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**NIST SP 800-73pt3-5**

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*Part 3 – PIV Client Application Programming Interface*

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## **Abstract**

FIPS 201 defines the requirements and characteristics of government-wide interoperable identity credentials. It specifies that these identity credentials must be stored on a smart card and that additional common identity credentials, known as derived PIV credentials, may be issued by a federal department or agency and used when a PIV Card is not practical. This document contains the technical specifications to interface with the smart card to retrieve and use the PIV identity credentials. The specifications reflect the design goals of interoperability and PIV Card functions. The goals are addressed by specifying a PIV data model, card edge interface, and application programming interface. Moreover, this document enumerates requirements for the options and branches in international integrated circuit card standards. The specifications go further by constraining interpretations of the normative standards to ease implementation, facilitate interoperability, and ensure performance in a manner tailored for PIV applications.

## **Keywords**

authentication; FIPS 201; identity credential; logical access control; on-card biometric comparison; Personal Identity Verification (PIV); physical access control; smart cards; secure messaging.

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## 1. Introduction

Homeland Security Presidential Directive-12 (HSPD-12) called for the adoption of a common identification standard to govern the interoperable use of identity credentials to allow physical and logical access to federally controlled facilities and information systems. In response, Federal Information Processing Standard (FIPS) 201 [FIPS201], *Personal Identity Verification (PIV) of Federal Employees and Contractors*, was developed to define reliable, government-wide identity credentials for use in applications such as access to federally controlled facilities and information systems. FIPS 201 supports multiple types of authenticators, including authenticators on smart cards (also known as PIV Cards) and derived PIV credential authenticators in various other form factors. This publication contains technical specifications to interface with PIV Cards to retrieve and use identity credentials. Other specifications, such as NIST Special Publication (SP) 800-157r1 (Revision 1), contain procedures and life cycle activities to issue, maintain, and use derived PIV credentials.

### 1.1. Purpose

FIPS 201 defines processes for binding identities to authenticators, such as the PIV Card and derived PIV credentials used in the federal PIV system. SP 800-73-5 contains the technical specifications to interface with the PIV Card to retrieve and use the identity credentials. The specifications reflect the design goals of interoperability and PIV Card functions. The goals are addressed by specifying a PIV data model, card edge interface, and application programming interface. Moreover, this document enumerates requirements for the options and branches in international integrated circuit card (ICC) standards [ISO7816]. The specifications go further by constraining interpretations of the normative standards to ease implementation, facilitate interoperability, and ensure performance in a manner tailored for PIV applications.

### 1.2. Scope

SP 800-73-5 specifies the PIV data model, application programming interface (API), and card interface requirements necessary to comply with the use cases, as defined in Section 6 of FIPS 201 and further described in Appendix B of SP 800-73-5 Part 1. Interoperability is defined as the use of PIV identity credentials such that client-application programs, compliant card applications, and compliant ICCs CAN be used interchangeably by all information processing systems across federal agencies. SP 800-73-5 defines the PIV data elements' identifiers, structure, and format, as well as the client API and card command interface for use with the PIV Card.

This document — SP 800-73-5, *Interfaces for Personal Identity Verification: Part 3 – PIV Client Application Programming Interface* — contains technical specifications for the PIV client application programming interface to the PIV Card.

### 1.3. Audience and Assumptions

This document is intended for federal agencies and implementers of PIV systems. Readers are assumed to have a working knowledge of smart card standards and applications.

Readers should also be aware of the following important content in SP 800-73-5 Part 1:

- The front matter details configuration management recommendations and specifies NPVP conformance testing procedures.
- Appendix G provides the full Revision History of SP 800-73.
- Section 1.3 specifies the effective date of SP 800-73-5.

