

**NIST Technical Note 1875**

**Establishment of an International  
Scale for Instrumented Charpy  
Testing: comparison between  
NIST and LNE**

Enrico Lucon  
Stéphane Lefrançois  
Chris N. McCowan  
Ray L. Santoyo

This publication is available free of charge from:  
<http://dx.doi.org/10.6028/NIST.TN.1875>



# NIST Technical Note 1875

## Establishment of an International Scale for Instrumented Charpy Testing: comparison between NIST and LNE

Enrico Lucon  
Chris N. McCowan  
Ray L. Santoyo

*Applied Chemicals and Materials Division  
Material Measurement Laboratory*

Stéphane Lefrançois  
*LNE, Laboratoire National de métrologie et d'Essais  
Paris, France*

This publication is available free of charge from:  
<http://dx.doi.org/10.6028/NIST.TN.1875>

April 2015



U.S. Department of Commerce  
*Penny Pritzker, Secretary*

National Institute of Standards and Technology  
*Willie May, Acting Under Secretary of Commerce for Standards and Technology and Acting Director*

Certain commercial entities, equipment, or materials may be identified in this document in order to describe an experimental procedure or concept adequately. Such identification is not intended to imply recommendation or endorsement by the National Institute of Standards and Technology, nor is it intended to imply that the entities, materials, or equipment are necessarily the best available for the purpose.

**National Institute of Standards and Technology Technical Note 1875**  
**Natl. Inst. Stand. Technol. Tech. Note 1875, 40 pages (April 2015)**  
**CODEN: NTNOEF**

**This publication is available free of charge from:**  
**<http://dx.doi.org/10.6028/NIST.TN.1875>**

## **Abstract**

As a contribution to the establishment of an international scale for instrumented Charpy testing, aimed at ensuring that impact forces are obtained more accurately, NIST and *Laboratoire National de métrologie et d'Essais* (LNE, France) have participated in an interlaboratory comparison of instrumented impact tests on reference specimens of different energy levels from seven certified verification batches. In both locations, tests have been performed using nominally identical Charpy machines and the same instrumentation (Charpy striker and acquisition system). The comparison between NIST and LNE test results has revealed statistically significant differences, particularly in terms of absorbed energies and instrumented forces. The reasons for such discrepancies are unclear, although a malfunction of the acquisition system during the tests at LNE and possible differences in the surface finish of the machine anvils and supports in the two labs are possible contributing factors.

## **Keywords**

Absorbed energy; instrumented Charpy testing; instrumented forces; interlaboratory comparison; lateral expansion; LNE; NIST; reference Charpy specimens; surface finish.

## Table of Contents

Abstract .....	iii
Keywords .....	iii
Table of Contents .....	iv
1. Introduction .....	1
2. Description of the technical program .....	2
2.1 Materials and specimens .....	2
2.2 Test machines .....	2
2.3 Instrumented striker and acquisition system .....	3
3. Test results .....	5
3.1 NIST force verification specimens (LL-103 and HH-103) .....	5
3.1.1 Statistical analyses of the differences between NIST and LNE .....	10
3.2 NIST certified reference specimens (LL-136 and HH-140) .....	11
3.2.1 Statistical analyses of the differences between NIST and LNE .....	18
3.2 LNE certified reference specimens (1AD, 5AB, and 9E) .....	19
3.3.1 Statistical analyses of the differences between NIST and LNE .....	28
4. Discussion .....	30
4.1 Instrumented parameters .....	30
4.2 Absorbed energy .....	31
4.3 Lateral expansion .....	33
5. Conclusions .....	34
References .....	35

# 1. Introduction

Even though the Charpy impact test has already celebrated its 100<sup>th</sup> anniversary [1,2], it is still widely used in laboratories and industries all over the world to obtain information on the impact toughness of materials.

The Charpy test method was first standardized by the American Society for Testing and Materials (ASTM) in 1933 [3], followed by an International Organization for Standardization (ISO) standard published in 1983 [4].

Even though instrumented impact testing is often considered to be a relatively recent technical development of Charpy testing, the earliest known paper on the topic actually predates the first pendulum test machine publication by one year [5]. This type of test started to gain popularity in the technical community in the early 1920's, when it was considered a sort of laboratory curiosity. The first review of instrumented impact methods was published in 1926 [6], but it was during the 1960's that the volume of work on instrumented impact testing started to increase drastically.

The first attempt to standardize instrumented impact testing dates back to the 1970's, when an ASTM Technical Subcommittee was formed with the objective of producing a test method for measuring the dynamic fracture toughness of fatigue precracked Charpy specimens. This work was later abandoned, and it was only in the late 1990's that ISO started work on a test standard for instrumented impact testing. This standard (ISO 14556) was finally published in 2000 [7], and was later followed by the first edition of ASTM E2298, published in 2009 [8].

Although instrumented Charpy tests are routinely performed in many laboratories around the world and international standards are now available for the execution of the tests and the analysis of the results, there remains a need to ensure that impact forces are obtained more accurately. To accomplish this, an international scale based on SI units needs to be established to reduce bias between National Metrology Institutes (NMIs).

To this regard, during the annual meeting of ISO/TC 164 SC4 (*Mechanical Testing of Metals, Toughness Testing -- Fracture, Pendulum, Tear*) held in Paris France in 2011, multiple intercomparisons of instrumented Charpy tests between NMIs were discussed and proposed as a means to reduce bias between National Institutes.

The first step of this activity was an interlaboratory comparison of instrumented Charpy test results between NIST and the Brazilian National Institute of Metrology, Quality and Technology (Inmetro). This exercise was conducted in 2012-2013, and consisted of testing NIST reference specimens of low and high energy on nominally identical<sup>1</sup> impact machines available at NIST and Inmetro, equipped with the same instrumented striker. Tests were performed in four stages, two at NIST and two at Inmetro, over a period spanning from August 2012 to February 2013. NIST acted as the Pilot Laboratory for this Supplementary Comparison among the SIM.MWG7 (Inter-American Metrology Institutes - Technical Committee of Mass and Related Quantity-Working Group of Force 7), in cooperation with Inmetro for the development and the planning of this interlaboratory comparison. The results of this activity have been published jointly by NIST and Inmetro in the form of a Technical Report [9].

---

<sup>1</sup> By "nominally identical", we mean here that the two machines are from the same manufacturer, correspond to the same model, and have the same potential energy (capacity) and impact velocity.

The following stage of the project consisted in a similar interlaboratory comparison of instrumented Charpy test results between NIST and the *Laboratoire National de métrologie et d'Essais* (LNE, France). The scope of the comparison was expanded to include tests on reference specimens produced by both institutes at different absorbed energy levels, as well as instrumented and non-instrumented impact tests. The experimental activity was conducted on two nominally identical pendulum impact machines, one operated by NIST Boulder, CO (USA) and the other by LNE Trappes (France), equipped with the same instrumented striker. Signal acquisition was accomplished by means of the same instrumentation. The complete results of this activity are provided in this document.

## 2. Description of the technical program

### 2.1 Materials and specimens

Both NIST and LNE produce and sell certified reference Charpy specimens for the indirect verification of impact pendulum machines, in accordance with ASTM E23 and ISO 148 respectively.

NIST certified reference specimens cover three absorbed energy ( $KV$ ) levels at  $-40\text{ }^{\circ}\text{C}$ : low energy ( $\approx 15\text{ J}$ ), high energy ( $\approx 100\text{ J}$ ), and super-high energy ( $\approx 200\text{ J}$ ). LNE certified reference Charpy specimens cover five absorbed energy levels at  $20\text{ }^{\circ}\text{C}$ : low energy ( $\approx 25\text{ J}$ ), medium energy ( $\approx 80\text{ J}$ ), high energy ( $\approx 120\text{ J}$  and  $\approx 160\text{ J}$ ), and super high energy ( $\approx 200\text{ J}$ ).

Within this intercomparison between NIST and LNE, full-size Charpy specimens from the following reference lots have been tested (expanded uncertainties are also provided):

- NIST LL-103 (low energy, certified  $KV = 15.3\text{ J} \pm 0.1\text{ J}$  at  $-40\text{ }^{\circ}\text{C}$  and  $18.2\text{ J} \pm 0.1\text{ J}$  at  $21\text{ }^{\circ}\text{C}$ )
- NIST HH-103 (high energy,  $KV = 97.5\text{ J} \pm 0.6\text{ J}$  at  $-40\text{ }^{\circ}\text{C}$  and  $105.3\text{ J} \pm 0.6\text{ J}$  at  $21\text{ }^{\circ}\text{C}$ )
- NIST LL-136 (low energy, certified  $KV = 17.1\text{ J} \pm 0.1\text{ J}$  at  $-40\text{ }^{\circ}\text{C}$ )
- NIST HH-140 (high energy, certified  $KV = 97.6\text{ J} \pm 0.6\text{ J}$  at  $-40\text{ }^{\circ}\text{C}$ )
- LNE 1AD (certified  $KV = 29.7\text{ J} \pm 0.9\text{ J}$  at  $20\text{ }^{\circ}\text{C}$ )
- LNE 5AB (certified  $KV = 131.9\text{ J} \pm 3.6\text{ J}$  at  $20\text{ }^{\circ}\text{C}$ )
- LNE 9E (certified  $KV = 199.1\text{ J} \pm 4.0\text{ J}$  at  $20\text{ }^{\circ}\text{C}$ ).

Note that lots LL-103 and HH-103 (force verification specimens) have also been certified at NIST for the dynamic verification of instrumented strikers by means of an international round-robin exercise [10]. Their certified values of maximum force,  $F_m$ , at  $21\text{ }^{\circ}\text{C}$  are (expanded uncertainties are also provided):

- LL-103 –  $F_m = 33.00\text{ kN} \pm 1.86\text{ kN}$
- HH-103 –  $F_m = 24.06\text{ kN} \pm 0.07\text{ kN}$ .

A total of 140 Charpy tests (70 instrumented and 70 non-instrumented) have been performed. The complete test matrix, organized in chronological fashion, is provided in Table 1.

### 2.2 Test machines

Two nominally identical impact pendulum machines have been used for this exercise. Both machines had the following characteristics:

- potential energy (machine capacity) = 358.63 J
- impact velocity = 5.13 m/s.

The most notable difference is the angle of taper of the anvils. The NIST machine had an angle of 10 ° in accordance with ASTM E23-12c, whereas the machine at LNE had an angle of 11 °, which satisfies the requirements of ISO 148-2:2008 [10].

*Table 1 - Test matrix of the interlaboratory comparison between NIST and LNE.*

Test location	Test date	Specimen lot/batch	Number of tests	Type of test
NIST	May 13, 2014	LL-103	3	Instrumented
		HH-103	3	
		LL-136	5	
		HH-140	5	
LNE	May 20, 2014	LL-103	3	
		HH-103	3	
		LL-136	5	
		HH-140	5	
		1AD	5	
		5AB	5	
		9E	5	
	May 21, 2014	LL-103	2	Non-instrumented
		HH-103	2	
		LL-136	10	
		HH-140	10	
		1AD	5	
		5AB	5	
NIST	June 3, 2014	LL-103	2	Instrumented
		HH-103	2	
		1AD	5	
		5AB	5	
		9E	5	
	June 4, 2014	LL-136	10	Non-instrumented
		HH-140	10	
		1AD	5	
		5AB	5	
		9E	5	

### 2.3 Instrumented striker and acquisition system

The same instrumented striker and data acquisition system was used for all Charpy tests. This striker, designated JS-1, had a radius of the striking edge equal to 8 mm, in accordance with ASTM E23-12c. The striker was instrumented with strain-gages in a “left-right” configuration with respect to the direction of impact<sup>2</sup>.

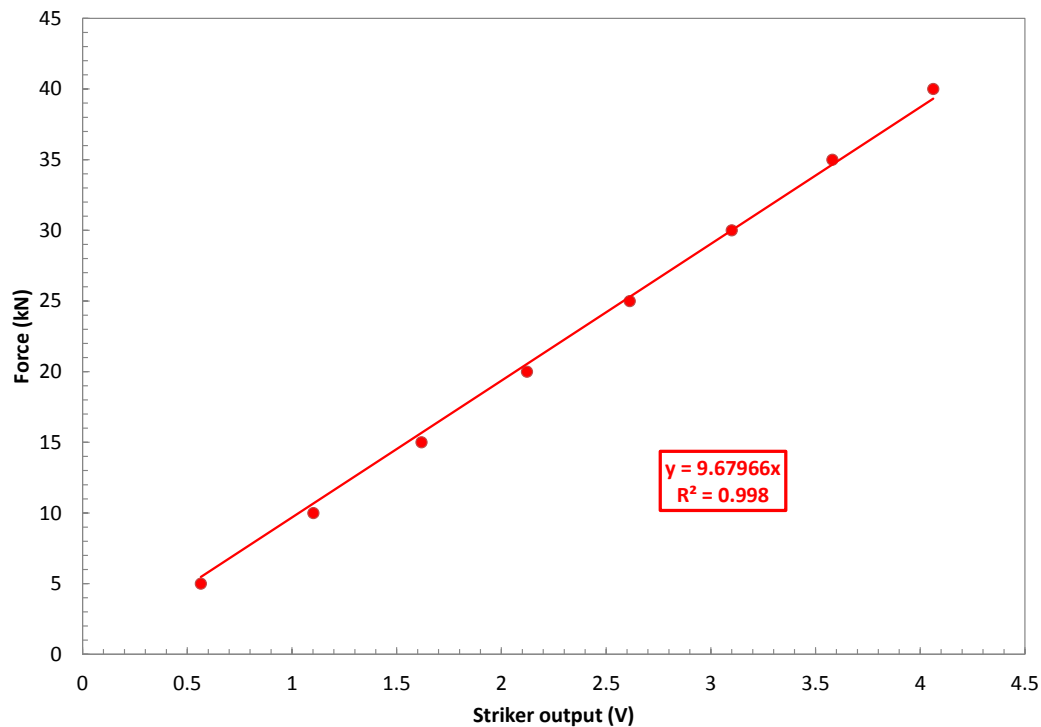
<sup>2</sup> The other common design for an instrumented striker is the so-called “top-bottom” configuration.



Before starting the intercomparison exercise, the striker had been statically calibrated at NIST using a universal testing machine. The results of the striker calibration (applied force vs. striker output) are shown in Figure 1.

The acquisition system used for the instrumented impact tests consisted of a dynamic signal conditioner and amplifier, coupled with an analog-to-digital converter. The sampling rate used ranged from 500 kHz to 1MHz.

The initial plan for the intercomparison exercise was to perform all tests as instrumented. However, during the testing phase at LNE the acquisition system started to malfunction, and consequently half of the tests conducted in this program were performed without instrumentation (see Table 1).



*Figure 1 - Static calibration of the 8-mm instrumented striker used for this intercomparison.*

### 3. Test results

#### 3.1 NIST force verification specimens (LL-103 and HH-103)

The individual test results obtained at NIST and LNE on LL-103 and HH-103 are presented in Table 2 and Table 3, respectively. In the tables, the following parameters are reported:

- force at general yield,  $F_{gy}$ ;
- elastic compliance<sup>3</sup>,  $C_{el}$ ;
- maximum force,  $F_m$ ;
- absorbed energy calculated under the instrumented test record,  $W_t$ ;
- absorbed energy provided by the machine encoder,  $KV$ ;
- ratio  $KV/W_t$ ;
- lateral expansion<sup>4</sup>,  $LE$ .

For each parameter average values, standard deviations and coefficients of variation<sup>5</sup> ( $CV$ ) are reported for each individual test day and considering all tests performed in one location.

