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Quantities and Units for Software Product Measurements

David Flater

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Abstract

International Organization for Standardization (ISO)/International Electrotechnical Commission (IEC) 80000, the International System of Quantities, collects and organizes the most important physical quantities into a coherent system. In a similar fashion, this report collects and organizes the most important quantities used in software metrics, focusing on software as a product rather than its development process.

Key words

Measurement; metrics; quantities; software; units.

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0 Measurement concepts

0.1 Normative references

The following referenced documents are taken to be canonical for the established system of metrology:

- "The SI Brochure:" International Bureau of Weights and Measures (Bureau International des Poids et Mesures, BIPM). The International System of Units (Le Système international d'unités, SI), 9th edition, 2019. <u>http://www.bipm.org/en/publications/si-brochure/</u>
- "The VIM:" Joint Committee for Guides in Metrology (JCGM). International vocabulary of metrology (Vocabulaire international de métrologie, VIM)—Basic and general concepts and associated terms, 3rd edition. JCGM 200:2012. http://www.bipm.org/en/publications/guides/vim.html
- "The GUM:" Joint Committee for Guides in Metrology. Evaluation of measurement data—Guide to the expression of uncertainty in measurement (GUM). JCGM 100:2008. <u>http://www.bipm.org/utils/common/documents/jcgm/JCGM 100 2008 E.pdf</u>
- "The International System of Quantities (ISQ):" International Organization for Standardization (ISO) / International Electrotechnical Commission (IEC) 80000, Quantities and units.

0.2 Basic terms

The following terms are defined by the 3rd edition of the VIM [VIM]:

quantity: property of a phenomenon, body, or substance, where the property has a magnitude that can be expressed as a number and a reference.

[The "reference" is typically an expression in terms of SI units.]

quantity value: number and reference together expressing magnitude of a quantity. Example 1: Length of a given rod: 5.34 m or 534 cm.

measured quantity value: quantity value representing a measurement result.

measurement result: set of quantity values being attributed to a measurand together with any other available relevant information.

[The "set of quantity values" is intended to accommodate uncertainty, given that a single true quantity value generally cannot be determined.]

In common software jargon (and thus, within this document), the term "metric" is used in a broad manner to include not just measurable quantities in the strict sense but calculated values and assigned classifications of any sort that are treated as measurement results. Similarly, "measure" used as a noun can mean measurement (as in the idiomatic "unit of measure"), metric, or unit (as in "measure of X"), depending on context.

0.3 Extended units

A quantity in the SI can be stated as a mathematical expression—the product of a numerical value and a unit of measurement. The magnitude of a quantity can be expressed in terms of the seven SI traditional base quantities length (m), mass (kg), time (s), electric current (A), thermodynamic temperature (K), amount of substance (mol), and luminous intensity (cd), either individually or in combinations. These quantities correspond to physical dimensions as used in dimensional analysis.

However, many kinds of quantities have no extent in any of the seven standard dimensions. For example, a *counted quantity* is a number of some distinguishable kind of thing, such as 32 bits. Unfortunately for computer science, "amount of data" is not an SI dimension, and bits and bytes are not SI units. Ratios of two quantities of the same kind, such as mass fractions (kg/kg), are a similar major category of quantities. The SI Brochure regards both of these categories of quantities as dimensionless.

In the SI, the unit of measurement for dimensionless quantities is the special unit one. Depending on context, it may be regarded as the derived unit that algebraically results from setting the exponents on all seven of the SI traditional base quantities to zero, or it may instead be regarded as a further base unit that is common to all measurement systems [VIM, SI]. A suggestion that it would be clearer to refer to *dimension number*, with Z as its symbol and 1 as its coherent unit of measurement [Krystek], has become popular.

To avoid user surprise at the canonical SI treatment of amounts of data and other dimensionless quantities, software libraries and packages that implement quantities and units functions often apply workarounds such as adding an explicit base unit for 1, adding many non-SI dimensions, and allowing users to introduce arbitrary irreducible units (effective extra dimensions). Different software has applied different workarounds, creating subtle problems for transfer of scientific data.

In this document, we follow a model that extends the interpretation of dimensionless quantities by subtyping the special unit one with "extended units." For a complete discussion of this model, related work, and alternative approaches, please see Ref. [Flater].

0.4 "Amount of data" as a dimension

For most counted quantities, there is only one obvious unit to use (the counted entity or event), and the question of dimension is obviated by the type system for dimensionless quantities that was mentioned above. However, the coexistence of multiple "natural units"

of data (bits, bytes, and occasionally words) means that often it is less misleading to cite *dimension data* than to specify any such unit.

In physical metrology, the National Institute of Standards and Technology (NIST) has proposed that angle be included as an SI dimension with the radian as its coherent unit of measure and the cycle as a non-coherent unit that is equal to 2π radians. In parallel fashion, we sometimes find it convenient to think of data as an added dimension with the bit as its coherent unit of measure and the byte as a non-coherent unit that is equal to 8 bits. The fact that amounts of data are counted quantities that cannot be subdivided indefinitely is not always an important factor; the same is true of amounts of substance in the SI.

Stating that a quantity has the dimension of data, rather than the units of bits or bytes, makes it clear that the choice of unit is not an essential part of the definition of the quantity. One can use other counting units, such as data structures of a particular type that can be reduced to a count of bits, without losing traceability.

Dimensions that proved useful in describing software quantities are provided in Sec. 3. They are: time, data, information, and work. Other "effective" dimensions corresponding to the many kinds of nonphysical quantities that are described in this document can easily be posited; however, in most cases, there is nothing to be gained by doing so. The units and/or scale of the result provide complete information.

0.5 Traceability

[SI, Sec. 2.3.3] states that counts are traceable to the SI via the special unit one and "appropriate, validated measurement procedures." However, in general, counting involves characterizing what is being counted (say, lines of code), and this characterization involves a standard (definition of line of code) that is not part of the SI. Therefore, the task of defining most extended units falls on the downstream users of the SI.

Traceability is complicated further when some kind of count is used as a surrogate measure of another kind of quantity. For example, the durations of some software processes may be expressed in Central Processing Unit (CPU) cycles rather than in seconds. A given number of CPU cycles translates to a variable number of seconds because the CPU frequency varies. Analogously, a program may transfer a fixed number of data entities of a given type, but if these entities vary in size, then the number of bits transferred will vary.

Practitioners may find it expedient to use the non-traceable units because the resulting expression apparently is more precise. However, sacrificing traceability means that the actual duration of the process (in seconds) or the actual amount of data transferred (in bits) has not been quantified. A process that took more CPU cycles to run may actually have taken *less* time. This may or may not be an important consideration, depending on the use of the measured quantity values, but it is anathema to theories of measurement that seek to relate all quantities to real, independent, objective properties.

0.6 Scales

Scale theory is a small part of the broad discipline of measurement theory. It was popularized in 1946 by Stanley S. Stevens [Stevens] and subsequently extended, formalized, reinterpreted, and criticized by many others.

The following scales suffice for the purposes of this document. N.B., These traditional scale definitions are incompatible with the formal definitions used in [Zuse].

- A dichotomic scale has only two values, typically named yes/no or true/false, which have no particular ordering (thus "scale" is a misnomer).
- Nominal is the "scale" of measurements that assign identifiers that have no particular ordering. A "nominal set," in which the identifiers are not mutually exclusive, is reducible to a set of independent dichotomic measures.
- Ordinal is the scale of measurements that assign numbers in a fashion that preserves relative ordering but nothing more. There is no unit of measurement for results on an ordinal scale because the magnitudes have no meaning beyond relative ordering.
- Interval is the scale of measurements that have a meaningful unit but not a meaningful zero point. For example, temperature can be measured on either the Celsius or Fahrenheit scales, but their zero points are different and do not correspond to a physical minimum.
- Ratio is the scale on which physical measurements of length, mass, time, etc. are made. Since they have a meaningful zero point, quantities on a ratio scale can be transformed by a simple multiplicative scaling factor without losing information; e.g., 5 m is the same quantity as 500 cm.

In this document, a derived number for which no unit or representational structure is obvious is deemed ordinal, even though the metric may not even preserve a relative ordering by the measurand. When a unit is derivable but not consistent with how the metric is used, the scale may also be deemed ordinal.

1 Guide to the system

1.1 Scope, goals, and non-goals

The word "software" covers a lot of territory, either directly or indirectly. A notional software attribute can be different things depending on which artifact or process serves as the object of measurement. Our objects of measurement include the following:

- Architecture, design
- Requirements
- Specification
- Algorithm
- Implementation (source code or script)

- Executable (binary or bytecode)
- Execution (of binary, bytecode, or script)

The purpose of this document is to provide a systematic reference for metrics that are in use. <u>Non</u>-goals of this document include:

- Providing a tutorial on computer science, software measurement, or metrology;
- Creating another self-consistent vocabulary for computer science, software measurement, or metrology, to compete with [ISO-Vocab], [VIM], and similar standards;
- Creating a complete catalog of every software metric that was ever used;
- Explaining the use or usefulness of individual metrics;
- Evaluating the meaningfulness, validity, or formal properties of individual metrics;
- Explaining or mitigating the deficiencies of individual metrics beyond what is necessary to integrate them into the system without confusing the reader;
- "Picking winners" among competing candidates for metrics of a given type.

We prioritize practical usability over rigid consistency and eschew organizing principles that would require commonly associated metrics to be separated from one another in the document.

1.2 Criteria for inclusion

The determination of which metrics to include was made according to the following criteria:

- 1. Species: the ostensible metric must actually be a metric and not merely a framework, model, methodology, method, architecture, paradigm, foundation, or theory.
- 2. Scope: the metric must apply to a software artifact, i.e., one of the objects listed in Sec. 1.1, and it must measure some objective property. Metrics that resemble customer satisfaction surveys are excluded. Edge case: the security metrics in Ch. 10.
- 3. Clarity: the metric and its result must not depend on models or concepts that are too abstruse to summarize reasonably in this document.
- 4. Completeness: the metric must not depend on made-up numbers (e.g., arbitrary weighting factors, typically denoted by w_i), unspecified threshold values, or quantities for which no reliable measurement method is known (e.g., number of incorrect requirements, total number of possible use cases). Edge case: the combinatorial coverage metrics in Sec. 9.2 depend on the selection of a finite set of valid values for variables.
- 5. Notability: there must exist references to the metric by sources other than the originating author or organization. Large, complicated metrics that are difficult to incorporate need correspondingly stronger evidence of notability. Edge case: some obscure metrics have been referenced in surveys that are more notable and available than the original sources. Such references beget more references in later surveys

even if the metrics never had any practical application, as each survey aims for completeness.

- 6. Availability: the definition of the metric must be published and obtainable with a web search or an interlibrary loan, or it must appear in an international standard. Edge case: [DO-178C] is an important reference but is not widely published.
- 7. Not a hardware reliability metric: generic systems reliability metrics like mean time to failure (MTTF) that sometimes are applied to software are adequately covered by other standards. A sample of such measures is provided in Institute of Electrical and Electronics Engineers (IEEE) 982.1, IEEE Standard Dictionary of Measures of the Software Aspects of Dependability (2005).
- 8. Not a checklist or laundry list: the metric must not depend on a list of disparate rules or factors that would be unreasonable to quote in its entirety in this document.
- 9. Not overspecialized: to avoid an infinite proliferation of variations on a theme, a metric that is an obvious specialization or derivative of something already included here, need not be included here. For example, given that number of operations is included, we do not necessarily need entries for the number of *illegal* operations for every possible definition of illegal, the *mean* number of operations per every possible denominator, or the *proportion* of operations that are legal, unless the derivative forms are especially notable in themselves.

The metrological validity and soundness of metrics was not evaluated. The inclusion of a metric is not a recommendation or endorsement, and the exclusion of a metric is not a criticism or condemnation.

1.3 Guide to tables

Ch. 2 and later chapters detail the software system of quantities in a series of tables. The columns of those tables are explained below.

- The Names column gives the intelligible short description or alternative descriptions of the quantity.
- The Symbols column attempts to provide either the canonical symbol assigned by a primary reference or the symbols that are most commonly used in practice to identify a quantity. This document makes no attempt to catalog every symbol ever used for a given quantity; the proliferation is too great and is not to be encouraged.
- The Definitions column defines the quantity and includes any necessary discussion. In especially complex cases, a summary is given and readers must consult the cited references for the complete definition. References to input quantities that are defined elsewhere in the document may be indicated by bold font. Superscript numbers refer to the copyright notes listed in Ch. 12.
- The References column contains one or more of the following:

- Supertypes: the References column of the tables in Ch. 2 identifies the immediate supertypes of dimensionless units within a type system as described in [Flater].
- Unit: an expression in terms of the counting units of Ch. 2 and SI units as applicable.
 For ratios of two quantities of the same kind, such as compression ratio, the units are shown in unsimplified form (bit/bit) for clarity.
- Dimension: when specific units are over-constraining, an expression in terms of the dimensions of Ch. 3 and SI dimensions may be given instead.
- Scale: if a unit or dimension was specified, a ratio scale is implied; otherwise, an interval, ordinal, nominal, or dichotomic scale is specified.
- Range: further explanation of the meaning of the output values, when needed.
- Reference: the source of the definition or the document to refer to for details of the measurement method. In the absence of quotation marks, the definition may be a paraphrase, summary, reduction, or rewrite of some portion of the cited source. The source may provide multiple definitions of which only one was selected. When no reference is given, the definition is a best effort to fill gaps in the canon.

Tables at the beginning of a section that are introduced as "model" or "defined inputs" provide definitions that the quantities in a subsequent table depend on. These inputs are not necessarily quantities, but may be sets or other abstractions that have neither units nor scale. The information provided is a subset of what was described above.

1.4 History and future

This document was started in 2017 by Sumaiyah Sarwat for a Summer Undergraduate Research Fellowship (SURF) with David Flater as research advisor. It was finished by David Flater and submitted to the International Electrotechnical Commission (IEC) in 2018 as initial basis for new work item proposal PNW 25-631 to create 80000-18, Quantities and units— Part 18: Software product. It received the necessary $\frac{2}{3}$ majority of votes for approval, but only 3 of the approving members nominated experts to participate in development. A minimum of 4 experts from approving members was required, so the new work item proposal ultimately was rejected. Subsequently, the document was made a NIST publication with copyright clearance assistance by Karen Reczek and technical reviews by Paul E. Black and David B. Newell.

In current practice, many of the elementary quantities of software measurement are multiply-defined and/or ill-defined. Improving and standardizing the definitions of these base quantities is within the traditional scope of international standards work. As software metrology becomes a mature discipline, the document should become less descriptive (following the practice) and more prescriptive (normative). This transition requires consensus, and the proper venue for such a consensus to emerge is international standards.

2 Countable entities and events

In the following tables, **entity** and **event** serve as the most general counting units at the top of the type system (disregarding unit one), following the pattern that was initiated in [Mohr].

Metrology practice maintains a clear distinction between symbols that denote quantities, such as *I* denoting length, and symbols that denote units, such as m denoting the meter (the unit of length). To express that a length is 5 meters, one would write *I* = 5 m but not m = 5. In contrast, the usage of symbols in software practice often is equivocal over whether a symbol refers to a counted quantity (e.g., LOC = 5) or a type of entity that is used as a counting unit (5 LOC). In such cases, the counted quantity can be described simply as "Number of [countable entity]," and a definition of the countable entity would be sufficient to define the quantity. Indeed, many software metrics are nothing more than these counts, embellished by description. For example, the number of LOC, the number of classes, or the number of terms could all be described, in some fashion, as the "size" (more accurately, *a* size) of a software artifact. However, certain symbols, such as Halstead's N₁ and η_1 , qualify the method of counting so that merely cataloging the countable entity types is insufficient.

Many higher-level, derived software metrics define input quantities in-line with expressions like "...where N is the number of (...)." In a more mature system of quantities, commonly-used counts might have standard symbols, and n and N might not be so overloaded.

| Names | Symbols | Definitions | References |
|-------------|---------|---|--------------|
| Bit | b | Quantum unit of data. | Entity |
| | | The definition of 'b' as the symbol for bit was made in [IEEE-100] (now withdrawn), and thence indirectly by [IEEE-1541] which makes normative reference to it, but [IEC] does not use it. In practice, it appears widely as bandwidths are quoted in units of "Mbps" (meaning Mb/s) or "Gbps" (meaning Gb/s). The 'b' symbol is also used for the barn, a non-SI unit of area. | [IEEE-100] |
| Qubit | | Bit, in the context of quantum computing. | Bit |
| Quantum bit | | | [Schumacher] |

2.1 Elementary entities

| Names | Symbols | Definitions | References |
|--------------------------------------|---------|--|---|
| Byte B | | = 8 bit | [IEC] |
| | | In many contexts, the byte is practically used as the quantum unit of data because individual bits cannot be directly addressed. | |
| | | The derived unit byte, symbol B, has <i>de facto</i> been standardized as 8 bits, but may vary in historical uses. [IEC] suggests the less ambiguous unit octet, symbol o, but this is seldom seen in practice. | |
| | | The 'B' symbol is also used for the bel, a non-SI unit of logarithmic ratio quantities. | |
| Word | | "The normal unit in which information may be stored, transmitted, or operated on within a given computer." ¹⁰ Like the byte, the word is defined as a number of bits, but the number is architecture- dependent. | [IEEE-100] |
| Character | char | "A sequence of one or more bytes representing a single graphic symbol." ¹⁰ The number of bytes per symbol may vary, as it does in the popular encoding UTF-8 (8-bit Unicode Transformation Format). | Entity [IEEE-100] [Fenton, p. 346] |
| Pixel | рх | Etymologically derived from <i>picture element</i> , pixels are the elementary constituents of raster graphics images. | Entity |
| Instruction, Operation | ор | Unit of machine code / assembly language. Depending on the implementation, instructions may be indivisible or they may translate into blocks of microcode. | Entity |
| Microinstruction, Micro-operation | μор | Quantum unit of microcode. (Caution: while the name and symbol imply that 1 op = $10^6 \mu op$, instructions and microinstructions are not actually comparable. The use of the micro- prefix here, established in software jargon, is misleading and incompatible with the SI.) | Entity |

2.2 Source-level entities

Many of the entities given in this section have problematic definitions. Some have standard definitions that conflict with their meanings within widely-used software metrics, and some have no standard definitions at all. As was mentioned in Sec. 1.4, gaining consensus on an adequate set of definitions for these base quantities is a work item for the standardization of software metrology.

