discussing the diversity of
 972 thresholds associated with various security properties; and
- 973 • characterize the breakdown that occurs when threshold-profile assumptions are broken.

974 **Note on alternatives access structures.** Depending on which secret-sharing schemes
 975 support the distributed computation, it is possible to consider monotone access structures
 976 (i.e., where the superset of a valid quorum is also a quorum) different from a simple threshold.
 977 The use of the traditional term “threshold” in this call is not meant to suppress possible
 978 submissions for other useful and properly-justified access structures.

979 **Motivating adoption.** There is value in identifying motivating applications for the adoption
980 of threshold schemes in each threshold profile. Therefore, the submission should identify
981 (in [S13](#)) use-cases for which the proposed threshold ranges are adequate.

982 5.6. T6: Building Blocks

983 A submission should identify and modularize the description of building blocks (gadgets)
984 that can be securely replaced by other instantiations with similar interface. These may be
985 useful across various threshold schemes across various submissions. While some future
986 guidelines and recommendations documents may focus on gadgets, the decision to do so is
987 likely to be subordinate to their utility for concrete threshold schemes.

988 **Example building blocks.** A notable building block is Shamir **secret sharing** (and Lagrange
989 interpolation), either in the clear or homomorphically (e.g., “in the exponent”). Other secret
990 sharing variants may also be useful, such as verifiable or publicly-verifiable secret-sharing.
991 Other examples of gadgets include **garbled circuits, oblivious transfer, generation of**
992 **correlated randomness, commitments, secret resharing** (possibly for new values f and n),
993 **multiplicative-to-additive share conversion, additively homomorphic encryption, MPC**
994 or ZKP friendly hashing, some **zero-knowledge proofs, consensus and broadcast**.

995 **Modularized description.** To the extent possible, proposals of threshold schemes should
996 modularize the description of gadgets. This means that a high-level description of the
997 threshold scheme uses references to the interface and security properties of the gadgets, but
998 not necessarily to low-level details. A lower level description can then be made for one (or
999 more) possible instantiation of each needed gadget.

1000 **Modularized code.** The submitted open-source code (see Section [4.3](#)) must include code
1001 for at least one instantiation of each used building block. If the proposed system model
1002 depends on special hardware components (e.g., a router) beyond the threshold “parties”, the
1003 submission should also include code for emulating the special component.

1004 The challenges faced in (i) implementing networking between parties can be significantly
1005 different from those in (ii) implementing certain mathematical operations (cryptographic
1006 building blocks) per party. Also, neglecting any of these can lead to serious vulnerabilities.
1007 Therefore, it is strongly encouraged that there is a strong alignment between the proposed
1008 system model (see [T2](#) in Section [5.2](#)) and the provided implementation (see Section [4.3](#)),
1009 notwithstanding possible virtualizations to enable execution in a personal computer. For
1010 example, if a system model relies on broadcast, then the provided implementation should
1011 instantiate it in alignment with the assumptions of the proposed system model.

1012 **6. Cat1 primitives — Specified by NIST**

1013 Table 4 lists various Cat1 primitive-families of interest for thresholdization, organized in
 1014 various “types” (subcategories): Signing (Section A.1); PKE (Section A.2); ECC-2KA
 1015 (Section A.3); Symmetric (Section A.4); and Keygen (Section A.5). Within each type, each
 1016 listed “primitive family” (itself identified with a more detailed subcategory index) may
 1017 include several primitive variants (including ones not listed) and/or threshold modes, some
 1018 of which are listed (non-exhaustively) in the third column of Table 4. A submission of
 1019 threshold schemes fitting within a primitive family is not required to cover all indicated
 1020 variants or modes, and may instead focus on a single one.

1021 **Table 4.** Primitives of interest in subcategories of Cat1

1022	Subcategory: Type	(Sub)subcategory #: Family of primitives	Some [Primitives] and/or {Threshold Modes}	Section in this call
1023	C1.1: Signing	C1.1.1: EdDSA sign	[EdDSA, HashEdDSA] {Prob; Q-PR; F-PR (not FE); FE}	A.1.1
1024		C1.1.2: ECDSA sign	{Prob-FE; Q-PR; F-PR not-FE; PR-FE to Det-ECDSA}	A.1.2
1025		C1.1.3: RSADSA sign	[RSASSA-PSS; RSASSA-PKCS-v1.5]	A.1.3
1026	C1.2: PKE	C1.2.1: RSA encryption	[RSASVE.Generate, RSA-OAEP.Encrypt] {SSI}	A.2.1
1027		C1.2.2: RSA decryption	[RSASVE.Recover, RSA-OAEP.Decrypt] {NSS, SSO}	A.2.2
1028	C1.3: ECC-2KA	C1.3.1: ECC-CDH	{NSS; SSO}	A.3.1
1029		C1.3.2: ECC-MQV	[Full; One-pass] {NSS; SSO}	A.3.2
1030	C1.4: Symmetric	C1.4.1: AES (en/de)cipher	[encipher, decipher]	A.4.1
1031		C1.4.2: KDM/KC (for 2KE)	[Hash, CMAC, HMAC, KMAC]	A.4.2
1032	C1.5: Keygen	C1.5.1: ECC keygen	[For ECC-signing and ECC-2KA]	A.5.1
1033		C1.5.2: RSA keygen	[Just the modulus (mod); mod & keypair]	A.5.2
1034		C1.5.3: Bitstring keygen	[RBG for AES keygen, RSA-SVE, and nonces] {SSO}	A.5.3

1035 Legend: 2KE = pair-wise key-establishment. Det = deterministic. FE = functionally equivalent. F-PR = fully PR (i.e., deterministic
 1036 even if the quorum changes). KD/KC = key derivation and key confirmation mechanisms; NSS = input/output is not secret-shared
 1037 (i.e., apart from the key); PKE = public-key encryption. PR = pseudorandom. Prob = probabilistic. RBG = random-bit generation.
 1038 Q-PR = PR per quorum. SSI/SSO = secret-shared input/output (see §2.3 of NIST-IR8214A). SVE = secret-value encapsulation.

1039 There are significant differences in threshold-friendliness and usefulness across the Cat1-
 1040 primitives. For example, some symmetric-key primitives, such as HMAC and KMAC used
 1041 for key-confirmation, are much less threshold-friendly than primitives based on public-key
 1042 cryptography for signing and encryption/decryption. These differences are expected to affect
 1043 the interest of stakeholders in submitting corresponding threshold schemes. Threshold-
 1044 friendlier primitives can be considered in Cat2, as already conveyed in Table 2 in Section 3.2.

1045 **6.1. Input/Output (I/O) Interfaces**

1046 As discussed in §2.3 of [NIST-IR8214A](#), threshold schemes can be considered in various
1047 modes with regard to the I/O interface. By default, a threshold keygen scheme produces a
1048 secret-shared output (SSO), i.e., a secret-shared secret/private key, and (when applicable) a
1049 corresponding not-secret-shared (NSS) public-key counterpart. Then, a subsequent threshold
1050 operation (e.g., signing) uses the private/secret key in a secret-shared input (SSI) manner.
1051 The mentioned secret-sharings (SSO and SSI) of the private/secret key are often left implicit.
1052 However, the secret-sharing of other input/output (that may itself be subject to confidentiality
1053 requirements) is relevant in some use cases, to hide said input/output from the threshold
1054 entity. Some of these SSI/SSO modes are explicit in Table 4. For example:

- 1055 • a threshold decryption scheme can be in SSO mode to hide the decrypted plaintext;
- 1056 • a threshold public-key encryption (exceptional case where there is no private key) can
1057 be in SSI mode to hide some secret key being encapsulated;
- 1058 • a threshold CDH or MQV ECC key-agreement primitive may produce a SSO to hide
1059 the agreed key before it is subject to a final key-derivation (KD) transformation;
- 1060 • a threshold signature scheme can be in SSI mode to hide the message being signed
1061 (not shown in Table 4).

1062 A submitted specification of a threshold scheme must unequivocally identify which I/O
1063 parameters need to be in secret-shared form and which ones need not.

1064 **6.2. Cryptographic Parameters**

1065 Submitted threshold schemes should be implemented and evaluated with one set of pa-
1066 rameters for security strength $\kappa \approx 128$, and another one for some security strength $\kappa \in \approx$
1067 $[224, 256]$). Table 5 lists recommended options for cryptographic parameters.

1086 **6.2.1. Elliptic Curves, for ECC-related Primitives**

1087 NIST-approved curves for elliptic-curve cryptography are specified in [SP800-186-Draft](#).
1088 There are various representations and curves over prime fields, including

- 1089 • Weierstrass: P-256, P-384, P-521, W-25519, W-448
- 1090 • Montgomery: Curve25519, Curve448
- 1091 • Twisted Edwards: Edwards25519, Edwards448, E448

Table 5. Recommended implementation parameters for Cat1 primitives

1068

1069	Parameter type	Primitives using said parameters	For $\kappa \approx 128$	For $\kappa \gtrsim 224$
1070	Elliptic curve	EdDSA signing and keygen	Edwards25519	Edwards448
1071		ECDSA signing and keygen	P-256	P-521
1072		ECC CDH/MQVfor 2KA, and keygen	{Curve25519, P-256}	{Curve448, P-521}
1073	RSA modulus size	RSADSA, RSA PKE, and their keygen	$ N = 3,072$	$ N \geq 11,264$ *
1074	RSA enc./ver. key	RSA-related	$2^{16} < e < 2^{256}$	$2^{16} < e < 2^{256}$
1075	Hash function	EdDSA signing	SHA-512	SHAKE256 (len 512, 912)
1076		ECDSA/RSADSA; HMAC for KDM/KC	SHA-256, SHA3-256,	SHA-512, SHA3-512
1077			SHA-512/256	
1078			SHAKE128 (len 256)	SHAKE256 (len 512)
1079	KMAC	for KDM and KC	KMAC128	KMAC256
1080	Cipher	KC (for RSA or ECC), encipher/decipher	AES-128	AES-256
1081	AES key-size	AES encipher/decipher/keygen/CMAC	$ k = 128$	$ k = 256$

1082 Legend: κ = standardized “security strength” (in bits). enc./ver. = encryption/verification. len = length.

1083 * The RSA modulus length $|N|$ must be a multiple of 8; this call further suggests that it be a multiple of 512.

1084 Approved hash functions or XOFs are specified in [FIPS-180-4](#), [FIPS-202](#), and [SP800-185](#), but only a subset
 1085 of them are suggested in this call. A XOF with predetermined length (len) can also be called a hash function.

1092 A submission of threshold scheme for an ECC-based primitive should include an implemen-
 1093 tation based on at least one curve for security level for $\kappa \approx 128$, and another for $\kappa \gtrsim 224$,
 1094 from the subsets detailed in Table 5. The curves W- x (for some x) and E448 do not appear
 1095 in Table 5, as they are only intended for possible intermediate representations.

1096 Note that [SP800-186-Draft](#) also specifies curves over binary fields (in short-Weierstrass form,
 1097 namely Koblitz curves (K-163, K-233, K-283, K-409, K-571) and some pseudorandom
 1098 curves (B-163, B-233, B-283, B-409, B-571). However, these are for legacy-only appli-
 1099 cations, and have been deprecated due to their limited adoption. Therefore, these are not
 1100 recommended for submissions of threshold schemes.

1101 **Additive notation.** In elliptic-curve cryptography, it is customary to use additive group
 1102 notation. There, a public key Q can be determined by a repeated sum of the base-point G ,
 1103 a secret number d of times. The repeated-sum operation is (in additive notation) usually
 1104 expressed as a multiplication by an integer. Thus, the private key d is the integer (not an
 1105 elliptic curve element) needed to be multiplied with G to obtain $Q = d \cdot G$.

1106 **On the set of suggested curves for 2KA.** [SP800-56A-Rev3](#) (from 2018) considers (in
 1107 its Table 24 in Appendix D) various curves for ECC key-agreement. Apart from Koblitz

1108 (K- x) and pseudorandom (B- x) curves that have been deprecated by [SP800-186-Draft](#), the
1109 Weierstrass curves (P- x) remain valid. From the latter, P-256 and P-521 cover the cases
1110 for security levels $\kappa \approx 128$ and $\kappa \gtrsim 224$. The recent [SP800-186-Draft](#) also specifies new
1111 Montgomery curves Curve25519 and Curve448, and references the IRTF [RFC7748](#) where
1112 those curves are suggested for use in 2KA. Despite their current potential for adoption, the
1113 older [SP800-56A-Rev3](#) does not include the new Montgomery curves (from the more recent
1114 [SP800-186-Draft](#)) in the list of approved curves for 2KA. Therefore, for Cat1-submissions
1115 of threshold schemes for ECC-2KA (subcategory [C1.3](#)): (i) the reference implementation
1116 should use at least the approved Weierstrass curves (P-256, P-521); (ii) a complementary
1117 suggestion is that Montgomery curves (Curve25519, Curve448) also be implemented to
1118 allow for a comparison across the uses of the two types of curves.