*Table 2 - Results of the tests performed on LL-103.*

Charpy lot	Lab	Specimen id	$F_{gy}$ (kN)	$C_{el}$ (mm/kN)	$F_m$ (kN)	$W_t$ (J)	$KV$ (J)	$KV/W_t$	$LE$ (mm)
LL-103	NIST (5/13/14)	96	25.99	0.019	34.02	20.17	18.67	0.926	0.047
		420	27.02	0.020	33.39	19.91	18.76	0.942	0.040
		938	26.02	0.018	33.70	21.13	19.89	0.941	0.055
		Average	26.34	0.019	33.70	20.40	19.33	0.942	0.048
		$\sigma$	0.586	7.00E-04	0.315	0.643	0.680	0.009	0.008
		CV, %	2.23	3.65	0.93	3.15	3.52	0.99	15.80
	LNE (5/20/14)	186	31.71	0.021	36.01	22.86	20.61	0.902	0.066
		153	28.57	0.023	36.83	21.90	20.17	0.921	0.062
		747	29.49	0.021	39.89	24.12	20.85	0.864	0.106
		Average	29.92	0.022	37.58	22.96	20.54	0.896	0.078
		$\sigma$	1.614	1.01E-03	2.045	1.113	0.345	0.029	0.024
		CV, %	5.39	4.71	5.44	4.85	1.68	3.21	31.19
	LNE (5/21/14)	237	30.67	0.021	34.05	21.81	19.04	0.873	0.076
		1162	29.82	0.021	36.53	23.83	20.93	0.878	0.105
		Average	30.25	0.021	35.29	22.82	19.99	0.876	0.091
		$\sigma$	0.601	6.51E-04	1.754	1.428	1.336	0.004	0.021
		CV, %	1.99	3.10	4.97	6.26	6.69	0.43	22.66
	NIST (6/3/14)	639	20.25	0.037	23.98	15.31	19.89	1.299	0.052
		1144	20.31	0.032	21.36	18.91	18.67	0.987	0.044
		Average	20.28	0.034	22.67	17.11	19.28	1.143	0.048
		$\sigma$	0.042	4.04E-03	1.853	2.546	0.863	0.221	0.006
		CV, %	0.21	11.72	8.17	14.88	4.47	19.29	11.79
		Average	23.92	0.025	29.29	19.09	19.18	1.019	0.048
	NIST (all)	$\sigma$	3.347	8.63E-03	6.118	2.254	0.653	0.158	0.006
		CV, %	13.99	34.16	20.89	11.81	3.40	15.52	12.66
		Average	30.05	0.021	36.66	22.90	20.32	0.888	0.083
	LNE (all)	$\sigma$	1.193	8.44E-04	2.104	1.066	0.774	0.023	0.021
		CV, %	3.97	3.96	5.74	4.65	3.81	2.61	25.50

<sup>3</sup> The elastic compliance was obtained by visually selecting the force oscillations belonging to the initial linear portion of the force-displacement curve.

<sup>4</sup> Note that all lateral expansion measurements, on specimens tested both at NIST and LNE, were executed at NIST by the same operator.

<sup>5</sup> The coefficient of variation is obtained, in percent, by dividing the standard deviation by the average value.

Table 3 - Results of the tests performed on HH-103.

Charpy lot	Lab	Specimen id	$F_{gy}$ (kN)	$C_{el}$ (mm/kN)	$F_m$ (kN)	$W_t$ (J)	$KV$ (J)	$KV/W_t$	$LE$ (mm)
HH-103	NIST (5/13/14)	114	23.06	0.019	27.93	122.47	109.30	0.892	1.319
		473	22.33	0.023	28.24	126.28	114.14	0.904	1.294
		854	22.44	0.020	27.85	122.76	110.25	0.898	1.223
		Average	22.61	0.021	28.01	123.84	111.23	0.901	1.259
		$\sigma$	0.394	1.87E-03	0.206	2.121	2.565	0.006	0.050
		CV, %	1.74	8.94	0.74	1.71	2.31	0.63	3.96
	LNE (5/20/14)	453	21.25	0.023	29.48	121.75	106.43	0.874	1.329
		988	21.66	0.023	28.96	119.46	105.44	0.883	1.293
		1058	21.09	0.024	29.56	122.05	112.35	0.921	1.425
		Average	21.33	0.023	29.33	121.09	108.07	0.892	1.349
		$\sigma$	0.294	3.09E-04	0.326	1.417	3.737	0.025	0.068
		CV, %	1.38	1.32	1.11	1.17	3.46	2.77	5.06
	LNE (5/21/14)	525	23.85	0.019	28.69	124.90	105.26	0.843	1.286
		244	23.85	0.022	28.90	128.73	109.30	0.849	1.375
		Average	23.85	0.020	28.80	126.82	107.28	0.846	1.331
		$\sigma$	0.000	2.11E-03	0.148	2.708	2.857	0.004	0.063
		CV, %	0.00	10.30	0.52	2.14	2.66	0.53	4.73
	NIST (6/3/14)	942	16.13	0.034	24.58	130.65	106.56	0.816	1.048
		1078	15.70	0.044	23.42	121.16	115.08	0.950	1.374
		Average	15.92	0.039	24.00	125.91	110.82	0.883	1.211
		$\sigma$	0.304	7.34E-03	0.820	6.710	6.025	0.095	0.231
	NIST (all)	CV, %	1.91	18.75	3.42	5.33	5.44	10.75	19.04
		Average	19.93	0.028	26.40	124.66	111.07	0.892	1.252
		$\sigma$	3.681	1.07E-02	2.237	3.846	3.523	0.048	0.126
	LNE (all)	CV, %	18.47	38.06	8.47	3.08	3.17	5.42	10.07
		Average	22.34	0.022	29.12	123.38	107.76	0.874	1.342
		$\sigma$	1.394	1.89E-03	0.381	3.561	3.035	0.031	0.058
		CV, %	6.24	8.55	1.31	2.89	2.82	3.54	4.36

The average values of absorbed energy ( $KV$ ), lateral expansion ( $LE$ ) and maximum force ( $F_m$ ) obtained in the four testing rounds are compared in Figure 2 to Figure 6. For absorbed energy, the figures also indicate the certified values and the ASTM acceptability limits corresponding to  $\pm 1.4$  J for LL-103 and  $\pm 5$  % for HH-103. For maximum force values, certified values and expanded uncertainties are indicated. We observe that:

- $KV$  average values for NIST tests are lower than LNE averages for LL-103, and higher for HH-103;
- the trends shown by lateral expansion measurements are consistent with those for  $KV$  for LL-103 (NIST values lower than LNE), but not for HH-103;
- $F_m$  average values for NIST tests are lower than for LNE tests for both LL-103 and HH-103;
- regarding absorbed energy, NIST results are acceptable (*i.e.*, within  $\pm 1.4$  J of the certified value) for LL-103 but slightly too high for HH-103; LNE results are too high for LL-103 and acceptable for HH-103;
- regarding maximum force, only the LL-103 results from the first NIST round and the HH-103 results from the second round are within the expanded uncertainties, while all the remaining data are too high;
- a significant decrease in  $F_m$  for both LL-103 (-33 %) and HH-103 (-14 %) is observed between the first NIST testing round (May 13<sup>th</sup>, 2014) and the second NIST testing round (June 3<sup>rd</sup>, 2014). This should be attributed to the questionable operation of the amplifier. A comparison between selected LL-103 test records from the NIST and LNE testing rounds is presented in Figure 7.

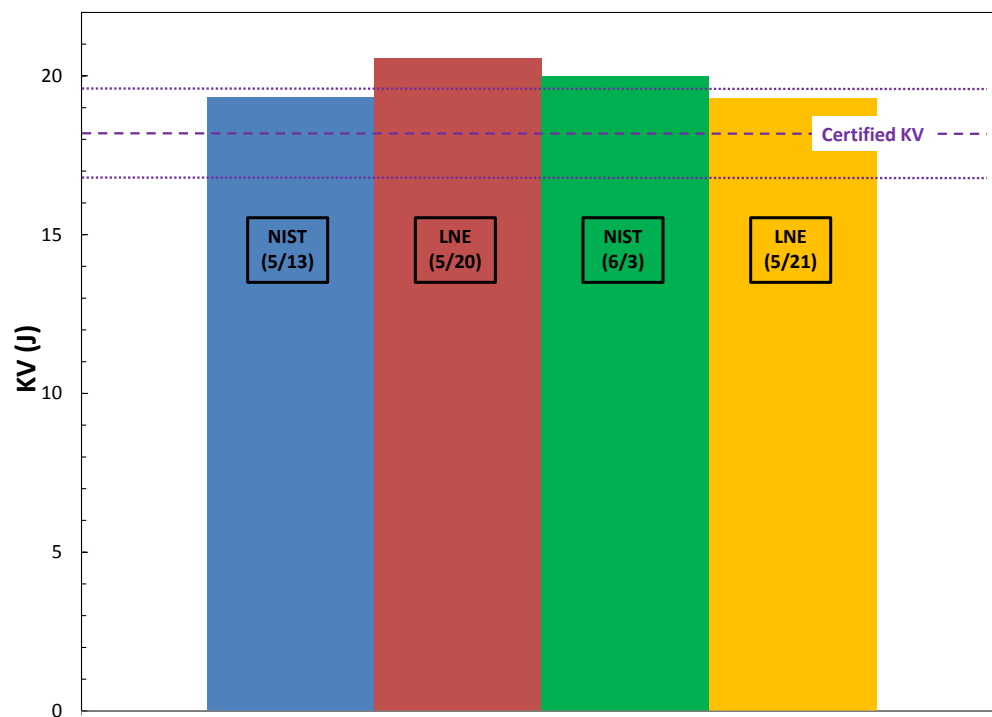


Figure 2 - Average values of absorbed energy KV for LL-103. Dashed line and dotted lines indicate respectively certified value and bounds of  $\pm 1.4$  J (acceptability limits according to ASTM E23).

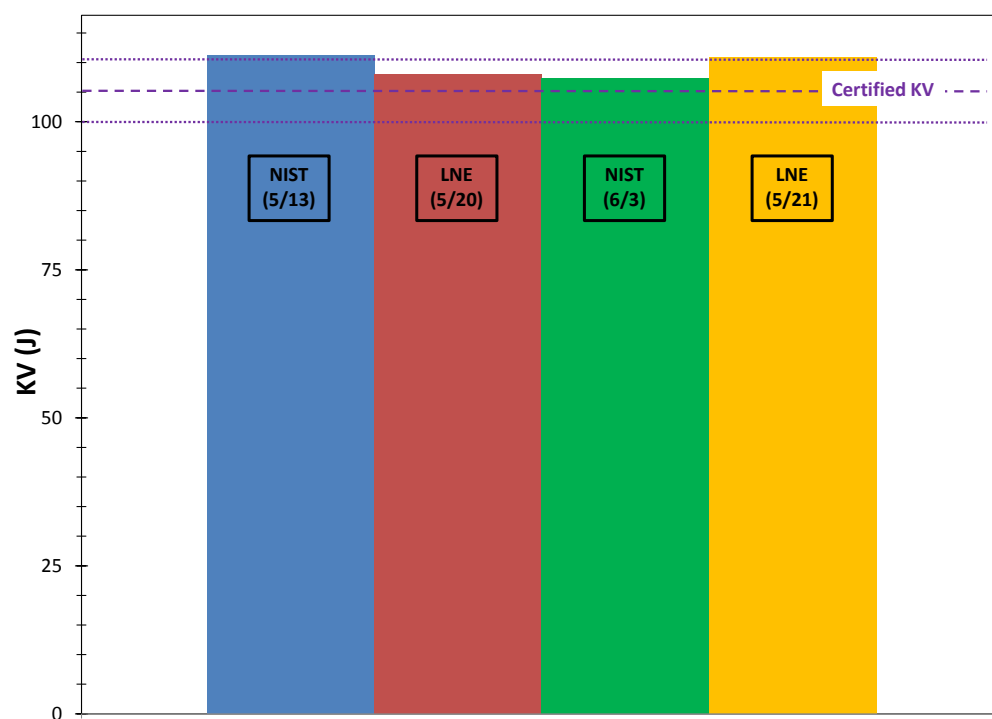


Figure 3 - Average values of absorbed energy KV for HH-103. Dashed line and dotted lines indicate respectively certified value and bounds of  $\pm 5\%$  (acceptability limits according to ASTM E23).

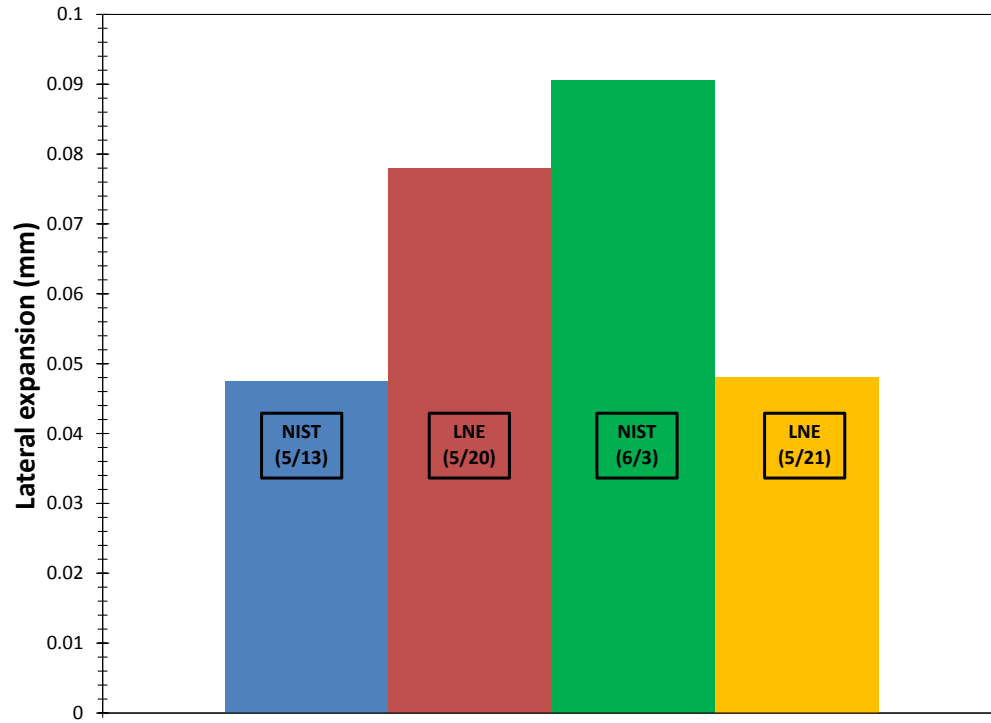


Figure 4 - Average values of lateral expansion (LE) for LL-103.

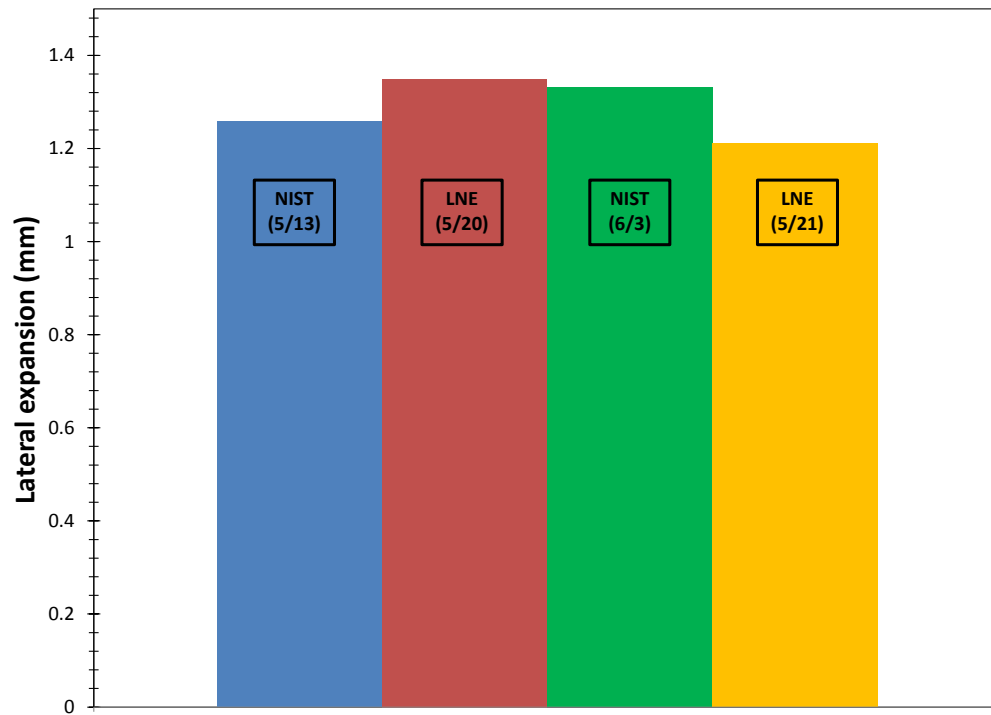


Figure 5 - Average values of lateral expansion (LE) for HH-103.

