# Cryptographic Algorithms and Key Sizes for Personal Identity Verification

W. Timothy Polk Donna F. Dodson William E. Burr Hildegard Ferraiolo David Cooper

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# COMPUTER SECURITY



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May 2015



U.S. Department of Commerce Penny Pritzker, Secretary

National Institute of Standards and Technology Willie May, Under Secretary of Commerce for Standards and Technology and Director

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National Institute of Standards and Technology Special Publication 800-78-4 Natl. Inst. Stand. Technol. Spec. Publ. 800-78-4, 24 pages (May 2015) http://dx.doi.org/10.6028/NIST.SP.800-78-4 CODEN: NSPUE2

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#### Abstract

Federal Information Processing Standard 201-2 (FIPS 201-2) defines requirements for the Personal Identity Verification (PIV) lifecycle activities including identity proofing, registration, PIV Card issuance, and PIV Card usage. FIPS 201-2 also defines the structure of an identity credential that includes cryptographic keys. This document contains the technical specifications needed for the mandatory and optional cryptographic keys specified in FIPS 201-2 as well as the supporting infrastructure specified in FIPS 201-2 and the related NIST Special Publication 800-73-4, *Interfaces for Personal Identity Verification* [SP800-73], and NIST SP 800-76-2, *Biometric Specifications for Personal Identity Verification* [SP800-76], that rely on cryptographic functions.

#### **Keywords**

cryptographic algorithm; FIPS 201; identity credential; Personal Identity Verification (PIV); smart cards

# Acknowledgments

Hildegard Ferraiolo and David Cooper wish to thank their co-authors, W. Timothy Polk, Donna F. Dodson, and William E. Burr, who developed the earlier revisions of SP 800-78, and Sharon Keller from NIST, who contributed to the development of the Cryptographic Algorithm Validation Program validation requirements.

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# 1 Introduction

Homeland Security Presidential Directive-12 (HSPD-12) mandated the creation of new standards for interoperable identity credentials for physical and logical access to federal government locations and systems. Federal Information Processing Standard 201 (FIPS 201), *Personal Identity Verification (PIV) of Federal Employees and Contractors*, was developed to establish standards for identity credentials [FIPS201]. This document, NIST Special Publication (SP) 800-78-4, specifies the cryptographic algorithms and key sizes for PIV systems and is a companion document to FIPS 201-2.

# 1.1 Purpose

FIPS 201-2 defines requirements for the PIV lifecycle activities including identity proofing, registration, PIV Card issuance, and PIV Card usage. FIPS 201-2 also defines the structure of an identity credential that includes cryptographic keys. This document contains the technical specifications needed for the mandatory and optional cryptographic keys specified in FIPS 201-2 as well as the supporting infrastructure specified in FIPS 201-2 and the related NIST Special Publication 800-73-4, *Interfaces for Personal Identity Verification* [SP800-73], and SP 800-76-2, *Biometric Specifications for Personal Identity Verification* [SP800-76], that rely on cryptographic functions.

# 1.2 Scope

The scope of this Recommendation encompasses the PIV Card, infrastructure components that support issuance and management of the PIV Card, and applications that rely on the credentials supported by the PIV Card to provide security services. The Recommendation identifies acceptable symmetric and asymmetric encryption algorithms, digital signature algorithms, key establishment schemes, and message digest algorithms, and specifies mechanisms to identify the algorithms associated with PIV keys or digital signatures.

Algorithms and key sizes have been selected for consistency with applicable federal standards and to ensure adequate cryptographic strength for PIV applications. All cryptographic algorithms employed in this specification provide at least 112 bits of security strength. For detailed guidance on the strength of cryptographic algorithms, see [SP800-57(1)], *Recommendation on Key Management – Part 1: General*.

# **1.3 Audience and Assumptions**

This document is targeted at federal agencies and implementers of PIV systems. Readers are assumed to have a working knowledge of cryptography and public key infrastructure (PKI) technology.

# 1.4 Document Overview

The document is organized as follows:

+ <u>Section 1</u>, *Introduction*, provides the purpose, scope, audience, and assumptions of the document and outlines its structure.

- + <u>Section 2</u>, *Application of Cryptography in FIPS 201-2*, identifies the cryptographic mechanisms and objects that employ cryptography as specified in FIPS 201-2 and its supporting documents.
- + <u>Section 3</u>, *On Card Cryptographic Requirements*, describes the cryptographic requirements for cryptographic keys and authentication information stored on the PIV Card.
- + <u>Section 4</u>, *Certificate Status Information*, describes the cryptographic requirements for status information generated by PKI certification authorities (CA) and Online Certificate Status Protocol (OCSP) responders.
- + <u>Section 5</u>, *PIV Card Application Administration Keys*, describes the cryptographic requirements for management of information stored on the PIV Card.
- + <u>Section 6</u>, *Identifiers for PIV Card Interfaces*, specifies key reference values and algorithm identifiers for the application programming interface and card commands defined in [SP800-73].
- + <u>Section 7</u>, *Cryptographic Algorithm Validation Testing Requirements*, specifies the cryptographic algorithm validation testing that must be performed on the PIV Card based on the keys and algorithms that it supports.
- + <u>Appendix A</u>, *Acronyms*, contains the list of acronyms used in this document.
- + <u>Appendix B</u>, *References*, contains the list of documents used as references by this document.