1119 **6.2.2. RSA Modulus, for RSA-related Primitives**

1120 A submission of threshold schemes for RSA-related primitives (for signing, key-encapsu-
1121 lation or decryption): should provide implementations with moduli of size $|N| = 3072$
1122 for $\kappa \approx 128$, and $|N| \geq 11,264$ (or greater) for $\kappa \approx 224$ (or greater, respectively). Note:
1123 [SP800-56B-Rev2](#) uses the symbol s , instead of κ , to denote the “security strength” (in bits).

1124 The recommended RSA-modulus length $|N|$ for security parameter $\kappa \gtrsim 224$ was obtained,
1125 from exponential interpolation between the cases (specified in [SP800-57-P1-R5](#)) using $|N_1| =$
1126 7680 for $\kappa_1 = 192$, and $N_2=15,360$ for $\kappa_2 = 256$, and rounding up to the nearest multiple
1127 of 512. The used formula is $|N| = 512 \cdot \lceil |N_1| \cdot (\kappa/\kappa_1)^a / 512 \rceil$, where $a = \log_{(\kappa_2/\kappa_1)}(N_2/N_1)$.
1128 This is also the value that would be obtained by rounding up the result provided by the FIPS
1129 140-2 implementation guidance [[IG-FIPS-140-2](#), §7.5, page 125].

1130 NIST-specified requirements for the prime factors of an RSA modulus, and their primality
1131 testing, are described in Appendices A.1 and C of [FIPS-186-5-Draft](#), for single-party genera-
1132 tion. For threshold schemes that warrant different methods (e.g., direct biprimality testing),
1133 a rationale must be presented to convey why the used test (including the number of rounds)
1134 is appropriate. In particular, it is acceptable that the RSA modulus be biased toward being a
1135 Blum integer, i.e., with both primes being 3 mod 4.

1136 7. Cat2 Primitives — Not Specified by NIST

1137 Cat2 allows for submissions of threshold schemes for primitives that are not specified by
1138 NIST. This category is aimed to allow for the consideration of primitives that are threshold-
1139 friendlier than those in Cat1, and/or that have distinctive features, such as being based on
1140 distinct cryptographic assumptions (possibly being quantum-resistant), or having advanced
1141 functional features. Section 3.2 already enumerated the subcategories and listed some
1142 examples (see Table 2). A submission in Cat2 must provide a thorough description of the
1143 corresponding conventional (non-threshold) scheme that the primitive (being thresholdized)
1144 is part of. For example: a submission of threshold scheme for a signing primitive not
1145 specified by NIST must include a description of not only the conventional signing primitive
1146 but also its corresponding verification and keygen primitives.

1147 7.1. “Regular” Primitives (Subcategories C2.1–C2.5)

1148 As already enumerated in Section 3.2 (including listed in Table 2), Cat2 covers five regular
1149 types of primitives across subcategories C2.1 (for signing), C2.2 (for PKE), C2.3 (for
1150 key-agreement), C2.4 (for symmetric-key and hashing primitives) and C2.5 (for keygen).

1151 Since selected candidates from the NIST PQC and Lightweight Cryptography (LWC) pro-
1152 jects [Proj-PQC; Proj-LWC] are not yet standardized, possible threshold schemes for their
1153 primitives can be presented in the scope of Cat2, specifically in their matching subcategories:
1154 C2.1 (signatures) and C2.2 (public-key encryption) for PQC; C2.4 (symmetric-key and
1155 hashing primitives) for LWC. However, the present call is also intended to elicit submissions
1156 for threshold schemes for primitives that are threshold-friendlier. Submissions of threshold
1157 schemes for quantum-resistant primitives should include a comparison with the security
1158 levels (1–5) defined by the NIST PQC project [Proj-PQC].

1159 Subcategory C2.3, for single-party primitives for use in multi-party key-agreement, also
1160 expects possible submissions of TF-QR type. Such submissions should demonstrate the
1161 use of the thresholdized primitives in the scope of an actual key-agreement application.
1162 Compared to NIST-standardized KA protocols, submissions in this sub-category may enable
1163 improved KA schemes, justified based on different assumptions.

1164 **Note on PKE versus KA.** Primitives within subcategory C2.2 for PKE can be used
1165 for multi-party key-establishment protocols, by allowing the confidential transmission
1166 of a contribution to a key. The subcategory C2.3 for KA (within Cat2) is intended for
1167 complementary primitives, such as those that may enable key-exchange protocols *a la*

1168 Diffie-Hellman, though possibly based on different assumptions (e.g., to be QR) or for more
1169 than two parties. Therefore, the subcategory C2.3 for KA excludes the key-transport-only
1170 mechanisms (whose main cryptographic primitive is already scoped by PKE).

1171 7.2. “Other” Primitives/Schemes (Subcategories C2.6–C2.8)

1172 Beyond the “regular” type of primitives (covered by Cat1 and Cat2), there are “other” types
1173 of primitives covered by Cat2, namely “advanced” primitives (C2.6; see Sections 7.2.1
1174 and A.6), “ZKPoKs” (C2.7; see Sections 7.2.2 and A.7) and “auxiliary gadgets” (C2.8;
1175 see Sections 7.2.3 and A.8). The subcategories for ZKPoK (C2.7) and gadgets (C2.8) are
1176 meant to allow for the submission of primitives that can support the threshold setting. Such
1177 a submission requires the specification of a conventional (non-threshold) primitive (see S6),
1178 but (in contrast with other subcategories) the specification of a threshold scheme is optional.

1179 7.2.1. Cat2 subcategory C2.6: “Advanced”

1180 Subcategory C2.6 (see more details in Section A.6) is suited for primitives with *advanced*
1181 *functional features* that are not covered by current NIST standards. For example, an
1182 encryption scheme may allow (i) homomorphically performing operations over encrypted
1183 data (possible with fully-homomorphic encryption), or (ii) selectively restricting the ability
1184 for decryption to designated sets of recipients (possible with identity-based and attribute-
1185 based encryption). A submission in subcategory C2.6 should present a strong rationale for
1186 the utility of the enhanced features, compared to what is possible with primitives in the
1187 other subcategories. Since quantum resistance is a strongly desirable feature, a submission
1188 without such a property is encouraged to specifically present rationale about the lack of
1189 good TF-QR alternatives.

1190 7.2.2. Cat2 subcategory C2.7: ZKPoK

1191 Subcategory C2.7 (see more details in Section A.7) allows for the submission of **zero-knowl-**
1192 **edge proofs of knowledge** (ZKPoKs) that can support the threshold environment. For
1193 example, they may be useful to prove knowledge of a secret/private key or input that is
1194 consistent with:

- 1195 • a public-key and/or with the public commitments of secret-shares;
- 1196 • the output of a cryptographic operation (e.g., public-key encryption, AES enciphering,
1197 or KDM hashing), when the input was secret-shared and committed.

1198 The generation of a ZKPoK can be considered both in conventional (non-threshold) and in
1199 threshold forms. For example:

1200 • **[Conventional generation]** A dealer (single-party) of a secret-sharing (SS) can
1201 produce a ZKPoK that enables the various parties of a threshold entity (recipients of
1202 secret-shares) to non-interactively verify that the SS is adequate;

1203 • **[Threshold generation]** The set of parties that interacted in a DKG to obtain a secret-
1204 sharing of a secret/private-key, and when applicable also obtain a corresponding
1205 public-key, can interact in an MPC to distributively generate a ZKPoK string that
1206 proves access to (i.e., knowledge of, albeit in a threshold manner and despite the secret-
1207 sharing aspect possibly remaining hidden from the proof) an adequate secret/private
1208 key consistent with a corresponding public commitment (possibly the public key) of
1209 the given threshold scheme.

1210 (Note that the latter example is dissociated from a conceivable proof of distributed
1211 generation of a key, which can be considered if tied to public keys of the intervening
1212 parties, believed to not reveal their private keys.)

1213 The above two examples have similarities with, respectively, (i) verifiable secret sharing
1214 (VSS), which can also be extended to publicly verifiable secret-sharing (PVSS), and (ii)
1215 publicly verifiable MPC. Said verifiable features are welcome in submitted threshold schemes,
1216 and may (preferably) be included as part of a submission more focused on one of the other
1217 subcategories, while identifying the applicability of the ZKPoK to the present subcategory.
1218 A submission that simply focuses in subcategory [C2.7](#) must specify at least a conventional
1219 ZKPoK, and may (optionally) specify a corresponding threshold version thereof.

1220 **7.2.3. Cat2 subcategory [C2.8](#): Auxiliary Gadgets**

1221 Subcategory [C2.8](#) (see more details in Section [A.8](#)) allows for the submission of specifi-
1222 cations of other auxiliary primitives, here called *gadgets*. They may be auxiliary in their
1223 conventional (non-threshold) form and/or in a threshold form. Gadgets can be modularized
1224 in the submission of a higher-level threshold scheme associated with another subcategory
1225 within Cat1 or [C2.1–C2.7](#). Such modularization is already recommended by criterion [T6](#)
1226 (in Section [5.6](#)) for various gadgets (e.g., those enumerated in §4.5.2 of [NIST-IR8214B-ipd](#)
1227 and §5.3.1 of [NIST-IR8214A](#)) whose underlying primitives (e.g., garbled-circuit generation,
1228 garbled circuit evaluation, commit, decommit) are not themselves thresholdized.

1229 **A. Details for Subcategories and Primitives of Interest**

1230 **A.1. Subcategory C1.1: Cat1 Signing**

1231 The three **Cat1**-signing primitives of interest are from EdDSA, ECDSA, and RSADSA.
1232 Submissions in this subcategory should take in consideration the aspects of unforgeability
1233 and threshold security mentioned in [NIST-IR8214B-ipd](#) (while some aspects are specific to
1234 EdDSA, others are applicable to generic signature schemes). For example, it is useful to
1235 differentiate between regular unforgeability and strong unforgeability.

1236 **A.1.1. Subcategory C1.1.1: EdDSA Signing**

1237 EdDSA is specified in §7 of [FIPS-186-5-Draft](#). The default signing mode is pseudorandom,
1238 determining the secret nonce r as a hash output whose pre-image includes a nonce-derivation
1239 key v . Ignoring some encoding details, the algorithm for EdDSA signing $\text{Sign}_n[s, v](M)$
1240 of a message M outputs a signature $\sigma = (R, S)$, where $R = r \cdot G$, G is the conventioned
1241 base-point of the elliptic curve, $r = H(v, M)$, H represents a cryptographic hash function,
1242 $S = r + \chi \cdot s$, $\chi = H(R, Q, M)$ is the “challenge”, and s is the private signing key (integer)
1243 needed to be multiplied with G to obtain the public-key Q .

1244 A submission of threshold scheme for EdDSA signing: can choose to implement just one
1245 of or both HashEdDSA and EdDSA types (defining whether or not the message is “pre-
1246 hashed”); should provide implementations with curves Edwards25519 (for $\kappa \approx 128$) and
1247 Edwards448 (for $\kappa \approx 224$), which are specified in [SP800-186-Draft](#); and must include only
1248 schemes that are interchangeable with regard to EdDSA verification (see related notes in
1249 [NIST-IR8214B-ipd](#)). With respect to nonce generation, submissions are expected to include
1250 one or more of the following modes:

- 1251 1. **Probabilistic** (via a random or hybrid contribution per party)
- 1252 2. **Pseudo-random per quorum** (via a ZKP of pseudorandom contribution per party)
- 1253 3. **Pseudo-random** (based on a threshold-friendly PRF)
- 1254 4. **Functionally equivalent to HashEdDSA** (via MPC hashing)

1255 **Note.** An SSI mode for threshold signing is costly because it requires a distributed com-
1256 putation of a threshold-non-friendly hash of the message. However, if the regular NSS
1257 mode already requires such type of difficult computation (which is the case in functionally-
1258 equivalent EdDSA threshold signing), then the SSI mode may be achieved with a simple
1259 extension, using the gadgets already required for the NSS mode.

1260 **A.1.2. Subcategory C1.1.2: ECDSA Signing**

1261 ECDSA is specified in §6 of [FIPS-186-5-Draft](#). The default signing mode is probabilistic
 1262 (§6.3.1), but there is also a deterministic ECDSA mode (§6.3.2). Table 6 shows how the
 1263 meanings of some symbols change significantly between EdDSA and ECDSA.

1264 **Table 6.** Notation of EdDSA versus ECDSA (in Draft FIPS 186-5)

1265	Element’s role	In EdDSA	In ECDSA
1266	Signature	(R, S)	(r, s)
1267	Private† key	s	d
1268	Secret nonce	r	k
1269	[Final]‡ nonce commitment	R	r
1270	Challenge	χ	e

1271 † EdDSA also uses d , but for the precursor private-key from which the signing key s and another
 1272 nonce-derivation key are obtained. ‡ The use of [final] is to convey that it is the actual value output in the
 1273 signature. It is an encoding of other intermediate computed values that are themselves also commitments
 1274 to the nonce. In particular, in ECDSA one of the intermediate values is denoted with symbol R .