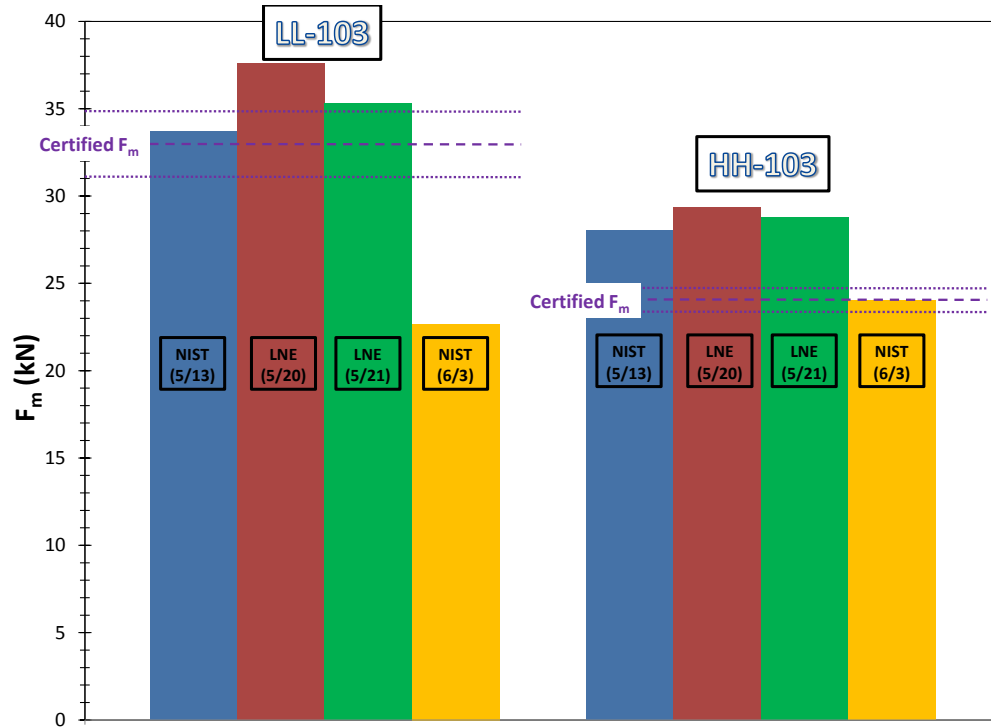


Figure 6 - Average values of maximum force  $F_m$  for LL-103 and HH-103. Dashed lines and dotted lines indicate respectively certified values and bounds of the expanded uncertainty range.

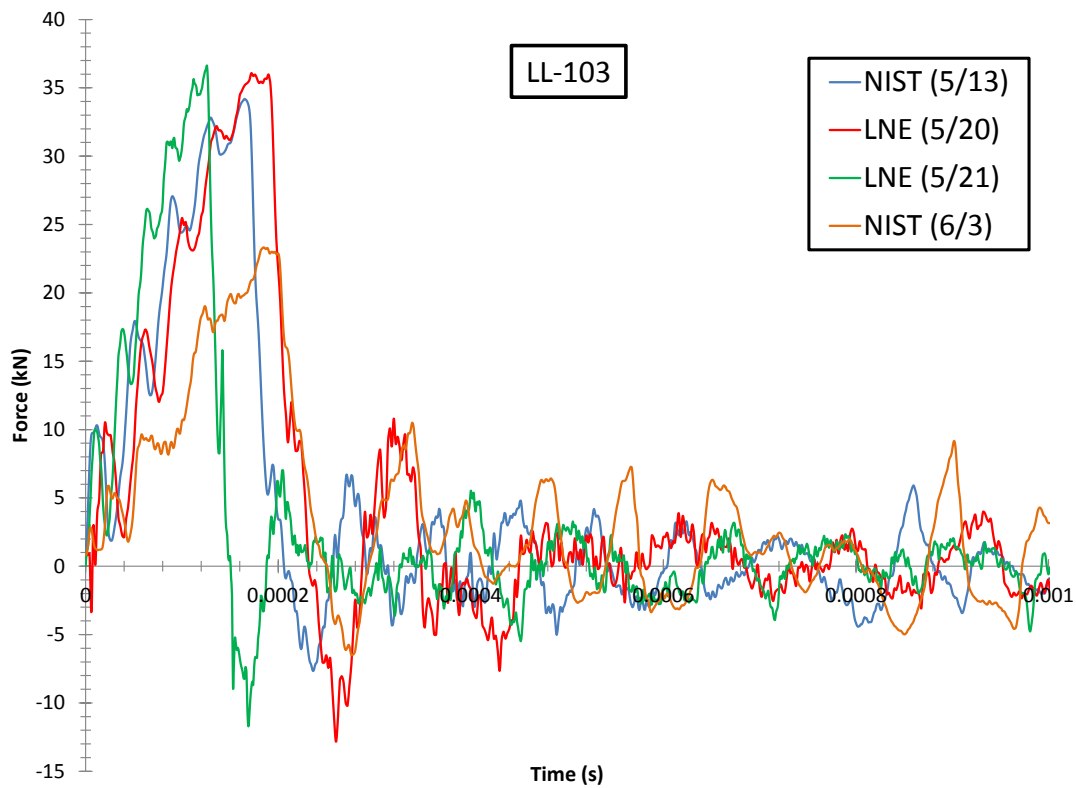


Figure 7 - Comparison between selected LL-103 test records from NIST and LNE testing rounds (NIST, 1<sup>st</sup> round: specimen 938; LNE, 1<sup>st</sup> round: specimen 186; LNE, 2<sup>nd</sup> round: specimen 237; NIST, 2<sup>nd</sup> round: specimen 639).

### 3.1.1 Statistical analyses of the differences between NIST and LNE

To investigate whether the observed differences between the results obtained at NIST and at LNE are statistically significant, we have applied Student's unpaired two-sample  $t$ -test. This is a statistical hypothesis test which is used to determine if two independent and identically distributed sets of data are significantly different from each other.

Before the  $t$ -test, another statistical test ( $F$ -test) was performed in order to establish whether the two data sets have equal or unequal variances. The method used for calculation of the  $t$  parameter depends on the result of the  $F$ -test (equal variances: homoscedastic  $t$ -test; unequal variances: heteroscedastic  $t$ -test) [11].

The results of the statistical tests on  $KV$ ,  $F_m$  and  $LE$  are presented in Table 4, Table 5, and Table 6 respectively. If the calculated value of  $F$  or  $t$  is smaller than the corresponding critical value, variances are not statistically different ( $F$ -test) or means are not statistically different ( $t$ -test) at a confidence level of 95 %. Result cells are color coded depending on the outcome of the  $F$ - or  $t$ -test.

Table 4 - Statistical analyses results on  $KV$  results from LL-103 and HH-103.

Lot	Statistical test	Test location	Mean (J)	Variance (J)	Calculated value	Critical value	Result
LL-103	$F$ -test	NIST	19.18	0.43	$F = 1.407$	$F_{crit} = 6.388$	Variances <u>are not</u> statistically different
		LNE	20.32	0.60			
	$t$ -test	NIST	19.18	0.43	$t = 2.526$	$t_{crit} = 2.306$	Means <u>are</u> statistically different
		LNE	20.32	0.60			
HH-103	$F$ -test	NIST	111.07	12.41	$F = 1.347$	$F_{crit} = 6.388$	Variances <u>are not</u> statistically different
		LNE	107.76	9.21			
	$t$ -test	NIST	111.07	12.41	$t = 1.592$	$t_{crit} = 2.306$	Means <u>are not</u> statistically different
		LNE	107.76	9.21			

Table 5 - Statistical analyses results on  $F_m$  results from LL-103 and HH-103.

Lot	Statistical test	Test location	Mean (kN)	Variance (kN)	Calculated value	Critical value	Result
LL-103	$F$ -test	NIST	29.29	37.43	$F = 8.452$	$F_{crit} = 6.388$	Variances <u>are</u> statistically different
		LNE	36.66	4.43			
	$t$ -test	NIST	29.29	37.43	$t = 2.548$	$t_{crit} = 2.571$	Means <u>are not</u> statistically different
		LNE	36.66	4.43			
HH-103	$F$ -test	NIST	26.40	5.01	$F = 34.397$	$F_{crit} = 6.388$	Variances <u>are</u> statistically different
		LNE	29.12	0.15			
	$t$ -test	NIST	26.40	5.01	$t = 2.674$	$t_{crit} = 2.776$	Means <u>are not</u> statistically different
		LNE	29.12	0.15			

Table 6 - Statistical analyses results on  $LE$  results from LL-103 and HH-103.

Lot	Statistical test	Test location	Mean (mm)	Variance (mm)	Calculated value	Critical value	Result
LL-103	$F$ -test	NIST	0.048	3.63E-05	$F = 12.342$	$F_{crit} = 6.388$	Variances <u>are</u> statistically different
		LNE	0.083	4.48E-04			
	$t$ -test	NIST	0.048	3.63E-05	$t = 3.597$	$t_{crit} = 2.571$	Means <u>are</u> statistically different
		LNE	0.083	4.48E-04			
HH-103	$F$ -test	NIST	1.252	0.0159	$F = 4.648$	$F_{crit} = 6.388$	Variances <u>are not</u> statistically different
		LNE	1.342	0.0034			
	$t$ -test	NIST	1.252	0.0159	$t = 1.448$	$t_{crit} = 2.306$	Means <u>are not</u> statistically different
		LNE	1.342	0.0034			

While variances were found to be sometimes equal and sometimes unequal among the different data sets, the  $t$ -tests performed showed statistically significant differences between NIST and LNE for LL-103.

### **3.2 NIST certified reference specimens (LL-136 and HH-140)**

The individual test results obtained at NIST and LNE on LL-136 and HH-140 are presented in Table 7 and Table 8, respectively. For each parameter average values, standard deviations and coefficients of variation ( $CV$ ) are reported for each individual test day and considering all tests performed in one location. Note that tests performed in the first round at NIST and LNE were instrumented, while tests from the second round in both locations were non-instrumented.



Table 7 - Results of the tests performed on LL-136.

Charpy lot	Lab	Specimen id	$F_{gy}$ (kN)	$C_{el}$ (mm/kN)	$F_m$ (kN)	$W_t$ (J)	KV (J)	$KV/W_t$	LE (mm)
LL-136	NIST (5/13/14)	857	31.56	0.012	34.82	24.10	22.24	0.923	0.127
		1023	31.77	0.010	34.82	22.45	19.80	0.882	0.087
		1030	31.08	0.013	34.79	22.21	20.58	0.927	0.111
		1193	33.63	0.013	34.64	22.80	20.84	0.914	0.125
		2265	33.47	0.009	35.05	21.86	19.89	0.910	0.041
		Average	32.30	0.011	34.82	22.68	20.28	0.908	0.091
		$\sigma$	1.168	1.77E-03	0.147	0.863	0.983	0.018	0.036
		CV, %	3.62	15.52	0.42	3.80	4.85	1.94	39.27
	LNE (5/20/14)	706	21.28	0.020	38.67	25.29	23.98	0.948	0.076
		709	24.63	0.024	37.87	24.28	22.13	0.911	0.099
		1050	19.05	0.019	37.99	24.24	21.54	0.889	0.128
		1114	24.61	0.020	36.22	24.33	22.06	0.907	0.176
		1867	22.82	0.023	37.99	25.10	23.31	0.929	0.056
		Average	22.48	0.021	37.75	24.65	22.60	0.917	0.107
		$\sigma$	2.371	2.38E-03	0.911	0.505	1.006	0.023	0.047
		CV, %	10.55	11.19	2.41	2.05	4.45	2.47	43.91
	LNE (5/21/14)	782	<b>NON-INSTRUMENTED TESTS</b>				21.14		0.217
		808					23.60		0.145
		828					23.67		0.102
		909					24.30		0.107
		1126					22.09		0.153
		2116					23.33		0.130
		2180					21.94		0.117
		2459					24.43		0.128
		2498					22.32		0.120
		2623					20.85		0.117
		Average					22.77		0.134
		$\sigma$					1.274		0.033
		CV, %					5.60		24.89
	NIST (6/4/14)	1033	<b>NON-INSTRUMENTED TESTS</b>				19.98		0.098
		947					23.38		0.068
		384					21.55		0.099
		2175					18.85		0.098
		2143					19.63		0.088
		1203					20.59		0.078
		2177					20.85		0.129
		760					21.11		0.105
		997					19.55		0.113
		847					22.68		0.112
		Average					20.82		0.099
		$\sigma$					1.426		0.018
		CV, %					6.85		17.93
	LNE (all)					Average $\sigma$ CV, %	22.71 1.157 5.09		0.125 0.039 31.15
	NIST (all)					Average $\sigma$ CV, %	20.77 1.260 6.07		0.099 0.024 24.15

Table 8 - Results of the tests performed on HH-140.

Charpy lot	Lab	Specimen id	$F_{gy}$ (kN)	$C_{el}$ (mm/kN)	$F_m$ (kN)	$W_t$ (J)	KV (J)	$KV/W_t$	LE (mm)
HH-140	NIST (5/13/14)	552	24.54	0.010	28.32	118.58	101.81	0.859	1.323
		553	25.01	0.009	28.50	118.86	101.62	0.855	1.384
		556	23.86	0.011	28.24	121.82	106.82	0.877	1.611
		557	24.00	0.009	28.10	119.68	104.64	0.874	1.433
		558	24.40	0.009	28.20	115.99	100.20	0.864	1.394
		Average	24.36	0.010	28.27	118.99	103.02	0.868	1.456
		$\sigma$	0.457	1.13E-03	0.150	2.102	2.666	0.010	0.109
		CV, %	1.88	11.84	0.53	1.77	2.59	1.11	7.50
	LNE (5/20/14)	546	22.70	0.026	29.89	124.50	104.64	0.840	1.358
		547	22.20	0.030	29.24	119.58	103.03	0.862	1.294
		548	23.70	0.025	29.94	120.25	106.68	0.887	1.216
		549	22.63	0.023	29.21	120.44	101.95	0.846	1.305
		960	21.22	0.031	29.18	122.68	109.94	0.896	1.405
		Average	22.49	0.027	29.49	121.49	105.25	0.866	1.316
		$\sigma$	0.898	3.50E-03	0.387	2.047	3.171	0.025	0.071
		CV, %	3.99	12.97	1.31	1.69	3.01	2.83	5.41
	LNE (5/21/14)	541	<b>NON-INSTRUMENTED TESTS</b>				108.98		1.362
		542					109.18		1.387
		543					105.78		1.402
		544					N/A		1.331
		545					107.69		1.426
		955					110.26		1.565
		956					100.56		1.298
		957					109.94		1.433
		958					105.00		1.336
		959					104.80		1.412
		Average					106.91		1.395
		$\sigma$					3.165		0.074
		CV, %					2.96		5.33
	NIST (6/4/14)	945	<b>NON-INSTRUMENTED TESTS</b>				102.98		1.337
		944					104.97		1.343
		943					101.28		1.248
		942					101.66		1.298
		941					103.65		1.381
		551					100.52		1.219
		560					103.74		1.427
		554					106.01		1.178
		555					106.58		1.123
		559					100.71		1.186
		Average					103.21		1.274
		$\sigma$					2.171		0.099
		CV, %					2.10		7.75
	LNE (May 14)					Average $\sigma$ CV, %	106.32 3.153 2.97		1.369 0.081 5.90
	NIST (May/Jun 14)					Average $\sigma$ CV, %	103.15 2.252 2.18		1.326 0.124 9.36

The average values of  $KV$ ,  $LE$  and  $F_m$  obtained in the four testing rounds are compared in Figure 8 to Figure 12.