# 2 Application of Cryptography in FIPS 201-2

FIPS 201-2 employs cryptographic mechanisms to authenticate cardholders, secure information stored on the PIV Card, and secure the supporting infrastructure.

FIPS 201-2 and its supporting documents specify a suite of keys to be stored on the PIV Card for personal identity verification, digital signature generation, and key management. The PIV cryptographic keys specified in FIPS 201-2 and SP 800-73-4 are:

- + the asymmetric PIV Authentication key;
- + an asymmetric Card Authentication key;
- + a symmetric Card Authentication key;
- + an asymmetric digital signature key for signing documents and messages;
- + an asymmetric key management key, supporting key establishment or key transport, and up to twenty retired key management keys;
- + a symmetric PIV Card Application Administration Key; and
- + an asymmetric PIV Secure Messaging key, supporting the establishment of session keys for use with secure messaging.

The cryptographic algorithms, key sizes, and parameters that may be used for these keys are specified in <u>Section 3.1</u>. PIV Cards must implement private key computations for one or more of the algorithms identified in this section.

Cryptographically protected objects specified in FIPS 201-2, SP 800-73-4, and SP 800-76-2 include:

- + the X.509 certificates for each asymmetric key on the PIV Card, except the PIV Secure Messaging key;
- + a secure messaging card verifiable certificate (CVC) for the PIV Secure Messaging key;
- + an Intermediate CVC for the public key needed to verify the signature on the secure messaging CVC;
- + a digitally signed *Card Holder Unique Identifier* (CHUID);
- + digitally signed biometrics using the Common Biometric Exchange Formats Framework (CBEFF) signature block; and
- + the SP 800-73-4 *Security Object*, which is a digitally signed hash table.

The cryptographic algorithms, key sizes, and parameters that may be used to protect these objects are specified in <u>Section 3.2</u>. Certification authorities (CA) and card management systems that protect these objects must support one or more of the cryptographic algorithms, key sizes, and parameters specified in <u>Section 3.2</u>.

Applications may be designed to use any or all of the cryptographic keys and objects stored on the PIV Card. Where maximum interoperability is required, applications should support all of the identified algorithms, key sizes, and parameters specified in Sections 3.1 and 3.2.

FIPS 201-2 requires CAs and Online Certificate Status Protocol (OCSP) responders to generate and distribute digitally signed certificate revocation lists (CRL) and OCSP status messages, respectively. These certificate status mechanisms support validation of the PIV Card, the PIV cardholder, the cardholder's digital signature key, and the cardholder's key management key.

The signed certificate status mechanisms specified in FIPS 201-2 are:

- + X.509 CRLs that specify the status of a group of X.509 certificates; and
- + OCSP status response messages that specify the status of a particular X.509 certificate.

The cryptographic algorithms, key sizes, and parameters that may be used to sign these mechanisms are specified in <u>Section 4</u>. <u>Section 4</u> also describes rules for encoding the signatures to ensure interoperability.

FIPS 201-2 permits optional card management operations. These operations may only be performed after the PIV Card authenticates the card management system. Card management systems are authenticated through the use of PIV Card Application Administration Keys. The cryptographic algorithms and key sizes that may be used for these keys are specified in <u>Section</u> 5.

# 3 On Card Cryptographic Requirements

FIPS 201-2 identifies a suite of objects that are stored on the PIV Card for use in authentication mechanisms or in other security protocols. These objects may be divided into three classes: cryptographic keys, signed authentication information stored on the PIV Card, and message digests of information stored on the PIV Card. Cryptographic requirements for PIV keys are detailed in <u>Section 3.1</u>. Cryptographic requirements for other stored objects are detailed in <u>Section 3.2</u>.

# 3.1 PIV Cryptographic Keys

FIPS 201-2 and SP 800-73-4 specify six different classes of cryptographic keys to be used as credentials by the PIV cardholder:

- + the mandatory PIV Authentication key;
- + the mandatory asymmetric Card Authentication key;
- + an optional symmetric Card Authentication key;
- + a conditionally mandatory digital signature key;
- + a conditionally mandatory key management key;<sup>1</sup> and
- + an optional asymmetric key to establish session keys for secure messaging.

Table 3-1 establishes specific requirements for cryptographic algorithms and key sizes for each key type.

In addition to the key sizes, keys must be generated using secure parameters. Rivest, Shamir, Adleman (RSA) keys must be generated using a public exponent of 65 537. Elliptic curve keys must correspond to one of the following recommended curves from [FIPS186]:

- + Curve P-256; or
- + Curve P-384.

To promote interoperability, this specification further limits PIV Authentication and Card Authentication elliptic curve keys to a single curve (P-256).<sup>2</sup> PIV cryptographic keys for digital signatures and key management may use P-256 or P-384, based on application requirements. There is no phase out date specified for either curve.