| Names | Symbols | Definitions | References |
|-----------------|---------|---|--------------|
| Line of code, | LOC, | Segment of source code that is delimited by the | Entity |
| Source line of | SLOC | applicable end-of-line string (usually a single line | [Park] |
| code, | | feed character or a carriage return-line feed | |
| code | | combination) of by the beginning of end of the fife. | |
| coue | | See [Park] for a framework of many different yet | |
| | | equally plausible definitions of this quantity. [Misa] | |
| | | defines SLOC as LOC minus blank lines and | |
| Logical line of | LLOC | Comment lines (= NCLOC, see below). | Entity (not |
| Logical line of | LLOC | 1 NCLOC | Entity (not |
| coue | | 2. LOC after concatenating continuation lines | LOC) |
| | | 2. NCLOC after concatenating continuation lines | LUC) |
| | | 4. Statement | |
| Comment line | CLOC | 4. Statement | LOC |
| of code | CLUC | whitespace or that is completely empty (blank) | [Fenton nn |
| or code | | whitespace, of that is completely empty (blank). | 340–3411 |
| Noncommented | NCLOC | LOC that is not a CLOC. | LOC |
| line of code, | | LOC = NCLOC + CLOC | [Fenton, pp. |
| Effective line | | | 340–341] |
| of code | | | |
| Line of | CM, | LOC that contains a comment (and possibly other | LOC |
| comments | CMT | <i>content</i>); "a physical line on which there is a | [Welker, p. |
| | | comment." This usage seems rare but is referenced in | 130] |
| Eurotion | | Sec. 7.12. | Entity |
| Procedure | | Tortion of a computer program that is named and that performs a specific action $"^{6}$ | [ISO-Vocab] |
| Subroutine | | This concrete source code entity should not be | |
| Submodule | | confused with the abstract unit of functionality | |
| ~ | | function appearing in Sec. 2.6. | |
| Module | | "Program unit that is discrete and identifiable with | Entity |
| | | respect to compiling, combining with other units, and | [ISO-Vocab] |
| | | loading." | |
| | | "Collection of both data and the routines that act on | |
| | | it." ⁶ | |
| Class | | "Static programming entity in an object-oriented | Entity |
| | | program that contains a combination of functionality | [ISO-Vocab] |
| | | and uaid. | |
| | | programming (for larger interpretations of module) | |

| Names Symbols | | Definitions | References |
|---------------|-----|---|-------------|
| Package, | | "Separately compilable software component | Entity |
| Subsystem, | | consisting of related data types, data objects, and | [ISO-Vocab, |
| Cluster | | subprograms." ⁶ | Lorenz] |
| | | In object-oriented contexts, a package consists of | |
| | | related classes. | |
| | | "A subsystem is a collection of classes that support a | |
| | | set of end-user functions." | |
| Data type | | "Set of values and operations on those values." ⁶ | Entity |
| | | | [ISO-Vocab] |
| Abstract data | ADT | "Data type for which only the properties of the data | Data type |
| type | | and the operations to be performed on the data are | [ISO-Vocab] |
| | | specified, without concern for how the data will be | |
| | | represented or how the operations will be | |
| | | implemented." ⁶ Sometimes synonymous with class . | |
| Block | | Group of contiguous statements that are treated as a | Entity |
| | | unit. ⁶ | [ISO-Vocab] |
| Method, | | Source code unit from object-oriented programming; | Function |
| Operation | | a function that is scoped by and contained within a | |
| | | class. | |
| | | "Operation" is the term used in [UML], but in this | |
| | | document it is too easily confused with its other | |
| | | definitions, so herein we prefer "method." | |
| Statement | | "In a programming language, a meaningful expression | Entity |
| | | that defines data, specifies program actions, or directs | [ISO-Vocab] |
| | | the assembler or compiler." ⁶ (The term "expression" | |
| | | is used in a more general sense in the preceding quote | |
| | | than the way it is defined below.) | |
| | | A unit corresponding to a single "command." | |
| Expression | | "Sequence of constants, variables, and functions | Entity |
| | | connected by operators to indicate a desired | [IEEE-100] |
| | | computation." ¹⁰ | |
| Data object, | | Entity that occupies storage or consumes bandwidth. | Entity |
| Data element, | | | |
| Data item | | | |
| Variable | | "Quantity or data item whose value can change." ⁶ | Data object |
| Parameter | | An input to a function or procedure | Operand |
| Argument. | | | Data object |
| In-parameter | | In many contexts it is necessary to distinguish the | 00,000 |
| - r | | declared "formal parameter" from the "actual | |
| D 1 | | parameter" provided at invocation. | |
| Kesult, | | An output of a function or procedure. | Operand, |
| Return value, | | | Data object |
| Out-parameter | | | |

| Names | Symbols | Definitions | References |
|---------------|---------|--|-------------|
| Operand | | "Variable, constant, or function upon which an | Term |
| | | operation is to be performed." ⁶ | [ISO-Vocab] |
| | | A parameter or result.* | [Halstead] |
| Call, | | Source code entity that causes a call/invocation event | Entity |
| Invocation, | | (see profiling units). An operator together with its | |
| Operation, | | operands. | |
| Message send | | | |
| Exception | | Source code entity that represents or describes an | Entity |
| | | exception (event). | - |
| Operator | | "Mathematical or logical symbol that represents an | Term |
| | | action to be performed in an operation." ⁶ | [ISO-Vocab] |
| | | 1. Narrowly: the predefined programming language | [Halstead] |
| | | functions that include mathematical, logical, | |
| | | grouping, indexing, dereferencing, address-of, | |
| | | scoping, character string, etc. functions. | |
| | | 2. More broadly: any function or procedure that has | |
| | | one or more operands. | |
| | | 3. [Halstead] also considers control constructs to be | |
| | | operators [Halstead p. 7]. See mises en pratique.* | |
| Token | | Operator or operand .* (This generalization is the | Entity |
| | | unit of several of Halstead's metrics, but is not given a | [Halstead] |
| | | name in [Halstead].) | |
| Site | | Source code entity that indicates where a weakness | Entity |
| | | may exist or does exist, i.e., a code location with | [IR8113] |
| | | characteristics relevant to bug classes. "A location in | |
| | | code where a weakness might occur." | |
| Branch, | DD-path | One of the outbound paths from a conditional | Entity |
| Decision-to- | | statement; e.g., an if-then-else conditional has two | [ISO-Vocab] |
| decision path | | branches, one of which is executed if the expression is | |
| | | true and the other of which is executed if the | |
| | | expression is false. | |
| Condition | | "A Boolean expression containing no Boolean | Expression |
| | | operators except for the unary operator (NOT)." ¹¹ | [DO-178C] |
| Decision | | "A Boolean expression composed of conditions and | Expression |
| | | zero or more Boolean operators. If a condition | [DO-178C] |
| | | appears more than once in a decision, each occurrence | |
| | | is a distinct condition." ¹¹ | |
| Entry point, | | "Point in a software module at which execution of the | Statement |
| Entry | | module can begin." ⁶ | [ISO-Vocab] |
| Exit point, | | "Point in a software module at which execution of the | Statement |
| Exit | | module can terminate." ⁶ | [ISO-Vocab] |
| Data flow, | | Transfer of data from one module to another. (Note | Entity |
| Information | | that this refers to the implementation of such transfer | [Henry] |
| flow | | in software, not the event of it occurring at run time.) | |

* Halstead's model that "an algorithm consists of operators and operands, and of nothing else" [Halstead p. 8] is difficult to reconcile with complex programming languages that implement various declarations, control constructs, pragmas, etc. "The counting rules for the basic metrics are ill-defined, arbitrary and not applicable to languages with structured and abstract data types" [Hamer]. Halstead's counting units consequently get defined through unofficial "mises en pratique"—third-party documents that specify how to count them (or how they are counted in fact by some tool, for better or worse) for specific programming languages.

2.3 Graph entities

"The material which follows comes mostly from a larger area of mathematics known as the theory of graphs. Unfortunately, there is as yet no standard terminology in this field, and so the author has followed the usual practice of contemporary books on graph theory, namely to use words that are similar but not identical to the terms used in any *other* books on graph theory." [Knuth, Sec. 2.3.4]

Software metrology depends on graph theory due to the common use of control flow graphs, data flow graphs, dependency graphs, and other graphs in software measurement.

It is apparently the case that graph-theoretic terms such as walk, trail, circuit, path, chain, and cycle have not been standardized and are used differently in different sources. See Mathematics Stack Exchange, "<u>What is difference between cycle, path and circuit in Graph</u> <u>Theory</u>" and similar discussions. The impacted definitions below are tagged with the following attributes, which act as constraints:

N = reuse of nodes is prohibited (except special case for initial-final node in a cycle)

- E = reuse of edges is prohibited
- D = direction of arcs matters
- C = closed; end of sequence is required to be the same as the beginning.

| Names | Symbols | Definitions | References |
|-----------|-----------------|--|------------|
| Edge, | e [McCabe], | A line or arrow in a graph. | Entity |
| Arc | m [Berge] | | [Berge] |
| Node, | n [Berge, | A point in a graph. | Entity |
| Vertex | McCabe] | | [Berge] |
| Predicate | π [McCabe], | Flowgraph node with out-degree greater than 1. | Node |
| node | d [Fenton] | Using the symbol π for the count of predicate nodes | [McCabe] |
| | | conflicts with its canonical interpretation as a | |
| | | mathematical constant. | |
| Connected | p [Berge, | A class of the equivalence relation $[x=y, or x\neq y and$ | Entity |
| component | McCabe] | there exists a chain in G connecting x and y]. | [Berge] |

| Names | Symbols | Definitions | References |
|--------------|---------|---|--------------|
| Chain | | "A sequence $\mu = (u_1, u_2,, u_q)$ of arcs of G such | Entity |
| | | that each arc in the sequence has one endpoint in | [Berge] |
| | | common with its predecessor in the sequence and | |
| | | its other endpoint in common with its successor in | |
| | | the sequence". | |
| Elementary | | "Chain that does not encounter the same vertex | Chain |
| chain | | twice." N | [Berge] |
| Simple chain | | "Chain that does not use the same arc twice." E | Chain |
| | | | [Berge] |
| Cycle | | Simple chain whose endpoints are the same | Simple chain |
| | | vertex. EC | [Berge] |
| Elementary | | Cycle in which "no vertex is encountered more than | Cycle |
| cycle | | once (except, of course, the initial vertex which is | [Berge] |
| | | also the terminal vertex)." NEC | |
| | | The exception for the initial-final vertex in this | |
| | | representation of cycles means that elementary | |
| | | cycle is not a subtype of elementary chain. | |
| Path | | Chain "in which the terminal endpoint of arc u _i is | Chain |
| | | the initial endpoint of arc u_{i+1} for all i <q." d<="" td=""><td>[Berge]</td></q."> | [Berge] |
| Circuit | | Cycle "such that for all i <q endpoint="" of<="" td="" terminal="" the=""><td>Cycle</td></q> | Cycle |
| | | u_i is the initial endpoint of u_{i+1} ." EDC | [Berge] |
| Knot | | Place where two arrows are forced to cross each | Entity |
| | | other in some prescribed graph layout. | [Woodward] |

2.4 Dependency and definition/use entities

Uses, interactions, and dependencies have to do with relationships among source code entities. As such, they may exist only in a model of the software, or they may be ascribed to one of the involved entities (e.g., the dependency of A on B may be ascribed to A as the point of use). There are several alternative models and vocabularies.

| Names | Symbols | Definitions | References |
|-----------------|---------|---|------------|
| Definition | def | Variable occurrence "in which a value is stored | Entity |
| | | in a memory location." | [Frankl] |
| Use | use | Variable occurrence "in which a value is | Entity |
| | | fetched from a memory location." | [Frankl] |
| Computation use | c-use | Use that "directly affects the computation | Use |
| | | being performed or outputs the result of some | [Frankl] |
| | | earlier definition." | |
| Predicate use | p-use | Use that "directly affects the flow of control | Use |
| | | through the subprogram, and thereby may | [Frankl] |
| | | indirectly affect the computations performed." | |

| Names | Symbols | Definitions | References |
|---|-----------------------------------|--|-------------------------------|
| Data declaration- data declaration interaction | DD-interaction | "A data declaration A DD-interacts with another data declaration B if a change in A's declaration or use may cause the need for a change in B's declaration or use." | Entity [Briand93] |
| Data declaration- subprogram interaction, Data declaration- method interaction | DS-interaction, DM-interaction | "A data declaration DS-interacts with a subprogram if it DD-interacts with at least one of its data declarations." "There is a <i>DM-interaction</i> between data declaration <i>a</i> and method <i>m</i>, if <i>a</i> DD-interacts with at least one data declaration of <i>m</i>. Data declarations of methods include their parameters, return type and local variables." | CI [Briand93, Briand98] |
| Cohesive interaction | CI | "The set of cohesive interactions in a module is the union of the sets of DS-interactions and DD-interactions, with the exception of those DD-interactions between a data declaration and a subprogram formal parameter." | Entity [Briand93] |
| Interaction | | Reference to a class as the type of an attribute, parameter, or result, or invocation of one of its methods. | Entity [Briand97] |

2.5 Class diagram entities

| Names | Symbols | Definitions | References |
|--------------|---------|---|-------------|
| Relationship | | Edge in a class diagram (e.g., association, generalization, | Edge |
| | | aggregation). | [UML] |
| Attribute | | "Identifiable association between an object and a | Data object |
| | | value." ⁶ | [ISO-Vocab] |

2.6 Units of functionality

Although some shared definitions have been placed in a more general context to avoid duplication, the overlaps between the various standards for functional size measurement (FSM, c.f. Sec. 7.9) have not been fully sorted out.

| Names | Symbols | Definitions | References |
|------------------|---------|--|------------------------|
| Requirement, | | "Condition or capability that must be met or | Entity |
| Compliance point | | agreement, standard, specification, or other | [15 0 - v ocab] |
| Use case, | | "Sequence of tasks that a system can perform, | Entity USO Vocabl |
| Scenario script | | providing a measurable result of value for the user." ⁶ | [150- 100a0] |

| Names | Symbols | Definitions | References |
|------------------|---------|--|---------------|
| Feature | | "Distinguishing characteristic of a system | Entity |
| | | item." ⁶ | [ISO-Vocab] |
| Function | | "Defined objective or characteristic action of a | Entity |
| | | system or component." ⁶ | [ISO-Vocab] |
| | | This abstract unit of functionality should not be | |
| | | confused with the concrete source code entity | |
| | | function appearing in Sec. 2.2. | |
| Function point | FP | Ostensible unit corresponding to a difference of | |
| 1 | | 1 in the result of one of several functional size | |
| | | measurement methods. However, most of these | |
| | | methods yield ordinal values. See Sec. 7.9. | |
| IFPUG function | FP | "Unit of measure for functional size" ³ | FP |
| point | | ISO nomenclature: FP (IFPUG-IS) | [ISO-IFPUG] |
| FiSMA function | Ffp | FP as resulting from the measurement method | FP |
| point | 1 | defined in [ISO-FiSMA]. | [ISO-FiSMA] |
| COSMIC | CFP | "The size of one data movement" | FP |
| function point | | FP as resulting from the measurement method | [COSMIC, |
| 1 | | defined in [COSMIC, ISO-COSMIC]. | ISO-COSMIC] |
| | | ISO nomenclature: CFP (ISO/IEC 19761:2011) | - |
| MkII function | MkII FP | FP as resulting from the measurement method | FP |
| point | | defined in [ISO-MkII]. | [ISO-MkII] |
| 1 | | ISO nomenclature: MkII FP (ISO/IEC | |
| | | 20968:2002) | |
| NESMA function | FP | FP as resulting from the measurement method | FP |
| point | | defined in [ISO-NESMA]. | [ISO-NESMA] |
| 1 | | ISO nomenclature: FP (ISO/IEC 24570:2018) | |
| Automated | AFP | FP as resulting from the measurement method | FP |
| function point | | defined in [AFP]. | [AFP] |
| Base functional | BFC | "Elementary unit of Functional User | Entity |
| component | | Requirements defined by and used by an FSM | [ISO-FSM] |
| 1 | | Method for measurement purposes" ¹ | |
| Data function | | "Functionality provided to the user to meet | BFC |
| | | internal or external data storage requirements" ³ | [ISO-IFPUG] |
| Transactional | | "Elementary process that provides functionality | BFC |
| function | | to the user to process data" ³ | [ISO-IFPUG] |
| External input | EI | "Elementary process that processes data or | Transactional |
| 1 | | control information sent from outside the | function |
| | | boundary" ³ | [ISO-IFPUG] |
| External output | EO | "Elementary process that sends data or control | Transactional |
| 1 | | information outside the boundary and includes | function |
| | | additional processing logic beyond that of an | [ISO-IFPUG] |
| | | External Inquiry" ³ | |
| External inquirv | EQ | "Elementary process that sends data or control | Transactional |
| ·1 ··· J | | information outside the boundary" ³ | function |
| | | | [ISO-IFPUG] |

| Names | Symbols | Definitions | References |
|--------------------|---------|---|---------------|
| Internal logical | ILF | "User recognizable group of logically related | Data function |
| file | | data or control information maintained within the | [ISO-IFPUG] |
| | | boundary of the application being measured" ³ | |
| External interface | EIF | "User recognizable group of logically related | Data function |
| file | | data or control information, which is referenced | [ISO-IFPUG] |
| | | by the application being measured, but which is | |
| | | maintained within the boundary of another | |
| | | application" ³ | |
| Data element | DET | "Unique, user recognizable, non-repeated | Entity |
| type | | attribute" ³ | [ISO-IFPUG, |
| | | "Unique, user-recognizable, non-repeated field in a BFC" ⁷ | ISO-FiSMA] |
| Record element | RET | "User recognizable sub-group of data element | Entity |
| type | | types within a data function ¹³ | [ISO-IFPUG] |
| File type | FTR | "Data function read and/or maintained by a | Entity |
| referenced | | transactional function" ³ | [ISO-IFPUG] |
| Data movement | | "Base Functional Component which moves a | BFC |
| | | single data group" ² | [ISO-COSMIC] |
| Entry | | "Data movement that moves a data group from a | Data movement |
| | | functional user across the boundary into the | [ISO-COSMIC] |
| | | functional process where it is required" ² | |
| Exit | | "Data movement that moves a data group from a | Data movement |
| | | functional process across the boundary to the | [ISO-COSMIC] |
| | | functional user that requires it" ² | |
| Read | | "Data movement that moves a data group from | Data movement |
| | | persistent storage [to] within reach of the | [ISO-COSMIC] |
| | | functional process which requires it" ² | - |
| Write | | "Data movement that moves a data group lying | Data movement |
| | | inside the functional process to persistent | [ISO-COSMIC] |
| T ' 1 | | storage ² | DEC |
| Logical | | "Smallest complete unit of information | BFC |
| transaction | | the hyperbolic structure and user in | |
| Input data | NG | DET that is an input to a logical transaction | DET |
| alement type | 111 | DET that is an input to a logical transaction. | |
| Data entity type | Ne | "Fundamental thing of relevance to the user | Entity |
| Data entity type | INC | about which information is kept " ⁴ | |
| Output data | No | DET that is an output from a logical transaction | DFT |
| element type | 110 | DET that is an output nom a logical transaction. | IISO-MkIII |
| Interactive end- | a | "Interactive end-user navigation and query | BFC |
| user navigation | 1 | services specify all parts of the interactive user | [ISO-FiSMA] |
| and query service | | interface where there is no maintenance of | [|
| | | persistent data stored in the system." ⁷ Seven | |
| | | subtypes are defined. | |