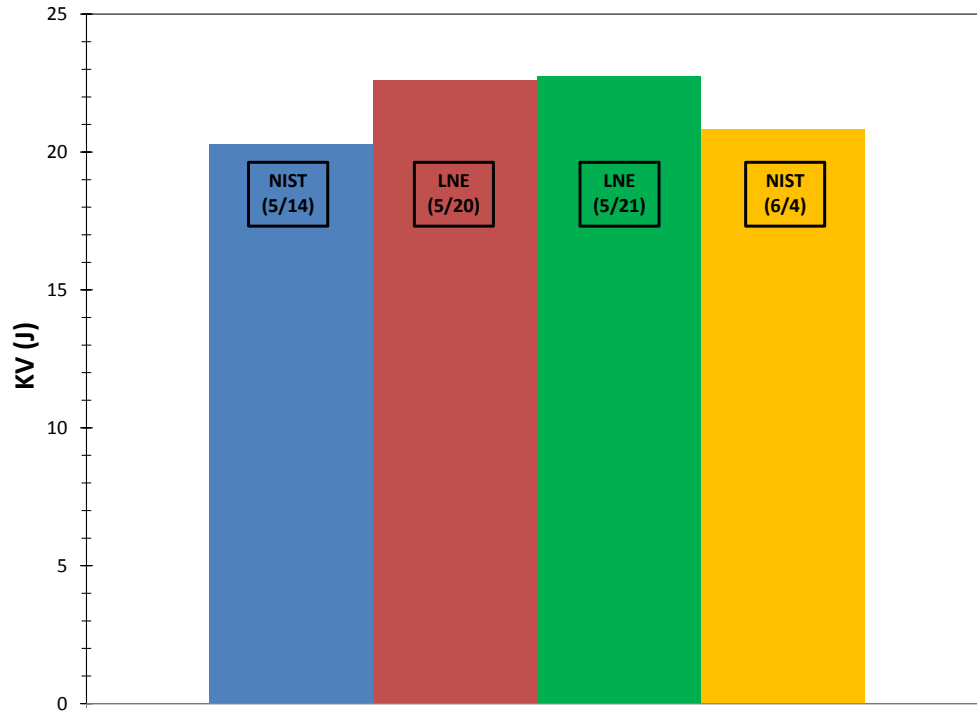


Figure 8 - Average values of absorbed energy KV for LL-136.

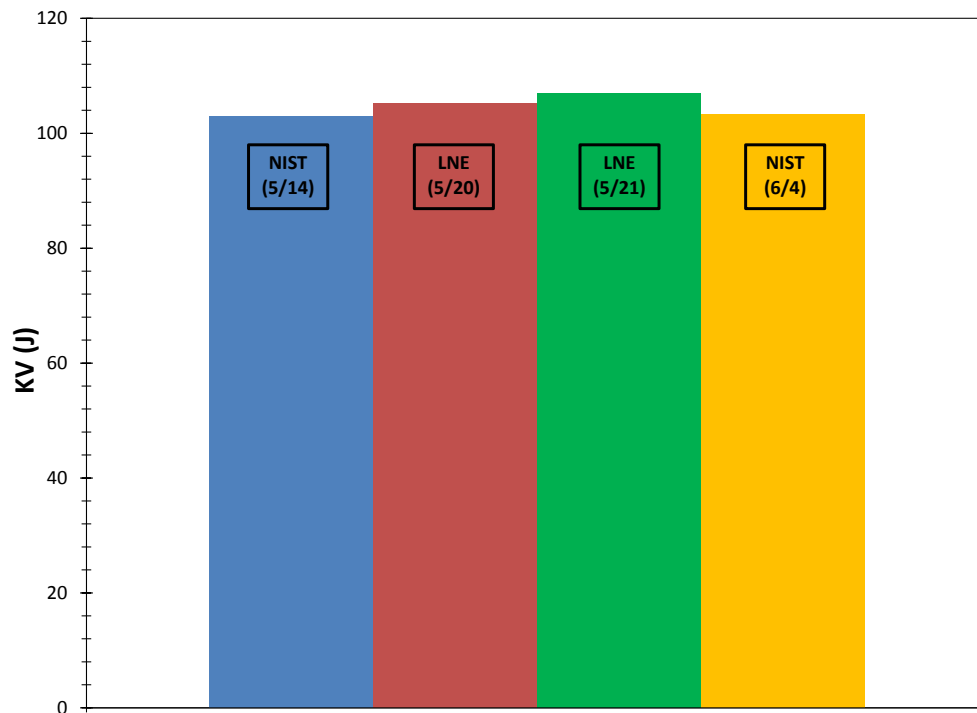


Figure 9 - Average values of absorbed energy KV for HH-140.

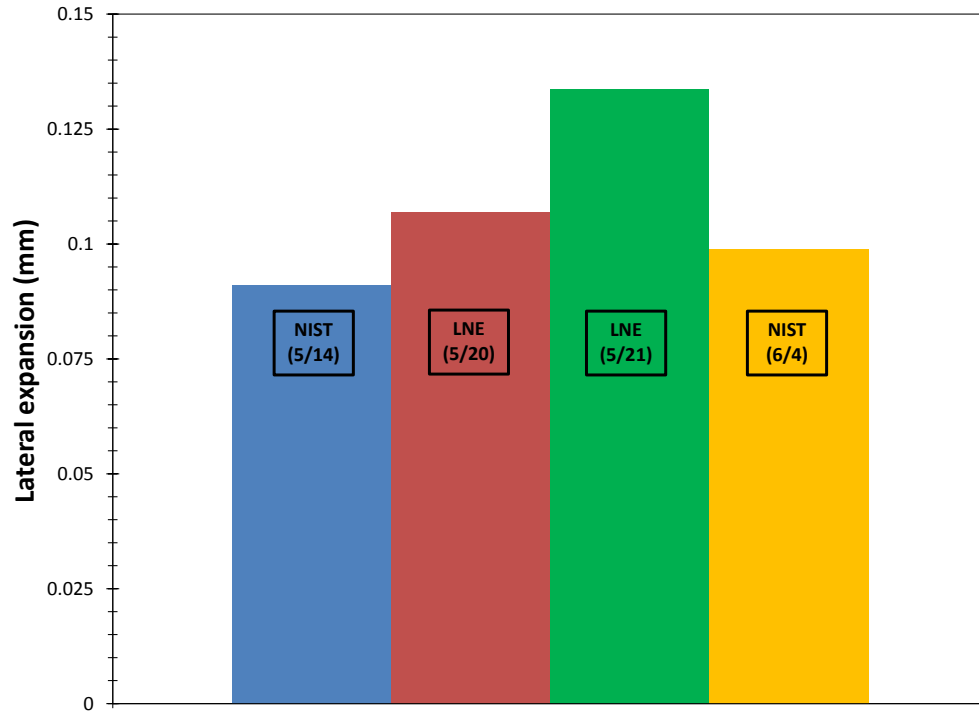


Figure 10 - Average values of lateral expansion (LE) for LL-136.

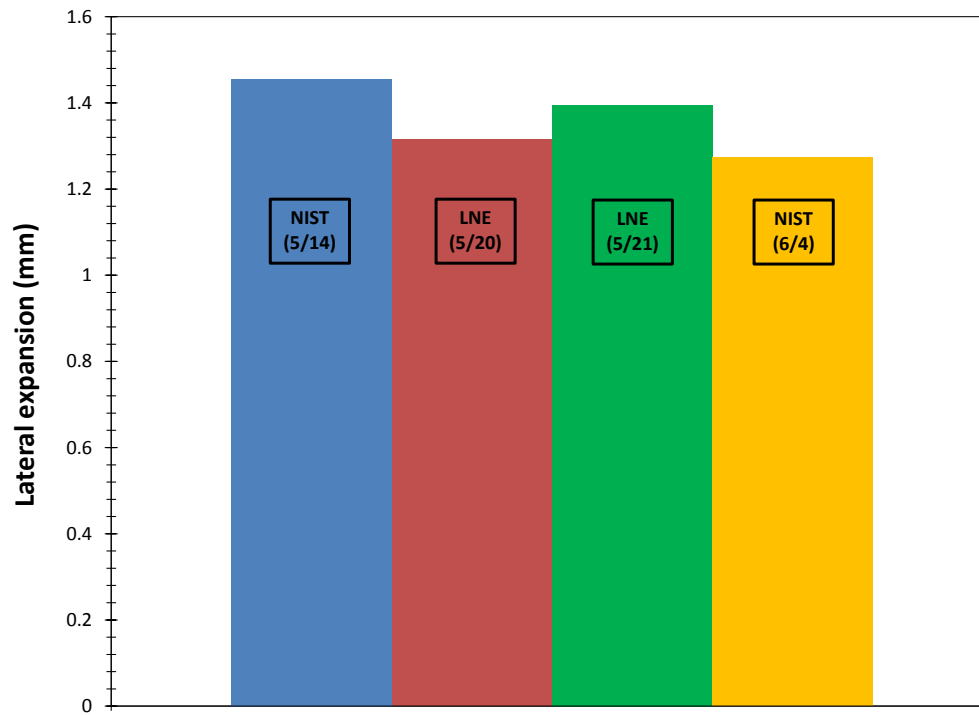


Figure 11 - Average values of lateral expansion (LE) for HH-140.

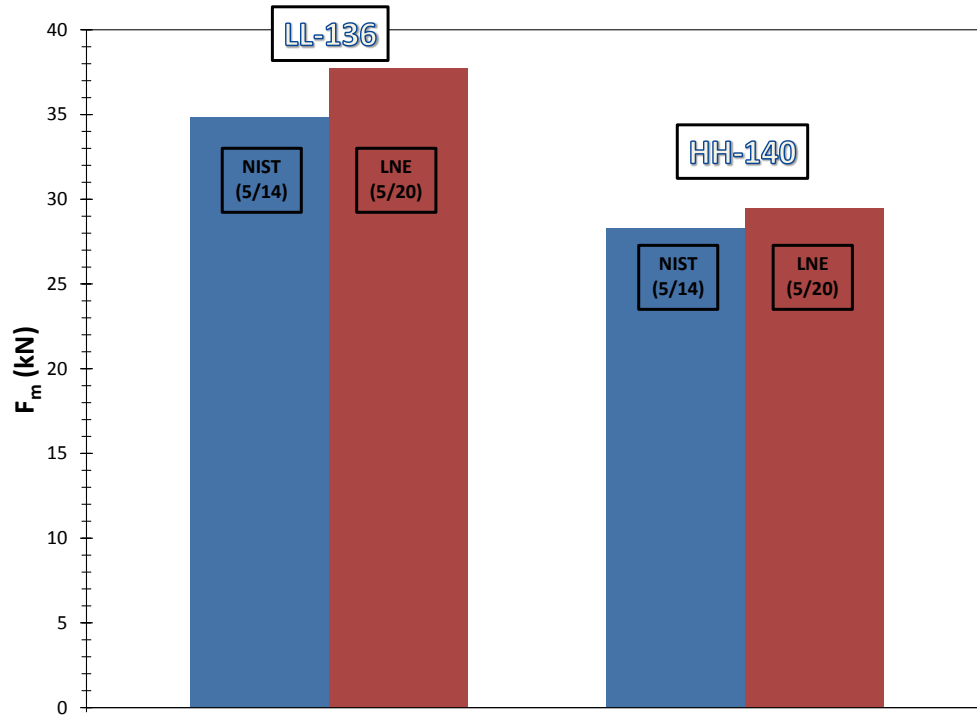


Figure 12 - Average values of maximum force  $F_m$  for LL-136 and HH-140.

We observe that:

- average values of  $KV$  and  $F_m$  for NIST tests are lower than LNE averages for both materials;
- the trends for maximum forces confirm those observed on LL-103 and HH-103;
- lateral expansion measurements show different trends than absorbed energy.

Selected instrumented curves for LL-136 and HH-140 tested at NIST and LNE are shown in Figure 13 and Figure 14, respectively.

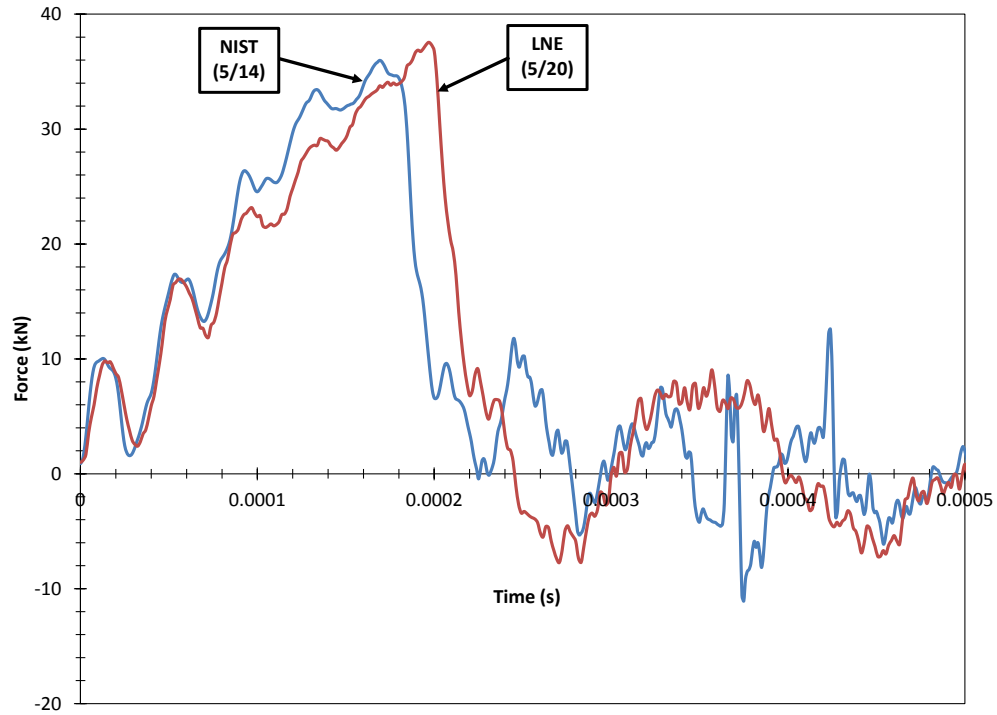


Figure 13 - Selected instrumented curves from LL-136 tested at NIST (specimen 1030) and LNE (specimen 709).

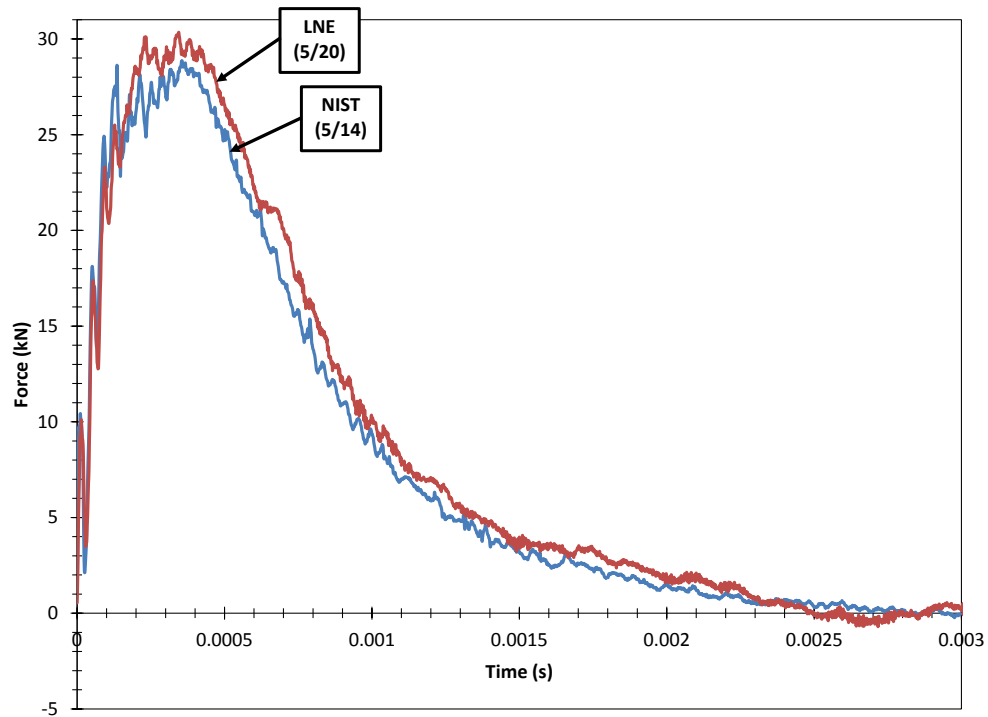


Figure 14 - Selected instrumented curves from HH-140 tested at NIST (specimen 552) and LNE (specimen 546).

### 3.2.1 Statistical analyses of the differences between NIST and LNE

In addition to analyzing the statistical differences between the mean values of the test results obtained at NIST and at LNE, we have also applied the same procedure ( $F$ -test to investigate the equality of the variances, and  $t$ -test for the difference between means) to test absorbed energy and lateral expansion results obtained at the same location in two different test days. The results of these preliminary analyses are listed in Table 9 (NIST tests) and Table 10 (LNE tests), which show no statistical difference between tests performed on different days.

Table 9 - Statistical analyses on KV results obtained at NIST from LL-136 and HH-140.