If the PIV Card Application supports the virtual contact interface [SP800-73] and the digital signature key, the key management key, or any of the retired key management keys are elliptic curve keys corresponding to Curve P-384, then the PIV Secure Messaging key shall use P-384, otherwise it may use P-256 or P-384.

<sup>&</sup>lt;sup>1</sup> The digital signature and key management keys are mandatory if the cardholder has a government-issued email account at the time of credential issuance.

 $<sup>^{2}</sup>$  To reduce computation times for authentication for physical access, it is recommended that the asymmetric Card Authentication key be an elliptic curve key rather than an RSA key.

PIV Key Type	Algorithms and Key Sizes
PIV Authentication key	RSA (2048 bits)
	ECDSA (Curve P-256)
asymmetric Card	RSA (2048 bits)
Authentication key	ECDSA (Curve P-256)
symmetric Card	3TDEA <sup>3</sup>
Authentication key	AES-128, AES-192, or AES-256
digital signature key	RSA (2048 bits)
	ECDSA (Curve P-256 or P-384)
key management key	RSA key transport (2048 bits);
	ECDH (Curve P-256 or P-384)
PIV Secure Messaging key	ECDH (Curve P-256 or P-384)

#### Table 3-1. Algorithm and Key Size Requirements for PIV Key Types

While this specification requires that the RSA public exponent associated with PIV keys be 65 537, applications should be able to process RSA public keys that have any public exponent that is an odd positive integer greater than or equal to 65 537 and less than  $2^{256}$ .

This specification requires that the key management key must be an RSA key transport key or an Elliptic Curve Diffie-Hellman (ECDH) key. The specifications for RSA key transport are [PKCS1] and [SP800-56B]; the specification for ECDH is [SP800-56A].

# 3.2 Authentication Information Stored on the PIV Card

# 3.2.1 Specification of Digital Signatures on Authentication Information

FIPS 201-2 requires the use of digital signatures to protect the integrity and authenticity of information stored on the PIV Card. FIPS 201-2 and SP 800-73-4 require digital signatures on the following objects stored on the PIV Card:

- + X.509 public key certificates;
- + the optional secure messaging card verifiable certificate (CVC);
- + the optional Intermediate CVC;
- + the CHUID;
- + biometric information (e.g., fingerprints); and
- + the SP 800-73-4 Security Object.

Approved digital signature algorithms are specified in [FIPS186]. Table 3-2 provides specific requirements for public key algorithms and key sizes, hash algorithms, and padding schemes for generating digital signatures for digitally signed information stored on the PIV Card. Agencies are cautioned that generating digital signatures with elliptic curve algorithms may initially limit interoperability.

<sup>&</sup>lt;sup>3</sup> 3TDEA is Triple DES using Keying Option 1 from [SP800-67], which requires that all three keys be unique (i.e.,  $Key_1 \neq Key_2$ ,  $Key_2 \neq Key_3$ , and  $Key_3 \neq Key_1$ ).

Public Key Algorithms and Key Sizes	Hash Algorithms	Padding Scheme
RSA (2048 or 3072)	SHA-256	PKCS #1 v1.5
	SHA-256	PSS
ECDSA (Curve P-256)	SHA-256	N/A
ECDSA (Curve P-384)	SHA-384	N/A

Note: As of January 1, 2011, only SHA-256 may be used to generate RSA signatures on PIV objects. RSA signatures may use either the PKCS #1 v1.5 padding scheme or the Probabilistic Signature Scheme (PSS) padding as defined in [PKCS1]. The PSS padding scheme object identifier (OID) is independent of the hash algorithm; the hash algorithm is specified as a parameter (for details, see [PKCS1]).

The secure messaging CVC shall be signed using ECDSA (Curve P-256) with SHA-256 if it contains an ECDH (Curve P-256) subject public key, and shall be signed using ECDSA (Curve P-384) with SHA-384 otherwise. The Intermediate CVC shall be signed using RSA with SHA-256 and PKCS #1 v1.5 padding.

FIPS 201-2, SP 800-73-4, and SP 800-76-2 specify formats for the CHUID, the Security Object, the biometric information, and X.509 public key certificates, which rely on OIDs to specify which signature algorithm was used to generate the digital signature. The object identifiers specified in Table 3-3, below, must be used in FIPS 201-2 implementations to identify the signature algorithm.<sup>4,5</sup>

Signature Algorithm	Object Identifier (OID)
RSA with SHA-1 and	sha1WithRSAEncryption ::= {iso(1) member-body(2) us(840) rsadsi(113549)
PKCS #1 v1.5 padding	pkcs(1) pkcs-1(1) 5}
RSA with SHA-256 and	sha256WithRSAEncryption ::= {iso(1) member-body(2) us(840) rsadsi(113549)
PKCS #1 v1.5 padding	pkcs(1) pkcs-1(1) 11}
RSA with SHA-256 and	id-RSASSA-PSS ::= {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
PSS padding	pkcs-1(1) 10}
ECDSA with SHA-256	ecdsa-with-SHA256 ::= {iso(1) member-body(2) us(840) ansi-X9-62(10045)
	signatures(4) ecdsa-with-SHA2 (3) 2}
ECDSA with SHA-384	ecdsa-with-SHA384 ::= {iso(1) member-body(2) us(840) ansi-X9-62(10045)
	signatures(4) ecdsa-with-SHA2 (3) 3}