| Names | Symbols | Definitions | References |
|--------------------|---------|--|-------------|
| Interactive end- | i | "Interactive end-user input services specify all | BFC |
| user input service | | parts of the interactive user interface where there | [ISO-FiSMA] |
| | | is maintenance of data store(s) of the software." ⁷ | |
| | | Three subtypes are defined. | |
| Non-interactive | 0 | "Non-interactive end-user output services specify | BFC |
| end-user output | | all parts of the user interface which are non- | [ISO-FiSMA] |
| service | | interactive and do not maintain data store(s) of | |
| | | the software." ⁷ Four subtypes are defined. | |
| Interface service | t | "Interface services to other applications specify | BFC |
| to other | | all automatic data transfers that move data from | [ISO-FiSMA] |
| applications | | the measured piece of software to another | |
| | | application or any device." / Three subtypes are | |
| | 2 | defined. | 222 |
| Interface service | f | "Interface services from other applications | BFC |
| from other | | specify all automatic data transfers that receive | [ISO-FISMA] |
| applications | | data groups that are provided and sent by another | |
| | | application or any device. Inree subtypes are | |
| Data atawa a | 1 | defined. | DEC |
| Data storage | a | Data storage services specify a group or | BFC |
| service | | confection of felated and self-contained data in | [ISO-FISMA] |
| | | software to provide one or more data stores "7 | |
| | | Two subtypes are defined | |
| Algorithmic and | 9 | "Algorithmic and manipulation services are user- | BEC |
| manipulation | a | defined independent data manipulation | IISO-FISMA1 |
| service | | functions " ⁷ Six subtypes are defined | |
| Reading | | "Data storage entity or record or interface record | Fntity |
| reference | | from another software or system containing data | IISO-FiSMA1 |
| Terefence | | retrieved in a BFC." ⁷ | |
| Writing reference | | "Data storage entity or other record, or interface | Entity |
| 88 | | record to another software or system to which | [ISO-FiSMA] |
| | | data is written in a BFC" ⁷ | |
| Operation | | "Arithmetic or logical operation performed in an | Entity |
| | | algorithmic and manipulation BFC" ⁷ | [ISO-FiSMA] |

2.7 Units of failure, interruption, and termination

| Names | Symbols | Definitions | References |
|---------|---------|---|-------------|
| Fault | | "Incorrect step, process, or data definition in a | Entity |
| | | computer program." ⁶ | [ISO-Vocab] |
| Failure | | "Event in which a system or system component does | Event |
| | | not perform a required function within specified | [ISO-Vocab- |
| | | limits." ⁵ | 2010] |

| Names | Symbols | Definitions | References |
|----------------|---------|---|--------------|
| Error | | An incorrect result; a "difference between a computed, | Entity |
| | | observed, or measured value or condition and the true, | [ISO-Vocab] |
| | | specified, or theoretically correct value or condition." ⁶ | |
| Bug, | | Less formal terms that may mean either fault or error | Entity |
| Defect | | depending on context and viewpoint. The relationship | |
| | | between errors observed by users and faults | |
| | | identified by developers is many-to-many. | |
| Bug report, | | Event of a user or tester asserting the existence of a | Event |
| Problem report | | fault in the software. | |
| Weakness | | Fault that is security-relevant. | Fault |
| Vulnerability | | Weakness that is exploitable. | Weakness |
| | | | [SP800-30r1] |
| Panic | | Self-initiated emergency stop of an operating system | Crash |
| | | kernel. | |
| Crash | | Emergency stop of a running process. | Failure |
| Hang | | Failure of a process to make progress. | Failure |
| Lockup | | Hang accompanied by abnormal unresponsiveness to | Hang |
| | | signals or control inputs. | |
| Abort | | Controlled interruption and shutdown of a running | Exit |
| | | process that has not reached its "normal" termination | |
| | | point. | |
| Exit | | Controlled termination of a running process. | Event |
| Timeout | | Event of a latency exceeding a threshold value. | Event |
| Exception | | "Abnormal" event that necessitates the "normal" flow | Event |
| | | of control of a running process to be interrupted. (See | [ISO-Vocab] |
| | | also the source code entity.) | |
| Interrupt | | "Suspension of a process to handle an event external | Event |
| | | to the process." ⁶ | [ISO-Vocab] |
| Signal | | Specific kind of interrupt on Unix-like operating | Interrupt |
| | | systems. | |

2.8 Profiling units

| Names | Symbols | Definitions | References |
|-------------|---------|---|------------|
| Call, | | Event of pushing the current function onto the stack and | Event |
| Invocation, | | transferring control to another function. Not to be confused | |
| Message | | with the source code entity that causes it to occur. | |
| | | The term "message" is used for Smalltalk and Objective C. | |
| Sample | | Event of a profiling interrupt being fired. In non-intrusive | Event |
| | | profiling, the execution of a program is sampled either at | |
| | | periodic intervals of at aperiodic times when arbitrary, | |
| | | defined conditions are met. | |

| Names | Symbols | Definitions | References |
|-------------|---------|---|------------|
| Cycle | | A single "tick" of the internal clock source of a processing | Event |
| | | unit such as a Central Processing Unit (CPU) or Graphics | |
| | | Processing Unit (GPU). | |
| Operation | | Unspecified unit of processing used to parameterize | Event |
| | | algorithmic performance and throughput metrics. Not to be | |
| | | confused with operation as machine code instruction | |
| | | (elementary entity) or source code entity. | |
| Iteration | | Single execution of the block inside a looping control | Event |
| | | construct. | |
| Run | | Single execution of a program, from start to exit or crash. | Event |
| Transaction | | Application-specific unit of processing, usually intended to | Event |
| | | execute atomically. | |
| Resource | | "Any physical or virtual component of limited availability | Entity |
| | | within a computer system available for a given purpose and | [ISO- |
| | | managed by the runtime platform." ⁶ E.g., CPU cores, GPU | Vocab] |
| | | cores, file descriptors, memory. | |

2.9 Testing units

| Names | Symbols | Definitions | References |
|----------------|---------|--|------------|
| Variable-value | | For a set of <i>t</i> variables, a variable-value configuration is | Entity |
| configuration | | a set of <i>t</i> valid values, one for each of the variables. For | [Kuhn] |
| | | test coverage purposes, a finite and practically testable | |
| | | set of values is selected and deemed "valid" for each | |
| | | variable. In design-of-experiments vocabulary, the | |
| | | variables are factors, the values are levels, and the | |
| | | variable-value configurations are treatments. | |
| Combination | | k distinct elements chosen from a set of cardinality $\geq k$ | Entity |
| | | (the standard definition from combinatorics). | [Kuhn] |
| Linear code | LCSAJ, | An LCSAJ triple "consists of a linear sequence of | Entity |
| sequence and | JJ-path | code plus a jump to a particular location." For Fortran | [Hennell] |
| jump, | | programs, the start point of a LCSAJ "is either the first | |
| Jump-to-jump | | line of the program or any line which has a jump to it | |
| path | | from elsewhere in the program, other than the | |
| | | preceeding line," and the end point "is either the end of | |
| | | the program or a line which contains a jump to other | |
| | | than the succeeding line." | |

3 Dimensions

See Sec. 0.4 for background. The legitimacy of data and information as dimensions, and of cycles and samples as units of time, need not be defended here. The purpose of this chapter is simply to identify the ranges of alternative units that could be used when one of the following dimensions is referenced in subsequent sections.

| Names | Symbols | Definitions | References |
|-------------|---------|---|-----------------------|
| Time | T | All conventional units of time longer than the | second [SI], minute, |
| | | second have multiple, competing definitions. E.g., | hour, day, |
| | | the existence of leap seconds confounds the | cycle, |
| | | definition of a minute as 60 s, an hour as 3600 s, | sample |
| | | etc.; summer time (daylight savings time) causes | |
| | | certain days to contain more or fewer than 24 | |
| | | hours; and conflicting definitions of the year | |
| | | (calendar years and astronomical years) are most | |
| | | notorious. | |
| Data | | | bit, |
| | | | byte, |
| | | | data object |
| Information | | | shannon (a.k.a. "bit" |
| | | | of information), |
| | | | hartley, nat [IEC] |
| Work | | The essential product of a processing unit (Central | transaction, |
| | | Processing Unit (CPU), Graphics Processing Unit | iteration, |
| | | (GPU), or suchlike). | operation (event), |
| | | | instruction (entity) |

4 Basic quantities

4.1 Physical quantities

It should be noted that the relative quantities given below are seldom identified as such in practice; e.g., both self time and relative self time are just called "self time."

| Names | Symbols | Definitions | References |
|------------------|---------|---|-----------------|
| Execution time, | | Time that a process takes to run, or that a | Dimension: time |
| Run time, | | process or system has been monitored. | |
| Wall time, | | | |
| Wall clock time, | | | |
| Elapsed time, | | | |
| Real time, | | | |
| Real world time | | | |
| Resource time | | Time that a specified class of resource was | Dimension: time |
| | | used, possibly by a specified process, | |
| | | thread, or group thereof. Resource time | |
| | | may exceed elapsed time if more than one | |
| | | resource (e.g., multiple CPU cores) was | |
| | | used. | |

| Names | Symbols | Definitions | References |
|---|---------|---|---|
| Relative resource | | = Resource time / real time | Dimension: time/time |
| time, Resource utilization | | This value may exceed unity if more than one resource (e.g., multiple CPU cores) was used. | |
| | | C.f. resource utilization in the next section (same name, different quantity). | |
| Self time | | Time that a specified function was executing (running), i.e., that the instruction being executed by the CPU was actually part of that function. | Dimension: time |
| Relative self time | | = Self time / CPU time | Dimension: time/time |
| Total time | | Time that a specified function either was executing (self time) or was on the stack (while a called subfunction or event handler was being executed). | Dimension: time |
| Relative total time | | = Total time / CPU time | Dimension: time/time |
| Latency, Delay, Lag, Response time | | Time between the final event that enables or causes something to occur and the event of it actually occurring. | Dimension: time |
| Energy | | Amount of energy (generally electric) used to run a process. | Unit: J (joule) [SI] or the non-SI kWh (kilowatt hour). |
| Power | | Rate of energy use for running a process. | Unit: W (watt) = J/s [SI] |

4.2 Resources, processing, and transmission

| Names | Symbols | Definitions | References |
|-----------------------------------|---------|---|--------------------------|
| Size | | [Of a data object] | Dimension: data |
| Storage capacity, Storage size | М | "Amount of data that can be contained in a storage device, expressed as a number of specified data elements" ⁸ | Dimension: data [IEC] |
| | | "Storage" here generalizes all kinds of memory, hard disks (HDD), solid state devices (SSD), etc., and the "device" may be either physical or logical. | |
| | | 'M' is also used for mass fraction and as the prefix for 10^6 . | |

| Names | Symbols | Definitions | References |
|----------------------|---------|--|-------------------------|
| Resource utilization | | Proportion of the resources of a | Unit: |
| | | specified class that were used. C.f. | resource/resource |
| | | resource utilization = relative resource | |
| | | time in the previous section (same | |
| | | name, different quantity). | |
| Storage utilization | | Proportion of storage capacity used; | Dimension: data/data |
| | | i.e., resource utilization where the | |
| | | resource is storage. | |
| Transfer rate | r, | "Quotient of the number of specified | Dimension: data/time |
| | ν | data elements transferred in a time | [IEC] |
| | | interval by the duration of this | |
| | | interval" ⁸ | |
| Bandwidth | | Maximum available transfer rate ; | Dimension: data/time |
| | | transfer capacity. | |
| Throughput | | Work performed in a given period of | Dimension: |
| | | time. ⁶ | work/time |
| | | | [ISO-Vocab] |
| Information content | I(x) | $= \log_2 \frac{1}{\pi(x)} Sh$ | Dimension: |
| | | p(x) where $p(x)$ is the probability of event | information |
| | | where $p(x)$ is the probability of event | [IEC] |
| Compression ratio | | Uncompressed size | Dimension: data/data |
| (storage) | | Compressed size | Dimension. data/data |
| (storuge) | | Compressed size | |
| | | | |
| | | | |
| | | | |
| Compression ratio | | Uncompressed transfer rate | Dimension: |
| (transmission) | | Compressed transfer rate | (data/time)/(data/time) |

4.3 Graph metrics

Many software metrics are derived using a graph model of the software, such as a control flow graph, data flow graph, call graph, dependency graph, or attack graph. The following metrics apply to graphs in general (directed, undirected, or both).

| Names | Symbols | Definitions | References |
|---|---------------|---|---|
| Cyclomatic number, First Betti number, | V(G), v(G) | The number of independent elementary cycles in a graph, derived as e - n + p Where: | Unit: elementary cycle Cyclomatic number is defined for undirected graphs. |
| Nullity | | <i>e</i> is number of edges <i>n</i> is number of nodes <i>p</i> is number of connected components | The same number is indicative of several different things. See Wikipedia, "circuit rank," for alternative uses. [Berge] See also cyclomatic |
| | | | complexity in Sec. 6.4. |
| Depth (of graph) | | "Length of the longest path from the root node to a leaf node." | Unit: arc [Fenton, p. 405] |
| Width | | "Maximum number of nodes at any one level." | Unit: node [Fenton, p. 405] |
| Edge-to-node ratio | | | Unit: edge/node [Fenton, p. 405] |

[Fenton, p. 405] further notes that graph size may be measured by the counts of nodes and edges (Sec. 2.3).

In addition, the following metrics apply to a given node within a graph.

| Names | Symbols | Definitions | References |
|-----------------------------|---------|--------------------------------------|-----------------|
| Depth (of node) | | Length of the longest path from the | Unit: arc |
| | | root node to a given node. | [Zuse] |
| Number of ancestors / | | Number of nodes that are reachable | Unit: node |
| ascendents | | from a given node by following edges | [Zuse] |
| | | toward the root. | |
| Number of descendants / | | Number of nodes that can reach a | Unit: node |
| successors | | given node by following edges | [Zuse] |
| | | toward the root. | |
| Proportion of ancestors / | | Number of ancestors divided by the | Unit: node/node |
| ascendents | | number of nodes. | |
| Proportion of descendants / | | Number of descendants divided by | Unit: node/node |
| successors | | the number of nodes. | |

5 Compatibility metrics

Compatibility metrics indicate the CPU architectures, operating systems, and user environments with which software is compatible. Note that "fat binaries" may support multiple, mutually incompatible architectures and operating systems.

The terms in all capital letters in the table below are a mixture of acronyms, former acronyms that evolved into proper names, and acronym-like names that were invented for branding purposes. ARM, CP/M, DOS, and MIPS are proper names in current usage; their historical expansions are irrelevant. The instruction set extensions are commonly expanded as follows:

- ADX Multi-precision add-carry instruction extensions
- AVX Advanced Vector Extensions
- DSP Digital Signal Processing
- MMX Multimedia Extensions
- MPX Memory Protection Extensions
- SGX Software Guard Extensions
- SIMD Single Instruction, Multiple Data
- SSE Streaming SIMD (Single Instruction, Multiple Data) Extensions
- TSX Transactional Synchronization Extensions
- TXT Trusted Execution Technology
- VFP Vector Floating Point
- VT-d Virtualization Technology for directed input/output
- VT-x Virtualization Technology extensions

| Names | Symbols | Definitions | References |
|--|---------|---|--|
| Architecture, Instruction set architecture | ISA | E.g., x86, ARM, or MIPS. By convention, x86-64 is often listed separately from x86, but technically it is x86 with 64-bit extensions | Nominal set |
| Word size | | Historically, "the number of bits in a word ." In current practice, it identifies classes of platforms with an implied ordering of relative capability: 4-bit = mostly embedded controllers 8-bit = vintage computer 16-bit = DOS, CP/M $32\text{-bit} = \text{legacy and low-end}, \le 4 \text{ GiB RAM}$ $64\text{-bit} = \text{mainstream}, \ge 4 \text{ GiB RAM}$ | Unit: bit (for historical usage) or ordinal (as a platform classifier) |
| Microarchitecture | | Name of the oldest and/or simplest processor microarchitecture that is capable of running the software. Within a sequence of backward- compatible microarchitecture iterations, the values form an ordinal scale. E.g., Prescott < Core < Nehalem < Sandy Bridge < Haswell < Skylake ARMv1 < ARMv2 < ARMv2a < ARMv3 etc. | Nominal set or ordinal |

| Names | Symbols | Definitions | References |
|------------------|---------|---|-------------|
| Instruction set | | Names of "additional" or "supplementary" sets of | Nominal set |
| extensions | | instructions that are needed to run the software. E.g., | |
| | | x86-64, MMX, 3DNow, SSE, SSE2,, SSE4.2, ADX, AVX, AVX2, AVX-512, MPX, TXT, TSX, SGX, VT-x, VT-d | |
| | | AArch64, Thumb, Thumb-2, DSP, SIMD, VFPv1, | |
| | | VFPv2,, VFPv5-D16-M, Neon | |
| Operating system | | Versions of operating systems that are able to run the | Nominal set |
| | | software. Within a sequence of backward- | or ordinal |
| | | compatible iterations, the values form an ordinal scale. | |
| Privileges, | | Security properties that must be granted for the | Nominal set |
| Roles | | software to run. E.g., root or administrator, or access | |
| | | to file system, location, contacts database, camera, or | |
| | | microphone. | |

6 Algorithm metrics

6.1 Performance

Computational and space complexity are typically quoted for best, average, and worst cases, using big O notation.

| Names | Symbols | Definitions | References |
|--------------------|---------|--|---------------------|
| Computational | | Number of operations required, expressed | Unit: operation |
| complexity, | | as a function of the number of data objects | |
| Time complexity | | in the input. | |
| Memory | | Amount of storage required, expressed as a | Dimension: data |
| complexity, | | function of the number of data objects in | |
| Space complexity | | the input. | |
| Computational | | Ratio of the theoretical minimum number | Unit: |
| efficiency, | | of operations required divided by the | operation/operation |
| Time efficiency | | computational complexity of the | |
| | | algorithm. | |
| Memory efficiency, | | Ratio of the theoretical minimum amount | Dimension: |
| Space efficiency | | of storage required divided by the space | data/data |
| | | complexity of the algorithm. | |