Lot	Statistical test	Test date	Mean (J)	Variance (J)	Calculated value	Critical value	Result
LL-136	$F$ -test	5/13/14	20.67	0.97	$F = 2.102$	$F_{crit} = 5.999$	Variances <u>are not</u> statistically different
		6/4/14	20.82	2.03			
	$t$ -test	5/13/14	20.67	0.97	$t = 0.204$	$t_{crit} = 2.160$	Means <u>are not</u> statistically different
		6/4/14	20.82	2.03			
HH-140	$F$ -test	5/13/14	103.02	7.11	$F = 1.508$	$F_{crit} = 3.633$	Variances <u>are not</u> statistically different
		6/4/14	103.21	4.72			
	$t$ -test	5/13/14	111.07	12.41	$t = 0.150$	$t_{crit} = 2.160$	Means <u>are not</u> statistically different
		6/4/14	107.76	9.21			

Table 10 - Statistical analyses on KV results obtained at LNE from LL-136 and HH-140.

Lot	Statistical test	Test date	Mean (J)	Variance (J)	Calculated value	Critical value	Result
LL-136	$F$ -test	5/20/14	22.60	1.01	$F = 1.605$	$F_{crit} = 5.999$	Variances <u>are not</u> statistically different
		5/21/14	22.77	1.62			
	$t$ -test	5/20/14	22.60	1.01	$t = 0.248$	$t_{crit} = 2.160$	Means <u>are not</u> statistically different
		5/21/14	22.77	1.62			
HH-140	$F$ -test	5/20/14	106.91	10.02	$F = 1.004$	$F_{crit} = 3.838$	Variances <u>are not</u> statistically different
		5/21/14	105.25	10.06			
	$t$ -test	5/20/14	106.91	10.02	$t = 0.941$	$t_{crit} = 2.179$	Means <u>are not</u> statistically different
		5/21/14	105.25	10.06			

The results of the statistical tests on KV,  $F_m$  and  $LE$  are presented in Table 11, Table 12, and Table 13 respectively.

Table 11 - Statistical analyses results on KV results from LL-136 and HH-140.

Lot	Statistical test	Test location	Mean (J)	Variance (J)	Calculated value	Critical value	Result
LL-136	$F$ -test	NIST	20.77	1.59	$F = 1.186$	$F_{crit} = 2.483$	Variances <u>are not</u> statistically different
		LNE	22.71	1.34			
	$t$ -test	NIST	20.77	1.59	$t = 4.404$	$t_{crit} = 2.048$	Means <u>are</u> statistically different
		LNE	22.71	1.34			
HH-140	$F$ -test	NIST	103.15	5.07	$F = 1.961$	$F_{crit} = 2.507$	Variances <u>are not</u> statistically different
		LNE	106.32	9.94			
	$t$ -test	NIST	103.15	5.07	$t = 3.133$	$t_{crit} = 2.052$	Means <u>are</u> statistically different
		LNE	106.32	9.94			

Table 12 - Statistical analyses results on  $F_m$  results from LL-136 and HH-140.

Lot	Statistical test	Test location	Mean (kN)	Variance (kN)	Calculated value	Critical value	Results
LL-136	F-test	NIST	34.82	0.02	$F = 0.026$	$F_{crit} = 0.157$	Variances <u>are not</u> statistically different
		LNE	37.75	0.83			
	t-test	NIST	34.82	0.02	$t = 7.089$	$t_{crit} = 2.306$	Means <u>are</u> statistically different
		LNE	37.75	0.83			
HH-140	F-test	NIST	28.27	0.02	$F = 0.150$	$F_{crit} = 0.157$	Variances <u>are not</u> statistically different
		LNE	29.49	0.15			
	t-test	NIST	28.27	0.02	$t = 6.570$	$t_{crit} = 2.306$	Means <u>are</u> statistically different
		LNE	29.49	0.15			

Table 13 - Statistical analyses results on LE results from LL-136 and HH-140.

Lot	Statistical test	Test location	Mean (mm)	Variance (mm)	Calculated value	Critical value	Results
LL-136	F-test	NIST	0.099	5.67E-04	$F = 2.663$	$F_{crit} = 2.484$	Variances <u>are</u> statistically different
		LNE	0.125	1.51E-03			
	t-test	NIST	0.099	5.67E-04	$t = 2.221$	$t_{crit} = 2.069$	Means <u>are</u> statistically different
		LNE	0.125	1.51E-03			
HH-140	F-test	NIST	1.326	0.015	$F = 2.361$	$F_{crit} = 2.484$	Variances <u>are not</u> statistically different
		LNE	1.369	0.007			
	t-test	NIST	1.326	0.015	$t = 1.125$	$t_{crit} = 2.048$	Means <u>are not</u> statistically different
		LNE	1.369	0.007			

Test results for absorbed energy  $KV$  and maximum force  $F_m$  were found to be statistically different between NIST and LNE for both steels, while in terms of lateral expansion a statistically significant difference was observed for LL-136 but not for HH-140.

### 3.2 LNE certified reference specimens (1AD, 5AB, and 9E)

The individual test results obtained at NIST and LNE on lot 1AD (30 J), lot 5AB (120 J), and lot 9E (200 J) are presented in Table 14, Table 15 and Table 16, respectively. For each parameter average values, standard deviations and coefficients of variation (CV) are reported for each individual test day and for all tests performed in one location. Note that tests performed in the first round at NIST and LNE were instrumented, while tests from the second round in both locations were non-instrumented.



Table 14 - Results of the tests performed on 1AD.

Charpy lot	Lab/Date	Specimen id	$F_{gy}$ (kN)	$C_{el}$ (mm/kN)	$F_m$ (kN)	$W_t$ (J)	KV (J)	KV/ $W_t$	LE (mm)
1AD	LNE (5/20/14)	M52	30.70	0.023	43.28	33.99	30.57	0.899	0.163
		D59	26.06	0.024	42.55	33.51	N/A	N/A	0.106
		J40	16.94	0.024	45.26	31.91	30.01	0.940	0.086
		N38	27.78	0.022	44.89	33.96	30.53	0.899	0.153
		E67	24.61	0.022	45.15	34.46	31.38	0.911	0.146
		Average	25.22	0.023	44.23	33.57	30.64	0.917	0.123
		$\sigma$	5.153	7.65E-04	1.232	0.985	0.566	0.019	0.033
		CV, %	20.43	3.34	2.78	2.93	1.85	2.13	26.97
	LNE (5/21/14)	H24	<b>NON-INSTRUMENTED TESTS</b>				30.25		0.092
		A65					30.23		0.052
		P69					29.50		0.118
		G83					31.15		0.158
		I12					29.35		0.093
		Average					30.10		0.103
		$\sigma$					0.718		0.039
		CV, %					2.39		37.97
	LNE (all)					Average $\sigma$ CV, %	30.33 0.675 2.22		0.117 0.037 31.86
	NIST (6/3/14)	I43	22.95	0.033	31.08	25.17	29.62	1.177	0.144
		P25	23.14	0.031	27.96	22.56	28.56	1.266	0.382
		J18	21.77	0.033	27.13	20.95	28.64	1.367	0.131
		H89	24.79	0.033	27.66	22.64	29.26	1.292	0.091
		L58	33.10	0.019	38.07	29.89	29.26	0.979	0.141
		Average	25.15	0.030	30.38	24.24	29.07	1.226	0.178
		$\sigma$	4.573	5.82E-03	4.567	3.500	0.453	0.149	0.116
		CV, %	18.18	19.57	15.03	14.44	1.56	12.16	65.30
	NIST (6/4/14)	J77	<b>NON-INSTRUMENTED TESTS</b>				28.65		0.050
		B75					28.83		0.089
		K43					29.09		0.084
		H59					29.27		0.136
		N53					29.89		0.032
		Average					29.15		0.078
		$\sigma$					0.478		0.040
		CV, %					1.64		51.23
	NIST (all)					Average $\sigma$ CV, %	29.11 0.441 1.51		0.128 0.097 75.99

Table 15 - Results of the tests performed on 5AB.

Charpy lot	Lab/Date	Specimen id	$F_{gy}$ (kN)	$C_{el}$ (mm/kN)	$F_m$ (kN)	$W_t$ (J)	KV (J)	$KV/W_t$	LE (mm)
5AB	LNE (5/20/14)	P33	19.41	0.024	29.04	145.70	127.72	0.877	1.630
		C98	20.67	0.024	29.38	150.21	129.95	0.865	1.564
		K77	21.81	0.021	28.75	151.03	129.31	0.856	1.538
		G30	20.33	0.022	29.31	146.66	124.76	0.851	1.501
		N19	26.30	0.019	29.09	149.83	127.03	0.848	1.519
		Average	21.70	0.022	29.11	148.69	127.76	0.855	1.531
		$\sigma$	2.709	2.19E-03	0.249	2.353	2.045	0.012	0.050
		CV, %	12.48	9.83	0.85	1.58	1.60	1.37	3.28
	LNE (5/21/14)	M74	<b>NON-INSTRUMENTED TESTS</b>				128.82		1.509
		E89					134.95		1.714
		D14					127.56		1.552
		L09					133.76		1.532
		C37					129.15		1.673
		Average					130.85		1.596
		$\sigma$					3.283		0.091
		CV, %					2.51		5.73
	LNE (all)					Average $\sigma$ CV, %	129.30 3.051 2.36		1.573 0.074 4.68
	NIST (6/3/14)	J57	22.22	0.021	28.01	130.71	117.64		1.570
		F33	21.23	0.021	27.61	129.07	122.38		1.429
		K08	22.11	0.022	27.97	143.47	130.80		1.560
		N55	21.70	0.021	27.66	130.73	119.25		1.577
		G71	21.08	0.022	27.47	132.90	122.76		1.480
		Average	21.67	0.021	27.74	133.38	122.57	0.919	1.523
		$\sigma$	0.510	4.12E-04	0.236	5.804	5.078	0.018	0.066
		CV, %	2.35	1.94	0.85	4.35	4.14	1.99	4.30
	NIST (6/4/14)	I14	<b>NON-INSTRUMENTED TESTS</b>				121.93		1.454
		B15					122.59		1.557
		N73					119.09		1.469
		D60					116.24		1.503
		P95					125.81		1.486
		Average					121.13		1.494
		$\sigma$					3.631		0.040
		CV, %					3.00		2.66
	NIST (all)					Average $\sigma$ CV, %	121.85 4.230 3.47		1.509 0.053 3.54

Table 16 - Results of the tests performed on 9E.

9E	LNE (5/20/14)	J36	26.86	0.023	39.63	225.66	197.32	0.874	2.126	
		M33	29.69	0.020	38.87	222.14	191.85	0.864	1.837	
		L56	32.15	0.021	38.85	202.47	185.33	0.915	1.949	
		H52	27.55	0.021	38.72	225.92	202.36	0.896	1.841	
		B55	25.24	0.021	38.32	197.86	191.29	0.967	1.905	
		Average $\sigma$ CV, %	28.30 2.681 9.47	0.021 9.63E-04 4.54	38.88 0.475 1.22	214.81 13.550 6.31	193.63 6.468 3.34	0.910 0.041 4.48	1.883 0.118 6.28	
	LNE (5/21/14)	H70	NON-INSTRUMENTED TESTS					192.49		1.720
		F41						184.58		1.857
		K28						179.84		1.822
		M61						179.91		1.837
		I01						189.73		1.857
		Average $\sigma$ CV, %					185.31 5.716 3.08		1.819 0.057 3.14	
	LNE (all)						Average $\sigma$ CV, %	189.47 7.235 3.82		1.875 0.106 5.65
	NIST (6/3/14)	I34	29.60	0.023	38.36	198.95	182.91	0.919	1.717	
		J69	29.97	0.024	38.21	210.43	186.18	0.885	1.752	
		M19	29.46	0.024	38.65	215.42	194.39	0.902	1.781	
		A33	29.47	0.023	38.68	209.96	186.45	0.888	2.015	
		C43	29.54	0.024	38.82	206.66	183.18	0.886	1.901	
		Average $\sigma$ CV, %	29.61 0.210 0.71	0.024 6.31E-04 2.64	38.54 0.251 0.65	208.28 6.085 2.92	186.62 4.642 2.49	0.890 0.015 1.66	1.833 0.123 6.71	
	NIST (6/4/14)	G08	NON-INSTRUMENTED TESTS					173.59		1.421
		F51						177.63		1.583
		B15						187.02		1.924
		A45						181.11		1.984
		C81						171.47		1.617
		Average $\sigma$ CV, %					178.16 6.187 3.47		1.706 0.239 14.03	
	NIST (all)						Average $\sigma$ CV, %	182.39 6.816 3.74		1.770 0.192 10.82

The average values of  $KV$ ,  $LE$  and  $F_m$  obtained in the four testing rounds are compared in Figure 15 to Figure 21. For absorbed energy, Figure 15 to Figure 17 also indicate the certified values of  $KV$  and the acceptability limits in accordance with ISO 148:2, *i.e.*,  $\pm 4$  J (below 40 J) and  $\pm 15$  % (above 40 J). Comparisons between selected instrumented curves from tests performed at LNE and NIST are given in Figure 22 (1AD), Figure 23 (5AB), and Figure 24 (9E).

Although clear and consistent trends are not visible, a general tendency is observed for average values obtained at LNE to be higher than average values obtained at NIST. The largest discrepancy can be noted for  $F_m$  values measured on 1AD (see Figure 21 and Figure 22).

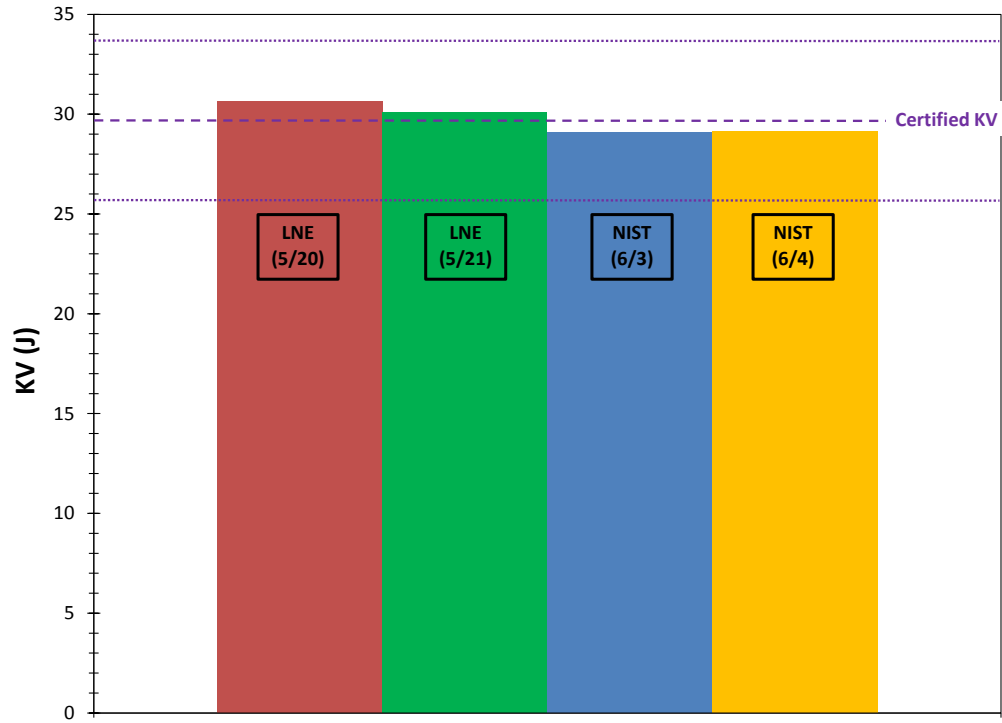


Figure 15 - Average values of absorbed energy (KV) for 1AD. Dashed line and dotted lines indicate respectively the certified value and  $\pm 4$  J bounds (acceptability limits according to ISO 148:2).