Table 3-3. FIPS 201-2 Signature Algorithm Object Identifiers

# 3.2.2 Specification of Public Keys In X.509 Certificates

FIPS 201-2 requires generation and storage of an X.509 certificate to correspond with each asymmetric private key contained on the PIV Card, except the PIV Secure Messaging key. X.509 certificates include object identifiers to specify the cryptographic algorithm associated with a

<sup>&</sup>lt;sup>4</sup> The OID for RSA with SHA-1 and PKCS #1 v1.5 padding is included in Table 3-3 since applications may encounter X.509 certificates that were signed before January 1, 2011, using this algorithm.

<sup>&</sup>lt;sup>5</sup> For the CHUID, Security Object, and biometric information the signatureAlgorithm field of SignerInfo shall contain rsaEncryption (1.2.840.113549.1.1.1) when the signature algorithm is RSA with PKCS #1 v1.5 padding.

public key. Table 3-4, below, specifies the object identifiers that may be used in certificates to indicate the algorithm for a subject public key.

PIV Key Type	Asymmetric Algorithm	Object Identifier (OID)
PIV Authentication key;	RSA	{iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-1(1) 1}
Card Authentication key; digital signature key	ECDSA	{iso(1) member-body(2) us(840) ansi-X9-62(10045) id-publicKeyType(2) 1}
	RSA	{iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-1(1) 1}
key management key	ECDH	{iso(1) member-body(2) us(840) ansi-X9-62(10045) id-publicKeyType(2) 1}

Table 3-4. Public Key Object Identifiers for PIV Key Types

A single object identifier is specified in Table 3-4 for all elliptic curve keys. An additional object identifier must be supplied in a parameters field to indicate the elliptic curve associated with the key. Table 3-5, below, identifies the named curves and associated OIDs. (RSA exponents are encoded with the modulus in the certificate's subject public key, so the OID is not affected.)

Asymmetric Algorithm	Object Identifier (OID)
Curve P-256	ansip256r1 ::= { iso(1) member-body(2) us(840) ansi-X9-62(10045) curves(3) prime(1) 7 }
Curve P-384	ansip384r1 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 34 }

# 3.2.3 Specification of Message Digests in the SP 800-73-4 Security Object

SP 800-73-4 mandates inclusion of a Security Object consistent with the Authenticity/Integrity Code defined by the International Civil Aviation Organization (ICAO) in [MRTD]. This object contains message digests of other digital information stored on the PIV Card and is digitally signed. This specification requires that the message digests of digital information be computed using the same hash algorithm used to generate the digital signature on the Security Object. The set of acceptable algorithms is specified in Table 3-2. The Security Object format identifies the hash algorithm used when computing the message digests by inclusion of an object identifier; the appropriate object identifiers are identified in Table 3-6.<sup>6</sup>

Hash Algorithm	Object Identifier (OID)
SHA-1	id-sha1 ::= {iso(1) identified-organization(3) oiw(14) secsig(3) algorithms(2) 26}
SHA-256	id-sha256 ::= {joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101) csor(3) nistalgorithm(4) hashalgs(2) 1}
SHA-384	id-sha384 ::= {joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101) csor(3) nistalgorithm(4) hashalgs(2) 2}

Table 3-6. Hash Algorithm Object Identifiers

<sup>&</sup>lt;sup>6</sup> The OID for SHA-1 is included in Table 3-6 since applications may encounter Security Objects that were signed before January 1, 2011, using RSA with SHA-1 and PKCS #1 v1.5 padding.

### 4 Certificate Status Information

The FIPS 201-2 functional component *PIV Card Issuance and Management Subsystem* generates and distributes status information for PIV asymmetric keys, other than PIV Secure Messaging keys. FIPS 201-2 mandates two formats for certificate status information:

- + X.509 CRLs; and
- + OCSP status response messages.

The CRLs and OCSP status responses shall be digitally signed to support authentication and integrity using a key size and hash algorithm that satisfy the requirements for signing PIV information, as specified in Table 3-2, and that are at least as large as the key size and hash algorithm used to sign the certificate.

CRLs and OCSP messages rely on object identifiers to specify which signature algorithm was used to generate the digital signature. The object identifiers specified in Table 3-3 must be used in CRLs and OCSP messages to identify the signature algorithm.

# 5 PIV Card Application Administration Keys

PIV Cards may support card activation by the card management system to support card personalization and post-issuance card update. PIV Cards that support card personalization and post-issuance updates perform a challenge response protocol using a symmetric cryptographic key (i.e., the PIV Card Application Administration Key) to authenticate the card management system. After successful authentication, the card management system can modify information stored in the PIV Card. Table 5-1, below, establishes specific requirements for cryptographic algorithms and key sizes for PIV Card Application Administration Keys.