1275 Ignoring some encoding details, the algorithm for ECDSA signing $\text{Sign}_n[d](M)$ of a mes-
 1276 sage M outputs a signature $\sigma = (r, s)$, where d is the private signing key (the integer
 1277 needed to be multiplied with the base-point G to obtain the public-key Q); the “challenge”
 1278 $e = \text{Encode}_n^{(1)}(\text{Hash}(M))$ is an encoding (mod n) of the hash of the message being signed;
 1279 $k \leftarrow^{\$} [1, \dots, n - 1]$ is (in the probabilistic version) a uniformly selected nonce that needs to
 1280 remain secret; $R = k \cdot G$ is the “nonce commitment” and $r = \text{Encode}_n^{(2)}(R)$ is a corresponding
 1281 encoding (mod n); and $s = k^{-1} \cdot (e + r \cdot d) \pmod{n}$.

1282 A submitted threshold scheme for ECDSA signing should provide an implementation
 1283 with at least one parametrization for $\kappa \approx 128$ and another for $\kappa \gtrsim 224$, with parameters
 1284 recommended in Table 5. With respect to nonce generation, submissions are expected to
 1285 include at least one of the following modes:

- 1286 1. **Probabilistic** (via random or hybrid contributions per party)
- 1287 2. **Pseudo-random per quorum** (via a ZKP of pseudorandom contribution per party)
- 1288 3. **Pseudo-random** (based on a threshold-friendly PRF)
- 1289 4. **Pseudo-random functionally equivalent to Deterministic ECDSA** (via MPC hashing)

1290 **Note on SSI-signing:** In the case of SSI-signing for Deterministic ECDSA, the client
 1291 can directly provide a secret-shared challenge (the hash e of the message), whereas in
 1292 (Deterministic) EdDSA the pseudorandom challenge χ requires knowledge of a nonce

1293 commitment that depends on a private element not known by the client. Note that signature
1294 verification still requires the ability to hash the message.

1295 **A.1.3. Subcategory C1.1.3: RSADSA Signing**

1296 RSA signature modes are specified in §5.4 of [FIPS-186-5-Draft](#), by reference to IETF [RFC8017](#).
1297 A submission for the RSADSA signing family is expected to implement a threshold signature
1298 scheme that is interchangeable with at least one of the following modes:

- 1299 1. RSASSA-PSS (probabilistic signature scheme), using an approved hash function or XOF
- 1300 2. RSASSA-PKCS-v1.5 (deterministic), using an approved hash function

1301 **A.1.4. Signing in Secret-Shared-Input (SSI) Mode**

1302 In an SSI-signing mode, no single-party (nor any collusion up to a certain number of parties)
1303 of the threshold entity will learn the hash of the message. This is akin, though not the same
1304 as, what is achieved with blind signatures. The difference is that in the threshold setting it is
1305 possible that a large enough collusion of parties is able to reconstruct the input message.

1306 The SSI mode may be of use, for example, for private-preserving time-stamping, producing
1307 a certificate interchangeable with those produced by the conventional protocol where the
1308 authority learns the hash of the document being timestamped.

1309 The threshold-generation of signatures in SSI mode may pose challenges with regard to
1310 unforgeability. For example, a protocol must prevent that a malicious party that maliciously
1311 changes their secret-share would affect the overall message being signed, i.e., must prevent
1312 the signing of a message whose signature has not been requested. Such challenges may
1313 be resolved based on various techniques, including zero-knowledge proofs, or based on
1314 verifiability or error correction properties of the secret-sharing. For example, each party can
1315 prove that their interaction in the distributed computation is consistent with a secret-share
1316 that has been certified by the client, with regard to the ongoing signing session.

1317 **A.2. Subcategory C1.2: Cat1 Public-Key Encryption (PKE)**

1318 The PKE cryptosystem of interest is RSA. The main use case considered for RSA encryp-
1319 tion/decryption is pair-wise key-establishment (2KE), as specified in [SP800-56B-Rev2](#). 2KE
1320 can take the form of a key-agreement (KA) type of protocol (with contributions from both
1321 parties) or be more simply based on key-transport (KT) type of protocol (with contribution
1322 from a single party). For RSA-based instantiations, both types of protocol rely on secret-
1323 value encapsulation (SVE), where RSA encryption is used to encapsulate a secret value

1324 k (also denoted as a plaintext m) into a ciphertext c , which is then sent to another party
1325 for decryption. Ignoring some encoding details, the low-level RSA-based cryptographic
1326 primitives of interest are:

- 1327 • **RSA encryption primitive (RSAEP):** Encryption $c = m^e \bmod N$ (transforming a
1328 plaintext m into a ciphertext c). A threshold version of it uses a secret-shared input m
1329 (SSI) and a not-secret-shared public encryption key.
- 1330 • **RSA decryption primitive (RSADP):** Decryption $m = c^d \bmod N$. A threshold version
1331 of it uses a secret-shared private-key d (which is never reconstructed); the threshold
1332 operation produces an output that is either secret-shared (SSO) or not (NSS).

1333 Additional relevant primitives include:

- 1334 • Generation of an RSA modulus and/or key-pair (see Section A.5.2).
- 1335 • Generation of a random bit-string (see Section A.5.3).

1336 The values generated in SSO mode are for subsequent consumption in SSI mode.

1337 **A.2.1. Subcategory C1.2.1: RSA Encryption (of a Secret-Value)**

1338 Threshold schemes in this call are intended to operate over secret-shared material. Therefore,
1339 in the case of public-key encryption the secret-sharing does not usually apply to the public
1340 key. However, the application of key-encapsulation for key-transport/agreement uses the
1341 plaintext itself (being encrypted) as a value whose confidentiality requirement may warrant
1342 threshold protection. By default, a threshold scheme for such encryption will be in “secret-
1343 shared input” (SSI) mode (see [NIST-IR8214A]) with regard to the value being encrypted,
1344 but will not secret-share the public key (to be known by every party).

1345 The basic **RSA encryption primitive (RSAEP)** computes a ciphertext $c = m^e \pmod{N}$,
1346 where m is a secret plaintext, e is the public encryption key, and N is the public modulus.
1347 The goal is to compute c from a secret sharing $[m]$ of m . For interchangeability with regard to
1348 a subsequent decryption, an actual full-fledged threshold scheme for RSA key encapsulation
1349 should consider all of the appropriate encoding and padding details. In *SP800-56B-Rev2*, the
1350 primitive RSAEP (§7.1.1) is specified for use within two higher-level primitives:

- 1351 1. **RSASVE.Generate** (§7.2.1.2): **RSA for Secret-Value Encapsulation** (which also
1352 includes the generation of the random key to encapsulate)
- 1353 2. **RSA-OAEP.Encrypt** (§7.2.2.3): **RSA with Optimal Asymmetric Encryption Padding**

1354 **A.2.2. Subcategory C1.2.2: RSA Decryption**

1355 [SP800-56B-Rev2](#) specifies the use of RSA decryption in two higher-level primitives:

- 1356 1. RSASVE.Recover (§7.2.1.3): Secret-Value Encapsulation recovery
- 1357 2. RSA-OAEP.Decrypt (§7.2.2.4): Optimal Asymmetric Encryption Padding decryption

1358 The RSA decryption primitive, RSADP(**privKey**, c), used to decrypt a ciphertext c , accepts
1359 the private decryption key **privKey** [[SP800-56B-Rev2](#), §6.2.2] in three possible formats:

- 1360 1. Basic format: (n, d)
- 1361 2. Prime-factor format: (p, q, d)
- 1362 3. Chinese-remainder theorem (CRT) format: $(n, e, d, p, q, dP, dQ, qInv)$

1363 The notation [[SP800-56B-Rev2](#), §3.2] is as follows: n is the public modulus; (p, q) is the pair
1364 of secret prime factors of n ; d is the private decryption key; e is the public encryption key;
1365 dP is $d \bmod (p - 1)$; dQ is $d \bmod (q - 1)$; and $qInv$ is the inverse of $q \bmod p$.

1366 **A.2.3. Implementation Recommendations and Options**

1367 A submitted threshold scheme for RSA encryption or decryption primitives should include
1368 an implementation in the scope of an RSA-based 2KE protocol, as follows:

- 1369 • With an instantiation for $\kappa \approx 128$ and another for $\kappa \gtrsim 224$ (see [Table 5](#)).
- 1370 • Showcasing at least one of the key-establishment protocols listed in [Table 7](#), with at
1371 least one of the parties (U , or V) being threshold-decentralized;
- 1372 • If implementing threshold RSADP:
 - 1373 – secret-sharing the decryption key, for at least one of the three approved formats
1374 (Section [A.2.2](#)); the public elements (n and e) do not need to be secret shared;
 - 1375 – outputting the plaintext (the key that was encapsulated) in one of two forms:
1376 secret-shared, or not secret-shared.
- 1377 • If implementing threshold RSAEP: using an SSI mode for the plaintext.

1378 **The various RSA-2KE schemes.** [SP800-56B-Rev2](#) specifies various RSA-2KE schemes.
1379 Two are of the *key agreement* (KA) type (obtaining contributions from both parties), whereas
1380 another one is based on *key transport* (KT) using a contribution from a single party. [Table 7](#)
1381 lists, across these three schemes, the corresponding RSA-based operations (excluding
1382 needed RSA key-pair generation). Each of the listed schemes allows for a basic version,

1383 and a version with key confirmation (unilateral or bilateral, not based on RSA). The KDM
 1384 operation specified for KA schemes is not RSA based.

1385 **Table 7.** RSA-based primitives per party per RSA-2KE scheme

1386	Type	Scheme	§ in SP 800 -56B-Rev2	Party	RSA-based primitive	KDM needed?
1387	KA	KTS1	§8.2	1st contributor (U)	RSASVE.Generate	Yes
1388				2nd contributor (V)	RSASVE.Recover	
1389		KTS2	§8.3	Any	RSASVE.{Generate & Recover}	
1390	KT	KTS-OAEP	§9.2	Sender (U)	RSA-OAEP.Encrypt	No
1391				Receiver (V)	RSA-OAEP.Decrypt	

1392 In KTS1, one party (U) uses RSASVE.Generate to generate and encrypt a secret value Z ,
 1393 and the other party (V) uses RSASVE.Recover to decrypt Z . The latter party then contributes
 1394 a non-encrypted nonce N_V . (Per §5.4 of SP800-56B-Rev2, the nonce used in KTS1 should
 1395 be random.) Both the secret value and the nonce are then used as input to a KDM, which
 1396 produces a final agreed key k (not to be confused with the nonce k of ECDSA). In KTS2,
 1397 the clear-text nonce from party V is replaced with an encapsulated key, therefore requiring
 1398 both parties to implement both RSASVE.Generate and RSASVE.Recover. Both KTS1 and
 1399 KTS2 include a subsequent KDM, either in a one-step version or a two-step version, which
 1400 transforms the pair of contributions (Z and N_V) into a final derived key k . A threshold keygen
 1401 can consider the generation of Z and/or N_V in SSO mode Section A.5.3, if they are to then
 1402 be consumed in SSI mode by the subsequent KDM.

1403 The KTS-OAEP scheme does not use a KDM. Instead, the output key is decided by one of
 1404 the parties, who then sends it encrypted to the other party. The threshold modes of interest
 1405 for KTS-OAEP depend on the primitive, as follows:

- 1406 • RSA-OAEP.Encrypt with the plaintext (a key to be encapsulated) in SSI mode.
- 1407 • RSA-OAEP.Decrypt with the plaintext (the key that was encapsulated) in SSO mode.

1408 Each 2KE scheme can be implemented in either a basic form (without key confirmation), or
 1409 with KC in either a unilateral or bilateral manner. Both KDM and KC primitives rely on
 1410 hash-functions of symmetric-key cryptography (see Section A.4.2).

1411 SP800-56B-Rev2 also specifies that any of the mentioned RSA-2KE schemes (KTS1, KTS2,
 1412 and KTS-OAEP) can be followed by a key transport where the established key is wrapped

1413 with an approved (symmetric-key based) key-wrapping algorithm [SP800-38F]. However,
1414 threshold-wise said key-wrapping algorithms are more-unfriendly than KTS-OAEP.

1415 **On the ability to bias the key in a 2KE protocol.** The various mentioned NIST-specified
1416 protocols allow one of the parties to significantly bias the result. Specifically, the second
1417 contributor party in the KTS1 and KTS2 protocols can brute-force its contribution to bias
1418 several bits (e.g., 40 bits, at a parallelizable computational cost of approximately 2^{40} KDM
1419 operations). In KTS-OAEP the sender fully determines the key being transported. This is
1420 contrast with Blum-style coin-flipping protocols, where the contribution from each party is
1421 only revealed once the contribution from the other party is committed to, thus implying that
1422 an honest party can guarantee that the output is not biased (up to abort by the other party).