### 1.4. Content and Organization

All sections in this document are *normative* (i.e., mandatory for compliance) unless specified as *informative* (i.e., non-mandatory) and are structured as follows:

- Section 1, *Introduction*, provides the purpose, scope, audience, and assumptions of the document and outlines its structure.
- Section 2, *Overview: Concepts and Constructs*, describes both the PIV Card Application and the PIV client API. This section is *informative*.
- Section 3, *Client Application Programming Interface*, describes the set of entry points accessible by client applications through the PIV Middleware to interact with the PIV Card.
- Appendix A contains the list of acronyms used in this document. This section is *informative*.
- Appendix B contains the Glossary of terms used in this document. This section is *informative*.
- Appendix C explains the notation in use in this document. This section is *informative*.

## 2. Overview: Concepts and Constructs

SP 800-73-5 Parts 2 and 3 define two interfaces to an ICC that contain the PIV Card Application: a low-level card command interface (SP 800-73-5 Part2) and a high-level client API (Part 3). SP 800-73-5 Part 3 (this document) is optional, and NIST Personal Identity Verification Program (NPIVP) conformance testing for PIV Middleware in accordance with SP 800-73 Part 3 is discontinued since endpoints support high-level client APIs natively at the time of this publication.

The information processing concepts and data constructs on both interfaces are identical and MAY be referred to generically as the information processing concepts and data constructs on the *PIV interfaces* without specific reference to the client API or the card command interface.

The client API provides task-specific programmatic access to these concepts and constructs, and the card command interface provides communication access. The client API is used by client applications using the PIV Card Application. The card command interface is used by software that implement the client API (middleware).

The client API is thought of as being at a higher level than the card command interface because access to a single entry point on the client API may cause multiple card commands to traverse the card command interface. In other words, it may require more than one card command on the card command interface to accomplish the task represented by a single call on an entry point of the client API.

The client API is a program execution, call/return style interface, whereas the card command interface is a communication protocol, command/response style interface. Because of this difference, the representation of the PIV concepts and constructs as bits and bytes on the client API may be different from the representation of these same concepts and constructs on the card command interface.

### 3. Client Application Programming Interface

**Table 1** lists the entry points on the PIV client API. This section references object identifiers (OIDs), which are defined and can be found in SP 800-73-5 Part1, Table 3.

**Table 1. Entry points on PIV client application programming interface**

Type	Name
Entry Points for Communication	<b>pivMiddlewareVersion</b>
	<b>pivConnect</b>
	<b>pivDisconnect</b>
Entry Points for Data Access	<b>pivSelectCardApplication</b>
	<b>pivEstablishSecureMessaging</b>
	<b>pivLogIntoCardApplication</b>
	<b>pivGetData</b>
	<b>pivLogoutOfCardApplication</b>
Entry Points for Cryptographic Operations	<b>pivCrypt</b>
Entry Points for Credential Initialization and Administration	<b>pivPutData</b>
	<b>pivGenerateKeyPair</b>

If both the PIV Middleware and the PIV Card support secure messaging, then all non-card management functionality<sup>1</sup> of the PIV Card MAY be accessed over either the contact or contactless interface of the card. In order to perform non-card management functionality that would otherwise be limited to the contact interface, the client application must first establish a virtual contact interface by calling the `pivEstablishSecureMessaging` function and using the `pivLogIntoCardApplication` function to submit the pairing code to the card.<sup>2</sup> If the client application does not have another means of determining whether communication with the PIV Card is over a contact or contactless interface, it MAY use the `pivGetData` function to attempt to read a mandatory data object (e.g., such as the X.509 Certificate for PIV Authentication or the security object) that has an access rule for read of “Always.” However, that is only accessible over the contact and virtual contact interfaces (see SP 800-73-5 Part1, Table 2). If the return code from `pivGetData` is `PIV_SECURITY_CONDITIONS_NOT_SATISFIED`, then the communication with the card is over a contactless interface.

<sup>1</sup> Only the `pivPutData` and `pivGenerateKeyPair` API functions perform card management functionality.

<sup>2</sup> As noted in Part 1, Sec. 5.5, the pairing code does not need to be submitted if the Bit 3 of the first byte of the PIN Usage Policy is set to one.