#### Table 5-1. Algorithm and Key Size Requirements for PIV Card Application Administration Keys

Card Expiration Date	Algorithm	
After 12/31/2010	3TDEA AES-128, AES-192, or AES-256	

# 6 Identifiers for PIV Card Interfaces

SP 800-73-4 defines an application programming interface, the *PIV Client Application Programming Interface* (Part 3), and a set of mandatory card commands, the *PIV Card Application Card Command Interface* (Part 2). The command syntaxes for these interfaces identify PIV keys using one-byte key references; their associated algorithms (or suites of algorithms) are specified using one-byte algorithm identifiers. The same identifiers are used in both interfaces.

<u>Section 6.1</u> specifies the key reference values for each of the PIV key types. <u>Section 6.2</u> defines algorithm identifiers for each cryptographic algorithm supported by this specification. <u>Section 6.3</u> identifies valid combinations of key reference values and algorithm identifiers.

# 6.1 Key Reference Values

A PIV Card key reference is a one-byte identifier that specifies a cryptographic key according to its PIV Key Type. Table 6-1 defines the key reference values used on the PIV interfaces for PIV Key Types.

PIV Key Type	Key Reference Value
PIV Secure Messaging key	'04'
retired key management key	'82', '83', '84', '85', '86', '87', '88', '89', '8A', '8B', '8C', '8D', '8E', '8F', '90', '91', '92', '93', '94', '95'
PIV Authentication key	'9A'
PIV Card Application Administration Key	'9B'
digital signature key	'9C'
key management key	'9D'
Card Authentication key	'9E'

#### Table 6-1. Key References for PIV Key Types

# 6.2 PIV Card Algorithm Identifiers

A PIV Card algorithm identifier is a one-byte identifier that specifies a cryptographic algorithm and key size, or a suite of algorithms and key sizes. For symmetric cryptographic operations, the algorithm identifier also specifies a mode of operation (i.e., ECB). Table 6-2 lists the algorithm identifiers for the cryptographic algorithms that may be recognized on the PIV interfaces. All other algorithm identifier values are reserved for future use.

Algorithm Identifier	Algorithm – Mode
'00'	3 Key Triple DES – ECB
'03'	3 Key Triple DES – ECB
'06'	RSA 1024 bit modulus, 65 537 $\leq$ exponent $\leq$ 2 <sup>256</sup> - 1
'07'	RSA 2048 bit modulus, 65 537 $\leq$ exponent $\leq$ 2 <sup>256</sup> - 1
'08'	AES-128 – ECB
'0A'	AES-192 – ECB
'0C'	AES-256 – ECB
'11'	ECC: Curve P-256
'14'	ECC: Curve P-384
'27'	Cipher Suite 2
'2E'	Cipher Suite 7

Note that both the '00' and '03' algorithm identifiers correspond to 3 Key Triple DES – ECB.

Algorithm identifiers '27' and '2E' represent suites of algorithms and key sizes for use with secure messaging and key establishment. Cipher Suite 2 (CS2) is the cipher suite used to establish session keys and for secure messaging when the PIV Secure Messaging key is an ECDH (Curve P-256) key, and Cipher Suite 7 (CS7) is the cipher suite used to establish session keys and for secure messaging when the PIV Secure Messaging key is an ECDH (Curve P-256) key, and Cipher Suite 7 (CS7) is the cipher suite used to establish session keys and for secure messaging when the PIV Secure Messaging key is an ECDH (Curve P-384) key. Details of secure messaging, the key establishment protocol, and the algorithms and key sizes for these two cipher suites are specified in SP 800-73-4, Part 2.

# 6.3 Algorithm Identifiers for PIV Key Types

Table 6-3 summarizes the set of algorithms supported for each key reference value.

PIV Key Type	Key Reference Value	Permitted Algorithm Identifiers
PIV Secure Messaging key	'04'	'27', '2E'
retired key management key	'82', '83', '84', '85', '86', '87', '88', '89', '8A', '8B', '8C', '8D', '8E', '8F', '90', '91', '92', '93', '94', '95'	'06', '07', '11', '14'
PIV Authentication key	'9A'	'07', '11'
PIV Card Application Administration Key	'9B'	'00', '03', '08', '0A', '0C'
digital signature key	'9C'	'07', '11', '14'
key management key	'9D'	'07', '11', '14'
asymmetric Card Authentication key	'9E'	'07', '11'
symmetric Card Authentication key	'9E'	'00', '03', '08', '0A', '0C'

 Table 6-3. PIV Card Keys: Key References and Algorithms

# 7 Cryptographic Algorithm Validation Testing Requirements

As noted in Section 4.2.2 of [FIPS201], the PIV Card shall be validated under [FIPS140] with an overall validation of Level 2 and with Level 3 physical security. The scope of the Cryptographic Module Validation Program (CMVP) validation shall include all cryptographic operations performed over both the contact and contactless interfaces. Table 7-1 describes the Cryptographic Algorithm Validation Program (CAVP) tests that are required, at the time of publication, for each supported key and algorithm. If any changes are made to the CAVP validation requirements, the changes, along with the deadlines for conformance with these requirements, will be posted on NIST's "Personal Identity Verification Program (NPIVP)" web page at <a href="http://csrc.nist.gov/groups/SNS/piv/npivp/index.html">http://csrc.nist.gov/groups/SNS/piv/npivp/index.html</a>.