1423 **A.3. Subcategory C1.3: Cat1 ECC Primitives for Pair-Wise Key-Agreement (2KA)**

1424 **Pair-wise key-agreement (2KA).** SP800-56A-Rev3 specifies various pair-wise (i.e., two-
1425 party) key-establishment (2KE) schemes of the KA-type (where the final key depends on
1426 contributions from the two parties), based on discrete logarithm cryptography. In a 2KA
1427 scheme, each party uses their own private key(s) and the public key(s) from the other party, to
1428 first obtain an intermediate common secret Z , and then applies a transformation to obtain a
1429 final key (called *DerivedKeyingMaterial*) k that is equal to the one obtained by the other party
1430 (not to be confused with the nonce k of ECDSA).

1431 In some NIST publications the intermediate secret Z is referred to as a “shared” secret,
1432 meaning it is known by both parties of the 2KA. This should not be confused with the case of
1433 a “secret-shared” Z when “thresholdizing” (i.e., decentralizing) one of the original parties.

1434 Each 2KA protocol specified in SP800-56A-Rev3 can be described with up to three phases:

- 1435 1. **A public-key cryptography (PKC) phase**, where the parties interact to determine an
1436 intermediate common secret Z .
- 1437 2. **An asymmetric-key cryptography phase**, where each individual party uses a *key-*
1438 *derivation mechanism* (KDM) to derive a final key k .
- 1439 3. **An optional key confirmation (KC) phase**, based on comparison of **message authen-**
1440 **tication code (MAC) tags**, which allows at least one of the parties to confirm that their
1441 obtained key is equal to the key of the other party.

1442 The subcategory C1.3 (2KA) of Cat1 in this call is only focused on the PKC primitives used
1443 in the initial phase, namely the Cofactor Diffie-Hellman (CDH) or Menezes-Qu-Vanstone
1444 (MQV) primitives. However, a submission of a threshold scheme for such a primitive should
1445 be demonstrated in an implementation of a full-fledged 2KA protocol. Therefore, this section

1446 also provides some context about the KDM and (the optional) KC operations, whose possible
 1447 thresholdization is considered in Section A.4.2.

1448 **ECC scope.** From the schemes in SP800-56A-Rev3, Cat1 only includes those based on
 1449 ECC, which are implementable with elliptic curves specified in SP800-186-Draft. Table 5
 1450 in Section 6.2 lists the curves of interest. 2KA based on finite field cryptography (FFC) is
 1451 left out of scope, following the trend of deprecating FFC in favor of more succinct ECC,
 1452 as done in FIPS-186-5-Draft (which deprecated DSA in favor of ECDSA). The seven 2KA
 1453 schemes in scope are listed in Table 8 and can be classified based on three factors:

- 1454 • the underlying ECC primitive: CDH or MQV.
- 1455 • the number of ephemeral (e) keys (2, 1 or 0),
- 1456 • the number of static (s) keys (2, 1 or 0); and

1457 **Table 8.** Seven ECC-2KA schemes

1458	Primitive (f)	e	s	Scheme	Intermediate secret Z ("agreed" by U and V)	§ in SP 800 -56A-Rev3
1459	ECC CDH	2	2	(Cofactor) Full Unified Model	$f(e_U, E_V) f(s_U, S_V)$	§6.1.1.2
1460		2	0	(Cofactor) Ephemeral Unified model	$f(e_U, E_V)$	§6.1.2.2
1461		1	2	(Cofactor) One-Pass Unified Model	$f(e_U, E_V) f(e_U, S_V)$	§6.2.1.2
1462		1	1	(Cofactor) One-Pass Diffie-Hellman	$f(e_U, S_V)$	§6.2.2.2
1463		0	2	(Cofactor) Static Unified Model	$f(s_U, S_V)$	§6.3.2
1464	ECC MQV	2	2	Full MQV	$f(s_U, S_V, e_U, E_U, E_V)$	§6.1.1.4
1465		1	2	One-Pass MQV	$f(s_U, S_V, e_U, E_U, S_V)$	§6.2.1.4

1466 **Legend:** $||$ = concatenation. $§$ = section in another document. e = number of generated *ephemeral* key pairs. f =
 1467 symbol representing the ECC primitive (CDH or MQV). s = number of generated *static* key pairs; U and V = the
 1468 two parties in the 2KA protocol. Let A represent one of the parties (U or V). **Abbreviated notation for keys:** e_A
 1469 ($= d_{e,A}$) and E_A ($= Q_{e,A}$) are the *ephemeral* private and public keys of party A ; s_A ($= d_{s,A}$) and S_A ($= Q_{s,A}$) are the
 1470 *static* private and public keys of party A . The primitive f makes use of additional parameters not shown here.

1471 **Interchangeability scope.** Regardless of the decentralization of any party, a 2KA scheme
 1472 is already a protocol between two parties that intend to obtain a commonly agreed secret.
 1473 Therefore, when considering a threshold scheme for a Cat1-primitive of a 2KA protocol, the
 1474 interchangeability requirement is narrowed to “functional equivalence”. This ensures that
 1475 the output secret (albeit possibly in secret-shared format) on one decentralized side will be
 1476 equal to the one obtained by the other (possibly legacy) party in the 2KA interaction. Cat2

1477 (see Section 7) allows for interchangeability in a broader sense, assuming that both parties
 1478 interacting in the 2KA can agree on the new subsequent (KD/KC) mechanisms.

1479 **Single-party primitives.** The objects of thresholdization are the primitives (see Table 9)
 1480 computed by each individual party in the 2KA protocol. Each of these primitives has
 1481 private/secret key-material in the input or/and output. The threshold protection provided to
 1482 the keys handled by one side of the ECC-2KA depends on which primitives are thresholdized.

1483 **Table 9.** ECC-2KA primitives of interest for thresholdization

1484	Primitive	Secret input?	Secret output?	Threshold friendly?	Section in SP800-56A-Rev3	Section in this call
1485	ECC keygen: get key-pair (d, Q)	—	Yes	Yes	§5.6.1.2	A.5.1
1486	ECC CDH/MQV: $Z = f(d_A, Q_B, \dots)$	Yes	Yes	Yes	§5.7	A.3.1/2
1487	Key derivation: $k = \text{KDM}(Z, \dots)$	Yes	Yes	No	§5.8	A.4.2
1488	Key confirmation: $\text{KC}(Z, \dots)$	Yes	—	No	§5.9	A.4.2

1489 Legend: d = private key. f = CDH or MQV transformation (primitive). k = final secret established by both parties.
 1490 KC = “key confirmation” pseudorandom function, to allow comparison between A and B . KDM = “key derivation
 1491 mechanism” function. Q = public key. Z = intermediate secret (before KDM) computed by both parties.

1492 A threshold scheme for an ECC CDH/MQV primitive allows for confidentiality of the
 1493 private key d . This can be useful even if the intermediate secret Z is reconstructed due
 1494 to a subsequent non-thresholdized KDM. Conversely, in a full-fledged thresholdization of
 1495 the sequence of 2KA primitives, the output Z of the ECC CDH/MQV primitive would be
 1496 secret-shared (i.e., SSO mode), to serve as input to the subsequent threshold KDM phase.

1497 The ECC-2KA “type” includes only the ECC primitives that produce the intermediate
 1498 secret Z , from secret-shared ECC private keys (static or ephemeral). There are two such
 1499 primitives: ECC-CDH (Section [A.3.1](#)) and ECC-MQV (Section [A.3.2](#)). The ECC key-gen
 1500 and KDM/KC primitives are respectively considered in Sections [A.5.1](#) and [A.4.2](#).

1501 **Submissions.** A submitted threshold scheme for an ECC CDH or MQV primitive should:

- 1502 • Evaluate it for at least one curve for $\kappa \approx 128$, and another for $\kappa \in \approx [224, 256]$ — see
 1503 Table 5 in Section [6.2](#).
- 1504 • Showcase the execution of at least one of the seven 2KA ECC-based schemes (see
 1505 Table 8), with at least one decentralized party (A, B, or both) using secret-shared
 1506 private keys in the threshold ECC CDH/MQV computation. The implementation
 1507 should also include the KDM (and optionally the) KC procedures, either threshold (see

1508 Section [A.4.2](#), if the threshold ECC CDH/MQV is in SSO mode) or non-threshold. In
 1509 other words, the ECC CDH/MQV output may or not be secret-shared, depending on
 1510 whether or not the subsequent KDM/KC primitive is thresholdized.

1511 **A.3.1. Subcategory C1.3.1: ECC-CDH Primitive**

1512 With a decentralized party A (which can be U or V), the ECC-CDH primitive is as follows:

- 1513 • **Secret-shared input:**
 - 1514 – $[d_A]$ (secret sharing of private key of party A)
- 1515 • **Public input:** (known to every party of the decentralized entity representing A)
 - 1516 – Q_B (the public key of party B);
- 1517 • **Secret-shared output:** Secret sharing $[Z]$ of a secret $Z = \text{Encode}(P)$, where:
 - 1518 – $P = (h \cdot d_A) \cdot Q_B$ (where h is the cofactor)
 - 1519 – Encode is an encoding that does a field-element-to-byte string conversion of the
 - 1520 x -coordinate of the input.

1521 The output is distributively computed in a way that Z remains threshold confidential.

1522 **A.3.2. Subcategory C1.3.2: ECC-MQV Primitive**

1523 With a decentralized party A (which can be U or V), the ECC-MQV primitive is as follows:

- 1524 • **Secret-shared input:**
 - 1525 – $[d_{s,A}], [d_{e,A}]$ (secret sharings of the static and ephemeral private keys of party A)
- 1526 • **Public input:** (known to every party of the decentralized entity representing A)
 - 1527 – $Q_{e,A}$ (the ephemeral public key of party A);
 - 1528 – $Q_{s,B}$ and $Q_{e,B}$ (the static and ephemeral public keys of party B)
- 1529 • **Secret-shared output:** Secret sharing $[Z]$ of a secret $Z = \text{Encode}(P)$, where:
 - 1530 – $P = h \cdot \text{impsig}_A \cdot (\text{avf}(Q_{e,B}) \cdot Q_{s,b})$;
 - 1531 – $\text{impsig}_A = (d_{e,a} + \text{avf}(Q_{e,A}) \cdot d_{s,A}) \bmod n$;
 - 1532 – $\text{avf}(Q)$ is an integer associated to a public key Q , computed via an “Associate
 - 1533 Value Function” ([SP800-56A-Rev3, §5.7.2.2]);

1534 – *Encode* is the same encoding as defined for ECC CDH.

1535 There are two possible implementation forms for the ECC MQV primitive:

- 1536 1. The **full form** ([SP800-56A-Rev3, §5.7.2.3.1]), implemented as described above, where
1537 both static and ephemeral keys exist and are distinct.
- 1538 2. The **one-pass form** ([SP800-56A-Rev3, §5.7.2.3.2]), where exactly one other party (*A*
1539 or *B*) does not have an ephemeral key, and so the above algorithm uses instead the
1540 corresponding static key:
 - 1541 • If party *A* does not have an ephemeral key, then $d_{e,A}$ and $Q_{e,A}$ are respectively
1542 instantiated by $d_{s,A}$ and $Q_{s,A}$.
 - 1543 • If party *B* does not have an ephemeral key, then $Q_{e,B}$ is instantiated by $Q_{s,B}$.

1544 **A.4. Subcategory C1.4: Cat1 “Symmetric”**

1545 The “symmetric” subcategory includes primitives for the NIST-approved symmetric-key
1546 enciphering scheme (the advanced encryption standard [AES]), as well as for other NIST-
1547 approved primitives used for KDM/KC. Some primitives in scope (e.g., hashing) are techni-
1548 cally defined as keyless, but in practice they can be considered in settings (e.g., for KDM/KC)
1549 where their “plaintext” input is a key (symmetrically) known by two parties.

1550 While “symmetric” primitives are often used in standardized “modes of operation” for large
1551 inputs, the thresholdization focus of this call is on the basic primitives, where the complexity
1552 of specifying a threshold scheme lies. For example, once a threshold scheme for AES
1553 enciphering/deciphering is defined, then it is straightforward to apply it to some mode of
1554 operation based on AES, including for the purpose of computing a cipher-based message
1555 authentication code (CMAC), or a ciphertext based on a mode for authentication encryption
1556 with associated data (AEAD). Similarly, a threshold scheme for an approved hash function
1557 could then also be applied to calculate an HMAC. Some threshold schemes may nonetheless
1558 allow a cost amortization when repeatedly executed.