6.2 Hash function metrics

Attack resistance refers to the amount of work (e.g., number of hash function evaluations) that is expected to be required for an attack to succeed. Depending on context, the "expectation" may be an upper bound (i.e., what is required to complete an exhaustive

search), a statistical average, or an order-of-magnitude estimate; the amounts required in a particular case may be more or less, and there can be tradeoffs.

| Names | Symbols | Definitions | References |
|----------------------|---------|--|-------------------|
| [Max] message size | | Maximum allowed size of the input to a hash | Dimension: data |
| | | function. If length size (see below) = l bits, | |
| | | then message size = $2^{i} - 1$ bits. | |
| Length size | | Size of the scalar data item used to record | Dimension: data |
| | | the length of the message. | |
| Output size, | | Size of the output of a hash function. | Dimension: data |
| Message digest | | | |
| size, | | | |
| Hash value size | | | |
| Internal state size | | Size of the intermediate hash result. | Dimension: data |
| Block size | | Size of the data segments into which the | Dimension: data |
| | | input is separated for processing. | |
| Number of rounds | | Number of iterations of the work within the | Unit: iteration |
| | | hash algorithm. | |
| Security bits, | | log ₂ of the value of one of the following four | Dimension: work |
| Security strength | | resistance quantities. | Logarithmic scale |
| Collision resistance | | Expected amount of work required to find | Dimension: work |
| | | two inputs that produce the same hash value. | |
| Chosen prefix | | Expected amount of work required to find | Dimension: work |
| collision resistance | | two inputs that produce the same hash value | |
| | | when the beginning of each input has been | |
| | | predetermined. | |
| Preimage resistance | | Expected amount of work required to find an | Dimension: work |
| - | | input that has a specific hash value. | |
| Second-preimage | | Expected amount of work required to find a | Dimension: work |
| resistance | | second input that has the same hash value as | |
| | | a specified input. | |

6.3 Block cipher metrics

In cryptography jargon, the "time/memory/data" triple refers to the amount of work (e.g., number of cipher evaluations), the amount of storage (memory), and the amount of input data (e.g., number of known plaintext-ciphertext pairs) respectively that are expected to be required for an attack to succeed. Depending on context, the "expectation" may be an upper bound (i.e., what is required to complete an exhaustive search), a statistical average, or an order-of-magnitude estimate; the amounts required in a particular case may be more or less, and there can be tradeoffs. Work may be divided between a "preprocessing" phase and a "realtime" phase.

| Names | Symbols | Definitions | References |
|----------------|---------|--|------------------|
| Block size, | Nb | Amount of data that comprises each of the input | Dimension: data |
| Block length | | block, output block, state (intermediate cipher | [FIPS 197] |
| | | result), and round key. | |
| Key size, | Nk | Size of the cipher key that is used by the key | Dimension: data |
| Key length | | expansion routine to generate a set of round keys. | [FIPS 197] |
| Word size, | | Size of the data objects in each column of the state | Dimension: data |
| Word length | | array. | [FIPS 197] |
| Number of | Nr | Number of iterations of the work within the cipher | Unit: iterations |
| rounds | | algorithm (including the final iteration, which is a | [FIPS 197] |
| | | special case). | |
| Key recovery | | Expected amount of work, storage, and/or input | Dimensions: |
| resistance | | data required to determine the cipher key. | work, data, data |
| Plaintext | | Expected amount of work, storage, and/or input | Dimensions: |
| recovery | | data required to determine the plaintext. | work, data, data |
| resistance | | | |
| Distinguishing | | Expected amount of work, storage, and/or input | Dimensions: |
| resistance | | data required to distinguish encrypted data from | work, data, data |
| | | random data. | |

6.4 Cyclomatic complexity

Cyclomatic complexity is derived from the control flow graph of a program. It is based on the more generic cyclomatic number from graph theory (see Sec. 4.3).

As specified in [McCabe, Section V], compound predicates such as "if C1 and C2" and case statements should be reduced to simple conditionals, "if C1 then if C2 then," before counting. Failure to note this apparently gave rise to so-called *extended* cyclomatic complexity, which in fact merely corrects for a faulty reading of the original quantity.

The only primary source that is cited for extended cyclomatic complexity is [MyersCC]. This reference is problematic for two reasons. First, it asserts that there is ambiguity about how compound predicates should be counted, without mentioning the specification in [McCabe, Section V]. Second, what it proposes is not a scalar metric, as [Welker] and [Oy] assume it to be, but an interval that covers both the greedy and conservative methods of counting.

| Names | Symbols | Definitions | References |
|------------|-------------|--|---|
| Cyclomatic | V(G), | The number of linearly independent | Unit: path |
| complexity | v(G), CC | paths in a control flow graph, derived as e - n + 2p Where: <i>e</i> is number of edges | The scale is ratio as long as V(G) is treated only as a count of linearly independent paths. As a measure of complexity, the scale is |
| | | <i>p</i> is number of connected components | ordinal. |
| | | Alternate derivation: | [McCabe] |
| | | d + 1 | See also, cyclomatic number in Sec. 4.3. |
| | | Where <i>d</i> is the number of predicate | |
| | | nodes. | |
| "Extended" | V(g'), | = V(G) | See section comments above. |
| cyclomatic | v(g'), | | |
| complexity | CC2, | | |
| | VG2 | | |

6.5 Woodward, Hennell, and Hedley complexity

This measure of control flow complexity is most accurately derived from source code or pseudocode that has been annotated with arrows corresponding to jumps. If it is derived from a control flow graph instead, only upper and lower bounds can be calculated.

| Names | Symbols | Definitions | References |
|-------------------|---------|---|------------|
| Woodward, | | Number of knots in the graph that results from | Unit: knot |
| Hennell, and | | drawing arrowed lines on one side of the source | [Woodward] |
| Hedley complexity | | code indicating where a jump occurs from one | |
| | | line of code to another. | |

7 General design and implementation metrics

7.1 Generic quantities

| Names | Symbols | Definitions | References |
|--------|---------|---|---------------------|
| Fanin, | | (1) The number of calls (source code | (1) Unit: call |
| Fan-in | | entity, not event) to a given module . | (2) Unit: data flow |
| | | (2) "Number of local flows into | [Henry] |
| | | procedure A plus the number of data | |
| | | structures from which procedure A | |
| | | retrieves information." | |

| Names | Symbols | Definitions | References |
|----------------------|---------|---|-------------------------|
| Fanout | Symools | (1) The number of calls (source code | (1) Unit: call |
| Fanout | | antity not event) from a given module | (1) Unit: $data$ flow |
| T'all-Out | | (2) "Number local flows from procedure | (2) Unit. data now |
| | | (2) Number local nows nom procedure | [Helly] |
| | | A plus the number of data structures | |
| Number of succession | | Which procedure A updates. | |
| Number of entries | e_i | Number of entry points for the <i>i</i> th | Unit: entry point |
| | | module." | [IEEE-982.1-1988] |
| Number of exits | x_i | "Number of exit points for the <i>i</i> th | Unit: exit point |
| | | module." 9 | [IEEE-982.1-1988] |
| Number of entries | m_i | $=e_i+x_i$ | Unit: statement |
| and exits | | | [IEEE-982.1-1988] |
| Defect density | | Number of known defects | Unit: defect/entity, |
| | | Product size | where entity may be |
| | | | e.g. LOC, class, |
| | | | module, function point, |
| | | | etc. |
| | | | [Fenton, p. 450] |
| Depth of nesting | | Number of loop statements, conditional | Unit: statement |
| 1 0 | | statements, and scoping blocks within | [Conte, p. 75] |
| | | which a statement is enclosed. | |
| Span. | | "Number of statements between two | Unit: statement |
| Reference span | | textual references to the same identifier." | [Elshoff] |
| Locality of data | LD | Proportion of variables accessed by a | Unit: variable/variable |
| Locality of data | | class or module that are local to that class | [Hitz] |
| | | or module "excluding all trivial | |
| | | read/write methods for instance | |
| | | variables " | |
| | | variables. | |
| | | Generalized from object-oriented | |
| | | definition. [Hitz] defines "local" as | |
| | | "non-public instance variables of class C, | |
| | | inherited protected instance variables of | |
| | | its superclasses, and static variables | |
| | | defined locally" in the methods. | |

7.2 Belady and Evangelisti clustering complexity metric

The first two equations have been rearranged for clarity.
| Names | Symbols | Definitions | References |
|--------------------------|---------|---|---|
| Total complexity | C | $= NE_0 + \sum_{i=1}^{K} n_j e_j$ | Unit: module · relationship [Belady] |
| | | where K = number of clusters (packages) N = number of nodes (modules) $n_j =$ number of nodes in <i>j</i> th cluster $e_j =$ number of intracluster edges (relationships) in <i>j</i> th cluster $E_0 =$ number of intercluster edges | |
| Normalized complexity | Ē | $= \frac{C}{NE} = \frac{E_0}{E} + \sum_{j=1}^{K} \left(\frac{n_j}{N}\right) \left(\frac{e_j}{E}\right)$ (* Corrected apparent typo in [Belady] where e _j was normalized by N instead of E.) | Unit: (module · relationship)/ (module · relationship) [Belady] |
| Approximate complexity | Ĉ | Substituting $n_j = N/K$ in \overline{C} produces $\widetilde{C} = \frac{1}{K} \frac{E_i}{E} + \frac{E_0}{E}$ where $E_i = total number (over all clusters) of intracluster edges$ | Unit: (module · relationship)/ (module · relationship) [Belady] |

7.3 Henry and Kafura information flow complexity metric

| Names | Symbols | Definitions | References |
|------------------|----------|---|-----------------------|
| Information flow | IFC | (Of a procedure) | Unit: $(data flow)^4$ |
| complexity | | $= (fan-in \cdot fan-out)^2$ | or ordinal |
| | | "The complexity of a module is defined to be | [Henry] |
| | | the sum of the complexities of the procedures | |
| | | within the module." | |
| Weighted | Weighted | (Of a procedure) | Ordinal |
| information flow | IFC | $=$ LOC \cdot (fan-in \cdot fan-out) ² | [Henry] |
| complexity | | "This measure includes imbedded comments | |
| | | but does not include comments preceding the | |
| | | procedure statement." | |

7.4 Cruickshank and Gaffney coupling metric

| Names | Symbols | Definitions | References |
|----------|---------|---|-------------------|
| Coupling | | $\sum_{i=1}^{n} Z_i$ | Unit: data item |
| | | $=$ $\frac{1}{n}$ | [IR5459, p. 19] |
| | | where | Less formally, in |
| | | $Z_{\cdot} = \frac{\sum_{j=1}^{m} M_j}{\sum_{j=1}^{m} M_j}$ | [Cruickshank] |
| | | m = m | |
| | | M_j = sum of the number of input and output items shared | |
| | | between components i and j | |
| | | Z_i = average number of input and output items shared | |
| | | over <i>m</i> components with component <i>i</i> | |
| | | n = number of components in the software product | |

7.5 Structured Design scales of coupling and cohesion

The ordinal scale of cohesion that was called *binding* in [StevensWP] evolved, grew, and was forked into two different scales for the same measurand. Versions of both resulting scales are provided in consecutive rows below.

| Names | Symbols | Definitions | References |
|----------|---------|---|------------|
| Binding, | | From most cohesive (best) to least cohesive (worst), with | Ordinal |
| Module | | "magic," "artificial values" from [Yourdon, p. 136]: | [Yourdon] |
| cohesion | | Functional = 10: "every element of processing is an integral part of, and is essential to, the performance of a single function" Sequential = 9: "the output data (or results) from one processing element serve as input data for the next processing element" Communicational = 7: "all of the elements operate upon the same input data set and/or produce the same output data" Procedural = 5: elements of a module are "elements of a common procedural unit" Temporal = 3: "all occurrences of all elements of processing in a collection occur within the same limited period of time during the execution of the system" (such as a start-up module) Logical = 1: elements of a module fall into the same logical class of similar or related functions | |
| | | among the elements of a module | |

| Names | Symbols | Definitions | References |
|---------------------------------|---------|---|----------------------|
| Module | | From most cohesive (best) to least cohesive (worst): | Ordinal |
| strength, Module cohesion | | • Functional: "performs a single specific function," and/or Informational: "1. It contains multiple entry points. 2. Each entry point performs a single specific function. 3. All of the functions are related by a concept, data structure, or | [MyersSD] |
| | | resource that is hidden within the module. 4. There are no control-flow connections among the logic for each function." | |
| | | • Communicational: "performs multiple sequential functions, where the sequential relationship among all of the functions is implied by the problem or application statement, and where there is a data relationship among all of the functions" | |
| | | • Procedural: "performs multiple sequential functions, where the sequential relationship among all of the functions is implied by the problem or application statement" | |
| | | • Classical: "performs multiple sequential functions where there is a weak, but nonzero, relationship among all of the functions" | |
| | | Logical: "performs a set of related functions, one of which is explicitly selected by the calling module" Coincidental: a module whose function cannot be defined | |
| | | or that performs multiple, completely unrelated functions | 0 11 1 |
| Module coupling | | From least coupling (best) to most coupling (worst): | Ordinal [MyersSD] |
| coupiing | | • No direct coupling: none of the below | |
| | | • Data: the modules directly communicate and use only "homogenous data items"* to do so | |
| | | • Stamp: "reference the same nonglobal data structure" | |
| | | • Control: "one module explicitly controls the logic of the other" | |
| | | • External: reference a "homogenous global data item"* | |
| | | • Common: reference a global data structure like a Fortran blank common block | |
| | | • Content: one directly references the internals of the other | |
| | | or the normal linkage conventions are bypassed | |
| | | unambiguously named and typed when referenced in different | |
| | | modules would be considered "homogenous." | |

7.6 Embley and Woodfield scales of coupling and cohesion

The metrics of [Embley] follow in the footsteps of the previous section, but are applied to abstract data types (ADTs) rather than modules.

| Names | Symbols | Definitions | References |
|--------------------------------|---------|---|---------------------|
| (ADT) Cohesion, Strength | | From most cohesive (best) to least cohesive (worst): Model: "1. logically exports one and only one domain D, 2. logically exports only operations that apply to D and should not be delegated to other ADTs, and 3. does not contain a concealed ADT" Concealed: "does not have non-delegation, multifaceted, or separable strength and it logically contains a hidden ADT" Non-delegation: "does not have multifaceted or separable strength and it includes an operator that should logically be delegated to a more-primitive ADT" Multifaceted: "does not have separable strength and it logically exports two or more domains" Separable: "if any one of the following conditions holds: 1. There exists a logically-exported operator p of A such that p does not utilize any logically-exported domains, at least one of which is not utilized by any operator of A. 3. A has two or more logically-exported domains D1, D2,, Dn and the operators of A can be partitioned into n blocks such that the operators in block i, 1 ≤ i ≤ n, utilize Di and only Di" | Ordinal [Embley] |
| (ADT) Coupling | | From least coupling (best) to most coupling (worst): Export: "1. no function of A1 accesses the implementation of A2 and 2. no function of A1 makes any assumption about the implementation of A2" Surreptitious: "(A1,A2) does not have visible coupling, but A1 uses knowledge about the implementation of A2" Visible: "A1 accesses the implementation of A2" | Ordinal [Embley] |

7.7 Briand, Morasca, and Basili metrics

Model:

| Symbols | Definitions | References |
|---------|--|------------|
| CI(c) | For a module or class <i>c</i> , the set of all CI s. | [Briand98] |
| Max(c) | The set of all possible or potential CI s (combinatorically). | [Briand98] |

| Symbols | Definitions | References |
|----------------------|---|------------|
| K(c) | The set of CI s that are known to exist. | [Briand98] |
| U(c) | The set of CI s whose existence or non-existence is unknown. | [Briand98] |
| Global(m) | "The set of all the external data declarations imported by a | [Briand93] |
| | module <i>m</i> ." | |
| Local(m) | "The set of all the locally defined data declarations in | [Briand93] |
| | module <i>m</i> ." | |
| Scope(m) | "The set of all data declarations declared outside the module | [Briand93] |
| | for which the internal data declarations of module <i>m</i> are | |
| | visible." | |
| DD-interactions(m,n) | Number of DD-interactions between <i>m</i> and <i>n</i> . | [Briand93] |

Metrics:

| Names | Symbols | Definitions | References |
|------------------|---------|---|----------------------|
| Ratio of | RCI | CI(c) | Unit: CI/CI |
| cohesive | | $RCI(c) = \frac{ Max(c) }{ Max(c) }$ | [Briand98] |
| interactions | | | |
| Neutral ratio of | NRCI | K(c) | Unit: CI/CI |
| cohesive | | $NRCI(c) = \frac{ Max(c) - U(c) }{ Max(c) - U(c) }$ | [Briand98] |
| interactions | | | |
| Pessimistic | PRCI | K(c) | Unit: CI/CI |
| ratio of | | $PRCI(c) = \frac{ Max(c) }{ Max(c) }$ | [Briand98] |
| cohesive | | | |
| interactions | | | |
| Optimistic ratio | ORCI | OPCI(c) = K(c) + U(c) | Unit: CI/CI |
| of cohesive | | $ORCI(c) = \frac{ Max(c) }{ Max(c) }$ | [Briand98] |
| interactions | | | |
| Import | IC | IC(m) = DD-interactions(Global(m), | Unit: DD-interaction |
| coupling | | Local(m)) | [Briand93, Briand94] |
| | | (Including both direct and transitive | |
| | | interactions.) | |
| | | For generic modules: "The import coupling | |
| | | of a generic module is the cardinality of the | |
| | | union of the sets of DD-interactions between | |
| | | the data declarations in the software system | |
| | | and those of each of its instances." | |
| | | [Briand94, p. 20] | |

| Names | Symbols | Definitions | References |
|---------------------------|------------------|--|--|
| Actual export coupling | EC- Actual | EC-Actual(m) = DD-interactions(Local(m), Scope(m)) (Including both direct and transitive interactions.) | Unit: DD-interaction [Briand93, Briand94] |
| | | For generic modules: "When calculating export coupling, we take into account the DD-interactions between the data declarations of each of its instances and those of the software system. Consistent with the definition of DD-interaction, generic formal parameters DD-interact with their particular generic actual parameters (i.e. type, object) when the generic module is instantiated, since a change in the former may imply a change in the latter." [Briand94, p. 20] | |
| Potential | EC- Potential | $EC-Potential(m) = Local(m) \cdot Scope(m) $ | Unit: DD-interaction |
| Relative | RD | RD(m) = IC(m) / (DD-interactions(I ocal(m))) | Unit: DD- |
| dependency | KD . | I ocal(m) + IC(m) | interaction/DD- |
| acpendency | | | interaction [Briand93] |
| Coupling type | СТ | CT(m) = IC(m)/(EC-Actual(m) + IC(m)) | Unit: DD- interaction/DD- interaction |
| | | | Can be reduced to a dichotomic scale as follows: |
| | | | ≥ 0.5 client Briand931 |
| Visibility control | VC | "The visibility control of a set of modules SM (VC(SM)) is measured by means of the Spearman's rank correlation coefficient between the actual Export Coupling and the potential Export Coupling." $VC(SM) = 1 - \frac{\sum_{m \in SM} (D(m))^2}{ SM (SM ^2 - 1)/6}$ | Unit: 1 [Briand93] |
| | | where $D(m) = Rank(EC-Actual(m)) - Rank(EC-Potential(m))$ | |

7.8 Halstead system

Defined input quantities:

| Names | Symbols | Definitions | References |
|-------------------------------|----------------|---|--|
| Stroud number | S | 18Halstead consistently sets S = 18 "elementary discriminations" per second. This value came from [Halstead], not [Stroud]. | Unit: operation/s [Halstead] |
| Unique operator count | ηι | "Number of unique or distinct operators " appearing in an implementation. Secondary sources may replace the Greek η with n [Abran p. 146] or even μ [Fenton p. 345]. | Unit: operator [Halstead pp. 2, 6] |
| Total operators | N ₁ | "Total usage of all of the operators " appearing in an implementation. | Unit: operator [Halstead pp. 2, 6] |
| Unique operand count | η2 | "Number of unique or distinct operands " appearing in an implementation. Secondary sources may replace the Greek η with n [Abran p. 146] or even μ [Fenton p. 345]. | Unit: operand [Halstead pp. 2, 6] |
| Total operands | N ₂ | "Total usage of all of the operands " appearing in an implementation. | Unit: operand [Halstead pp. 2, 6] |
| Potential operand count | η2* | "Number of conceptually unique operands " | Unit: operand [Halstead pp. 20, 28] |

The following is not an exhaustive list of Halstead's measures, but includes those that are used as input quantities by other metrics in this document.