Terms used in this section are from the the corresponding algorithm validation list at <u>http://csrc.nist.gov/groups/STM/cavp/validation.html</u>.

Supported Private Keys	Supported Algorithm	Required Functionality	Minimum CAVP Validation Requirements
PIV	2048-bit	Key Generation and	Key Generation:
Authentication	RSA	Signature Generation	<b>186-2</b> (for revalidation scenarios only):
key		for 2048-bit RSA with public key exponent 65 537	Key(gen)(MOD: 2048 PubKey Values: 65 537) Prerequisite: DRBG or RNG; SHS
		05 557	186-4:
			186-4KEY(gen):
			FIPS186-4_Fixed_e (65 537) or FIPS186-4_Random_e
			PGM(Prime Generation Methods with supporting variables)
			Prerequisites: DRBG or RNG; SHS
			Signature Generation:
			RSASP1 component: (Mod2048)
	ECDSA	Key Generation and	Key Generation:
	(Curve	Signature Generation	<b>186-2</b> (for revalidation scenarios only):
	P-256)	for Curve P-256	PKG (Public Key Generation): CURVE(P-256) Prerequisites: DRBG or RNG
			186-4:
			PKG (Public Key Generation): CURVE(P-256
			(ExtraRandomBits and/or TestingCandidates)) Prerequisites: DRBG or RNG
			Signature Generation:
			ECDSA Signature Generation component:
			CURVE(P-256 tested with input length 256 bits)
			Prerequisites: DRBG or RNG

#### Table 7-1. Cryptographic Algorithm Validation Program (CAVP) Validation Requirements

<sup>&</sup>lt;sup>7</sup> Many cryptographic operations listed in Table 7-1 require the use of a random bit generator (those operations that include a prerequisite of "**DRBG** or **RNG**"). Please refer to [SP800-131A] for more information about approved random bit generators.

Supported Private Keys	Supported Algorithm	Required Functionality	Minimum CAVP Validation Requirements
asymmetric Card Authentication key	2048-bit RSA	Signature Generation for 2048-bit RSA	<ul> <li>Key Generation (if key can be generated on card):</li> <li>186-2 (for revalidation scenarios only):</li> <li>Key(gen)(MOD: 2048 PubKey Values: 65 537)</li> <li>Prerequisite: DRBG or RNG; SHS</li> <li>186-4:</li> <li>186-4KEY(gen):</li> <li>EIDS 186 (A Eined a (65 527) or EIDS 186 (A Dendemon</li> </ul>
			FIPS186-4_Fixed_e (65 537) or FIPS186-4_Random_e PGM(Prime Generation Methods with supporting variables) Prerequisites: DRBG or RNG; SHS
			Signature Generation: RSASP1 component: (Mod2048)
	ECDSA	Signature Generation	Key Generation (if key can be generated on card):
	(Curve P-256)	for Curve P-256	186-2 (for revalidation scenarios only): PKG (Public Key Generation): CURVE(P-256) Prerequisites: DRBG or RNG
			186-4: PKG (Public Key Generation): CURVE(P-256 (ExtraRandomBits and/or TestingCandidates)) Prerequisites: DRBG or RNG
			Signature Generation: ECDSA Signature Generation component: CURVE(P-256 tested with input length 256 bits) Prerequisites: DRBG or RNG
symmetric Card Authentication	3TDEA	Encryption and Decryption for 3TDEA	<b>TECB</b> ( e/d; KO 1 )
key	AES-128	Encryption and Decryption for AES-128	<b>ECB</b> ( e/d; 128 )
	AES-192	Encryption and Decryption for AES-192	<b>ECB</b> ( e/d; 192 )
	AES-256	Encryption and Decryption for AES-256	<b>ECB</b> ( e/d; 256 )

Supported	Supported	Required	Minimum CAVP Validation Requirements
Private Keys Algorith	2048-bit	Functionality Key Generation and Signature Generation for 2048-bit RSA with public key exponent 65 537	<ul> <li>Key Generation:</li> <li>186-2 (for revalidation scenarios only):</li> <li>Key(gen)(MOD: 2048 PubKey Values: 65 537)</li> <li>Prerequisite: DRBG or RNG; SHS</li> <li>186-4:</li> <li>186-4KEY(gen):</li> <li>FIPS186-4_Fixed_e (65 537) or FIPS186-4_Random_e</li> <li>PGM(Prime Generation Methods with supporting variables)</li> <li>Prerequisites: DRBG or RNG; SHS</li> </ul>
			Signature Generation: RSASP1 component: (Mod2048)
	ECDSA (Curve P-256)	Key Generation and Signature Generation for Curve P-256	Key Generation: 186-2 (for revalidation scenarios only): PKG (Public Key Generation): CURVE(P-256) Prerequisites: DRBG or RNG
			186-4: PKG (Public Key Generation): CURVE(P-256 (ExtraRandomBits and/or TestingCandidates)) Prerequisites: DRBG or RNG
			Signature Generation: ECDSA Signature Generation component: CURVE(P-256 tested with input length 256 bits) Prerequisites: DRBG or RNG
(Curv	ECDSA (Curve P-384)	Key Generation and Signature Generation for Curve P-384	Key Generation: 186-2 (for revalidation scenarios only): PKG (Public Key Generation): CURVE(P-384) Prerequisites: DRBG or RNG
			186-4: PKG (Public Key Generation): CURVE(P-384 (ExtraRandomBits and/or TestingCandidates)) Prerequisites: DRBG or RNG
			Signature Generation: ECDSA Signature Generation component: CURVE(P-384 tested with input length 384 bits) Prerequisites: DRBG or RNG