1559 **A.4.1. Subcategory C1.4.1: AES Enciphering/Deciphering**

1560 With respect to threshold enciphering/deciphering in **Cat1**, there is only one symmetric-key
1561 block-cipher of interest: AES, specified in **FIPS-197**. A submission of threshold scheme
1562 for AES enciphering/deciphering must assume a secret-sharing of the secret key, and
1563 should provide implementations for at least the key-sizes 128 and 256. A submission
1564 can choose to implement any (or various) types of input/output interface from {NSS, SSI,
1565 SSO and SSIO}. In applications where the high-sensitivity of the plaintext warrants a

1566 distribution of trust over its knowledge, then it can make sense to consider: an SSI mode for
1567 enciphering, and/or an SSO mode for deciphering, so that the plaintext is not reconstructed
1568 within the decentralized AES-evaluator. For benchmarking purposes, a submission should
1569 evaluate performance at least in the single evaluation case, i.e., for a single AES enciphering
1570 and/or deciphering. However, to help clarify possible amortization gains and/or clarify the
1571 feasibility of the threshold approach for AES modes of operation (in the [SP800-38-series](#)),
1572 the benchmarking can also measure performance for the threshold execution of 2^6 and/or
1573 2^{10} AES encipherings/decipherings in some specific mode of operation.

1574 **Threshold AES enciphering versus oblivious AES evaluation.** Oblivious AES evaluation
1575 is a common secure **2-party computation** (S2PC) benchmark in the literature. There, a single
1576 party holding the plaintext does not share it with a single party holding the key, and yet
1577 receives the corresponding ciphertext. The application of threshold AES in scope in this call
1578 is different, in that the threshold entity is responsible for computing the output, when the
1579 key has been secret-shared. The plaintext is either (i) directly shared with the threshold-de-
1580 centralized entity responsible for the enciphering or deciphering, or (ii) is secret-shared in
1581 the input/output. A secret-shared-I/O threshold AES enciphering may also be useful for the
1582 computation of a CMAC, which can in turn be useful for 2KE KDM/KC. That said, techniques
1583 developed for threshold AES are likely to also be useful for oblivious AES evaluation.

1584 **A.4.2. Subcategory C1.4.2: KDM and KC for 2KE**

1585 The protocols for pair-wise key-establishment (2KE), in both the ECC-based [[SP800-56A-](#)
1586 [Rev3](#)] and RSA-based [[SP800-56B-Rev2](#)] cases, are finalized with the use of a **key-deriva-**
1587 **tion mechanism** (KDM) [[SP800-56C-Rev2](#); [SP800-108-Rev1](#)] and optional **key-confirmation**
1588 (KC). These operations follow after the generation of a precursor intermediate secret ***M***,
1589 obtained/produced via a key-agreement of key-transport type of 2KE protocol.

1590 **Threshold unfriendliness.** The current NIST-specified KDM and KC primitives are
1591 possible to thresholdize based on complex MPC protocols, but are based on threshold-
1592 unfriendly hash-or-XOF functions ([[FIPS-180-4](#); [FIPS-202](#)]) or MAC/PRFs (of the type
1593 CMAC [[SP800-38B](#)], HMAC [[FIPS-198-1](#)] or KMAC [[SP800-185](#)]).

1594 Considering the “pair-wise” nature of key-establishment protocols (i.e., involving two sides),
1595 some use cases (namely when party A has to be thresholdized, but party B has to use a legacy
1596 implementation) may require the use of a KDM and/or KC that is functionally-equivalent
1597 to a currently NIST-specified one. However, the costs and benefits of implementing a
1598 potentially costly MPC in such a case should be carefully considered.

1599 Threshold schemes for AES enciphering/deciphering may be easy to adapt to threshold sch-
1600 emes for CMAC primitives. Techniques used to enable threshold schemes for the hashing that
1601 is useful for KDM or KC may also be reusable for (pseudorandom) EdDSA and Deterministic
1602 ECDSA, which require a secret-nonce computed as a hash whose pre-image contains a private
1603 nonce-derivation key.

1604 [Cat2](#) of this call enables proposals of threshold-friendlier KDM and KC primitives that would
1605 still retain the desired properties of the final generated key, namely indistinguishability from
1606 uniform selection, and one-wayness with respect to the intermediate key Z used as input.

1607 **A.4.2.1. Key Derivation Mechanism (KDM)**

1608 A threshold KDM scheme makes sense if the corresponding party (in the pair-wise key-
1609 -establishment) is supposed to not learn the final secret k . The threshold KDM scheme
1610 produces a secret-shared output (SSO) (similar to a threshold keygen scheme), so that the
1611 final secret k (to be consumed by another primitive) is secret-shared. There are one-step
1612 (extraction) and two-step (extract-then-expand) KDMs (see [SP800-108-Rev1](#) for the second
1613 step). Additionally, there are variants (see [SP800-135-Rev1](#)) approved for specific applications.

1614 Since the final key k can be easily derived from the intermediate key M , it follows that it only
1615 makes sense to thresholdize a KDM if the input (intermediate) key M is also secret-shared.
1616 Conversely, if a KDM is not thresholdized but Z has itself been produced in a threshold
1617 manner, (i.e., based on a secret-shared private key d), then the reconstruction of Z does not
1618 break the confidentiality of the private key d .

1619 **A.4.2.2. Key Confirmation (KC)**

1620 A threshold **key-confirmation** primitive computes a PRF image of the intermediate secret Z ,
1621 without Z ever being reconstructed. This can make sense if the KDM is also thresholdized
1622 in SSI mode, to directly use a secret-shared Z as input, without needing to reconstruct it.
1623 Key-confirmation is defined, in various possible modes (unilateral or bilateral), for ECC-
1624 based key-agreement in [SP800-56A-Rev3](#) (§5.9, Table 5) and RSA-based key-establishment
1625 in [SP800-56B-Rev2](#) (§5.6, Table 1).

1626 **A.5. Subcategory C1.5: key-Generation (keygen) for Cat1 Schemes**

1627 A key-generation (keygen) primitive determines a private/secret “key” that is needed by
1628 subsequent primitives. The threshold scheme may also compute other public parameters. For

1629 example, the keygen primitive of a digital signature scheme produces a private/public keypair,
 1630 whose private element is then required to produce signatures, and whose public element is
 1631 used to verify the correctness of signatures. Typical requirements for private keys include
 1632 unbiasing and confidentiality. These requirements can also apply to the generation of other
 1633 secret material, such as a random secret nonce. Secrets generated via a keygen primitive may
 1634 be persistent (e.g., for multiple-times use, without planned erasure), or ephemeral (e.g., for
 1635 single-time use, followed by erasure). Table 10 provides a non-exhaustive list of parameters
 1636 that may be generated via a keygen operation (some variations are possible).

1637 **Table 10.** Examples of keygen purposes

1638	Keygen purpose (subsequent operation)	Private/secret key	Other public elements
1639	ECC-signing; ECC-2KA primitives	exponent d (integer mod n)	$Q = d \cdot G$ (elliptic curve point)
1640	RSA signing and decryption	primes (p, q)	modulus $N = p \cdot q$
1641		exponent $d = e^{-1} \text{ mod } \phi_N$	exponent e
1642	RSA encryption for 2KE	random bit-string Z	$c = \text{RSAEP}((n, e), Z)$
1643	Key-derivation / key-confirmation		KC(Z, \dots)
1644	AES enciphering/deciphering	random bit-string k	—

1645 **Terminology and scope for threshold schemes for keygen.** Threshold schemes for keygen
 1646 are often called **distributed key generation (DKG)** protocols. In this call, the focus on DKG is
 1647 only on the generation of the private/secret keys and (when applicable) the public parameters
 1648 that depend on them (e.g., an RSA modulus obtained from the product of two secret primes,
 1649 or the elliptic curve public point obtained from integer-multiplying a base point by the secret
 1650 key). Other “domain parameters”, such as the security strength κ , the parameters of an
 1651 elliptic curve, or an RSA encryption key, which may be determined before the computation of
 1652 the private key (but which in conventional specifications may sometimes be included within
 1653 the keygen primitive) can be assumed to be fixed or pre-agreed upon.

1654 **Interchangeability of random values.** In a DKG protocol, the random private/secret
 1655 key to be output in secret-shared form, and possibly other intermediate random elements,
 1656 is obtained by combining random contributions from several parties. This call does not
 1657 pose specific requirements on these random values, i.e., beyond the requirement of **inter-**
 1658 **changeability** with regard to some subsequent operation of interest. However, a submitted
 1659 DKG protocol should be accompanied by an explanation of why the proposed randomness
 1660 generation mechanism provides appropriate security assurances, namely compared to the

1661 assurances provided by the conventional random-bit generation (RBG) [SP800-90A-R1;
1662 SP800-90B; SP800-90C-3PD] that may be required in the corresponding conventional (non-
1663 threshold) keygen specification. Some original RBG-related requirements associated with
1664 random values in the conventional specification may still be considered for the individual
1665 contributions of each party in a corresponding DKG.

1666 **A.5.1. Subcategory C1.5.1: ECC Keygen (for ECDSA, EdDSA, and ECC-2KA)**

1667 The ECC keygen of a private/public key-pair is similar across various schemes, including
1668 for ECDSA and EdDSA signature schemes [FIPS-186-5-Draft], and for ECC-2KA primitives,
1669 such as CDH and MQV [SP800-56A-Rev3]. In a threshold **ECC keygen** (i.e., DKG for an
1670 ECC scheme), the usual goal is to produce a secret-sharing [d] of a private key d (usually a
1671 positive integer mod n , the order of the subgroup of interest), along with a corresponding
1672 (not-secret-shared) public key $Q = d \cdot G$. In a threshold 2KA scheme, each party may
1673 need this decentralization (secret-sharing) for their static private key d_A (or $d_{s,A}$) and/or an
1674 ephemeral private key ($d_{e,A}$).

1675 Some schemes, such as EdDSA, may include additional private/secret elements (e.g., a
1676 nonce-derivation key for pseudorandom generation of nonces) that do not require a sub-
1677 sequent verifiable relation with the public key. The generation of said components in the
1678 threshold setting may be considered differently (or may even not be necessary), provided
1679 that an appropriate **interchangeability** property is satisfied with regard to the subsequent
1680 operations that use the ECC private/public keypair.

1681 Submissions of threshold schemes for ECC signing and ECC-2KA primitives are expected
1682 (though not required) to include a corresponding proposal of a compatible ECC-DKG
1683 protocol. Implementation recommendations for a submitted DKG (e.g., which elliptic curves
1684 and security parameters) should apply to at least one subsequent threshold scheme of interest.

1685 **A.5.2. Subcategory C1.5.2: RSA Keygen**

1686 RSA keygen is needed for the RSADSA scheme (Section A.1.1) and the RSA PKE scheme
1687 used for 2KE (Section A.2). In its *basic* format, RSA keygen consists of:

- 1688 • generating a pair of random secret primes (p, q) , and outputting their product N ; and
- 1689 • computing and outputting as private key d the inverse (mod $\text{LCM}(p - 1, q - 1)$) of a
1690 public exponent e , where e is selected (randomly or as an input parameter) before the
1691 selection of the primes.

1692 DKG schemes for RSA can be submitted separately from subsequent threshold operations,
 1693 such as threshold RSA signing, threshold RSA decryption, or threshold RSA SSI-encryption.
 1694 Still, a submission of RSA DKG should be compatible with said subsequent schemes,
 1695 and should include evaluation for at least two security parameters consistent with the
 1696 recommendations from Table 5.

1697 [FIPS-186-5-Draft](#) (§A.1) and [SP800-56B-Rev2](#) (§6.2–§6.3) specify various requirements for
 1698 the RSA keygen, respectively for signing and PKE. Possible variations of the format
 1699 of the output key include the *prime-factor* format and the *CRT* format, as explained in
 1700 Section [A.2.2](#). The following paragraph list some of the requirements.

1701 **A.5.2.1. Criteria for the RSA Modulus and Primes**

- 1702 • p and q must be of the same bit length (i.e., half the length of the RSA modulus N).
- 1703 • p and q must be randomly generated (but the two most significant bits of each may be
 1704 arbitrarily set), as “probable” or “provable” primes, satisfying at least one of the five
 1705 options from Table 11.

1706 **Table 11.** Criteria for the random primes of an RSA modulus

1707	Type	Sub-type	Provable prime	Probable prime
1708	Simple	provable	p, q	
1709		probable		p, q
1710	Complex	provable	p_1, p_2, q_1, q_2, p, q	
1711		hybrid	$p_1, p_2, q_1, q_2,$	p, q
1712		probable		p_1, p_2, q_1, q_2, p, q

1713 Per §A.1.1 of [FIPS-186-5-Draft](#): p_1, p_2, q_1, q_2 are called auxiliary primes and must be divisors of
 1714 $p - 1, p + 1, q - 1$ and $q + 1$, respectively, i.e., $p_1 | p - 1, p_2 | p + 1, q_1 | q - 1, q_2 | q + 1$.

1715 To satisfy the “complex” type of key-generation, the auxiliary primes must exist with certain
 1716 minimum lengths. If p and q are required to be provable primes, then their minimal required
 1717 bit-length is roughly half of the minimal required length of probable primes.

1718 In a submitted RSA DKG, the threshold computation of the primes and modulus may be
 1719 modularized from the subsequent calculation of the private decryption/signing exponent
 1720 d . Interestingly, there are conceivable applications (beyond signatures, encryption, and
 1721 decryption) where RSA moduli are useful and a private exponent is not necessary.