Chapter 8 of [Halstead] reinterpreted several quantities to refer to mental operations instead of bits. To avoid confusion, these should have been defined as new quantities that were just numerically equal to the previous ones when expressed in incompatible units.

| Names | Symbols | Definitions | References |
|----------------|---------|-------------------|----------------------|
| Vocabulary | η | $\eta_1 + \eta_2$ | Unit: token |
| size | | | [Halstead p. 2] |
| Potential | η* | $2 + \eta_2^*$ | Unit: token |
| vocabulary | | | [Halstead p. 2] |
| Program length | Ν | $N_1 + N_2$ | Unit: token |
| | | | [Halstead p. 2] |
| Program | V | $N \log_2 \eta$ | Unit: bit |
| volume | | | [Halstead p. 2] |
| | | | "Mental comparisons" |
| | | | [Halstead pp. 46–47] |

| Names | Symbols | Definitions | References |
|---------------------------------------|----------------|---|---|
| Potential volume | V* | η* log₂ η* Halstead uses "potential" in the sense of hypothetical ideal or optimal value; e.g., "the most succinct form in which an algorithm could ever be expressed" in any programming language that one might construct. | Unit: bit [Halstead p. 2] |
| Effort | E | $V/L = V^2/V^* = D \cdot V$ | Unit: bit [Halstead p. 2] "Elementary mental discriminations" [Halstead p. 47] |
| [Estimated] implementation time | Т, <i>Î</i> | $\frac{E}{S}$ Where <i>S</i> is the Stroud number defined above. | Unit: s (Assuming 1 "elementary discrimination" = 1 bit) [Halstead pp. 2, 48, 52] |
| Program level | L | V*/V [Halstead p. 47] reinterprets L as a ratio of "mental comparisons" to "elementary mental discriminations." This is difficult to reconcile. | Unit: bit/bit [Halstead p. 2] |
| Difficulty | D | 1/L | Unit: bit/bit [Halstead p. 2] |
| Approximated program level | Ĺ | $\frac{2}{\eta_1} \frac{\eta_2}{N_2}$ | Unit: (token/token) ² [Halstead pp. 2, 27] |

7.9 Functional size (of a software application)

Functional size is generically defined in [ISO-FSM] as "size of the software derived by quantifying the Functional User Requirements." There are multiple standards for how this is determined. The details of these quantities have been elided; please refer to the relevant standards.

| Names | Symbols | Definitions | References |
|-------------|---------|---|---------------|
| Application | AFP | Sum of the functional sizes of all BFC s (see Sec. 2.6). | FP (ordinal) |
| function | | The functional size of a BFC is a table-driven function | [ISO-IFPUG] |
| point count | | of the numbers of RET s or FTR s (for data and | [ISO-NESMA] |
| - | | transactional functions respectively) and DET s, and of | |
| | | the function type. | |
| Automated | AFPs | Variant of ibid. in which the input quantities are | AFP (ordinal) |
| function | | determined automatically from source code and other | [AFP] |
| point size | | artifacts. | |

| Names | Symbols | Definitions | References |
|------------|---------|--|---------------|
| COSMIC | FS | Sum of the functional sizes of all BFCs. The | CFP |
| functional | | functional size (FS) of a BFC is the number of data | [ISO-COSMIC] |
| size | | movements (entries, exits, reads, and writes). | |
| FiSMA | S | Sum of the functional sizes of all BFCs. The | Ffp (ordinal) |
| functional | | functional size of a BFC is a function of the numbers of | [ISO-FiSMA] |
| size | | DETs, reading references, writing references, and | |
| | | operations, and of the BFC type. | |
| MkII | FS | "The weighted sum over all Logical Transactions, of | MkII FP |
| functional | | the Input Data Element Types (Ni), the Data Entity | (ordinal) |
| size | | Types Referenced (Ne), and the Output Data Element | [ISO-MkII] |
| | | Types (No)." | |
| | | $= 0.58 \text{ Ni} + 1.66 \text{ Ne} + 0.26 \text{ No}^{-4}$ | |

7.10 Card and Glass complexity metrics

Defined input quantities:

| Names | Symbols | Definitions | References |
|-------|---------------|---|----------------|
| | n | The number of modules in the system. | Unit: module |
| | | | [Card, Ch. 5] |
| | f(<i>i</i>) | The fanout of module <i>i</i> . | Unit: call |
| | | "The fanout count defined here does not include calls to | [Card, Ch. 5] |
| | | system or standard utility routines, but does include calls to | |
| | | modules reused from other application programs." | |
| | v(i) | The number of input/output (I/O) variables in module <i>i</i> . | Unit: variable |
| | | I/O variables means "distinct arguments in a calling sequence | [Card, Ch. 5] |
| | | (an array counts as one variable) as well as referenced | |
| | | COMMON variables." | |

Metrics:

| Names | Symbols | Definitions | References |
|---------------|---------|--|-------------------------|
| System | Ct | Ct = St + Dt | Ordinal |
| complexity | | | [Card, Eqn. 5-1] |
| (overall) | | | |
| Structural | St | Inferred from Eqn. 5-2 and 5-3 that $St = \sum f^2(i)$ | Unit: call ² |
| (intermodule) | | | [Card, Ch. 5] |
| complexity | | | |
| Data | Dt | Inferred from Eqn. 5-2 and 5-4 that $Dt = \sum \left\{ \frac{v(i)}{v(i)} \right\}$ | Unit: |
| (intramodule) | | $\square for a line of a lin$ | variable/call |
| complexity | | | [Card, Ch. 5] |
| Relative | С | C = Ct = St = Dt | Ordinal |
| system | | $c = \frac{1}{n} = \frac{1}{n} + \frac{1}{n}$ | [Card, Eqn. 5-2] |
| complexity | | | |

| Names | Symbols | Definitions | References |
|---------------|---------|---|---------------------------|
| [Relative] | S | $\sum f^2(i)$ | Unit: |
| structural | | $S = \frac{n}{n}$ | call ² /module |
| (intermodule) | | | [Card, Eqn. 5-3] |
| complexity | | | |
| Data | D(i) | V(i) = V(i) | Unit: |
| complexity | | $D(t) = \frac{f(t) + 1}{f(t) + 1}$ | variable/call |
| [of a module] | | | [Card, Ch. 5] |
| | | | unnumbered |
| | | | equation |
| [Relative] | D | $\sum \left\{ \underbrace{v(i)} \right\}$ | Unit: |
| data | | $\mathcal{L}\left[f(i) + 1 \right] $ | (variable/call) |
| (intramodule) | | n | /module |
| complexity | | | [Card, Eqn. 5-4] |

7.11 Program Complexity Analysis Methodology (PCAM) metrics

The following tables are based primarily on [McClure1]. The definitions in [McClure2] are mostly equivalent, just using different symbols. However, there are a few substantive differences:

- [McClure2] contains a more elaborate definition of module complexity (M) that addresses abort routines. The input quantity Z_m is used only by the [McClure2] definition.
- Both references assert that the complexity of each module should be minimized and that the complexity among modules in a well-structured program should be evenly distributed. However, an example in which these criteria are tested using the mean module complexity and the maximum deviation from that mean appears only in [McClure2, p. 119]. [McClure1], in contrast, proceeds to define partitioning scheme complexity (PS) as the sum of module complexities.

Defined inputs:

| Names | Symbols | Definitions | References |
|---------------------|-----------|---|------------|
| | Р | = $\{p_1,, p_n\}$ is the set of modules defined in the | [McClure1] |
| | | design of a well-structured program | |
| | γ | The root module | [McClure1] |
| | f | $f: P \to X$ is the invoking function such that $X \subseteq P$ | [McClure1] |
| Program control | PCHS | The triple (P, γ , f) | [McClure1] |
| hierarchical system | | | |
| | n | Number of unique modules in the PCHS | [McClure1] |
| | S | Total number of control variables | [McClure1] |
| Invocation control | | Set of control variables upon whose values a | [McClure1] |
| variable set | | particular invocation of a module depends | |
| Branch control | | Set of control variables upon whose values a branch | [McClure2] |
| variable set | | may be made to an abort routine | |
| | F_{p_i} | Set of direct ancestors of module pi | [McClure1] |

| Names | Symbols | Definitions | References |
|--------------|---------------------------|---|------------|
| | G_{p_i} | Set of direct descendants of module pi | [McClure1] |
| | H_{p_i} | Set of ancestors of module pi | [McClure1] |
| | L_{p_i} | Set of descendants of module pi | [McClure1] |
| | Av | Set of modules in which the value of control | [McClure1] |
| | | variable v is accessed, = $M_v \cup R_v$ | |
| | Ev | Set of modules whose invocation is dependent upon | [McClure1] |
| | | the value of control variable v | |
| | M_v | Set of modules in which the value of control | [McClure1] |
| | | variable v is modified | |
| | R _v | Set of modules in which the value of control | [McClure1] |
| | | variable v is strictly referenced (i.e., referenced but | |
| | T | not modified) | |
| | T_v | $= \left\{ p_j p_j \in A_v \land \exists p_k \in F_{p_j} \ni p_k \notin A_v \right\}$ | [McClure1] |
| | | (The symbol \ni after an existential denotes "such | |
| | | that.") | |
| | $U_{\rm v}$ | $= \left\{ p_j p_j \in R_v \land \exists p_k \in L_{p_j} \ni p_k \in M_v \right\}$ | [McClure1] |
| | \mathbf{W}_{v} | $= \left\{ p_i p_i \in A_v \land \exists p_j \in M_v \ni p_j \notin H_{p_i} \right\}$ | [McClure1] |
| | | and p_i is listed above p_i in the PCHS structure} | |
| Owner module | $\alpha_{\rm v}$ | "Local root of the smallest PCHS subhierarchy | [McClure1] |
| | | which contains all members of the set A _v " | L 3 |
| Degree of | D(v) | = 1 if the value of control variable v is modified | [McClure1] |
| ownership | | exclusively in α_v or never modified | |
| | | 2 if it is modified in α_v and in at least one | |
| | | descendant of α_v | |
| | | 3 if it is strictly referenced in α_v and modified in at | |
| | | least one descendant of α_v | |
| | | 4 if it is not accessed in α_v and is modified in at | |
| | | least one descendant of α_v | |

Quantities:

| Names | Symbols | Definitions | References |
|-------------|---------|---|---------------------------|
| Interaction | I(v) | $= q_v + u_v + w_v + t_v$ | Unit: module |
| complexity | | where | [McClure1] |
| | | $\mathbf{q}_{\mathrm{v}} = \mathbf{M}_{\mathrm{v}} \cap \mathbf{E}_{\mathrm{v}} $ | |
| | | $\mathbf{u}_{\mathbf{v}} = \mathbf{U}_{\mathbf{v}} $ | |
| | | $\mathbf{w}_{\mathrm{v}} = \mathbf{W}_{\mathrm{v}} $ | |
| | | $\mathbf{t}_{\mathrm{v}} = \mathbf{T}_{\mathrm{v}} $ | |
| Control | C(v) | $\mathbf{C}(\mathbf{v}) = \mathbf{D}(\mathbf{v}) \cdot \mathbf{I}(\mathbf{v}) / \mathbf{n}$ | Ordinal |
| variable | | | Range: $0 \le C(v) \le 8$ |
| complexity | | | [McClure1] |

| Names | Symbols | Definitions | References |
|--------------|---------|---|--|
| Module | M(p) | $M(p) = f_p \cdot X(p) + g_p \cdot Y(p)$ | Ordinal |
| complexity | | where $f = F $ and $g = G $ | Range: $0 \le M(p) \le 16 \text{ s n}$ |
| | | where $\mathbf{I}_p = \mathbf{I}_p $ and $\mathbf{g}_p = \mathbf{O}_p $. | [McClure1] |
| | | | (See defined inputs |
| | | | above for s and n) |
| Module | M(m) | $\mathbf{M}(\mathbf{m}) = \mathbf{T}_1 + \mathbf{T}_2 + \mathbf{T}_3$ | Ordinal |
| complexity | | where T_1 and T_2 are equivalent to the two | Range: $0 \le M(m) \le$ |
| | | terms of $M(p)$ above and | 16 s n |
| | | | [McClure2] |
| | | $T_3 = B_m \times Z_m$ | |
| | | where B_m is the number of abort routines to | |
| | | which module m may branch. | |
| Partitioning | PS | n N | Ordinal |
| scheme | | $= \sum M(p_i)$ | [McClure1] |
| complexity | | i=1 | |

7.12 Maintainability index

The maintainability indices referenced by Software Engineering Institute (SEI) and Microsoft are derived from what has been called the Coleman-Oman model [Liso]. A survey of publications authored by Don Coleman, Paul Oman, and their associates between 1993 and 1997 (see list in Coleman-Oman maintainability model subsection following the Bibliography) revealed 13 different candidate definitions for this metric.

| Names | Symbols | Definitions | References |
|-----------------|---------|--|------------------|
| Maintainability | MI | $171 - 5.2 \cdot \ln(aveV) - 0.23 \cdot aveV(g')$ | Ordinal |
| index (SEI | | $-16.2 \cdot \ln(aveLOC)$ | [SEI] |
| version) | | + 50 · sin $(\sqrt{2.4 \cdot \text{perCM}})$ | [Welker, Eqn. 5] |
| | | where | |
| | | aveV = average Halstead V per module | |
| | | aveV(g') = average extended cyclomatic complexity per module | |
| | | aveLOC = average LOC per module; and, optionally , | |
| | | <pre>perCM = average "percent"* of lines of comments per module (* actually the proportion aveCMT/aveLOC; see footnote 2 in [Oman])</pre> | |
| | | In [Coleman], the input quantities were averaged by submodule, defined as "function or procedure." In the same context, [Welker] wrote: "This paper | |

| Names | Symbols | Definitions | References | |
|--|---------|--|--|---|
| Maintainability index (Microsoft version) | | uses the term 'subroutine' or 'module' for any named lexical component of a program, which given a specific programming language might be a function, a procedure, a subroutine, a section, a module, etc." $\max\left(0, (171 - 5.2 \cdot \ln(V) - 0.23 \cdot V(G) - 16.2 \cdot \ln(LOC)) \cdot \frac{100}{171}\right)$ The metric is calculated for each type or method instead of using averages as inputs. | Ordinal Range: 0 to The scale is reduced to a ordinal scale 20 to 100 | o 100 further a 3-level e as follows: green/high/ good |
| | | | 10 to 19 0 to 9 [Microsoft] | yellow/ moderate red/low/bad |

7.13 Maturity index

| Names | Symbols | Definitions | References |
|-------------------------------|---------|---|---|
| Software maturity index | SMI | [The available definition is equivocal over whether the units to be counted are functions or modules.] $= \frac{M_T - (F_a + F_c + F_{del})}{M_T}$ | Unit: function/function or module/module Range: $-\infty$ to 1 (Negative values due to large F _{del} may have been unintentional) [IEEE-982.1-1988] |
| | | where M_T = number of software functions (modules) in the current delivery | The origin of this metric is unknown; no citation was given. |
| | | F_c = number of software functions (modules) in the current delivery that include internal changes from a previous delivery | |
| | | F_a = number of software functions (modules) in the current delivery that are additions to the previous delivery | |
| | | F_{del} = number of software functions (modules) in the previous delivery that are deleted in the current delivery ⁹ | |

| Names | Symbols | Definitions | References |
|-----------|---------|---|---------------------------------|
| Estimated | SMI | If F_a and F_{del} are unavailable, SMI may | Unit: function/function or |
| maturity | | $M_T - F$ | Range: 0 to 1 |
| index | | $=\frac{M_T}{M_T}$ | [IEEE-982.1-1988] |
| | | 9 | The origin of this metric is |
| | | | unknown; no citation was given. |

8 Object-oriented design and implementation metrics

8.1 Eder, Kappel, and Schrefl scales of coupling and cohesion

[Eder] adapted the earlier, general, ordinal scales of coupling and cohesion to object-oriented software, resulting in six ordinal-scaled metrics.