Supported Private Keys	Supported Algorithm	Required Functionality	Minimum CAVP Validation Requirements
key management key	2048-bit RSA	2048-bit RSA Key Transport	<ul> <li>Key Generation (if key can be generated on card):</li> <li>186-2 (for revalidation scenarios only):</li> <li>Key(gen)(MOD: 2048 PubKey Values: 65 537)</li> <li>Prerequisite: DRBG or RNG; SHS</li> </ul>
			186-4: 186-4KEY(gen): FIPS186-4_Fixed_e (65 537) or FIPS186-4_Random_e PGM(Prime Generation Methods with supporting variables) Prerequisites: DRBG or RNG; SHS
			Key Transport: SP 800-56B RSADP component
	ECDH (Curve P-256)	Key Agreement for Curve P-256	Key Generation (if key can be generated on card):186-2 (for revalidation scenarios only):PKG (Public Key Generation): CURVE(P-256)Prerequisites: DRBG or RNG
			186-4: PKG (Public Key Generation): CURVE(P-256 (ExtraRandomBits and/or TestingCandidates)) Prerequisites: DRBG or RNG
			Key Agreement: SP 800-56A Section 5.7.1.2 ECC CDH primitive component: CURVE(P-256)
	ECDH (Curve P-384)	Key Agreement for Curve P-384	Key Generation (if key can be generated on card): 186-2 (for revalidation scenarios only): PKG (Public Key Generation): CURVE(P-384) Prerequisites: DRBG or RNG
			186-4: PKG (Public Key Generation): CURVE(P-384 (ExtraRandomBits and/or TestingCandidates)) Prerequisites: DRBG or RNG
			Key Agreement: SP 800-56A Section 5.7.1.2 ECC CDH primitive component: CURVE(P-384)
PIV Card Application Administration Key	3TDEA	Encryption and Decryption for 3TDEA	<b>TECB</b> ( e/d; KO 1 )
	AES-128	Encryption and Decryption for AES-128	<b>ECB</b> ( e/d; 128 )
	AES-192	Encryption and Decryption for AES-192	<b>ECB</b> ( e/d; 192 )
	AES-256	Encryption and Decryption for AES-256	<b>ECB</b> ( e/d; 256 )

Supported Private Keys	Supported Algorithm	Required Functionality	Minimum CAVP Validation Requirements
PIV Secure Messaging key	Cipher Suite 2	Key Generation for Curve P-256	<ul> <li>Key Generation (of card's static ECDH key):</li> <li>186-2 (for revalidation scenarios only):</li> <li>PKG (Public Key Generation): CURVE(P-256)</li> <li>Prerequisites: DRBG or RNG</li> </ul>
			186-4: PKG (Public Key Generation): CURVE(P-256 (ExtraRandomBits and/or TestingCandidates)) Prerequisites: DRBG or RNG
		C(1e, 1s, ECC CDH) with Curve P-256	ECC: SCHEME[ OnePassDH ( KC <karole: Responder &gt; &lt; KCRole: Provider &gt; &lt; KCType: Unilateral &gt; &lt; KDF: Concat &gt; ) ( EC: P-256 (SHA256 CMAC_AES128) ) ]</karole: 
			Prerequisite: DRBG or RNG; SHS
		CMAC with AES-128	AES CMAC (Generation/Verification) (KS: 128; Block Size(s): Full / Partial; Msg Len(s) Min: 32 Max: 12 745 ; Tag Length(s): 16 )
		Encryption and Decryption for AES CBC 128	<b>AES CBC</b> ( e/d; 128 )
	Cipher Suite 7	Key Generation for Curve P-384	Key Generation (of card's static ECDH key): 186-2 (for revalidation scenarios only): PKG (Public Key Generation): CURVE(P-384) Prerequisites: DRBG or RNG
			186-4: PKG (Public Key Generation): CURVE(P-384 (ExtraRandomBits and/or TestingCandidates)) Prerequisites: DRBG or RNG
		C(1e, 1s, ECC CDH) with Curve P-384	ECC: SCHEME[ OnePassDH ( KC <karole: Responder &gt; &lt; KCRole: Provider &gt; &lt; KCType: Unilateral &gt; &lt; KDF: Concat &gt; ) ( ED: P-384 (SHA384 CMAC_AES256) ) ]</karole: 
			Prerequisite: DRBG or RNG; SHS
		CMAC with AES-256	AES CMAC (Generation/Verification) (KS: 256; Block Size(s): Full / Partial; Msg Len(s) Min: 32 Max: 12 745 ; Tag Length(s): 16 )
		Encryption and Decryption for AES CBC 256	<b>AES CBC</b> ( e/d; 256 )