1722 **A.5.2.2. Criteria for the Private Exponent**

1723 The private exponent $d = e^{-1} \pmod{L}$, where $L = \text{LCM}(p-1, q-1)$, must be larger than
1724 $2^{nlen/2}$ and smaller than L , where the public exponent e is an integer between 2^{16} and 2^{256}
1725 selected before the generation of p and q .

1726 **A.5.3. Subcategory C1.5.3: Bitstring Keygen**

1727 Various primitives require the random generation of a secret bit-string (or integer within a
1728 defined interval), without the need for a corresponding public component. For example, this
1729 is the case with generating: an AES key; a secret-key for encapsulation under an RSA PKE;
1730 a nonce for use in other schemes; a salt for a KDM or KC in the scope of a 2KA.

1731 A DKG based on verifiable secret-sharing may require public commitments of the shares of
1732 each party, even if the original primitive did not require any public key. A submission should
1733 explain how/whether the cryptographic assumptions sustaining the security of the threshold
1734 scheme change in comparison with those required for the security of the original primitive.
1735 For example, AES-256 is considered to be post-quantum secure, whereas ECC-based
1736 commitments used in typical MPC protocols might not be.

1737 **A.6. Subcategory C2.6: Advanced**

1738 As mentioned in Section 7.2.1, subcategory C2.6 allows for the submission of threshold
1739 schemes for primitives that support cryptographic schemes with advanced functional features
1740 that are different from those in current NIST standards. For example, in the case of a
1741 **fully-homomorphic encryption (FHE)** scheme, the supported operations go beyond the usual
1742 keygen, encryption and decryption from a regular encryption scheme. There is also a set of
1743 homomorphic operations (e.g., addition and multiplication) over ciphertexts (see, e.g., [HES,
1744 §1.1.1]). As another example, an **identity-based encryption (IBE)** scheme has not just one
1745 key-generation primitive, but rather two: one for generating a public key and a master private
1746 key, and another one (requiring the master key as input) for generating a decryption key for
1747 each possible “identity” (e.g., email addresses). A generalization of IBE is **attribute-based**
1748 **encryption (ABE)**, where the private key of each user is created based on a set of attributes.

1749 In this subcategory, the selection of the use-cases used to benchmark performance is left to
1750 the discretion of the submitters. For example, different FHE schemes may require different
1751 benchmarking operations to highlight their best features. One FHE scheme may be better
1752 suited to homomorphic Boolean operations (operations over bits), while another one may be
1753 better suited for homomorphic modular operations over large integers.

1754 **A.6.1. Use-Case Example: Non-Threshold FHE-Based AES Oblivious Enciphering**

1755 0a. **Setup FHE (keygen):** An FHE scheme is initialized with encryption key e (for encryp-
1756 tion operation FHE.Enc_e), and decryption key d (for decryption operation FHE.Dec_d),
1757 and allows homomorphic-evaluation (over FHE-ciphertexts) of any function f (within
1758 a certain range of functions) using operation $\text{FHE.Hom}[f]$.

1759 0b. **Setup AES (keygen):** An AES cipher is initialized with secret key k , with AES.Enc_k
1760 denoting the corresponding enciphering operation.

1761 0c. **Setup parties (private inputs):** (i) Client A knows a secret plaintext m , and the FHE
1762 encryption key e ; (ii) Server S knows the AES secret-key k ; (iii) and client B (possibly
1763 the same as client A) knows the FHE decryption key d .

1764 1. **FHE-Encrypt.** The client A FHE-encrypts the secret plaintext m , obtains the FHE-
1765 ciphertext $C = \text{FHE.Enc}_e(m)$, and sends it to the server S .

1766 2. **FHE-Homomorphic-Evaluate.** The server S homomorphically evaluates the AES-
1767 enciphering, obtains $H = \text{FHE.Hom}[\text{AES.Enc}_k](C)$ (which is a valid FHE-encryption
1768 of the AES-enciphering of secret plaintext m), and sends the result to client B .

1769 3. **FHE-Decrypt.** The client B FHE-decrypts the received ciphertext H , and thus obtains
1770 the AES-enciphering of the secret plaintext: $\text{AES.Enc}_k(m) = \text{FHE.Dec}_d(H)$.

1771 4a. **(Optional) Prove correctness.** The server S may also send a ZKPoK string $\pi =$
1772 $\text{ZKPoK.Prove}[k; (H, C) : \text{FHE.Hom}[\text{AES.Enc}_k](C) = H]$ to client B , thus ZK-proving
1773 knowledge of a secret AES key (k) that is consistent with the homomorphic operation
1774 that transformed the initial FHE-ciphertext C into the final FHE-ciphertext H . A more
1775 sophisticated ZKPoK can also be used to prove consistency with some additional
1776 public commitment of the AES-key k .

1777 4b. **Verify the proof.** Anyone with the FHE-ciphertexts (C, H) can verify the correctness
1778 of the ZKPoK π , by checking $\text{true} \stackrel{?}{=} \text{ZKPoK.Verify}(\pi, (H, C), \text{AES.Enc})$.

1779 **External engagement.** Proposals of FHE schemes (and their threshold schemes) are
1780 welcome to be submitted and/or analyzed in connection with other related ongoing public
1781 efforts, such as [HomomorphicEncryption.org](https://homomorphicencryption.org) and [FHE.org](https://fhe.org), as a way of promoting: (i)
1782 fulfillment of community-based technical recommendations; (ii) alignment with existing
1783 reference material/specifications; and (iii) further public scrutiny of proposed schemes. Such
1784 engagements may also help clarify reference use-cases for useful benchmarking.

1785 **A.6.2. Threshold Schemes for FHE-based AES Oblivious Enciphering**

1786 Once a conventional (non-threshold) scheme is specified (S6) in scope of the “advanced”
 1787 subcategory C2.6, there may be multiple types of decentralization to consider. For the [above-](#)
 1788 [described example](#) of FHE application (Section A.6.1), the following is a non-exhaustive list
 1789 of possible decentralizations of one of the original participants (client *A*, server *S*, or client
 1790 *B*) into a threshold entity composed of multiple parties.

- 1791 1. **Threshold FHE.Keygen.** In a setup phase with a thresholdized client *B*, a DKG can
 1792 distributively compute a secret-sharing of an FHE decryption key *d*. Whether or not
 1793 the encryption key *e* is secret-shared can depend on whether the FHE scheme is of,
 1794 respectively, symmetric-key or asymmetric-key (i.e., public/private key pair) type.
- 1795 2. **SSI threshold FHE-Encryption.** If client *A* is thresholdized, and set up with a secret-
 1796 shared plaintext *m*, a threshold scheme can compute $C = \text{FHE.Enc}_e(m)$ without
 1797 anyone learning *m*.
- 1798 3. **Threshold Homomorphic evaluation (of function with secret parameter).** If the
 1799 server *S* is thresholdized, and setup with a secret-sharing of the AES key *k*, then the
 1800 parties can distributively compute the homomorphic-evaluation operation, to obtain
 1801 $H = \text{FHE.Hom}[\text{AES.Enc}_k](C)$, without anyone learning *k*.
 - 1802 • In an NSS mode, all server-parties learn *H*.
 - 1803 • In an SSO mode, each server learns a secret-share of *H*.
- 1804 4. **Threshold FHE decryption.** If client *B* is thresholdized, and setup with a secret-
 1805 sharing of the FHE-decryption key *d*, then a threshold scheme can decrypt the received
 1806 value *H* to obtain $C = \text{AES}_k(m)$, without anyone learning *d*.
 - 1807 • In a NSS mode, all clientB-parties learn *C*.
 - 1808 • In a SSO mode, each clientB-party learns only a secret-share of *C*.
- 1809 5. **Threshold ZKPoK.** (See subcategory C2.7 in Section A.7)

1810 **On the use case of oblivious AES enciphering.** The use case is called oblivious AES-
 1811 enciphering because the client *B* obtained an AES-enciphering of the secret plaintext *m*
 1812 even though the AES-key holder (the server *S*) remained oblivious to the secret plaintext.
 1813 Interestingly, oblivious AES-enciphering is also a typical benchmark case for secure 2-party
 1814 computation (S2PC; consider the case where clients *A* and *B* are the same), usually using
 1815 different techniques, such as garbled circuits and/or oblivious transfer. Compared with an
 1816 FHE-based solution, usual S2PC protocols (expectably) lead to much faster execution, but
 1817 also much larger communication complexity.

1818 **A.7. Subcategory C2.7: ZKPoKs**

1819 Besides (secure) **multi-party computation (MPC)**, a broad type of primitive of great interest
1820 in the threshold context is the **zero-knowledge proof of knowledge (ZKPoK)**, which is
1821 covered by subcategory **C2.7**. As mentioned in Section **7.2.2**, a submission of ZKPoK in
1822 this subcategory must specify a conventional ZKPoK, and possibly also specify a threshold
1823 version (when the prover is distributed and there is a secret-sharing of the secret input).

1824 In usual ZKP terminology [[ZkpComRef](#)], a ZKPoK is used to prove a **statement** of knowledge,
1825 such as knowledge of a secret **witness** (w) that satisfies a given **relation** (R) with a public
1826 **instance** (x), such that $R(x, w)$ is true. For example, in a ZKPoK of a private RSA key, the
1827 *instance* can be the RSA modulus N , the secret *witness* can be the corresponding pair (p, q)
1828 of prime factors, and the *relation* can be the predicate that returns true if and only if the
1829 input witness is indeed a pair of primes and their product is the public modulus.

1830 **Type of “proofs” of interest:**

- 1831 • **Proofs and arguments:** The use of “proof” in this call is meant to also include the
1832 case of *arguments* with computational soundness. Any submission of ZKPoK should
1833 clarify its soundness type (to allow for differentiation between “proof” and argument).
- 1834 • **ZKP of knowledge (versus of correctness):** The proofs in scope are ZKPoKs, but can
1835 also serve the purpose of ZK-proving *correctness* of the secret data (whose knowledge
1836 is being proven) as well as of the corresponding public data. In the literature, a ZKP
1837 of correctness is also known as a ZKP of “language membership”.
- 1838 • **Transferable and non-interactive.** Traditionally, ZKPs and ZKPoKs are defined as
1839 two-party protocols with a requirement of deniability (also known as non-transferabil-
1840 ity), implying that a verifier convinced by a proof cannot later transfer said confidence
1841 to a third party. This property often stems from interactivity between prover and
1842 verifier, and/or relies on local setup assumptions, such as a local common reference
1843 string (CRS) or local random oracle (RO). Conversely, the present call is by default
1844 interested on transferable non-interactive zero-knowledge (NIZK) proofs that can be
1845 publicly verified non-interactively. A submission of ZKPoK can deviate from this
1846 default (non-interactiveness and transferability) as long as justified on the basis of
1847 utility to the threshold setting.

1848 The instantiation of some of the above-listed attributes (e.g., transferability, and compu-
1849 tational soundness) may affect some aspects of composability. These effects should be
1850 discussed in any submission that proposes a ZKPoK.

1851 **Distributed prover (not verifier).** In this call, the default setting of interest for thresholdiza-
 1852 tion of a ZKPoK is the secret-sharing, across multiple parties, of the secret key (traditionally
 1853 held by a single prover) whose knowledge is being proven. While a ZKPoK variant can
 1854 also be conceived for the case of distributed verification (with the ZK property requiring
 1855 that a threshold number of verifier parties do not collude), such setting is not the default. A
 1856 deviation from the mentioned default in a submission of ZKPoK is possible but its auxiliary
 1857 utility for the threshold setting then needs to be thoroughly argued for.

1858 **Examples.** Table 12 lists various examples of ZKPoK of anticipated interest with regard to
 1859 [Cat1](#) primitives. Other examples can be conceived for primitives in [Cat2](#).

1860 **Table 12.** Example ZKPoKs of interest related to [Cat1](#) primitives

1861	Related type	Related (sub)sub-category: Primitive	Example ZKPoK (including consistency with public commitments of secret-shares, when applicable)
1862	Keygen	C1.5.1: ECC keygen	of discrete-log (s or d) of pub key Q
1863		C1.5.2: RSA keygen	of factors (p, q), or group order ϕ , or decryption key d
1864		C1.5.3: AES keygen	of secret key k (with regard to secret-sharing commitments)
1865	PKE	C1.2.1: RSA encryption	of secret plaintext m (encrypted)
1866		C1.2.2: RSA decryption	of secret-shared plaintext m (after SSO-threshold decryption)
1867	Symmetric	C1.4.1: AES enciphering	of secret key k (with regard to plaintext/ciphertext pair)
1868		C1.4.2: Hashing in KDM	of secret pre-image Z

1869 Some observations:

- 1870 • A ZKPoK of a secret AES key that transforms a given plaintext into a given ciphertext
 1871 corresponds to a signature primitive submitted to the PQC process.
- 1872 • No ZKPoK example was provided in association with the signing operation, since
 1873 their public verification operation already inherently verifies the signature correctness.
 1874 In fact, a digital signature often constitutes a transferable NIZKPoK of the private
 1875 signing key corresponding to the public key, with said proof being additionally bound
 1876 to a message (the element being signed). For example, an EdDSA/Schnorr signature
 1877 (Section [A.1.1](#)) is itself a NIZKPoK of discrete-log.
- 1878 • The cases of ZKPoK related to a private **signing** key, but possibly without producing
 1879 a signature, are associated with keygen (subcategories [C1.5](#) and [C2.5](#)).