| Names | Symbols | Definitions | References |
|-------------|---------|--|------------|
| Interaction | | (Based on module coupling, Sec. 7.5) | Ordinal |
| coupling | | From most coupling (worst) to least coupling (best): | [Eder] |
| | | • Content: "One method directly accesses parts of the internal structure, i.e., the implementation of another method." | |
| | | • Common: "Methods communicate via an unstructured, global, shared data space." | |
| | | • External: Methods communicate via a structured, global, shared data space. | |
| | | • Control: Methods "communicate exclusively via parameter passing but one method controls the internal logic of the other method." | |
| | | • Stamp: "Whole data structures are passed as parameters although only parts of the data structure would suffice." | |
| | | • Data: Methods "communicate only by parameters and these parameters are relevant as a whole." | |
| | | • No direct coupling: "Two methods do not (directly) depend on each other." | |

| Names | Symbols | Definitions | References |
|-------------------------|---------|---|-------------------|
| Component | | From most coupling (worst) to least coupling (best): | Ordinal |
| coupling | | Hidden: "C' shows up neither in the specification nor in the implementation of C, although an object of C' is used in the implementation of a method of C." Scattered: "C' is used as domain in the definition of some local variable or instance variable in the implementation of C yet C' is not included in the specification of C." Specified: "C' is included in the specification of C whenever it is a component of C." Nil: "No direct component coupling." | [Eder] |
| Inheritance coupling | | From most coupling (worst) to least coupling (best): Modification: "Inherited information is changed arbitrarily or is even deleted." Includes signature and implementation modification. Refinement: "Inherited information is only changed due to predefined rules." Includes signature and implementation refinement. Extension: "The subclass only adds methods and instance variables but neither modifies nor refines any of the inherited ones." Nil: "No inheritance relationship." | Ordinal [Eder] |

| Names | Symbols | Definitions | References |
|----------|---------|---|------------|
| Method | | (Based on module cohesion, Sec. 7.5.) | Ordinal |
| cohesion | | From lowest (worst) to highest (best): | [Eder] |
| | | Coincidental: "The elements of a method have nothing in common besides being within the same method." Logical: "The elements with similar functionality, such as input/output handling and error handling, are collected in one method." Temporal: "The elements of a method have logical cohesion and are performed at the same time." Procedural: "The elements of a method are connected by some control flow." Communicational: "The elements of a method are not he same set of data." | |
| | | Sequential: "The elements of a method have communicational cohesion and are connected by a sequential control flow." Functional: "The elements of a method have sequential cohesion, and all elements contribute to a single task of the problem domain." | |
| Class | | (Based on ADT cohesion, Sec. 7.6.) | Ordinal |
| cohesion | | From lowest (worst) to highest (best): | [Eder] |
| | | • Separable: The class represents multiple unrelated abstractions that could easily be partitioned. | |
| | | • Multifaceted: The class represents multiple unrelated abstractions, but "at least one method references instance variables or invokes methods of the different semantic concepts, such that the cohesion of the corresponding class cannot be rated separable." | |
| | | Non-delegated: "One method uses instance variables which describe only a component of the respective class." Conceeded: "There exists some useful data abstraction | |
| | | Concealed. There exists some useful data abstraction concealed in the data abstraction represented by the class." | |
| | | • Model: "The class represents a single, semantically meaningful concept without containing methods which should be delegated to other classes and without containing concealed classes." | |

| Names | Symbols | Definitions | References |
|-------------|---------|--|------------|
| Inheritance | | "Inheritance cohesion in strong if this hierarchy is a | Ordinal |
| cohesion | | generalization hierarchy in the sense of conceptual | [Eder] |
| | | modeling, and it is weak if the inheritance hierarchy is | |
| | | merely used for code sharing among otherwise unrelated | |
| | | classes." Uses the same ordinal scale as class cohesion. | |

8.2 Martin's package metrics

| Names | Symbols | Definitions | References |
|--------------|---------|--|--------------------------|
| Relational | Н | R + 1 | Unit: relationship/class |
| cohesion | | | [Martin, p. 282] |
| | | where R is "the number of class relationships | |
| | | that are internal to the package (i.e., that do not | |
| | | connect to classes outside the package)" and N | |
| | | is "the number of classes within the package." | |
| Afferent | Ca | "The number of classes outside this package | Unit: class |
| couplings | | that depend on classes within this package." | [Martin, pp. 262, 282] |
| Efferent | Ce | "The number of classes inside this package | Unit: class |
| couplings | | that depend on classes outside this package ." | [Martin, pp. 262, 282] |
| Instability | Ι | $I = \frac{C_e}{C_e}$ | Unit: class/class |
| | | $C_a + C_e$ | Range: 0 (maximally |
| | | (I.e., the proportion of class dependencies that | stable) to 1 (maximally |
| | | are efferent.) | unstable) |
| | | | [Martin, pp. 262, 282] |
| Abstractness | А | $A = \frac{N_a}{N_a}$ | Unit: class/class |
| | | N _c | [Martin, pp. 265, 282] |
| | | Where N_c is the number of classes in the | |
| | | package and N _a is the number of abstract | |
| | | classes in the package. | |
| | | (I.e., the proportion of classes that are | |
| | | abstract.) | |
| Distance | D | A + I - 1 | Unit: class/class |
| [from the | | $D = \frac{1}{\sqrt{2}}$ | Range: 0 (best) to $1/$ |
| main | | . – | $\sqrt{2}$ (worst) |
| sequence] | | | [Martin, pp. 266, 282] |
| Normalized | D' | $D' = A + \overline{I - 1} $ | Unit: class/class |
| distance | | | Range: 0 (best) to 1 |
| | | | (worst) |
| | | | [Martin, pp. 267, 282] |

[Fenton] proposed a redefinition of relational cohesion (which he calls RC(P) instead of H):

| Names | Symbols | Definitions | References |
|------------------------|---------|---|---|
| Relational cohesion | RC'(P) | $= \frac{R(P) + 1}{NP(P)}$ where R(P) is "the number of relations between classes and interfaces in a package" and NP(P) is "the number of possible relations between | Unit: relationship/relationship [Fenton, pp. 420–421] |
| | | classes and interfaces in the package. On p. 420, NP(P) is defined to be $N(P) \cdot (N(P) - 1)$; the absence of a factor of 2 in the denominator suggests that the relations are considered one- directional. | |

8.3 Chidamber and Kemerer class metrics

A suite of six object-oriented class metrics (metrics applied to a given class) is defined in [Chidamber]. These metrics are defined differently in earlier publications by the same authors.

The weighted methods per class (WMC) metric is excluded because its definition is incomplete (see Section 1.2). The authors wrote, "Complexity is deliberately not defined more specifically here in order to allow for the most general application of this metric" [Chidamber, footnote 13].

| Names | Symbols | Definitions | References |
|-------------|---------|--|----------------|
| Depth of | DIT | The length of the longest path from a given class to the | Unit: arc |
| inheritance | | root of the inheritance tree (a.k.a. depth of node, Sec. | (graph entity) |
| tree | | 4.3). | [Chidamber] |
| Number of | NOC | "Number of immediate subclasses subordinated to a class | Unit: class |
| children | | in the class hierarchy." | [Chidamber] |
| Coupling | СВО | "CBO for a class is a count of the number of other classes | Unit: class |
| between | | to which it is coupled." "Two classes are coupled when | [Chidamber] |
| object | | methods declared in one class use methods or instance | |
| classes | | variables defined by the other class." | |
| Response | RFC | The cardinality of the response set RS, "the set of | Unit: method |
| for a class | | methods that can potentially be executed in response to a | [Chidamber] |
| | | message received by an object of that class," only up to | |
| | | the first level of nesting of method calls. | |
| | | $RS = \{M\} \bigcup_{\text{all } i} \{R_i\}$ | |
| | | where $\{R_i\}$ = set of methods called by method <i>i</i> and $\{M\}$ | |
| | | = set of all methods in the class. | |

| Names | Symbols | Definitions | References |
|------------|---------|--|--------------|
| Lack of | LCOM | For a class with <i>n</i> methods M_1M_n , let $\{I_i\}$ = set of | Unit: method |
| cohesion | | instance variables used by method M_i . | [Chidamber] |
| in methods | | $P = \{(I_i, I_j) I_i \cap I_j = \emptyset\}$ $Q = \{(I_i, I_j) I_i \cap I_j \neq \emptyset\}$ $LCOM = P - Q , \text{ if } P > Q $ $= 0 \text{ otherwise}$ "The LCOM value provides a measure of the relative disparate nature of methods in the class Lack of cohesion implies classes should probably be split into two or more subclasses." | |

Revised definitions of LCOM proposed by other authors also are in use:

| | ~ | | |
|------------|---------|---|------------------------------|
| Names | Symbols | Definitions | References |
| Hitz & | LCOM(X) | Let X denote a class, I_X the set of its instance | Unit: connected |
| Montazeri | | variables, and M _X the set of its methods. | component (graph |
| LCOM | | Consider a simple, undirected graph $G_X(V,E)$ | entity) |
| | | with V= M_X and $E =$ | [Hitz] |
| | | $\begin{cases} \langle m, n \rangle \in V \times V \\ \vee V \end{pmatrix} \begin{pmatrix} \exists i \in I_X : (m \text{ accesses } i) \\ \land (n \text{ accesses } i) \end{pmatrix} \\ \vee (m \text{ calls } n) \vee (n \text{ calls } m) \end{cases}$ | |
| | | $I COM(\mathbf{Y})$ is then defined as the number of | |
| | | LCOM(A) is then defined as the number of $C_{\rm c}$ (1 < LCOM(X) < | |
| | | connected components of G_X ($I \leq LCOM(X) \leq$ | |
| | | $ M_X $). | |
| First | LCOM* | For a set of methods $\{M_i\}$ (i = 1m) accessing a | Unit: (attribute · |
| version of | | set of attributes $\{A_j\}$ (j = 1a), let $\alpha(M_i)$ be the | method) / (attribute \cdot |
| LCOM* | | number of attributes accessed by M _i and let | method) |
| | | $\mu(A_j)$ be the number of methods that access A_j . | Range: 0 (full |
| | | Then LCOM* = | cohesion) to 1 (no |
| | | (1) (1) | cohesion) |
| | | $\frac{\left(\frac{1}{a}\sum_{j=1}^{a}\mu(A_{j})\right)\left(\frac{1}{m}\sum_{i=1}^{m}\alpha(M_{i})\right)-am}{am}$ | [Henderson-Sellers] |
| | | 1-am | |
| Second | LCOM* | Using the same definitions as ibid., LCOM* = | Unit: method/method |
| version of | | $(1 \dots)$ | Range: 0 (full |
| LCOM* | | $\left(\frac{1}{a}\sum_{j=1}^{a}\mu(A_{j})\right)-m$ | cohesion) to 1 (no |
| | | $\frac{1-m}{1-m}$ | cohesion) |
| | | | [Henderson-Sellers] |

8.4 Bieman and Kang cohesion metrics

[Bieman] defines general and local versions of two cohesion metrics, Tight Class Cohesion (TCC) and Loose Class Cohesion (LCC), which depend on an abstract model and several input quantities. The items in the abstract model are sets and multisets:

| Names | Symbols | Definitions | References |
|------------|---------|---|------------|
| Abstracted | AM(M) | "A method is represented as a set of instance variables | [Bieman] |
| method | | directly or indirectly used by the method." | |
| | | For the purposes of defining the metrics to follow, it suffices | |
| | | that AM(M) is the model's proxy for a method , and that | |
| | | constructors and destructors are not included in the model. | |
| | V(C) | "V(C) is a set of all visible methods in class C and the | [Bieman] |
| | | ancestor classes of C." | |
| | LV(C) | "LV(C) are the visible methods defined within class C." | [Bieman] |
| Abstracted | AC(C) | "A collection of AM's where each AM corresponds to a | [Bieman] |
| class | | visible method in the class ." | |
| | | $AC(C) = \llbracket AM(M) M \in V(C) \rrbracket$ | |
| | | The double-bracket notation denotes a multi-set that may | |
| | | contain duplicate elements, necessary because the AM | |
| | | representations of different methods can be identical. | |
| Local | LAC(C) | "A collection of AM's where each AM corresponds to a | [Bieman] |
| abstracted | | visible method defined only within the class :" | |
| class | | $LAC(C) = \llbracket AM(M) M \in LV(C) \rrbracket$ | |

Quantities are derived from a graph in which nodes represent **methods** and edges represent *connections* as defined below:

| Names | Symbols | Definitions | References |
|-------------|---------|--|----------------|
| | NP(C) | "The total number of pairs of abstracted methods in | Unit: edge |
| | | AC(C). NP is the maximum possible number of direct or | (graph entity) |
| | | indirect connections in a class. If there are N methods in a | [Bieman] |
| | | class C," $NP(C) = N \cdot (N-1)/2$. | |
| | NDC(C) | "The number of direct connections in AC(C)" | Unit: edge |
| | | "If there exists one or more common instance variables | (graph entity) |
| | | between two method abstractions then the two | [Bieman] |
| | | corresponding methods are <i>directly connected</i> ." | |
| | NIC(C) | "The number of indirect connections in AC(C)" | Unit: edge |
| | | "Two methods that are connected through other directly | (graph entity) |
| | | connected methods are <i>indirectly connected</i> . The indirect | [Bieman] |
| | | connection relation is the transitive closure of direct | |
| | | connection relation." | |
| Tight class | TCC(C) | Described as "the relative number of directly connected | Unit: |
| cohesion | | methods," but it is computed as a proportion of possible | edge/edge |
| | | connections, not of methods: | [Bieman] |
| | | TCC(C) = NDC(C)/NP(C) | |
| Loose | LCC(C) | Described as "the relative number of directly or indirectly | Unit: |
| class | | connected methods," but it is computed as a proportion of | edge/edge |
| cohesion | | possible connections, not of methods: | [Bieman] |
| | | LCC(C) = (NDC(C) + NIC(C))/NP(C) | |

| Names | Symbols | Definitions | References |
|----------|---------|---|------------|
| Local | | "Local class cohesion measures are defined by using the | Unit: |
| class | | local abstracted class (LAC) rather than the abstracted | edge/edge |
| cohesion | | class (AC)." Thus there would be "local" versions of both | [Bieman] |
| | | LCC and TCC, substituting $LAC(C)$ for $AC(C)$ in the | |
| | | input quantities. | |

8.5 Li and Henry coupling metrics

| Names | Symbols | Definitions | References |
|---------------------|---------|---|----------------|
| Message- | MPC | "Number of send statements defined in a class." | Unit: call |
| passing coupling | | [Briand99] interprets [Li] as excluding invocations of the class' own methods and send statements in inherited methods. | [Li, Briand99] |
| Data | DAC | "Number of ADTs [abstract data types] defined in a | Unit: ADT |
| abstraction | | class." | [Li, Briand99] |
| coupling | | [Briand99] provides two interpretations. | |

8.6 Briand, Devanbu, and Melo coupling metrics

[Briand97] defines a suite of 18 object-oriented coupling metrics using a combinatoric approach. All the metrics "correspond to particular counts of **interactions** and are of the generic form:"

$$Metric(c_i) = \sum_{c_j \in Relationship(c_i)} Interactions(c_i, c_j)$$

The metrics are identified by 5 or 6-letter acronyms constructed from three parts:

- 1. Relationship type
 - IF: inverse friend, Friends⁻¹(c), "the set of classes that have c as a friend"
 - F: friend, Friends(c), "the set of classes that are the friends of c"
 - D: descendant, Descendants(c), "the set of classes that are the descendants of c"
 - A: ancestor, Ancestors(c), "the set of classes that are the ancestors of c. Ancestors(c) refers to the base classes of c, and their base classes, and so on (closure)."
 - O: others, Others(c), the set of other classes that have no inheritance or friendship relationship with c
- 2. Interaction type (note, "when we discuss attributes and methods of a class C, we only mean newly defined or overriding methods and attributes of C, not ones inherited")
 - CA: Class-Attribute interaction, the type of an attribute of one class refers to another class

- CM: Class-Method interaction, the signature of a method of one class refers to another class
- MM: Method-Method interaction, a method of one class invokes a method of another class
- 3. Locus of impact
 - IC: import coupling, class c is the using class
 - EC: export coupling, class c is the used class

Import coupling uses only IF, A, and O relationships, and export coupling uses only F, D, and O relationships, so the resulting number of metrics is $3 \times 3 \times 2 = 18$.

8.7 Lee et al. coupling and cohesion metrics

Model:

| Names | Symbols | Definitions | References |
|----------------------|------------------|---|-----------------|
| Message tuple | mt | mt = (fn, na) | [Lee, Def. 3.1] |
| | | where fn is the function name and na is the | |
| | | "argument number" (number of arguments). | |
| Function name | fn(mt) | Function name element of an mt | [Lee, Def. 3.1] |
| Argument number | na(mt) | Argument number element of an mt | [Lee, Def. 3.1] |
| Message-count tuple | mct | mct = (mt, nc) | [Lee, Def. 3.2] |
| | | where $nc \ge 0$ is the number of mts | |
| Message-count tuple | M(f) | Set of mcts for f, where f is a basic program | [Lee, Def. 3.2] |
| set | | entity. | |
| External flow set | $M_E^C(f)$ | For member function (method) f of class C, the | [Lee, Def. 3.3] |
| | | set of f's mcts whose mts go to functions | |
| | | defined in other classes. | |
| Internal flow set | $M_I^C(f)$ | For member function (method) f of class C, the | [Lee, Def. 3.3] |
| | | set of f's mcts whose mts go to functions | |
| | | defined in C. | |
| Inheritance flow set | $M_{IH}^{C}(f)$ | Subset of $M_E^C(f)$ where the target functions are | [Lee, Def. 3.4] |
| | | defined in a superclass of C. | |
| Non-inheritance | $M_{NIH}^{C}(f)$ | Subset of $M_E^C(f)$ where the target functions are | [Lee, Def. 3.4] |
| flow set | | defined outside the scope of C but not in a | |
| | | superclass of C. | |

Quantities:

| Names | Symbols | Definitions | References |
|--------------|-----------------------------|--|------------------|
| I-based | ICP ^C (f) | ne(f) | Unit: argument · |
| coupling | | $ICP^{C}(f) = \sum_{i} \left[(1 + na(mt_{i})) * nc(mct_{i}) \right]$ | method |
| contribution | | $\overline{i=1}$ | [Lee, Def. 3.5] |
| (01100) | | where $mct_i \in M_E^C(f)$, $ne(f) = M_E^C(f) $, | |
| | | and nc(mct _i) is the number of mct _i 's appearances | |
| | 0 | in f. | |
| I-based | ICH ^C (f) | nl(f) | Unit: argument · |
| cohesion | | $ICH^{c}(f) = \sum \left[(1 + na(mt_j)) * nc(mct_j) \right]$ | method |
| (of f to C) | | $\overline{j=1}$ | [Lee, Del. 5.5] |
| (01110 C) | | where $mct_i \in M_I^C(f)$, $ni(f) = M_I^C(f) $, | |
| | | and $nc(mct_j)$ is the number of mct_j 's appearances | |
| | | in f. | |
| I-based | IH-ICP ^C (f) | Like ICP ^C (f), but substituting $M_{IH}^{C}(f)$ for $M_{E}^{C}(f)$. | Unit: argument · |
| inheritance | | | method |
| coupling | | | [Lee, Del. 5.5] |
| I-based | NIH-ICP ^C (f) | Like ICP ^C (f) but substituting M^{C}_{c} (f) for | Unit· argument · |
| non- | | $M_{r}^{c}(f)$. | method |
| inheritance | | | [Lee, Def. 3.5] |
| coupling | | | |
| contribution | | | |
| I-based | ICP(C) | $LCD(C) = \sum_{n=1}^{n} LCD(C)$ | Unit: argument · |
| coupling | | $ICP(C) = \sum_{k=1}^{n} ICP^{*}(f_k)$ | method |
| (of class C) | IH_ICP(C) | k=1 Like ICP(C) but substituting IH-ICP ^C (ft) for | [Lee, Del. 5.0] |
| inheritance | $\operatorname{III-ICI}(C)$ | $ICP^{C}(f_{k})$ | method |
| coupling | | | [Lee, Def. 3.6] |
| (of class C) | | | |
| I-based | NIH-ICP(C) | Like ICP(C), but substituting NIH-ICP ^C (f_k) for | Unit: argument · |
| non- | | $ICP^{C}(f_{k}).$ | method |
| inheritance | | | [Lee, Def. 3.6] |
| coupling | | | |
| (of class C) | | n | Unit: argument |
| cohesion | | $ICH(C) = \sum ICH^{c}(f_{t})$ | method |
| (of class C) | | | [Lee, Def. 3.6] |

[Lee, Def. 3.7] proceeds to define analogous ICP(H) and ICH(H) for a class hierarchy H using definitions that are similar to the above except that they are scoped to the class hierarchy instead of a single class.