### 3.1. Entry Points for Communication

#### 3.1.1. pivMiddlewareVersion

**Purpose:** Returns the PIV Middleware version string

**Prototype:**

```
status_word pivMiddlewareVersion (  
    OUT version          versionString  
);
```

**Parameter:** **versionString**

- For SP 800-73-5 Part 3 conformant PIV Middleware, the parameter returns “800-73-5 Client API” or “800-73-5 Client API with SM.”
- For SP 800-73-4 Part 3 conformant middleware, the parameter returns “800-73-4 Client API” or “800-73-4 Client API with SM.”
- Earlier versions with versionString (e.g., “800-73-3 Client API,” “800-73-2 Client API,” and “800-78-1 Client API”) are discontinued as they do not conform to the requirements of FIPS 201-3.

**Return Codes:** PIV\_OK

PIV Middleware that returns a versionString of “800-73-5 Client API with SM” and “800-73-4 Client API with SM” SHALL implement all PIV Middleware functions listed in **Table 1** and be able to recognize and process all mandatory and optional PIV data objects. PIV Middleware that returns a versionString of “800-73-5 Client API” and “800-73-4 Client API” SHALL implement all PIV Middleware functions listed in **Table 1** except for pivEstablishSecureMessaging and SHALL be able to recognize and process all mandatory and optional PIV data objects.

#### 3.1.2. pivConnect

**Purpose:** Connects the client API to the PIV Card Application on a specific ICC.

**Prototype:**

```
status_word pivConnect (  
    IN Boolean          sharedConnection,  
    INOUT sequence of bytes connectionDescription,  
    INOUT LONG          CDLength,  
    OUT handle         cardHandle  
);
```

**Parameters:** **sharedConnection** If TRUE, other client applications CAN establish concurrent connections to the ICC. If FALSE and the connection is established, then the calling client application has exclusive access to the ICC.

**connectionDescription** A connection description data object (tag 0x7F21). See **Table 2**.

If the length of the value field of the ‘8x’ data object in the connection description data object is zero, then a list of the card readers of the type indicated by the tag of the ‘8x’ series data object and available at the ‘9x’ location is returned in the connectionDescription.

In order to provide sufficient space for the return value, the client application SHALL allocate a buffer of at least 2048 bytes for connectionDescription.

The connection description BER-TLV [ISO8825] used on the PIV client API SHALL have the structure described in **Table 2**.

**Table 2. Data objects in a connection description template (Tag 0x7F21)**

Description	Tag	Comment
Interface device – PC/SC	‘81’	Card reader name
Interface device – SCP	‘82’	Card reader identifier on terminal equipment
Interface device – EMR	‘83’	Contactless connection using radio transmission
Interface device – IR	‘84’	Contactless connection using infrared transmission
Interface device – PKCS #11	‘85’	PKCS #11 interface
Interface device – CryptoAPI	‘86’	CryptoAPI interface
Network node – Local	‘90’	No network between client application host and card reader host
Network node – IP	‘91’	IP address of card reader host
Network node – DNS	‘92’	Internet domain name of card reader host
Network node – ISDN	‘93’	ISDN dialing number string of terminal equipment containing the card reader

At most one selection from the ‘8x’ series and one selection from the ‘9x’ series SHALL appear in the connection description template. For example, ‘7F 21 0C 82 04 41 63 6D 65 91 04 C0 00 02 17’ describes a connection to a generic card reader at internet address 192.0.2.23. In another example, ‘7F 21 0B 82 01 00 93 06 16 17 55 50 12 3F’ describes a connection to the subscriber identity module in the mobile phone at +1 617 555 0123.

When used as an argument to the pivConnect entry point on the PIV client API described in this section, an ‘8x’ series data object with zero length and a ‘9x’ series data object request the return of all available card readers of the described type on the described node. Thus, ‘7F 21 04 81 00 90 00’ would request a list of all available PC/SC card readers on the host on which the client application was running.