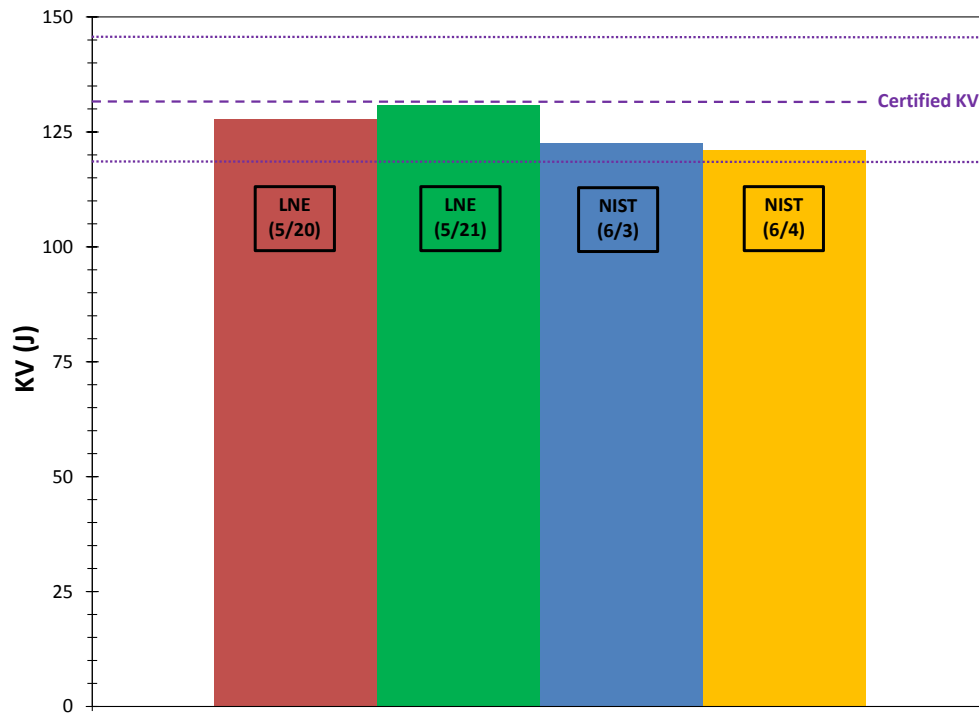


Figure 16 - Average values of absorbed energy (KV) for 5AB. Dashed line and dotted lines indicate respectively the certified value and  $\pm 10$  % bounds (acceptability limits according to ISO 148:2).

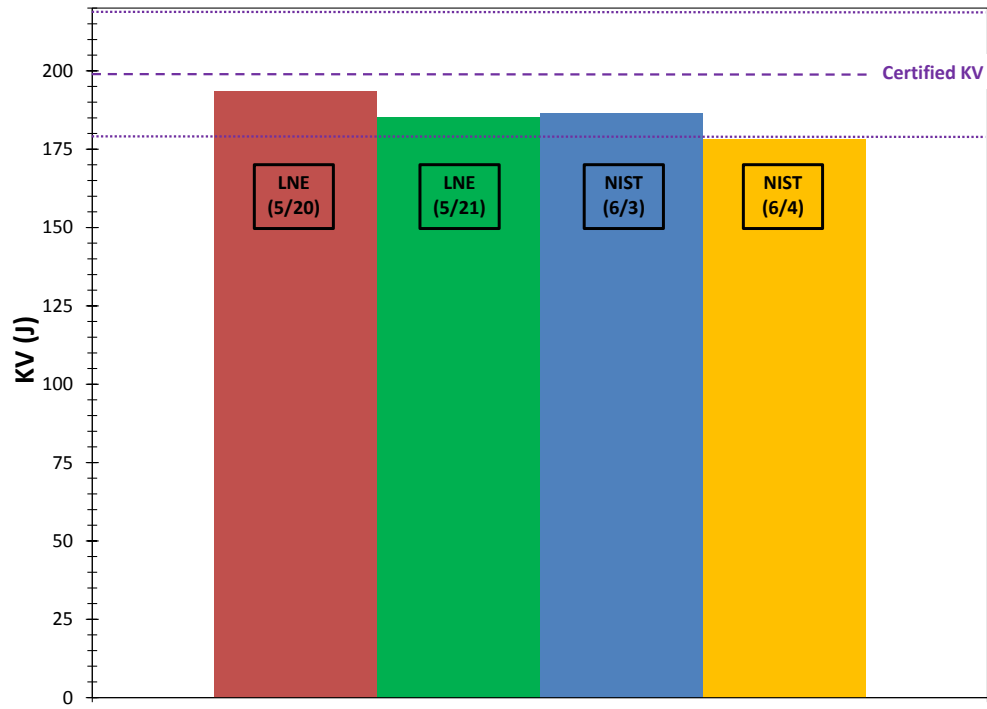


Figure 17 - Average values of absorbed energy (KV) for 9E. Dashed line and dotted lines indicate respectively the certified value and  $\pm 10\%$  bounds (acceptability limits according to ISO 148:2).

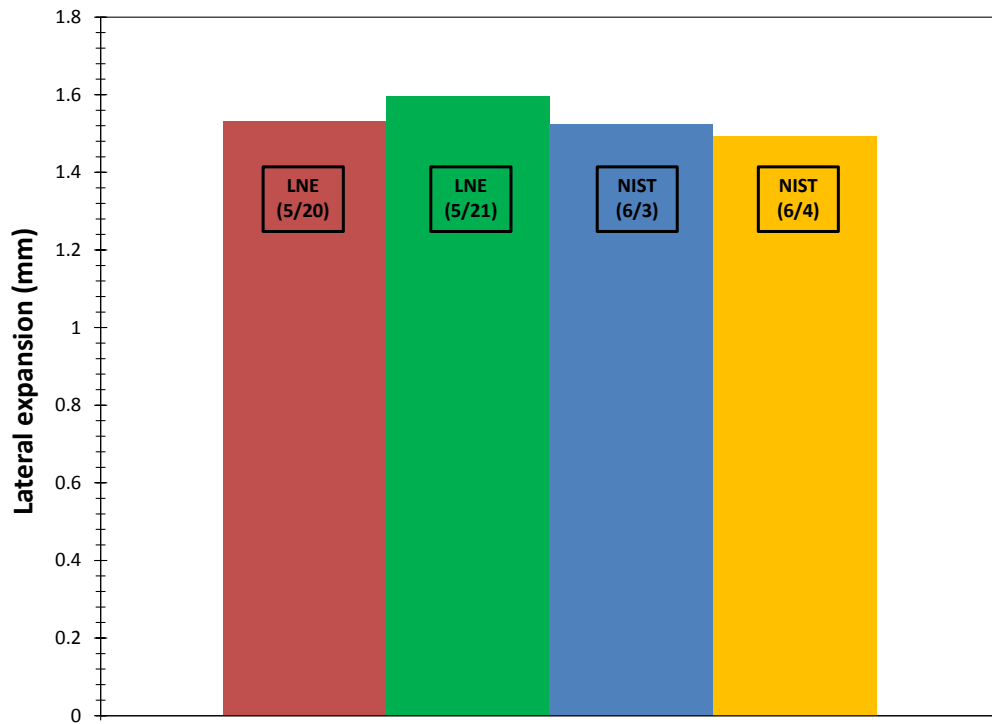


Figure 18 - Average values of lateral expansion (LE) for 1AD.

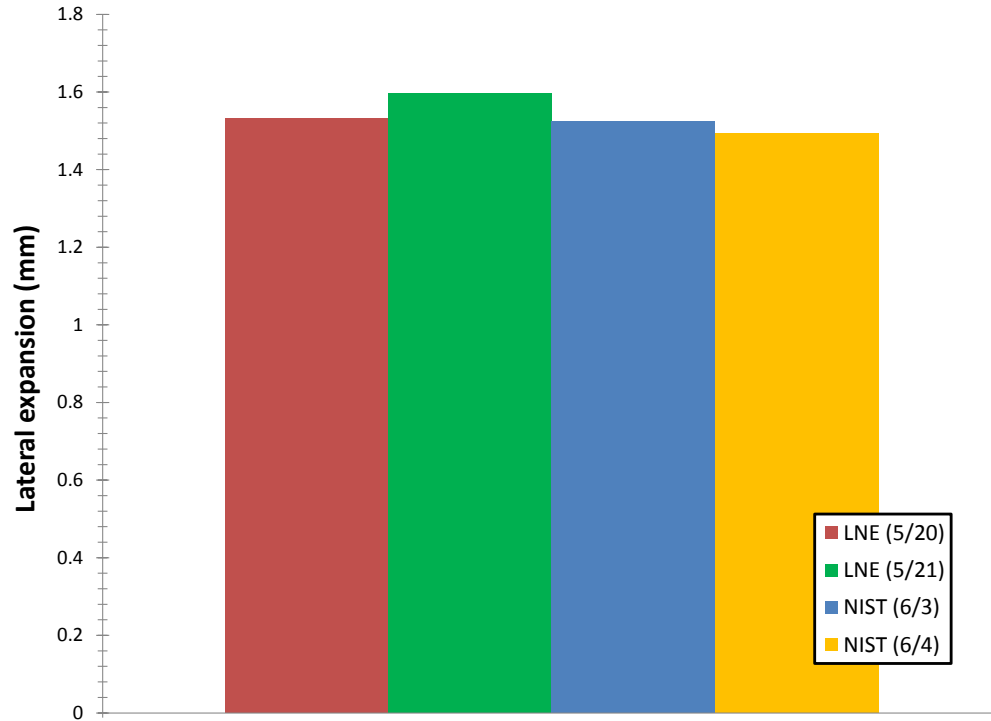


Figure 19 - Average values of lateral expansion (LE) for 5AB.

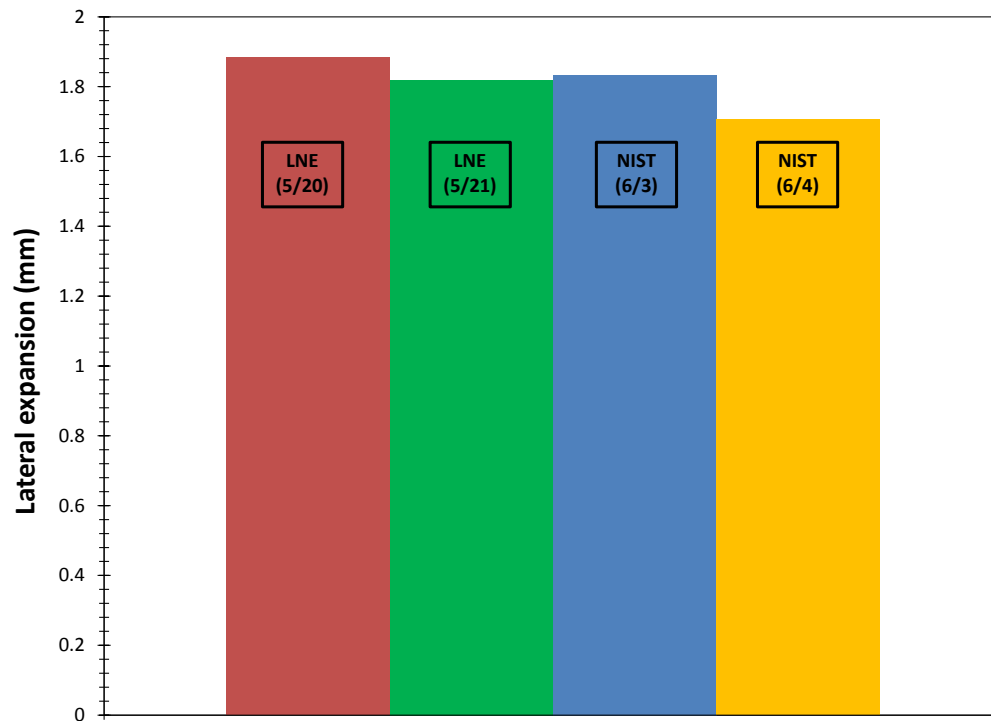


Figure 20 - Average values of lateral expansion (LE) for 9E.

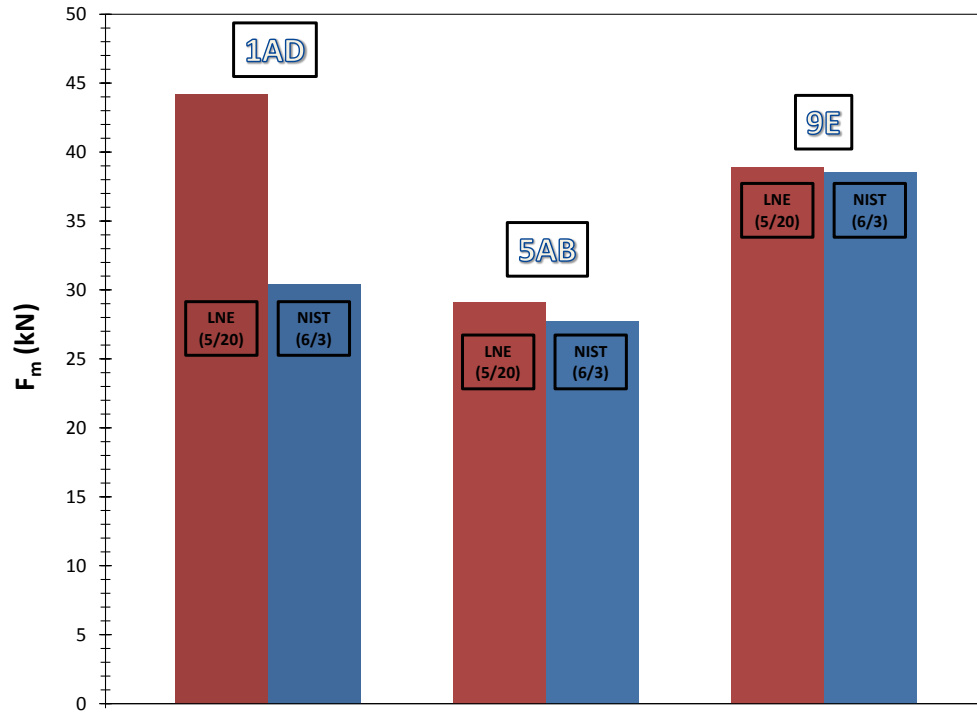


Figure 21 - Average values of maximum force ( $F_m$ ) for 1AD, 5AB and 9E.

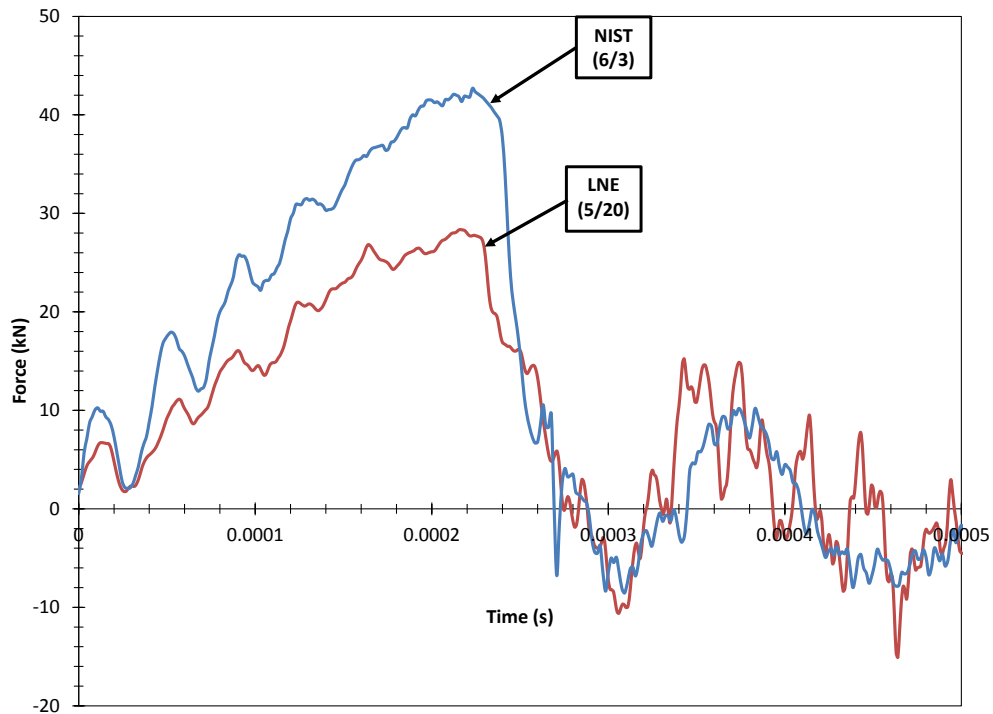


Figure 22 - Selected instrumented curves from 1AD tested at LNE (specimen M52) and NIST (specimen H89).