8.8 MOOD2 metrics

[Abreu] contains formal definitions of the following, expressed in Object Constraint Language (OCL). MOOD2 is a superset of the older MOOD (Metrics for Object-Oriented Design) suite of metrics, with the exception that the coupling factor was redefined. The old definition is included below for completeness.

| Names | Symbols | Definitions | References |
|--------------------|---------|---|---------------------------|
| Attribute | AIF | "Quotient between the number of | Unit: attribute/attribute |
| inheritance factor | | inherited attributes in all classes of the | Range: 0 to 1 |
| | | specification and the number of available | [Abreu] |
| | | attributes (locally defined plus inherited) | |
| | | for all classes of the current | |
| | | specification." | |
| Operations | OIF, | "Quotient between the number of | Unit: method/method |
| inheritance | MIF | inherited operations in all classes of the | Range: 0 to 1 |
| factor, | | specification and the number of available | [Abreu] |
| Methods | | operations (locally defined plus | |
| inheritance factor | | inherited) for all classes of the current | |
| | | specification." | |
| Internal | IIF | "Quotient between the number of | Unit: |
| inheritance factor | | inheritance links where both the base and | relationship/relationship |
| | | derived classes belong to the current | Range: 0 to 1 |
| | | specification and the total number of | [Abreu] |
| | | inheritance links originating in the | |
| | | current specification." | |
| Attribute hiding | AHF | "Quotient between the sum of the | Unit: attribute/attribute |
| factor | | invisibilities of all attributes defined in | Range: 0 to 1 |
| | | all classes in the current specification | [Abreu] |
| | | and the total number of attributes defined | |
| | | in the specification." "The invisibility of | |
| | | an attribute is the percentage | |
| | | [proportion] of the total classes in the | |
| | | specification from which this attribute is | |
| | | not visible" | |
| Operations hiding | OHF, | "Quotient between the sum of the | Unit: method/method |
| factor, | MHF | invisibilities of all operations defined in | Range: 0 to 1 |
| Methods hiding | | all classes in the current specification | [Abreu] |
| factor | | and the total number of operations | |
| | | defined in the specification." "The | |
| | | invisibility of an operation is the | |
| | | percentage [proportion] of the total | |
| | | classes in the specification from which | |
| | | this operation is not visible" | |

| Names | Symbols | Definitions | References |
|--------------------|---------|--|---------------------------|
| Attributes hiding | AHEF | "Quotient between the cumulative | Unit: class/class |
| effectiveness | | number of the specification classes that | Range: 0 to 1 |
| factor | | do access the specification attributes and | [Abreu] |
| | | the cumulative number of the | |
| | | specification classes that <i>can</i> access the | |
| | | specification attributes." | |
| Operations hiding | OHEF | "Quotient between the cumulative | Unit: class/class |
| effectiveness | | number of the specification classes that | Range: 0 to 1 |
| factor | | <i>do</i> access the specification operations | [Abreu] |
| | | and the cumulative number of the | |
| | | specification classes that <i>can</i> access the | |
| | DDE | specification operations." | |
| Behavioral | BPF, | "Quotient between the <i>actual</i> number of | Unit: method/method |
| polymorphism | POF | possible different polymorphic situations | Range: 0 to 1 |
| factor, | | and the <i>maximum</i> number of possible | [Abreu] |
| Polymorphism | | distinct polymorphic situations (due to | |
| Deremetrie | DDE | "Dercentege [propertien] of the | Unit: alagg/alagg |
| Palametric | ГГГ | specification classes that are | Pange: 0 to 1 |
| factor | | parameterized" | [Abreu] |
| Class coupling | CCE | Square root of the "Quotient between the | Transformed ratio |
| factor | CCI | actual number of coupled class-pairs | Range: 0 to 1 |
| incloi | | within the specification and the | [Abreu] |
| | | maximum possible number of class-pair | [] |
| | | couplings in the specification. This | |
| | | coupling is the one not imputable to | |
| | | inheritance." | |
| Coupling factor | COF | $= CCF^2$ | Unit: |
| | | (As defined in the original MOOD set) | relationship/relationship |
| | | | Range: 0 to 1 |
| | | | [Abreu] |
| Internal coupling | ICF | "Quotient between the number of | Unit: |
| factor | | coupling links where both the client and | relationship/relationship |
| | | supplier classes belong to the current | Range: 0 to 1 |
| | | specification and the total number of | [Abreu] |
| | | coupling links originating in the current | |
| - | | specification." | |
| External | EIF(S) | Quotient between the number of | |
| inheritance factor | | external inheritance links to specification | relationship/relationship |
| | | s and the total number of inheritance | Kange: U to I |
| | | inks originating in the current | [Aoreu] |
| | | specification. | |

| Names | Symbols | Definitions | References |
|-------------------|---------|---|---------------------------|
| External coupling | ECF(S) | "Quotient between the number of | Unit: |
| factor | | external coupling links to specification | relationship/relationship |
| | | "s" and the total number of coupling | Range: 0 to 1 |
| | | links originating in the current | [Abreu] |
| | | specification." | |
| Potential reuse | PRF(S) | "Percentage [proportion] of the available | Unit: method/method |
| factor | | operations in the current specification | Range: 0 to 1 |
| | | that were imported from the "s" | [Abreu] |
| | | specification." | |
| Actual reuse | ARF(S) | "Percentage [proportion] of the available | Unit: method/method |
| factor | | operations in the current specification | Range: 0 to 1 |
| | | that corresponds to effectively used | [Abreu] |
| | | operations imported from the "s" | |
| | | specification" | |
| Reuse efficiency | REF(S) | "Percentage [proportion] of the imported | Unit: method/method |
| factor | | operations (from the "s" specification) | Range: 0 to 1 |
| | | that are effectively used" | [Abreu] |

8.9 Lorenz and Kidd metrics

Many of the metrics defined in [Lorenz] are simple counts or obvious derivatives (e.g., average number of support classes per key class = NSC/NKC), but their rich set of symbols is used in other works. Those without symbols or with already-defined symbols (LOC) have been omitted.

Project metrics, application size:

| Names | Symbols | Definitions | References |
|--------------------|---------|---|----------------|
| Number of scenario | NSS | Number of use cases . | Unit: use case |
| scripts | | | [Lorenz] |
| Number of key | NKC | Number of classes "that are deemed to be of | Unit: class |
| classes | | central importance to the business." | [Lorenz] |
| Number of support | NSC | Number of classes that are "not central to the | Unit: class |
| classes | | business domain." | [Lorenz] |
| Number of | NOS | Number of packages . | Unit: package |
| subsystems | | | [Lorenz] |

Design metrics, method size:

| Names | Symbols | Definitions | References |
|-------------------|---------|---|------------|
| Number of message | NOM | "Number of messages sent in the method" | Unit: call |
| sends | | | [Lorenz] |

Design metrics, method internals:

| Names | Symbols | Definitions | References |
|--------------------|---------|--|------------------|
| Method complexity | MCX | Sum of the following, weighted as shown: | Ordinal |
| | | Application Programming Interface (API) | [Lorenz] |
| | | calls: 5.0 | |
| | | Assignments: 0.5 | |
| | | Binary expressions (Smalltalk) or arithmetic | |
| | | operators (C++): 2.0 | |
| | | Keyword messages (Smalltalk) or messages | |
| | | with parameters $(C++)$: 3.0 | |
| | | Nested expressions: 0.5 | |
| | | Parameters: 0.3 | |
| | | Primitive calls: 7.0 | |
| | | Temporary variables: 0.5 | |
| | | Unary expressions (Smalltalk) or messages | |
| | | without parameters (C++): 1.0 | |
| Strings of message | SMS | Number of expressions where | Unit: expression |
| sends | | messages/calls are strung together like | [Lorenz] |
| | | self.account().balance().print(). | |

Design metrics, class size:

| Names | Symbols | Definitions | References |
|---------------------------|---------|---|----------------|
| Number of public instance | PIM | Number of instance methods with public | Unit: method |
| methods | | visibility. | [Lorenz] |
| Number of instance | NIM | Number of instance methods (public, | Unit: method |
| methods | | protected, and private). | [Lorenz] |
| Number of instance | NIV | Number of instance variables (public, | Unit: variable |
| variables | | protected, and private). | [Lorenz] |
| Number of class methods | NCM | Number of class methods . | Unit: method |
| | | | [Lorenz] |
| Number of class variables | NCV | Number of class variables. | Unit: variable |
| | | | [Lorenz] |

Design metrics, class inheritance:

| Names | Symbols | Definitions | References |
|----------------------|---------|--|------------|
| Hierarchy nesting | HNL | = DIT (Sec. 8.3) | Unit: arc |
| level | | | [Lorenz] |
| Multiple inheritance | MUI | Use of multiple inheritance (yes or no). | Dichotomic |
| | | | [Lorenz] |

Design metrics, method inheritance:

| Names | Symbols | Definitions | References |
|-------------------|---------|--|--------------|
| Number of methods | NMO | Number of methods overridden by a subclass. | Unit: method |
| overridden | | | [Lorenz] |

| Names | Symbols | Definitions | References |
|----------------------|---------|---|--------------|
| Number of methods | NMI | Number of methods inherited by a subclass. | Unit: method |
| inherited | | | [Lorenz] |
| Number of methods | NMA | Number of methods added by a subclass. | Unit: method |
| added | | | [Lorenz] |
| Specialization index | SIX | For each class, | Ordinal |
| | | = HNL \cdot NMO / methods | [Lorenz] |

Design metrics, class internals:

| | - | | |
|---------------------|---------|---|-------------------------|
| Names | Symbols | Definitions | References |
| Global usage | GUS | Number of references to global | Unit: operand |
| | | variables. | [Lorenz] |
| Instance variable | IVU | Number of references to instance | Unit: operand |
| usage | | variables. | [Lorenz] |
| Parameters per | PPM | = parameters / methods | Unit: parameter/method |
| method | | | [Lorenz] |
| Friend functions | FFU | Use of friend functions (yes or no). | Dichotomic |
| | | | [Lorenz] |
| Function-oriented | FOC | Proportion of functions that are | Unit: function/function |
| code | | outside of classes. | [Lorenz] |
| | | As with MUI and FFU. [Lorenz] sets | |
| | | a threshold of zero, suggesting a | |
| | | dichotomic metric, but the section | |
| | | heading is "percentage of function- | |
| | | oriented code." | |
| Comment lines per | CLM | = CMT / methods | Unit: CMT/method |
| method | | | [Lorenz] |
| Percentages of | PCM | Proportion of methods that have <i>any</i> | Unit: method/method |
| commented | | comments in them. | [Lorenz] |
| methods | | | |
| Problem reports per | PRC | = bug reports / classes | Unit: bug report/class |
| class | | _ | [Lorenz] |

The following metrics with symbols either were not clearly defined or are out of scope:

| Names | Symbols | Category |
|-------------------------------|---------|--------------------|
| Class cohesion | CCO | Class internals |
| Class coupling | ССР | Class externals |
| Class reuse | CRE | Class externals |
| Number of classes thrown away | NCT | Class externals |
| Number of collaborations | NCO | Class externals |
| Intersubsystem relationships | ISR | Subsystem coupling |
| Interclass relationships | ICR | Subsystem coupling |
| Person-days per class | PDC | Staffing size |

| Names | Symbols | Category |
|-------------------------------|---------|---------------|
| Classes per developer | CPD | Staffing size |
| Number of major iterations | NMI | Scheduling |
| Number of contracts completed | NCC | Scheduling |

9 Testability (test coverage) metrics

The following chapter lists test coverage metrics. Given any test coverage metric, a corresponding testability metric that is applicable to the software product in isolation can be produced based on the amount of testing that the metric would require to indicate full coverage. For example, given the path coverage metric, one can inversely relate testability to the number of linearly independent paths.

9.1 Rapps, Frankl, and Weyuker data flow coverage metrics

Definitions quoted below from [Frankl] are more formalized versions of ones that appeared earlier in [Rapps].

Model:

| Symbols | Definitions | References |
|------------|--|------------|
| V | The set of variables . | [Frankl] |
| Ν | The set of nodes , which correspond to the blocks of the subprogram. | [Frankl] |
| Е | The set of edges , which indicate possible flow of control between blocks . | [Frankl] |
| def(i) | $\{x \in V \mid x \text{ has a global definition in block i}\}$ | [Frankl] |
| c-use(i) | $\{x \in V \mid x \text{ has a global } \mathbf{c}\text{-use in block } i\}$ | [Frankl] |
| p-use(i,j) | $\{x \in V \mid x \text{ has a } \mathbf{p}\text{-use in edge } (i,j)\}$ | [Frankl] |
| dcu(x,i) | $\{j \in N \mid x \in c\text{-use}(j) \text{ and there is a def-clear path wrt } x \text{ from i to } j\}$ | [Frankl] |
| dpu(x,i) | $\{(j,k) \in E \mid x \in p$ -use (j,k) and there is a def -clear path wrt x from i to | [Frankl] |
| | (j,k)} | |

Metrics:

| Names | Symbols | Definitions | References |
|-----------|---------|---|------------|
| All-paths | | Coverage of every path in a def/use graph. | Dichotomic |
| | | | [Frankl] |

| Names | Symbols | Definitions | References |
|-----------------------------|---------|--|------------------------|
| All-du-paths | | All du-paths from i to j with respect to x for each $j \in dcu(x,i)$ and all du-paths from i to (j,k) with respect to x for each $(j,k) \in dpu(x,i)$. | Dichotomic [Frankl] |
| | | A path $(n_1,, n_j, n_k)$ is a du-path with respect to a variable x if n_1 has a global definition of x and either | |
| | | 1) n_k has a global c-use of x and $(n_1,, n_j, n_k)$ is a def- clear simple path with respect to x, or | |
| | | 2) (n_j, n_k) has a p-use of x and $(n_1,, n_j, n_k)$ is a def-clear loop-free path with respect to x. | |
| | | A <i>simple path</i> is one in which all nodes, except possibly the first and last, are distinct. A <i>loop-free path</i> is one in which all nodes are distinct. | |
| All-uses | | For each node i and each $x \in def(i)$, coverage of all (i,j,x) s.t. $j \in dcu(x,i)$ and all $(i,(j,k),x)$ s.t. $(j,k) \in dpu(x,i)$. | Dichotomic [Frankl] |
| All-c-uses / some-p-uses | | For each node i and each $x \in def(i)$, coverage of all (i,j,x) s.t. $j \in dcu(x,i)$. In addition, if $dcu(x,i)$ is empty, then some $(i,(j,k),x)$ s.t. $(j,k) \in dpu(x,i)$. | Dichotomic [Frankl] |
| All-p-uses / some-c-uses | | For each node i and each $x \in def(i)$, coverage of all $(i,(j,k),x)$ s.t. $(j,k) \in dpu(x,i)$. In addition, if $dpu(x,i)$ is empty, then some (i,j,x) s.t. $j \in dcu(x,i)$. | Dichotomic [Frankl] |
| All-defs | | For each node i and each $x \in def(i)$, coverage of some (i,j,x) s.t. $j \in dcu(x,i)$ or some $(i,(j,k),x)$ s.t. $(j,k) \in dpu(x,i)$. | Dichotomic [Frankl] |
| All-c-uses | | For each node i and each $x \in def(i)$, coverage of all (i,j,x) s.t. $j \in dcu(x,i)$. | Dichotomic [Frankl] |
| All-p-uses | | For each node i and each $x \in def(i)$, coverage of all $(i,(j,k),x)$ s.t. $(j,k) \in dpu(x,i)$. | Dichotomic [Frankl] |
| All-edges | | Coverage of every edge in a def/use graph. | Dichotomic [Frankl] |
| All-nodes | | Coverage of every node in a def/use graph. | Dichotomic [Frankl] |

9.2 Other coverage metrics

| Names | Symbols | Definitions | References |
|------------|---------|---|---------------------------|
| e coverage | | Generic: for any specification or source | Unit: <i>e</i> / <i>e</i> |
| | | code entity type <i>e</i> (e.g., requirement, | |
| | | statement, LOC, module, function, class, | |
| | | branch), the proportion of <i>e</i> that are | |
| | | covered. | |

| Names | Symbols | Definitions | References |
|--|---------|---|--|
| Path coverage | | "Test a basis set of paths through the control flow graph of each module." The linearly independent paths form a basis set. | Dichotomic [SP 500-235] |
| | | McCabe does not refer to this as path coverage, but rather "the structured testing criterion." | |
| Modified condition/decision coverage | MC/DC | "Every point of entry and exit in the program has been invoked at least once, every condition in a decision in the program has taken all possible outcomes at least once, every decision in the program has taken all possible outcomes at least once, and each condition in a decision has been shown to independently affect that decision's outcome." ¹¹ | Dichotomic [DO-178C] |
| Total variable-value configuration coverage, Total <i>t</i> -way coverage | | For a given combination of <i>t</i> variables, the proportion of variable-value configurations that are covered by at least one test case in a test set. | Unit: configuration/ configuration [Kuhn] |
| | | This metric depends on the sets of values that are deemed "valid." Results based on different sets of valid values are not mutually comparable. | |
| Simple <i>t</i> -way combination coverage | | For a given test set for <i>n</i> variables, the proportion of <i>t</i> -way combinations of <i>n</i> variables for which all valid variable - value configurations are fully covered. | Unit: combination/ combination [Kuhn] |
| | | This metric depends on the sets of values that are deemed "valid." Results based on different sets of valid values are not mutually comparable. | |
| Linear code sequence and jump coverage, Jump-to-jump path coverage, Test effectiveness ratio 3 | TER3 | The proportion of LCSAJ triples that are covered. | Unit: LCSAJ/LCSAJ [Hennell] |

10 Security metrics

The metrics covered in this section are <u>Likert-type scales</u> as used in psychometrics. While arguments have been made that these can be interval scales in Stevens' taxonomy instead of

merely ordinal, the greater concern is that they may indicate a subjective assessment of a system rather than a measurement of an objective property of a software artifact.