**CDLength** Length of the card description parameter

**cardHandle** The returned opaque identifier of a communication channel to a particular ICC and, hence, of the card itself. **cardHandle** is used in all other entry points on the PIV client API to identify which card the functionality of the entry point should be applied to.

**Return Codes:** PIV\_OK  
PIV\_CONNECTION\_DESCRIPTION\_MALFORMED  
PIV\_CONNECTION\_FAILURE  
PIV\_CONNECTION\_LOCKED

### 3.1.3. pivDisconnect

**Purpose:** Disconnect the PIV API from the PIV Card Application and the ICC that contains the PIV Card Application.

**Prototype:** `status_word pivDisconnect (`  
`IN handle cardHandle`  
`);`

**Parameters:** **cardHandle** Opaque identifier of the card to be acted upon as returned by **pivConnect**. The value of **cardHandle** is undefined upon return from **pivDisconnect**.

**Return Codes:** PIV\_OK  
PIV\_INVALID\_CARD\_HANDLE  
PIV\_CARD\_READER\_ERROR

If secure messaging has been established, then the PIV Middleware SHALL zeroize the secure messaging session keys.

## 3.2. Entry Points for Data Access

### 3.2.1. pivSelectCardApplication

**Purpose:** Set the PIV Card Application as the currently selected card application and establish the PIV Card Application's security state.

**Prototype:** `status_word pivSelectCardApplication (`  
`IN handle cardHandle,`  
`IN sequence of byte applicationAID,`  
`IN LONG aidLength,`  
`OUT sequence of byte applicationProperties,`

```
INOUT LONG          APLength
);
```

**Parameters:**

<b>cardHandle</b>	Opaque identifier of the card to be acted upon as returned by pivConnect
<b>aidLength</b>	Length of the PIV Card Application AID
<b>applicationAID</b>	The AID of the PIV Card Application that is to become the currently selected card application
<b>applicationProperties</b>	The application properties of the selected PIV Card Application; see SP 800-73-5 Part2, Table 3
<b>APLength</b>	As an input, length of the buffer allocated for applicationProperties; as an output, length of the application properties

**Return Codes:**

- PIV\_OK
- PIV\_INVALID\_CARD\_HANDLE
- PIV\_CARD\_APPLICATION\_NOT\_FOUND
- PIV\_CARD\_READER\_ERROR
- PIV\_INSUFFICIENT\_BUFFER

If the length of application properties is longer than the buffer allocated by the client application, then the PIV Middleware SHALL return PIV\_INSUFFICIENT\_BUFFER but SHALL still set APLength to the length of the application properties.

### 3.2.2. pivEstablishSecureMessaging

**Purpose:** Establish secure messaging with the PIV Card Application.

**Prototype:**

```
status_word pivEstablishSecureMessaging (
    IN handle    cardHandle,
);
```

**Parameters:**

<b>cardHandle</b>	Opaque identifier of the card to be acted upon as returned by pivConnect
-------------------	--

**Return Codes:**

- PIV\_OK
- PIV\_INVALID\_CARD\_HANDLE
- PIV\_CARD\_READER\_ERROR
- PIV\_SM\_FAILED

After successful execution of the key establishment protocol, the PIV Middleware SHALL perform all subsequent GET DATA, VERIFY, and GENERAL AUTHENTICATE commands over secure messaging with the exception of any subsequent uses of the GENERAL AUTHENTICATE command to perform the key establishment protocol.



### 3.2.3. pivLogIntoCardApplication

**Purpose:** Set the security state within the PIV Card Application.

**Prototype:** `status_word pivLogIntoCardApplication(  
    IN handle     cardHandle,  
    IN sequence of byte authenticators,  
    IN LONG       AuthLength  
);`

**Parameters:** **cardHandle**                      Opaque identifier of the card to be acted upon as returned by pivConnect

**authenticators**                      A sequence of zero or more BER-TLV-encoded authenticators to be used to authenticate and set the security state/status in the PIV Card Application context.

The authenticator BER-TLV used on the PIV client API SHALL have the structure described in **Table 3**.