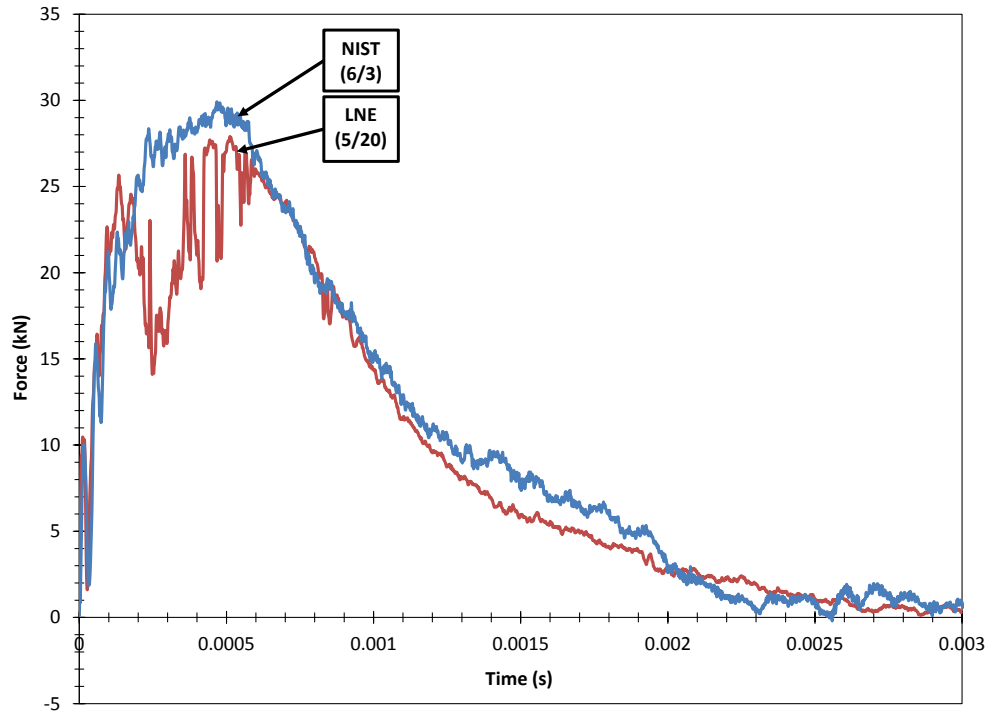


Figure 23 - Selected instrumented curves from 5AB tested at LNE (specimen P33) and NIST (specimen G71).  
NOTE: the shape of the LNE test record indicates a malfunction of the acquisition system.

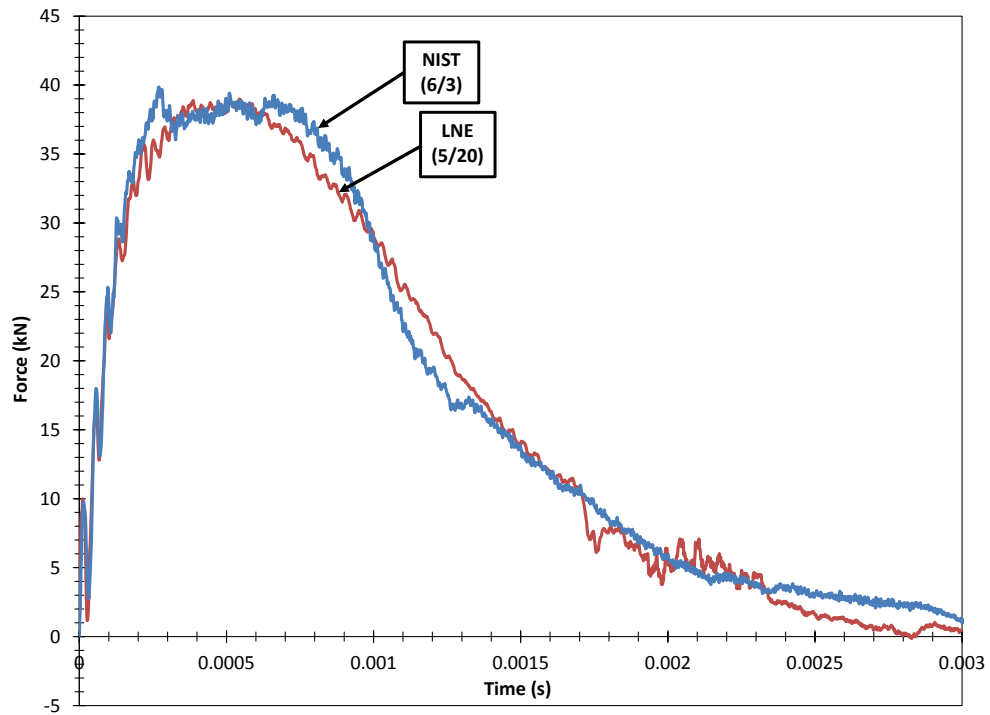


Figure 24 - Selected instrumented curves from 9E tested at LNE (specimen M33) and NIST (specimen A33).



### 3.3.1 Statistical analyses of the differences between NIST and LNE

The results of the statistical tests ( $F$ -test and  $t$ -test) conducted to establish possible differences between absorbed energy values obtained in the first and second day at LNE and NIST are shown in Table 17 and Table 18, respectively. Only in one case (lot 9E tested at NIST) were the mean values obtained in the two testing rounds statistically different.

Table 17 - Statistical analyses on KV results obtained at LNE from 1AD, 5AB, and 9E.

Lot	Statistical test	Test date	Mean (J)	Variance (J)	Calculated value	Critical value	Results
1AD	$F$ -test	5/20/14	30.62	0.32	$F = 1.612$	$F_{crit} = 9.117$	Variances <b>are not</b> statistically different
		5/21/14	30.10	0.52			
	$t$ -test	5/20/14	30.62	0.32	$t = 1.194$	$t_{crit} = 2.365$	Means <b>are not</b> statistically different
		5/21/14	30.10	0.52			
5AB	$F$ -test	5/20/14	127.75	4.18	$F = 2.577$	$F_{crit} = 6.388$	Variances <b>are not</b> statistically different
		5/21/14	130.85	10.78			
	$t$ -test	5/20/14	127.75	4.18	$t = 1.789$	$t_{crit} = 2.306$	Means <b>are not</b> statistically different
		5/21/14	130.85	10.78			
9E	$F$ -test	5/20/14	193.63	41.84	$F = 1.128$	$F_{crit} = 6.388$	Variances <b>are not</b> statistically different
		5/21/14	185.31	32.68			
	$t$ -test	5/20/14	193.63	41.84	$t = 2.155$	$t_{crit} = 2.306$	Means <b>are not</b> statistically different
		5/21/14	185.31	32.68			

Table 18 - Statistical analyses on KV results obtained at NIST from 1AD, 5AB, and 9E.

Lot	Statistical test	Test date	Mean (J)	Variance (J)	Calculated value	Critical value	Results
1AD	$F$ -test	6/3/14	29.07	0.20	$F = 1.116$	$F_{crit} = 6.388$	Variances <b>are not</b> statistically different
		6/4/14	29.15	0.23			
	$t$ -test	6/3/14	29.07	0.20	$t = 0.268$	$t_{crit} = 2.306$	Means <b>are not</b> statistically different
		6/4/14	29.15	0.23			
5AB	$F$ -test	6/3/14	122.57	25.78	$F = 1.955$	$F_{crit} = 6.388$	Variances <b>are not</b> statistically different
		6/4/14	121.13	13.19			
	$t$ -test	6/3/14	122.57	25.78	$t = 0.514$	$t_{crit} = 2.306$	Means <b>are not</b> statistically different
		6/4/14	121.13	13.19			
9E	$F$ -test	6/3/14	186.62	21.55	$F = 1.776$	$F_{crit} = 6.388$	Variances <b>are not</b> statistically different
		6/4/14	178.16	38.28			
	$t$ -test	6/3/14	186.62	21.55	$t = 2.455$	$t_{crit} = 2.306$	Means <b>are</b> statistically different
		6/4/14	178.16	38.28			

The results of the statistical tests on  $KV$ ,  $F_m$  and  $LE$  are presented in Table 19, Table 20, and Table 21 respectively.

Table 19 - Statistical analyses on KV results from 1AD, 5AB, and 9E.

Lot	Statistical test	Test location	Mean (J)	Variance (J)	Calculated value	Critical value	Results
1AD	F-test	LNE	30.33	0.46	$F = 2.341$	$F_{crit} = 3.230$	Variances <b>are not</b> statistically different
		NIST	29.11	0.19			
	t-test	LNE	30.33	0.46	$t = 4.725$	$t_{crit} = 2.110$	Means <b>are</b> statistically different
		NIST	29.11	0.19			
5AB	F-test	LNE	129.30	17.89	$F = 1.922$	$F_{crit} = 3.179$	Variances <b>are not</b> statistically different
		NIST	121.85	9.31			
	t-test	LNE	129.30	17.89	$t = 4.518$	$t_{crit} = 2.110$	Means <b>are</b> statistically different
		NIST	121.85	9.31			
9E	F-test	LNE	189.47	52.35	$F = 1.127$	$F_{crit} = 3.179$	Variances <b>are not</b> statistically different
		NIST	182.39	46.46			
	t-test	LNE	189.47	52.35	$t = 2.251$	$t_{crit} = 2.110$	Means <b>are</b> statistically different
		NIST	182.39	46.46			

Table 20 - Statistical analyses on  $F_m$  results from 1AD, 5AB, and 9E.

Lot	Statistical test	Test location	Mean (kN)	Variance (kN)	Calculated value	Critical value	Results
1AD	F-test	LNE	44.23	20.86	$F = 0.073$	$F_{crit} = 0.157$	Variances <b>are not</b> statistically different
		NIST	30.38	1.52			
	t-test	LNE	44.23	20.86	$t = 6.545$	$t_{crit} = 2.306$	Means <b>are</b> statistically different
		NIST	30.38	1.52			
5AB	F-test	LNE	29.11	0.06	$F = 1.116$	$F_{crit} = 6.388$	Variances <b>are not</b> statistically different
		NIST	27.74	0.06			
	t-test	LNE	29.11	0.06	$t = 8.940$	$t_{crit} = 2.306$	Means <b>are</b> statistically different
		NIST	27.74	0.06			
9E	F-test	LNE	38.88	0.23	$F = 1.127$	$F_{crit} = 3.179$	Variances <b>are not</b> statistically different
		NIST	38.54	0.06			
	t-test	LNE	38.88	0.23	$t = 1.390$	$t_{crit} = 2.306$	Means <b>are not</b> statistically different
		NIST	38.54	0.06			

Table 21 - Statistical analyses on LE results from 1AD, 5AB, and 9E.

Lot	Statistical test	Test location	Mean (mm)	Variance (mm)	Calculated value	Critical value	Results
1AD	F-test	LNE	0.117	1.38E-03	$F = 6.843$	$F_{crit} = 3.179$	Variances <b>are</b> statistically different
		NIST	0.128	9.46E-03			
	t-test	LNE	0.117	1.38E-03	$t = 0.343$	$t_{crit} = 2.179$	Means <b>are not</b> statistically different
		NIST	0.128	9.46E-03			
5AB	F-test	LNE	1.573	5.42E-03	$F = 1.899$	$F_{crit} = 3.179$	Variances <b>are not</b> statistically different
		NIST	1.509	2.85E-03			
	t-test	LNE	1.573	5.42E-03	$t = 2.250$	$t_{crit} = 2.101$	Means <b>are</b> statistically different
		NIST	1.509	2.85E-03			
9E	F-test	LNE	1.875	1.12E-02	$F = 3.272$	$F_{crit} = 3.179$	Variances <b>are not</b> statistically different
		NIST	1.770	3.67E-02			
	t-test	LNE	1.875	1.12E-02	$t = 1.526$	$t_{crit} = 2.145$	Means <b>are not</b> statistically different
		NIST	1.770	3.67E-02			

In most cases, the test results obtained at NIST and LNE were found to be statistically different, with the exception of  $F_m$  for lot 9E and  $LE$  for lots 1AD and 9E.

## 4. Discussion

Table 22 summarizes the comparison between NIST and LNE, based on average values of  $F_m$ ,  $KV$ , and  $LE$  for all the reference materials tested. In Table 22, the cells corresponding to the largest average value between NIST and LNE are shaded in light blue and in **bold** font.

Table 22 - Summary of the comparison between NIST and LNE in terms of maximum force, absorbed energy and lateral expansion.

Specimen lot	Testing lab	$F_m$ (kN)		$KV$ (J)		$LE$ (mm)	
LL-103	NIST	29.29	Statistically	19.18	Statistically	0.048	Statistically
	LNE	<b>36.66</b>	<b>DIFFERENT</b>	<b>20.32</b>	<b>DIFFERENT</b>	<b>0.083</b>	<b>DIFFERENT</b>
HH-103	NIST	26.40	Statistically	<b>111.07</b>	Statistically	1.252	Statistically
	LNE	<b>29.12</b>	<b>NOT DIFFERENT</b>	107.76	<b>NOT DIFFERENT</b>	<b>1.342</b>	<b>NOT DIFFERENT</b>
LL-136	NIST	34.82	Statistically	20.77	Statistically	0.099	Statistically
	LNE	<b>37.75</b>	<b>DIFFERENT</b>	<b>22.71</b>	<b>DIFFERENT</b>	<b>0.125</b>	<b>DIFFERENT</b>
HH-140	NIST	28.27	Statistically	103.15	Statistically	1.326	Statistically
	LNE	<b>29.49</b>	<b>DIFFERENT</b>	<b>106.32</b>	<b>DIFFERENT</b>	<b>1.369</b>	<b>NOT DIFFERENT</b>
1AD	NIST	30.38	Statistically	29.11	Statistically	<b>0.128</b>	Statistically
	LNE	<b>44.23</b>	<b>DIFFERENT</b>	<b>30.33</b>	<b>DIFFERENT</b>	0.117	<b>NOT DIFFERENT</b>
5AB	NIST	27.74	Statistically	121.85	Statistically	1.509	Statistically
	LNE	<b>29.11</b>	<b>DIFFERENT</b>	<b>129.30</b>	<b>DIFFERENT</b>	<b>1.573</b>	<b>DIFFERENT</b>
9E	NIST	38.54	Statistically	182.39	Statistically	1.770	Statistically
	LNE	<b>38.88</b>	<b>NOT DIFFERENT</b>	<b>189.47</b>	<b>DIFFERENT</b>	<b>1.875</b>	<b>NOT DIFFERENT</b>

Examination of the information presented in Table 22 leads to the following observations.

- (1) Maximum force,  $F_m$ : for all the materials considered,  $F_m$  values from NIST tests are lower than those from LNE tests. Statistically, the difference is significant in all cases but two (HH-103 and 9E). In two cases, the difference is large (7.37 kN for LL-103, 13.85 kN for 1AD).
- (2) Absorbed energy,  $KV$ : average values of  $KV$  for NIST tests are lower than for LNE tests except in one case (HH-103). However, this is the only instance when the difference is statistically not significant. Therefore, we can state that the LNE machine tends to provide higher absorbed energy values than the NIST machine.
- (3) Lateral expansion,  $LE$ : similar to  $KV$ , NIST average values of lateral expansion are systematically lower than LNE average values, except in one case (1AD). However, in most cases (4 lots out of 7), differences are not statistically different.

### 4.1 Instrumented parameters

For instrumented parameters, Table 23 shows the comparison of average values for two characteristic force values ( $F_{gy}$  and  $F_m$ ), the elastic slope of the instrumented test record ( $C_{el}$ ), and the absorbed energy calculated under the force-displacement curve ( $W_t$ ). Again, the largest average values for each parameter are shaded in light blue and in **bold** font.

No systematic trend can be observed in Table 23. Only 4 out of 7 lots (57 %) have the same relation between NIST and LNE average force values for both  $F_{gy}$  and  $F_m$  (i.e., NIST < LNE or vice versa). Furthermore, since overestimating forces has a relatively minor effect on

displacements<sup>6</sup>, and time values are assumed identical, one would expect that higher  $F_{gy}$  always corresponds to a lower elastic slope,  $C_{el}$ : based on Table 23, this is true in 71 % of cases (5 out of 7), the exceptions being lots 5AB and 9E. However, for these two latter lots NIST and LNE average values are only marginally different ( $|\Delta F_{gy}| = 0.03$  kN and  $|\Delta C_{el}| = 0.00105$  mm/kN for lot 5AB;  $|\Delta F_{gy}| = 1.31$  kN and  $|\Delta C_{el}| = 0.00269$  mm/kN for lot 9E).