# Appendix A—Acronyms

The following abbreviations and acronyms are used in this standard:

<b>3TDEA</b>	Three key TDEA (TDEA with Keying Option 1 [SP800-67])
AES	Advanced Encryption Standard [FIPS197]
CA CAVP CBC CBEFF CDH CHUID CHUID CMAC CMVP CRL CVC	Certification Authority Cryptographic Algorithm Validation Program Cipher Block Chaining Common Biometric Exchange Formats Framework Cofactor Diffie-Hellman Card Holder Unique Identifier Cipher-Based Message Authentication Code Cryptographic Module Validation Program Certificate Revocation List Card Verifiable Certificate
DES	Data Encryption Standard
DRBG	Deterministic Random Bit Generator
ECB	Electronic Codebook
ECC	Elliptic Curve Cryptography
ECDH	Elliptic Curve Diffie-Hellman
ECDSA	Elliptic Curve Digital Signature Algorithm
FIPS	Federal Information Processing Standards
FISMA	Federal Information Security Management Act
ICAO	International Civil Aviation Organization
ITL	Information Technology Laboratory
NIST	National Institute of Standards and Technology
OCSP	Online Certificate Status Protocol
OID	Object Identifier
OMB	Office of Management and Budget
PIV	Personal Identity Verification
PKCS	Public-Key Cryptography Standards
PKI	Public Key Infrastructure
PSS	Probabilistic Signature Scheme
RNG	Random Number Generator
RSA	Rivest, Shamir, Adleman cryptographic algorithm
SHA	Secure Hash Algorithm
SHS	Secure Hash Standard
SP	Special Publication
TDEA	Triple Data Encryption Algorithm; Triple DEA
TECB	TDEA Electronic Codebook

# Appendix B—References

[FIPS140]	Federal Information Processing Standard 140-2, <i>Security Requirements for Cryptographic Modules</i> , May 25, 2001. <u>http://csrc.nist.gov/publications/.</u>		
[FIPS186]	Federal Information Processing Standard 186-4, <i>Digital Signature Standard</i> ( <i>DSS</i> ), July 2013. <u>http://dx.doi.org/10.6028/NIST.FIPS.186-4.</u>		
[FIPS197]	Federal Information Processing Standard 197, <i>Advanced Encryption Standard</i> ( <i>AES</i> ), November 2001. <u>http://csrc.nist.gov/publications/.</u>		
[FIPS201]	Federal Information Processing Standard 201-2, <i>Personal Identity Verification</i> ( <i>PIV</i> ) of Federal Employees and Contractors, August 2013. <u>http://dx.doi.org/10.6028/NIST.FIPS.201-2.</u>		
[MRTD]	ICAO Doc 9303, Machine Readable Travel Documents, Part 3: Machine Readable Official Travel Documents, Volume 2: Specifications for Electronically Enabled MRtds with Biometric Identification Capability, 3rd edition, International Civil Aviation Organization: Montreal, Quebec, Canada, 2008. <u>http://www.icao.int/publications/pages/publication.aspx?docnum=9303</u> .		
[PKCS1]	Jakob Jonsson and Burt Kaliski, <i>PKCS #1: RSA Cryptography Specifications</i> <i>Version 2.1</i> , RFC 3447, February 2003. <u>http://www.rfc-editor.org/info/rfc3447</u> .		
[SP800-56A]	NIST Special Publication 800-56A Revision 2, <i>Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography</i> , May 2013. <u>http://dx.doi.org/10.6028/NIST.SP.800-56Ar2</u> .		
[SP800-56B]	NIST Special Publication 800-56B Revision 1, <i>Recommendation for Pair-Wise Key-Establishment Schemes Using Integer Factorization Cryptography</i> , September 2014. <u>http://dx.doi.org/10.6028/NIST.SP.800-56Br1.</u>		
[SP800-57(1)]	NIST Special Publication 800-57, <i>Recommendation for Key Management – Part 1: General (Revision 3)</i> , July 2012. <u>http://csrc.nist.gov/publications/.</u>		
[SP800-67]	NIST Special Publication 800-67 Revision 1, <i>Recommendation for the Triple Data Encryption Algorithm (TDEA) Block Cipher</i> , January 2012. <u>http://csrc.nist.gov/publications/.</u>		
[SP800-73]	NIST Special Publication 800-73-4, <i>Interfaces for Personal Identity</i> Verification, May 2015. <u>http://dx.doi.org/10.6028/NIST.SP.800-73-4</u> .		
[SP800-76]	NIST Special Publication 800-76-2, <i>Biometric Specifications for Personal Identity Verification</i> , July 2013. <u>http://dx.doi.org/10.6028/NIST.SP.800-76-2.</u>		
[SP800-131A]	NIST Special Publication 800-131A, <i>Transitions: Recommendation for</i> <i>Transitioning the Use of Cryptographic Algorithms and Key Lengths</i> , January 2011. http://csrc.nist.gov/publications/.		