Hash function and cipher metrics are in Sec. 6.2 and 6.3.

10.1 Common Weakness Scoring System

The Common Weakness Scoring System (CWSS) defines a number of ordinal quantities where lower values are better. For detailed descriptions of the levels, please refer to [CWSS].

| Names | Symbols | Definitions | References |
|-----------------|---------|--|------------|
| Technical | TI | "Potential result that can be produced by the | Ordinal |
| impact | | weakness, assuming that the weakness can be | [CWSS] |
| | | successfully reached and exploited." | |
| | | Critical (C) / Not applicable (NA) = 1.0 | |
| | | High $(H) = 0.9$ | |
| | | Medium (M) / Default (D) = 0.6 | |
| | | Unknown (UK) $= 0.5$ | |
| | | Low $(L) = 0.3$ | |
| | | None $(N) = 0.0$ | |
| Acquired | AP | "Type of privileges that are obtained by an attacker | Ordinal |
| privilege | | who can successfully exploit the weakness." | [CWSS] |
| | | Administrator (A) / Not applicable (NA) = 1.0 | |
| | | Partially-privileged user $(P) = 0.9$ | |
| | | Regular user (RU) / Default (D) = 0.7 | |
| | | Limited / guest (L) = 0.6 | |
| | | Unknown (UK) $= 0.5$ | |
| | | None $(N) = 0.1$ | |
| Acquired | AL | "Operational layer to which the attacker gains | Ordinal |
| privilege layer | | privileges by successfully exploiting the | [CWSS] |
| | | weakness." | |
| | | Application (A) / Enterprise infrastructure (E) / Not | |
| | | applicable $(NA) = 1.0$ | |
| | | System (S) / Default (D) = 0.9 | |
| | | Network $(N) = 0.7$ | |
| T . 1 | 10 | Unknown (UK) = 0.5 | |
| Internal | IC | "Ability of the control to render the weakness | Ordinal |
| control | | unable to be exploited by an attacker." | [CWSS] |
| effectiveness | | None (N) / Not applicable (NA) = 1.0 | |
| | | Limited (L) = 0.9 | |
| | | Noderate $(M) = 0.7$ Default $(D) = 0.6$ | |
| | | Default $(D) = 0.0$ Indiract $(I) / Unknown (UK) = 0.5$ | |
| | | Post evoluble (P) = 0.3 | |
| | | Dest-available (B) = 0.3 | |
| | | Complete(C) = 0.0 | |

| Names | Symbols | Definitions | References |
|-----------------|---------|---|------------|
| Finding | FC | "Confidence that the reported issue is a weakness | Ordinal |
| confidence | | that can be utilized by an attacker." | [CWSS] |
| | | Proven true (T) / Not applicable (NA) = 1.0 | |
| | | Proven locally true (LT) / Default (D) = 0.8 | |
| | | Unknown (UK) = 0.5 | |
| | | Proven false (F) = 0.0 | |
| Required | RP | "Type of privileges that an attacker must already | Ordinal |
| privilege | | have in order to reach the code/functionality that | [CWSS] |
| | | contains the weakness." | |
| | | None (N) / Not applicable (NA) = 1.0 | |
| | | Limited / guest $(L) = 0.9$ | |
| | | Regular user (RU) / Default (D) = 0.7 | |
| | | Partially-privileged user $(P) = 0.6$ | |
| | | Unknown (UK) = 0.5 | |
| | | Administrator $(A) = 0.1$ | |
| Required | RL | "Operational layer to which the attacker must have | Ordinal |
| privilege layer | | privileges in order to attempt to attack the | [CWSS] |
| | | weakness." | |
| | | Application (A) / Enterprise infrastructure (E) / Not | |
| | | applicable (NA) $= 1.0$ | |
| | | System (S) / Default (D) = 0.9 | |
| | | Network $(N) = 0.7$ | |
| | | Unknown (UK) = 0.5 | |
| Access vector | AV | "Channel through which an attacker must | Ordinal |
| | | communicate to reach the code or functionality that | [CWSS] |
| | | contains the weakness." | |
| | | Internet (I) / Not applicable (NA) = 1.0 | |
| | | Intranet (R) / Private network (V) = 0.8 | |
| | | Default (D) = 0.75 | |
| | | Adjacent network (A) = 0.7 | |
| | | Local (L) / Unknown (U) = 0.5 | |
| | | Physical (P) = 0.2 | |
| Authentication | AS | "Strength of the authentication routine that protects | Ordinal |
| strength | | the code/functionality that contains the weakness." | [CWSS] |
| | | None (N) / Not applicable (NA) = 1.0 | |
| | | Weak (W) = 0.9 | |
| | | Default (D) = 0.85 | |
| | | Moderate $(M) = 0.8$ | |
| | | Strong (S) = 0.7 | |
| | | Unknown (UK) = 0.5 | |

| Names | Symbols | Definitions | References |
|---------------|---------|---|------------|
| Level of | IN | "Actions that are required by the human victim(s) | Ordinal |
| interaction | | to enable a successful attack to take place." | [CWSS] |
| | | Automated (A) / Not applicable (NA) = 1.0 | |
| | | Typical/limited (T) = 0.9 | |
| | | Moderate $(M) = 0.8$ | |
| | | Default (D) = 0.55 | |
| | | Unknown (UK) = 0.5 | |
| | | Opportunistic (O) = 0.3 | |
| | | High $(H) = 0.1$ | |
| | | No interaction (NI) $= 0.0$ | |
| Deployment | SC | "Whether the weakness is present in all deployable | Ordinal |
| scope | | instances of the software, or if it is limited to a | [CWSS] |
| | | subset of platforms and/or configurations." | |
| | | All (A) / Not applicable (NA) = 1.0 | |
| | | Moderate $(M) = 0.9$ | |
| | | Default (D) = 0.7 | |
| | | Rare (R) / Unknown (UK) = 0.5 | |
| | | Potentially reachable $(P) = 0.1$ | |
| Business | BI | "Potential impact to the business or mission if the | Ordinal |
| impact | | weakness can be successfully exploited." | [CWSS] |
| | | Critical (C) / Not applicable (NA) = 1.0 | |
| | | High (H) = 0.9 | |
| | | Medium (M) / Default (D) = 0.6 | |
| | | Unknown (UK) $= 0.5$ | |
| | | Low $(L) = 0.3$ | |
| | | None $(N) = 0.0$ | |
| Likelihood of | DI | "Likelihood that an attacker can discover the | Ordinal |
| discovery | | weakness." | [CWSS] |
| | | High (H) / Not applicable (NA) = 1.0 | |
| | | Medium (M) / Default (D) = 0.6 | |
| | | Unknown (UK) = 0.5 | |
| | | Low $(L) = 0.2$ | |
| Likelihood of | EX | "Likelihood that, if the weakness is discovered, an | Ordinal |
| exploit | | attacker with the required | [CWSS] |
| | | privileges/authentication/access would be able to | |
| | | successfully exploit it." | |
| | | High (H) / Not applicable (NA) = 1.0 | |
| | | Medium (M) / Default (D) = 0.6 | |
| | | Unknown (UK) = 0.5 | |
| | | Low $(L) = 0.2$ | |
| | | None $(N) = 0.0$ | |

| Names | Symbols | Definitions | References |
|----------------|---------|--|-----------------|
| External | EC | "Capability of controls or mitigations outside of the | Ordinal |
| control | | software that may render the weakness more | [CWSS] |
| effectiveness | | difficult for an attacker to reach and/or trigger." | |
| | | None (N) / Not applicable (NA) = 1.0 | |
| | | Limited (L) = 0.9 | |
| | | Moderate $(M) = 0.7$ | |
| | | Default (D) $= 0.6$ | |
| | | Indirect (I) / Unknown (UK) = 0.5 | |
| | | Best-available (B) $= 0.3$ | |
| | | Complete (C) = 0.1 | |
| Prevalence | Р | "How frequently this type of weakness appears in | Ordinal |
| | | software." | [CWSS] |
| | | Widespread (W) / Not applicable (NA) = 1.0 | |
| | | High $(H) = 0.9$ | |
| | | Common (C) = 0.8 | |
| | | Default (D) $= 0.85$ | |
| | | Limited (L) = 0.7 | |
| | | Unknown (UK) $= 0.5$ | |
| Base finding | | $= [(10 \cdot \text{TI} + 5 \cdot (\text{AP+AL}) + 5 \cdot \text{FC}) \cdot \text{f}(\text{TI}) \cdot \text{IC}] \cdot 4.0$ | Ordinal |
| subscore | | f(TI) = 0 if $TI = 0$: otherwise $f(TI) = 1$ | Range: 0 to 100 |
| | | 1(11) = 0 if $11 = 0$, other wise $1(11) = 1$ | [CWSS] |
| Attack surface | | $[20 \cdot (RP + RL + AV) + 20 \cdot SC + 15 \cdot IN + 5 \cdot AS] / 100.0$ | Ordinal |
| subscore | | | Range: 0 to 1 |
| | | | [CWSS] |
| Environmental | | $\left[(10 \cdot \text{BI} + 3 \cdot \text{DI} + 4 \cdot \text{EX} + 3 \cdot \text{P}) \cdot \text{f(BI)} \cdot \text{EC} \right] / 20.0$ | Ordinal |
| subscore | | f(BI) = 0 if $BI = 0$; otherwise $f(BI) = 1$ | Range: 0 to 1 |
| | | $f(\mathbf{D}\mathbf{I}) = 0$ if $\mathbf{D}\mathbf{I} = 0$, otherwise $f(\mathbf{D}\mathbf{I}) = 1$ | [CWSS] |
| CWSS 1.0 | | $=$ BaseFindingSubscore \cdot AttackSurfaceSubscore \cdot | Ordinal |
| score | | EnvironmentalSubscore | Range: 0 to 100 |
| | | | [CWSS] |

10.2 Common Vulnerability Scoring System

The Common Vulnerability Scoring System (CVSS)¹² defines a number of ordinal quantities where lower values are better. For detailed descriptions of the levels, please refer to [CVSS].

[CVSS] also defines modified base metrics and an environmental score to characterize the impact of "modifications that exist within the analyst's environment." These have been omitted for brevity.
| Names | Symbols | Definitions | References |
|-----------------|---------|--|------------|
| Attack vector | ĀV | "Context by which vulnerability exploitation | Ordinal |
| | | is possible." | [CVSS] |
| | | Network $(N) = 0.85$ | |
| | | Adjacent $(A) = 0.62$ | |
| | | Local (L) $= 0.55$ | |
| | | Physical (P) $= 0.2$ | |
| Attack | AC | "Conditions beyond the attacker's control | Ordinal |
| complexity | | that must exist in order to exploit the | [CVSS] |
| | | vulnerability." | |
| | | Low (L) = 0.77 | |
| | | High $(H) = 0.44$ | |
| Privileges | PR | "Level of privileges an attacker must possess | Ordinal |
| required | | <i>before</i> successfully exploiting the | [CVSS] |
| 1 | | vulnerability." | |
| | | None $(N) = 0.85$ | |
| | | Low (L) = 0.62 (if scope = U) or 0.68 (if | |
| | | scope = C) | |
| | | High (H) = 0.27 (if scope = U) or 0.50 (if | |
| | | scope = C) | |
| User | UI | "Requirement for a user, other than the | Ordinal |
| interaction | | attacker, to participate in the successful | [CVSS] |
| | | compromise of the vulnerable component." | |
| | | None $(N) = 0.85$ | |
| | | Required (R) = 0.62 | |
| Scope | S | "Ability for a vulnerability in one software | Ordinal |
| | | component to impact resources beyond its | [CVSS] |
| | | means, or privileges." | |
| | | Unchanged (U) | |
| | | Changed (C) | |
| | | See PR for numerical effect. | |
| Confidentiality | С | "Impact to the confidentiality of the | Ordinal |
| impact | | information resources managed by a | [CVSS] |
| | | software component due to a successfully | |
| | | exploited vulnerability." | |
| | | High (H) = 0.56 | |
| | | Low $(L) = 0.22$ | |
| | | None $(N) = 0$ | |
| Integrity | Ι | "Impact to integrity of a successfully | Ordinal |
| impact | | exploited vulnerability." | [CVSS] |
| | | High (H) = 0.56 | |
| | | Low (L) = 0.22 | |
| | | None $(N) = 0$ | |

| Names | Symbols | Definitions | References |
|-----------------|---------|--|------------|
| Availability | A | "Impact to the availability of the impacted | Ordinal |
| impact | | component resulting from a successfully | [CVSS] |
| | | exploited vulnerability." | |
| | | High (H) = 0.56 | |
| | | Low $(L) = 0.22$ | |
| | | None $(N) = 0$ | |
| Exploit code | Е | "Likelihood of the vulnerability being | Ordinal |
| maturity | | attacked" | [CVSS] |
| | | High (H) / Not defined (X) = 1 | |
| | | Functional (F) = 0.97 | |
| | | Proof-of-concept (P) = 0.94 | |
| | | Unproven $(U) = 0.91$ | |
| Remediation | RL | Unavailable (U) / Not defined (X) = 1 | Ordinal |
| level | | Workaround (W) = 0.97 | [CVSS] |
| | | Temporary fix $(F) = 0.96$ | |
| | | Official fix $(O) = 0.95$ | |
| Report | RC | "Degree of confidence in the existence of | Ordinal |
| confidence | | the vulnerability and the credibility of the | [CVSS] |
| | | known technical details." | |
| | | Confirmed (C) / Not defined (X) = 1 | |
| | | Reasonable (R) $= 0.96$ | |
| | | Unknown (U) $= 0.92$ | |
| Confidentiality | CR | Importance of confidentiality to the | Ordinal |
| requirement | | organization. | [CVSS] |
| | | High $(H) = 1.5$ | |
| | | Medium (M) / Not defined (X) = 1 | |
| | | Low (L) = 0.5 | |
| Integrity | IR | Importance of integrity to the organization. | Ordinal |
| requirement | | High $(H) = 1.5$ | [CVSS] |
| | | Medium (M) / Not defined (X) = 1 | |
| | | Low $(L) = 0.5$ | |
| Availability | AR | Importance of availability to the | Ordinal |
| requirement | | organization. | [CVSS] |
| | | High $(H) = 1.5$ | |
| | | Medium (M) / Not defined (X) = 1 | |
| | | Low (L) = 0.5 | |
| Impact sub | ISC | If scope = U: $6.42 \cdot ISC_{BASE}$ | Ordinal |
| score | | If scope = C: $7.52 \cdot (ISC_{BASE} - 0.029) -$ | [CVSS] |
| | | $3.25 \cdot (ISC_{BASE} - 0.02)^{15}$ | |
| | | where $ISC_{BASE} = 1 - [(1 - C) \cdot (1 - I) \cdot (1 - A)]$ | |
| Exploitability | ESC* | $8.22 \cdot AV \cdot AC \cdot PR \cdot UI$ | Ordinal |
| sub score | | * [CVSS] does not assign a symbol. ESC | [CVSS] |
| | | was introduced here to parallel ISC. | |

| Names | Symbols | Definitions | References | |
|-------------------|---------|--|--|---|
| Base score | | = 0 if ISC \leq 0. Otherwise, If scope = U: round up(min(ISC+ESC,10)) If scope = C: round up(min(1.08 (ISC+ESC),10)) where "round up" is defined as the smallest number, specified to one decimal place, that is equal to or higher than its input. For example, round up (4.02) is 4.1; and round up (4.00) is 4.0. | Ordinal Range: 0 to 10 The scale is fur reduced to a 5- ordinal scale as follows: 0.0 0.1 to 3.9 4.0 to 6.9 7.0 to 8.9 9.0 to 10.0 [CVSS] |) rther level S None Low Medium High Critical |
| Temporal score | | Round up(Base score $\cdot E \cdot RL \cdot RC$) See definition of "round up" under base score. | Ordinal Range: 0 to 10 Reduction to 5-level scale same as base score. [CVSS] | |

10.3 Vulnerability severity (SP 800-30)

| Names | Symbols | Definitions | References |
|---------------|---------|--|--------------|
| Vulnerability | _ | Very high = 96 to 100 (/100) or 10 (/10) | Ordinal |
| severity | | "The vulnerability is exposed and exploitable, and its | [SP800-30r1, |
| | | exploitation could result in severe impacts. Relevant | Table F-2] |
| | | security control or other remediation is not implemented | |
| | | and not planned; or no security measure can be | |
| | | identified to remediate the vulnerability." | |
| | | High = 80 to 95 ($/100$) or 8 ($/10$) | |
| | | "The vulnerability is of high concern, based on the | |
| | | exposure of the vulnerability and ease of exploitation | |
| | | and/or on the severity of impacts that could result from | |
| | | its exploitation. Relevant security control or other | |
| | | remediation is planned but not implemented; | |
| | | compensating controls are in place and at least | |
| | | minimally effective." | |
| | | Moderate = 21 to 79 ($/100$) or 5 ($/10$) | |
| | | "The vulnerability is of moderate concern, based on the | |
| | | exposure of the vulnerability and ease of exploitation | |
| | | and/or on the severity of impacts that could result from | |
| | | its exploitation. Relevant security control or other | |

| Names | Symbols | Definitions | References |
|-------|---------|--|------------|
| | | remediation is partially implemented and somewhat effective." | |
| | | Low = 5 to 20 (/100) or 2 (/10) "The vulnerability is of minor concern, but effectiveness of remediation could be improved. Relevant security control or other remediation is fully implemented and somewhat effective." | |
| | | Very low = 0 to 4 (/100) or 0 (/10) "The vulnerability is not of concern. Relevant security control or other remediation is fully implemented, assessed, and effective." | |

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