1880 If a submission of threshold scheme uses a ZKP/ZKPoK that may be of interest to support
 1881 other threshold schemes, then it should modularize the specification of said ZKP/ZKPoK and
 1882 indicate it as useful also for consideration in subcategory [C2.7](#).

1883 **Submission of a ZKPoK as auxiliary to other threshold scheme(s):**

- 1884 • **Specification of a non-threshold version.** A submission in the ZKPoK subcategory
1885 must specify a conventional (non-threshold) ZKPoK. This may be submitted without
1886 a corresponding distributed/threshold version, as long as the documentation clarifies
1887 how the conventional ZKPoK can be useful for the threshold setting (perhaps some
1888 other concrete threshold scheme). For example, a conventional ZKPoK can be justified
1889 for use by a dealer to prove correctness of an established secret-sharing setup. There
1890 may nonetheless be an additional value in also specifying a threshold version of the
1891 ZKPoK (i.e., when the secret input is distributed).
- 1892 • **Standalone versus embedded proposal of a ZKPoK.** A package that proposes
1893 an auxiliary ZKPoK (and possibly a distributed version thereof) can be submitted
1894 within the standalone ZKPoK subcategory, or within a submission of a threshold
1895 scheme(s) for other primitives in [Cat1](#) or [Cat2](#). In the standalone case, the proposal
1896 must clarify how the secret and public knowledge matches the setting of (e.g., a
1897 particular secret-sharing useful for) a threshold scheme for some primitive of interest.
- 1898 • **External engagement.** Proposals of ZKPoK schemes (and their threshold schemes)
1899 are welcome to be submitted and/or analyzed in connection with other related on-
1900 going public efforts, such as ZKProof.org, as a way of promoting: (i) fulfillment of
1901 community-based technical recommendations; (ii) alignment with existing reference
1902 material/specifications; and (iii) further public scrutiny of proposed schemes. Such
1903 engagements may also help clarify reference use-cases for useful benchmarking.

1904 **Notes on features.**

- 1905 • **Succinctness:** For practicality, **succinctness** is a useful feature of a ZKPoK. When
1906 focusing on succinct and non-interactive ZKPoKs, it is also common to refer to them
1907 as SNARKs (succinct **n**on-interactive **a**rguments of **k**nowledge).
- 1908 • **Transferability:** As mentioned [above](#), non-interactive public verifiability / transfer-
1909 ability are default desired features
- 1910 • **Security assumptions:** While the assessment of security of a ZKPoK may be based on
1911 assumptions different from those inherent to the underlying cryptographic primitive,
1912 or to a related proposed threshold scheme, said implications should be distinguished
1913 across various security properties. In particular, it is relevant to characterize the
1914 properties of ZK, soundness and non-malleability, and how they may vary upon
1915 various types of protocol composition (e.g., concurrent executions).

1916 **Specialized versus generic ZKPoKs.** Some ZKPoKs (e.g., of a discrete-log, or of an RSA
1917 private key) may be based on specialized techniques somewhat similar to the operations
1918 (e.g., exponentiations) used to commit the secret pre-image. Conversely, other ZKPoKs (e.g.,
1919 when proving knowledge of a pre-image of AES-enciphering, or of SHA-based hashing)
1920 may stem more easily from a generic ZKP system that simply requires “arithmetizing” the
1921 *statement* of knowledge, the *instance* and the *witness* in some suitable representation (e.g.,
1922 specifying a Boolean or arithmetic circuit, and instantiating its input variables). In the latter
1923 case, a submitted ZKPoK can be explained generically, and then a simple explanation be
1924 given on how to apply it to a circuit (or other applicable representation). For example,
1925 the NIST Circuit Complexity project [Proj-CC] collects Boolean circuit representations of
1926 various NIST-approved primitives, such as from AES and SHA. The final version of this call
1927 may reference a specific representation for Boolean circuits, to facilitate an interchangeable
1928 specification of circuits of certain NIST-specified primitives (e.g., of certain block-ciphers
1929 and hash-functions) whose proof of knowledge of pre-image may be useful.

1930 **A.8. Subcategory C2.8: (Auxiliary) Gadgets**

1931 As mentioned in Section 7.2.3, subcategory C2.8 allows for the consideration of gadgets,
1932 such as garbled circuits, oblivious transfer, generation of correlated randomness, commit-
1933 ments, secret resharing (possibly for a new threshold value and a new total number of
1934 parties), multiplicative-to-additive share conversion, additively homomorphic encryption
1935 (AHE), MPC or ZKP friendly hashing, consensus, and broadcast. The specification of
1936 some gadgets may also fit other subcategories. For example, an AHE scheme allows for an
1937 *advanced* feature (homomorphic addition over ciphertexts), and thus can fit in “advanced”
1938 subcategory C2.6 (if accompanied by a corresponding threshold scheme), and at the same
1939 time can also be useful to support multiple other threshold schemes, and thus fit in subcate-
1940 gory C2.8. In such type of cases, a submission should identify (e.g., including in S2 and S3)
1941 the fit in various subcategories.

1942 Gadgets can be proposed in a standalone manner in a submission, or as a module in a more
1943 encompassing submission in the scope of other subcategories. A standalone submission
1944 of an auxiliary gadget (and possible threshold version thereof) should make a strong case
1945 for its utility in supporting the threshold environment, and/or in directly supporting various
1946 concrete threshold schemes in scope of other subcategories in this call.

1947 **B. Submission Checklists**

1948 The following are draft templates of checklists to help keep track of the fulfillment of the
 1949 various requirements for a complete submission:

1950 **B.1. Checklist for Submission Phases (Ph) (see Section 4)**

1951	Check	#	Item	Comments
1952	<input type="checkbox"/>	Ph1	(Optional) Early abstract	
1953	<input type="checkbox"/>	Ph2	(Optional) Preliminary package	
1954	<input type="checkbox"/>	Ph3	Full package (M1–M5)	

1955 **B.2. Checklist for Package Main Components (M) (see Section 4)**

1956	Check	#	Item	Comments
1957	<input type="checkbox"/>	M1	Written specification (S1–S16)	
1958	<input type="checkbox"/>	M2	Reference implementation (Src1–Src4)	
1959	<input type="checkbox"/>	M3	Execution instructions (X1–X7)	
1960	<input type="checkbox"/>	M4	Experimental evaluation (Perf1–Perf5)	
1961	<input type="checkbox"/>	M5	Additional statements	

1962 **B.3. Checklist for M1: Written Specification Sections (S) (see Section 4.2)**

1963	Check	#	Item	Comments
1964	<input type="checkbox"/>	S1	Title pages	
1965	<input type="checkbox"/>	S2	Abstract	
1966	<input type="checkbox"/>	S3	Executive summary	
1967	<input type="checkbox"/>	S4	Index	
1968	<input type="checkbox"/>	S5	Clarification of prior work	
1969	<input type="checkbox"/>	S6	Conventional primitives/scheme	
1970	<input type="checkbox"/>	S7	System model	
1971	<input type="checkbox"/>	S8	Protocol description	
1972	<input type="checkbox"/>	S9	Security analysis	
1973	<input type="checkbox"/>	S10	Analytic complexity	
1974	<input type="checkbox"/>	S11	Choices and comparisons	
1975	<input type="checkbox"/>	S12	Technical criteria	
1976	<input type="checkbox"/>	S13	Deployment recommendations	
1977	<input type="checkbox"/>	S14	Notation	
1978	<input type="checkbox"/>	S15	References	
1979	<input type="checkbox"/>	S16	Appendices (optional)	

1980 B.4. Checklist for M2: Open source (Src) Reference Implementation (see Section 4.3)

1981	Check	#	Item	Comments
1982	<input type="checkbox"/>	Src1	Is self-contained	
1983	<input type="checkbox"/>	Src2	Is licensed as open-source	
1984	<input type="checkbox"/>	Src3	Contains inline comments	
1985	<input type="checkbox"/>	Src4	Has a clear API	

1986 B.5. Checklist for M3: Execution Instructions (X) (see Section 4.4)

1987	Check	#	Item	Comments
1988	<input type="checkbox"/>	X1	User manual: compilation	
1989	<input type="checkbox"/>	X2	User manual: parametrization	
1990	<input type="checkbox"/>	X3	User manual: execution	
1991	<input type="checkbox"/>	X4	User manual: KAT set	
1992	<input type="checkbox"/>	X5	Script: KAT	
1993	<input type="checkbox"/>	X6	Script: benchmark	
1994	<input type="checkbox"/>	X7	Script: others (optional)	

1995 B.6. Checklist for M4: Performance Analysis (Perf) (see Section 4.5)

1996	Check	#	Item	Comments
1997	<input type="checkbox"/>	Perf1	Memory complexity	
1998	<input type="checkbox"/>	Perf2	Processing time	
1999	<input type="checkbox"/>	Perf4	Networking time	
2000	<input type="checkbox"/>	Perf3	Communication complexity	
2001	<input type="checkbox"/>	Perf5	Round complexity	

2002 B.7. Checklist for Technical Requirements (T) (see Section 5)

2003	Check	#	Item	Comments
2004	<input type="checkbox"/>	T1	Primitives	
2005	<input type="checkbox"/>	T2	System model	
2006	<input type="checkbox"/>	T2.1	Participants	
2007	<input type="checkbox"/>	T2.2	Distributed systems and communication	
2008	<input type="checkbox"/>	T2.3	Adversary	
2009	<input type="checkbox"/>	T3	Security idealization	
2010	<input type="checkbox"/>	T4	Security versus adversaries	
2011	<input type="checkbox"/>	T4.1	Active	
2012	<input type="checkbox"/>	T4.2	Adaptive	
2013	<input type="checkbox"/>	T4.3	Pro-active	
2014	<input type="checkbox"/>	T5	Threshold profiles	
2015	<input type="checkbox"/>	T6	Building blocks	

2016 References

- 2017 [FIPS-180-4] National Institute of Standards and Technology (NIST). *Secure Hash Standard (SHS)*.
2018 (U.S. Department of Commerce) Draft Federal Information Processing Standards Publication
2019 (FIPS PUBS) 180-4. August 2015. DOI: [10.6028/NIST.FIPS.180-4](https://doi.org/10.6028/NIST.FIPS.180-4).
- 2020 [FIPS-186-5-Draft] National Institute of Standards and Technology (NIST). *Digital Signature Stan-*
2021 *dard (DSS)*. (U.S. Department of Commerce) Draft Federal Information Processing Standards
2022 Publication (FIPS PUBS) 186-5. October 2019. DOI: [10.6028/NIST.FIPS.186-5-Draft](https://doi.org/10.6028/NIST.FIPS.186-5-Draft).
- 2023 [FIPS-197] National Institute of Standards and Technology (NIST). *Advanced Encryption Standard*
2024 *(AES)*. *Federal Information Processing Standards Publication 197*. November 2001. DOI:
2025 [10.6028/NIST.FIPS.197](https://doi.org/10.6028/NIST.FIPS.197).
- 2026 [FIPS-198-1] National Institute of Standards and Technology (NIST). *The Keyed-Hash Message*
2027 *Authentication Code (HMAC)*. *Federal Information Processing Standards Publication 198-1*.
2028 July 2008. DOI: [10.6028/NIST.FIPS.198-1](https://doi.org/10.6028/NIST.FIPS.198-1).
- 2029 [FIPS-202] National Institute of Standards and Technology (NIST). *SHA-3 Standard: Permutation-*
2030 *Based Hash and Extendable-Output Functions*. August 2015. DOI: [10.6028/NIST.FIPS.202](https://doi.org/10.6028/NIST.FIPS.202).
- 2031 [HES] Martin Albrecht, Melissa Chase, Hao Chen, Jintai Ding, Shafi Goldwasser, Sergey Gorbunov,
2032 Shai Halevi, Jeffrey Hoffstein, Kim Laine, Kristin Lauter, Satya Lokam, Daniele Micciancio,
2033 Dustin Moody, Travis Morrison, Amit Sahai, and Vinod Vaikuntanathan. *Homomorphic*
2034 *Encryption Standard*. Published by HomomorphicEncryption.org. November 2018. Updated
2035 versions at <https://homomorphicencryption.org/standard/>.
- 2036 [IG-FIPS-140-2] National Institute of Standards and Technology (NIST) and Canadian Centre
2037 for Cyber Security (CCCS). *Implementation Guidance for FIPS PUB 140-2 and the Crypto-*
2038 *graphic Module Validation Program*. Version updated by the Cryptographic Module Validation
2039 Program on 2022-October-07. [https://csrc.nist.gov/csrc/media/projects/cryptographic-module-](https://csrc.nist.gov/csrc/media/projects/cryptographic-module-validation-program/documents/fips140-2/FIPS1402IG.pdf)
2040 [validation-program/documents/fips140-2/FIPS1402IG.pdf](https://csrc.nist.gov/csrc/media/projects/cryptographic-module-validation-program/documents/fips140-2/FIPS1402IG.pdf). October 2022.
- 2041 [ITL-Patent-Policy] National Institute of Standards and Technology (NIST). *Information Technology*
2042 *Laboratory (ITL) Patent Policy*. [https://www.nist.gov/itl/publications-0/itl-patent-policy-inclu-](https://www.nist.gov/itl/publications-0/itl-patent-policy-inclusion-patents-itl-publications)
2043 [sion-patents-itl-publications](https://www.nist.gov/itl/publications-0/itl-patent-policy-inclusion-patents-itl-publications). January 2019.
- 2044 [MPTC-Call2021a] Luís Brandão. (National Institute of Standards and Technology (NIST) Multi-
2045 Party Threshold-Cryptography (MPTC)) Call 2021a for Feedback on Criteria for Threshold
2046 Schemes. July 2021. URL: <https://csrc.nist.gov/csrc/media/projects/threshold-cryptography/do>