Considering that:

- all tests used the same instrumented striker (with the same calibration function, see Figure 1), the same acquisition system (amplifier/conditioner, A/D converter, PC) and the same acquisition software; and
- all tests were analyzed by the same person by means of the same analysis software,

the observed behavior and differences (in terms of instrumented parameters) cannot be easily explained. However, the malfunctioning of the acquisition system during the tests performed at LNE (already mentioned in section 2.3), might have been the cause of the erratic behavior observed.

*Table 23 - Comparison between NIST and LNE instrumented impact tests in terms of force at general yield, maximum force, elastic slope and calculated absorbed energy.*

Specimen lot	Testing lab	$F_{gy}$ (kN)	$F_m$ (kN)	$C_{el}$ (mm/kN)	$W_t$ (J)
LL-103	NIST	23.92	29.29	<b>0.02528</b>	19.09
	LNE	<b>30.05</b>	<b>36.66</b>	0.02131	<b>22.90</b>
HH-103	NIST	19.93	26.40	<b>0.02820</b>	<b>124.66</b>
	LNE	<b>22.34</b>	<b>29.12</b>	0.02216	123.88
LL-136	NIST	<b>32.30</b>	34.82	0.01139	22.68
	LNE	22.48	<b>37.75</b>	<b>0.02123</b>	<b>24.65</b>
HH-140	NIST	<b>24.36</b>	28.27	0.00957	118.99
	LNE	22.36	<b>29.49</b>	<b>0.02701</b>	<b>121.49</b>
1AD	NIST	25.15	30.38	<b>0.02973</b>	24.24
	LNE	<b>25.22</b>	<b>44.23</b>	0.02292	<b>33.57</b>
5AB	NIST	21.67	27.74	0.02125	133.48
	LNE	<b>21.70</b>	<b>29.11</b>	<b>0.02230</b>	<b>148.69</b>
9E	NIST	<b>29.61</b>	38.54	<b>0.02389</b>	208.28
	LNE	28.30	<b>38.88</b>	0.02120	<b>214.81</b>

## 4.2 Absorbed energy

For absorbed energy, we already remarked that the tendency is for LNE values to be higher than NIST values. From Table 24, the same tendency is also observed for average calculated energy values,  $W_t$ . Also, the difference in terms of  $W_t$  is mostly larger than for  $KV$ , which would indicate larger forces and displacements. However, the ratio between  $\Delta W_t$  and  $\Delta KV$  ranges from a minimum of 0.4 (lot HH-103) to a maximum of 5.9 (lot 1AD), with an average of 2.4. This confirms the erratic behavior already remarked above.

<sup>6</sup>Displacement values are calculated by double numerical integration of force and time measurements.

Table 24 - Differences between average values of absorbed ( $KV$ ) and calculated ( $W_i$ ) energy for NIST and LNE tests.

Specimen lot	$KV_{LNE} - KV_{NIST}$ (J)	$W_{i,LNE} - W_{i,NIST}$ (J)
LL-103	1.14	3.82
HH-103	-3.31	-1.29
LL-136	2.33	1.96
HH-140	2.23	2.50
1AD	1.57	9.32
5AB	5.20	15.31
9E	7.01	6.53
Average	2.31	5.45

Although the NIST and LNE impact machines were nominally identical and the same instrumented striker was used for all the tests, the anvil/support blocks were different between the two labs, since NIST tests in accordance with ASTM E23 and LNE tests in accordance with ISO 148. The two standards feature different requirements only in terms of:

- angle of taper of the anvils ( $10^\circ \pm 2^\circ$  for ASTM,  $11^\circ \pm 1^\circ$  for ISO – see also Section 2.2);
- surface finish of the anvils and supports ( $R_a$  0.1  $\mu\text{m}$  or better for ASTM, not specified for ISO).

All other characteristics (*i.e.*, anvil radius and anvil spacing or span) are identical between the two standards.

The influence of the angle of taper of the anvils on the absorbed energy of Charpy specimens of three energy levels (30 J, 100 J, and 160 J) was investigated by Yamaguchi and coworkers [12]. They concluded that  $KV$  tends to decrease with increasing angle of taper at all energy levels, even though the effect is statistically significant (at 95 % confidence level) only at 100 J and 160 J. However, in our investigation the opposite effect was observed for 6 of the 7 lot tested ( $KV$  is higher for LNE, that uses  $11^\circ$ , than for NIST, that uses  $10^\circ$ ). Therefore, the angle of taper of the anvils cannot explain the trends observed.

Regarding surface finish (roughness) of the anvils and supports, its influence on Charpy absorbed energy was studied by Ruth and coworkers [13]. They observed that for low-energy specimens ( $KV \approx 17$  J), which tend to exit the machine in a direction opposite to the pendulum swing, the effect of surface finish is negligible because the broken specimen halves have limited contact with anvils, supports and striker. On the contrary, high-energy specimens ( $KV \approx 90$  J) exit the machine in the same direction of the pendulum swing and the friction between specimen, anvils and supports increases with increasing roughness and tends to produce higher absorbed energy. NIST anvils and supports were in compliance with ASTM E23 ( $R_a \leq 0.1 \mu\text{m}$ ), whereas it is possible that the roughness of LNE anvils/supports was higher at the time the interlaboratory tests were performed. According to Ruth *et al.*, the average difference in  $KV$  at the high-energy level between polished ( $R_a = 0.05 \mu\text{m}$ ) and unpolished ( $R_a = 0.25 \mu\text{m}$ ) anvils/supports is in the order of 0.4 J (approximately 0.44 % of 90 J). The differences we observed in our investigation were typically much higher, and therefore surface finish could explain only a small part of the differences between NIST and LNE.

### 4.3 Lateral expansion

Absorbed energy and lateral expansion are two of the fundamental test parameters commonly measured from a conventional (*i.e.*, non-instrumented) Charpy test, along with Shear Fracture Appearance. Both quantities are related to the amount of ductility exhibited by the material at the test temperature, and many correlations between  $KV$  and  $LE$  have been published, showing that the two parameters are linearly correlated for a given material, see for example [14-16].

One would therefore expect to find a reasonable correlation between  $\Delta KV_{\text{LNE-NIST}}$  and  $\Delta LE_{\text{LNE-NIST}}$  for the seven reference lots investigated. Figure 25 shows that a strong positive linear relationship (coefficient of correlation  $r = 0.84$ ) is obtained when HH-103 is excluded. The correlation coefficient drops to 0.23 (weak linear relationship) when HH-103 is included. Note that HH-103 was also the only lot for which average energy values were higher for NIST tests than LNE tests, albeit with a statistically insignificant difference (see Table 22).

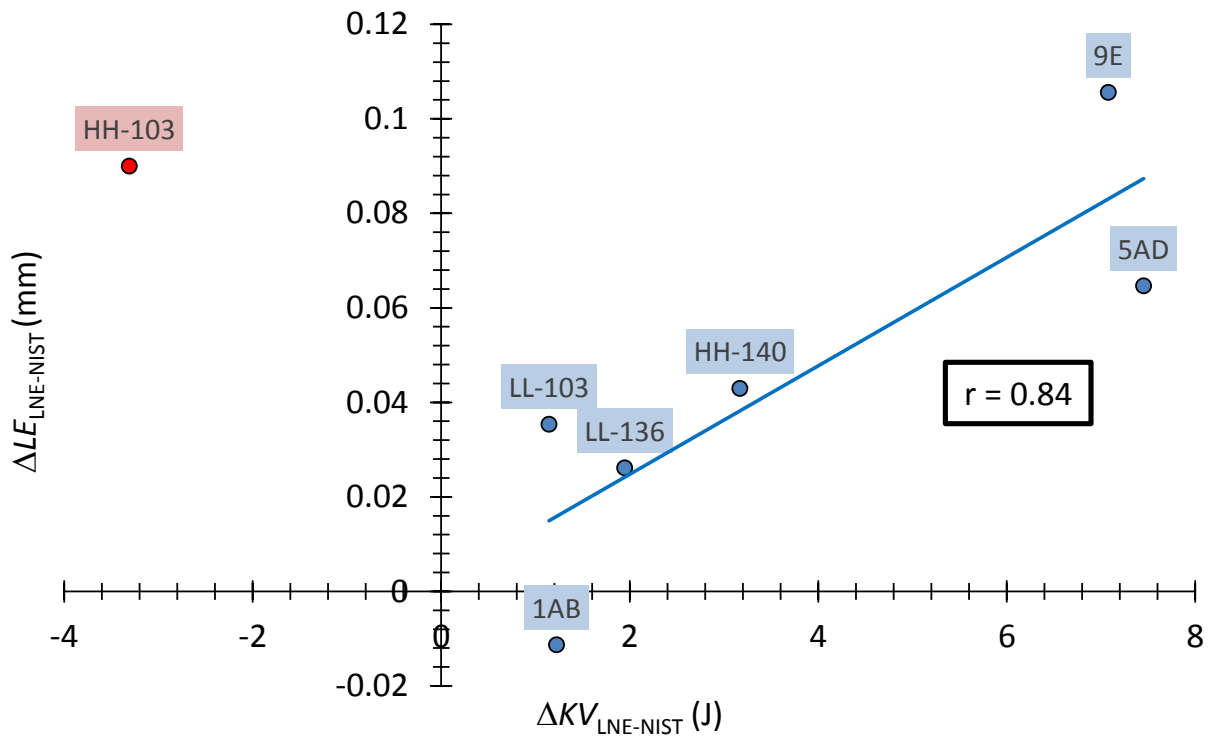


Figure 25- Relationship between differences in absorbed energy and lateral expansion between NIST and LNE tests.

## 5. Conclusions

In the framework of a collaborative effort aimed at establishing an international scale for instrumented impact testing, NIST and *Laboratoire National de métrologie et d'Essai* (LNE, France) performed instrumented Charpy tests on seven certified reference materials, produced by both institutes. The tests were carried out at both locations (Boulder, Colorado and Trappes, France) on two nominally identical impact machines equipped with the same instrumented striker and the same signal conditioning and acquisition system.

The results from the tests performed at NIST and LNE were compared in terms of both non-instrumented parameters (absorbed energy,  $KV$ , and lateral expansion,  $LE$ ) and instrumented parameters (maximum force,  $F_m$ , and calculated energy,  $W_t$ ). The statistical significance of the differences between average values obtained at NIST and LNE on each material was assessed by means of the unpaired two-sample  $t$ -test.

While no significant difference was observed between tests performed on different days at the same location, most of the differences between NIST and LNE in terms of  $F_m$  and  $KV$  were found to be statistically significant at the 95 % confidence level. For all reference lots except HH-103, for which results were not statistically different between the two institutes, tests performed at LNE exhibit systematically higher maximum forces and higher absorbed energies. This confirms results from previous intercomparisons, where LNE absorbed energies were found to be systematically higher than NIST absorbed energies, even when striker, anvil and supports for the LNE machine were in compliance with ASTM E23 [17]. The bias in terms of  $W_t$  showed a similar trend, although the magnitude of the difference was typically larger than for  $KV$ . On the other hand, measurements of lateral expansion were generally equivalent between NIST and LNE and no specific trend was observed.

The source of the disagreement between the two sets of results is unclear. The difference in the angle of taper of the anvils ( $10^\circ$  for NIST,  $11^\circ$  for LNE) is unlikely to affect the results significantly, and according to a published study, a larger taper angle should induce a decrease, rather than an increase of absorbed energy. In addition, a possible difference in the surface finish of machine anvils and supports might be invoked, considering that the LNE machine complies with ISO 148, which does not require a specific surface finish, whereas NIST complies with ASTM E23, which prescribes a surface finish of  $0.1\ \mu\text{m}$  or better. Rougher anvils and supports cause more energy dissipation due to greater friction for ductile specimens that exit the machine in the same direction of the pendulum swing. This factor, however, appears insufficient to fully explain the observed bias. Furthermore, for 3 of the 7 lots investigated (low-energy specimens), friction is not expected to play any role.

Even though the reasons of the observed differences are not apparent, some discrepancies between Charpy results from different reference specimen producers have already been reported [17]. The results presented here reinforce the need for further collaborations among National Institutes, with the aim of establishing an international scale for instrumented Charpy testing.



## References

- [1] “Pendulum Impact Testing – A Century of Progress,” ASTM STP 1380, T. A. Siewert and M. P. Manahan, Eds., American Society for Testing and Materials, West Conshohocken, PA., 2000.
- [2] “From Charpy to Present Impact Testing,” ESIS Publication 30, D. François and A. Pineau, Eds., Elsevier, 2002.
- [3] ASTM E23, “*Standard Test Methods for Notched Bar Impact Testing of Metallic Materials*,” ASTM Book of Standards 03.01.
- [4] ISO 148-1, “*Metallic materials – Charpy pendulum impact test – Part 1: Test method*,” International Standards Organization, Geneva (Switzerland).
- [5] M. P. Manahan and T. A. Siewert, “*The History of Instrumented Impact Testing*,” Journal of ASTM International, Vol. 3, Issue 2, February 2006.
- [6] F. Korber and A. A. Storp, “*On the Force Progress during Impact Testing*,” *Mittelugen aus dem Kaiser Wilhelm Institut für Eisenforschung*, Vol. 8, 1926, p. 8.
- [7] ISO 14556, “*Steel — Charpy V-notch pendulum impact test — Instrumented test method*,” International Standards Organization, Geneva (Switzerland).
- [8] ASTM E2298, “*Standard Test Method for Instrumented Impact Testing of Metallic Materials*,” ASTM Book of Standards 03.01.
- [9] Bureau International des Poids et Mesures, Key and supplementary comparisons, SIM.M.F-S3, “*Comparison of Instrumented Charpy Tests*,” 2012-2013, Report in progress, Draft B.
- [10] ISO 148-2, “*Metallic materials – Charpy pendulum impact test – Part 2: Verification of testing machines*,” International Standards Organization, Geneva (Switzerland).
- [11] J. Y. Zhang, “Confidence interval and the Student's t-test,” at <http://projectile.sv.cmu.edu/research/public/talks/t-test.htm#types>, retrieved 12/3/2014.
- [12] Y. Yamaguchi, S. Takagi, and H. Nakano, “*Effects of Anvil Configurations on Absorbed Energy*,” in “Pendulum Impact Testing – A Century of Progress,” ASTM STP 1380, T. A. Siewert and M. P. Manahan, Eds., American Society for Testing and Materials, West Conshohocken, PA., 2000, pp. 164-180.
- [13] E. A. Ruth, D. P. Vigliotti, and T. A. Siewert, “*Effect of Surface Finish of Charpy Anvils and Striking Bits on Absorbed Energy*,” in “Pendulum Impact Machines – Procedures and Specimens for Verification,” ASTM STP 1248, T. A. Siewert and A. K. Schmieder, Eds., American Society for Testing and Materials, Philadelphia, PA., 1995, pp. 164-180.
- [14] R. K. Nanstad and M. A. Sokolov, “*Charpy Impact Test Results on Five Materials and NIST Verification Specimens Using Instrumented 2-mm and 8-mm Strikers*,” in “Pendulum Impact Machines – Procedures and Specimens for Verification,” ASTM STP 1248, T. A. Siewert and A. K. Schmieder, Eds., American Society for Testing and Materials, Philadelphia, PA., 1995, pp. 111-139.
- [15] M. Tanaka, Y. Ohno, H. Horigome, H. Tani, K. Shiota, and A. Misawa, “*Effects of the Striking Edge Radius and Asymmetrical Strikes on Charpy Impact Test Results*,” in “Pendulum Impact Machines – Procedures and Specimens for Verification,” ASTM STP 1248, T. A. Siewert and A. K. Schmieder, Eds., American Society for Testing and Materials, Philadelphia, PA., 1995, pp. 153-170.



- [16] K. Wallin, T. Planman, and M. Valo, "*Fracture Mechanics Based Scaling Criteria for Miniature and Sub-Size Charpy-V Specimens*," in "From Charpy to Present Impact Testing," ESIS Publication 30, D. François and A. Pineau, Eds., Elsevier, 2002, pp. 279-288.
- [17] C. McCowan, J. Pauwels, G. Revise, and H. Nakano, "*International Comparison of Impact Verification Programs*," in "Pendulum Impact Testing – A Century of Progress," ASTM STP 1380, T. A. Siewert and M. P. Manahan, Eds., American Society for Testing and Materials, West Conshohocken, PA., 2000, pp. 73-89.