- 2047 [cuments/MPTC-call2021a-feedback.pdf](#). Public comments: <https://csrc.nist.gov/csrc/media>
2048 [/projects/threshold-cryptography/documents/MPTC-Call2021a-Feedback-compilation.pdf](#).
2049 Accessed via the NIST Computer Security Resource Center (CSRC) in January 2023.
- 2050 [MPTS] National Institute of Standards and Technology. *NIST Workshop on Multi-Party Threshold*
2051 *Schemes 2020*. Virtual conference. November 2020. URL: <https://csrc.nist.gov/events/2020/m>
2052 [pts2020](#).
- 2053 [NIST-IR8214A] Luís T. A. N. Brandão, Michael Davidson, and Apostol Vassilev. *NIST Roadmap*
2054 *Toward Criteria for Threshold Schemes for Cryptographic Primitives*. (National Institute of
2055 Standards and Technology (NIST) Internal Report) NISTIR 8214A. July 2020. DOI: [10.6028](https://doi.org/10.6028/NIST.IR.8214A)
2056 [/NIST.IR.8214A](#). Public comments: <https://csrc.nist.gov/publications/detail/nistir/8214a/final>.
- 2057 [NIST-IR8214B-ipd] Luís T. A. N. Brandão and Michael Davidson. *Notes on Threshold EdDSA/*
2058 *Schnorr Signatures*. (National Institute of Standards and Technology (NIST) Internal Report)
2059 NISTIR 8214B ipd (initial public draft). August 2022. DOI: [10.6028/NIST.IR.8214B.ipd](https://doi.org/10.6028/NIST.IR.8214B.ipd).
- 2060 [Proj-CC] National Institute of Standards and Technology. *NIST Project on Circuit Complexity (CC)*.
2061 <https://csrc.nist.gov/projects/circuit-complexity>. See list of circuits at [https://csrc.nist.gov/proj](https://csrc.nist.gov/projects/circuit-complexity/list-of-circuits)
2062 [ects/circuit-complexity/list-of-circuits](#), and repository of circuits at [GitHub:usnistgov/Circuits](https://github.com/usnistgov/Circuits).
2063 Accessed in January 2023.
- 2064 [Proj-LWC] National Institute of Standards and Technology. *NIST Project on Lightweight Cryptog-*
2065 *raphy (LWC)*. <https://csrc.nist.gov/projects/lightweight-cryptography>. Accessed in January
2066 2023.
- 2067 [Proj-MPTC] National Institute of Standards and Technology. *NIST Project on Multi-Party Thresh-*
2068 *old Cryptography (MPTC)*. <https://csrc.nist.gov/projects/threshold-cryptography>. Accessed in
2069 January 2023.
- 2070 [Proj-PEC] National Institute of Standards and Technology. *NIST Project on Privacy-Enhancing*
2071 *Cryptography (PQC)*. <https://csrc.nist.gov/projects/pec>. Accessed in January 2023.
- 2072 [Proj-PQC] National Institute of Standards and Technology. *NIST Project on Post-quantum Cryptog-*
2073 *raphy (PQC)*. <https://csrc.nist.gov/projects/post-quantum-cryptography>. Accessed in January
2074 2023.
- 2075 [RFC7748] Adam Langley and Mike Hamburg and Sean Turner. *Elliptic Curves for Security*.
2076 Internet Research Task Force (IRTF) Request for Comments: RFC 7748. January 2016. DOI:
2077 [10.17487/RFC7748](https://doi.org/10.17487/RFC7748).

- 2078 [RFC8017] Kathleen Moriarty and Burt Kaliski and Jakob Jonsson and Andreas Rusch. *PKCS*
2079 *#1: RSA Cryptography Specifications Version 2.2*. Internet Engineering Task Force (IETF)
2080 Request for Comments: RFC 8017. November 2016. DOI: [10.17487/RFC8017](https://doi.org/10.17487/RFC8017).
- 2081 [SP800-38-series] Morris Dworkin. *Recommendation for Block Cipher Modes of Operation — Parts*
2082 *A through G*. (National Institute of Standards and Technology) NIST Special Publications (SP):
2083 [800-38A](#) (*Methods and Techniques*, 2021); [800-38B](#) (*the CMAC Mode for Authentication*
2084 *2016*); [800-38C](#) (*the CCM Mode for Authentication and Confidentiality*, 2007); [800-38D](#)
2085 (*Galois/Counter Mode (GCM) and GMAC*, 2007); [800-38E](#) (*the XTS-AES Mode for Confi-*
2086 *dentiality on Storage Devices*, 2010); [800-38F](#) (*Methods for Key Wrapping*, 2012); [800-38G](#)
2087 (*Methods for Format-Preserving Encryption*, 2016). October 2016.
- 2088 [SP800-38B] Morris Dworkin. *Recommendation for Block Cipher Modes of Operation: The CMAC*
2089 *Mode for Authentication*. (National Institute of Standards and Technology) NIST Special
2090 Publication (SP) 800-38B. May 2005. DOI: [10.6028/NIST.SP.800-38B](https://doi.org/10.6028/NIST.SP.800-38B).
- 2091 [SP800-38F] Morris Dworkin. *Recommendation for Block Cipher Modes of Operation: Methods*
2092 *for Key Wrapping*. (National Institute of Standards and Technology) NIST Special Publication
2093 (SP) 800-38F. December 2012. DOI: [10.6028/NIST.SP.800-38F](https://doi.org/10.6028/NIST.SP.800-38F).
- 2094 [SP800-56A-Rev3] Elaine Barker, Lily Chen, Allen Roginsky, Apostol Vassilev, and Richard Davis.
2095 *Recommendation for Pair-Wise Key-Establishment Schemes Using Discrete Logarithm Cryp-*
2096 *tography*. (National Institute of Standards and Technology) NIST Special Publication (SP)
2097 800-56A Rev. 3. April 2018. DOI: [10.6028/NIST.SP.800-56Ar3](https://doi.org/10.6028/NIST.SP.800-56Ar3).
- 2098 [SP800-56B-Rev2] Elaine Barker, Lily Chen, Allen Roginsky, Apostol Vassilev, Richard Davis, and
2099 Scott Simon. *Recommendation for Pair-Wise Key-Establishment Using Integer Factorization*
2100 *Cryptography*. (National Institute of Standards and Technology) NIST Special Publication
2101 (SP) 800-56B Rev. 2. March 2019. DOI: [10.6028/NIST.SP.800-56Br2](https://doi.org/10.6028/NIST.SP.800-56Br2).
- 2102 [SP800-56C-Rev2] Elaine Barker, Lily Chen, and Richard Davis. *Recommendation for Key-Deriva-*
2103 *tion Methods in Key-Establishment Schemes*. (National Institute of Standards and Technology)
2104 NIST Special Publication (SP) 800-56C Rev. 2. August 2020. DOI: [10.6028/NIST.SP.800-56](https://doi.org/10.6028/NIST.SP.800-56Cr2)
2105 [Cr2](#).
- 2106 [SP800-57-P1-R5] Elaine Barker. *Recommendation for Key Management: Part 1 — General*. (Na-
2107 tional Institute of Standards and Technology) NIST Special Publication (SP) 800-57 Part 1
2108 Rev. 5. May 2020. DOI: [10.6028/NIST.SP.800-57pt1r5](https://doi.org/10.6028/NIST.SP.800-57pt1r5).

- 2109 [SP800-90A-R1] Elaine Barker and John Kelsey. *Recommendation for Random Number Generation*
2110 *Using Deterministic Random Bit Generators*. (National Institute of Standards and Technology)
2111 NIST Special Publication (SP) 800-90A Rev. 1. June 2015. DOI: [10.6028/NIST.SP.800-90Ar1](https://doi.org/10.6028/NIST.SP.800-90Ar1).
- 2112 [SP800-90B] Meltem Sönmez Turan, Elaine Barker, John Kelsey, Kerry McKay, Mary Baish, and
2113 Michael Boyle. *Recommendation for the Entropy Sources Used for Random Bit Generation*.
2114 (National Institute of Standards and Technology) NIST Special Publication (SP) 800-90B.
2115 January 2018. DOI: [10.6028/NIST.SP.800-90B](https://doi.org/10.6028/NIST.SP.800-90B).
- 2116 [SP800-90C-3PD] Elaine Barker, John Kelsey, Kerry McKay, Allen Roginsky, and Meltem Sönmez
2117 Turan. *Recommendation for Random Bit Generator (RBG) Constructions. Third Public Draft*.
2118 (National Institute of Standards and Technology) NIST Special Publication (SP) 800-90C 3PD.
2119 September 2022. DOI: [10.6028/NIST.SP.800-90C.3pd](https://doi.org/10.6028/NIST.SP.800-90C.3pd).
- 2120 [SP800-108-Rev1] Lily Chen. *Recommendation for Key Derivation Using Pseudorandom Func-*
2121 *tions*. (National Institute of Standards and Technology) NIST Special Publication (SP) 800-
2122 108 Rev. 1. August 2022. DOI: [10.6028/NIST.SP.800-108r1](https://doi.org/10.6028/NIST.SP.800-108r1).
- 2123 [SP800-135-Rev1] Quynh Dang. *Recommendation for Existing Application-Specific Key Derivation*
2124 *Functions*. (National Institute of Standards and Technology) NIST Special Publication (SP)
2125 800-135 Rev. 1. December 2011. DOI: <https://doi.org/10.6028/NIST.SP.800-135r1>.
- 2126 [SP800-185] John Kelsey, Shu-jen Chang, and Ray Perlner. *SHA-3 Derived Functions: cSHAKE,*
2127 *KMAC, TupleHash and ParallelHash*. (National Institute of Standards and Technology) NIST
2128 Special Publication (SP) 800-185 (Draft). December 2016. DOI: [10.6028/NIST.SP.800-185](https://doi.org/10.6028/NIST.SP.800-185).
- 2129 [SP800-186-Draft] Lily Chen, Dustin Moody, Andrew Regenscheid, and Karen Randall. *Recommen-*
2130 *dations for Discrete Logarithm-Based Cryptography: Elliptic Curve Domain Parameters*. (Na-
2131 tional Institute of Standards and Technology) NIST Special Publication (SP) 800-186 (Draft).
2132 October 2019. DOI: [10.6028/NIST.SP.800-186-draft](https://doi.org/10.6028/NIST.SP.800-186-draft).
- 2133 [ZkpComRef] ZKProof. *ZKProof Community Reference. Version 0.3*. Published by ZKProof.org.
2134 Editors: D Benarroch, L Brandão, M Maller, and E Tromer. Contributors since version 0: S Agrawal, T Arcieri, D
2135 Benarroch, V Bharathan, N Bitansky, S Bowe, L Brandão, B Bünz, R Canetti, A Caro, K Chalkias, J Cincinnati, H
2136 Corrigan-Gibbs, J Daniel, M Dixon, M Dubovitskaya, B Falk, D Genkin, N George, S Goldwasser, A Gupta, J
2137 Grigg, J Groth, K Gurkan, Y Hang, D Hopwood, Y Ishai, C Jutla, Y Kalai, H Krawczyk, J Law, A Lysyanskaya,
2138 M Maller, E Morais, Z Manian, A Miller, E Morais, N Narula, R Ostrovsky, G Pacini, O Paneth, R Peralta, A
2139 Poelstra, T Rabin, M Raykova, A Robinson, R Rothblum, J Rouach, A Scafu, a shelat, K Solipuram, J Thaler, E
2140 Tromer, M Varia, M Venkatasubramaniam, M Virza, I Visconti, R Wahby, D Wikstrom, P Wuille, Y Zohar, and A
2141 Zhang. July 2022. Updated versions at <https://docs.zkproof.org/reference>.