**AuthLength**                      Length of the authenticator template.

**Table 3. Data objects in an authenticator template (Tag '67')**

Description	Tag	M/O	Comment
Reference data	'81'	M	Value of the PIV Card Application PIN, Global PIN, or pairing code, as described in Sec. 2.4.3 of SP 800-73-5 Part2, or OCC data, as described in Sec. 5.5.2 of [SP800-76]
Key reference	'83'	M	See Table 4 of SP 800-73-5 Part1 for PIV Card Application PIN, Global PIN, pairing code, and OCC key reference values

**Return Codes:**                      PIV\_OK  
    PIV\_INVALID\_CARD\_HANDLE  
    PIV\_AUTHENTICATOR\_MALFORMED  
    PIV\_AUTHENTICATION\_FAILURE  
    PIV\_SECURITY\_CONDITIONS\_NOT\_SATISFIED  
    PIV\_CARD\_READER\_ERROR  
    PIV\_SM\_FAILED

The PIV Middleware SHALL NOT submit authenticators to the PIV Card over a contactless interface without secure messaging. If secure messaging has not been established, then the pivLogIntoCardApplication function SHALL return PIV\_SECURITY\_CONDITIONS\_NOT\_SATISFIED.

### 3.2.3.1. pivGetData

**Purpose:** Return the entire data content of the named data object.

**Prototype:** `status_word pivGetData (`  
                  `IN handle                  cardHandle,`  
                  `IN string                      OID,`  
                  `IN LONG                          oidLength,`  
                  `OUT sequence of byte          data,`  
                  `INOUT LONG                      DataLength`  
                  `);`

**Parameters:**

<b>cardHandle</b>	Opaque identifier of the card to be acted upon as returned by pivConnect
<b>OID</b>	Object identifier of the object whose data content is to be retrieved coded as a string (e.g., "2.16.840.1.101.3.7.2.96.80"). See SP 800-73-5 Part1, Table 3.
<b>oidLength</b>	Length of the object identifier.
<b>data</b>	Retrieved data content.
<b>DataLength</b>	As an input, length of the buffer allocated for data. As an output, length of the data retrieved from the PIV Card.

**Return Codes:** PIV\_OK  
PIV\_INVALID\_CARD\_HANDLE  
PIV\_INVALID\_OID  
PIV\_DATA\_OBJECT\_NOT\_FOUND  
PIV\_SECURITY\_CONDITIONS\_NOT\_SATISFIED  
PIV\_CARD\_READER\_ERROR  
PIV\_SM\_FAILED  
PIV\_INSUFFICIENT\_BUFFER

If the length of the retrieved data is longer than the buffer allocated by the client application, then the PIV Middleware SHALL return PIV\_INSUFFICIENT\_BUFFER but SHALL still set DataLength to the length of the retrieved data. If the PIV Card Application returns a zero-length data object, then the PIV Middleware SHALL return PIV\_DATA\_OBJECT\_NOT\_FOUND.

### 3.2.4. pivLogoutOfCardApplication

**Purpose:** Reset the application security state/status of the PIV Card Application.

**Prototype:**    status\_word **pivLogoutOfCardApplication** (  
                  IN handle    **cardHandle**  
                  );

**Parameters:**   **cardHandle**                    Opaque identifier of the card to be acted upon as returned by pivConnect. The cardHandle remains valid after execution of this function.

**Return Codes:** PIV\_OK  
                  PIV\_INVALID\_CARD\_HANDLE  
                  PIV\_CARD\_READER\_ERROR

### 3.3. Entry Points for Cryptographic Operations

#### 3.3.1. pivCrypt

**Purpose:**        Perform a cryptographic operation,<sup>3</sup> such as encryption or signing on a sequence of bytes. SP 800-73-5 Part1, Appendix C describes recommended procedures for PIV algorithm identifier discovery.

**Prototype:**    status\_word **pivCrypt** (  
                  IN handle                    **cardHandle**,  
                  IN byte                     **algorithmIdentifier**,  
                  IN byte                     **keyReference**,  
                  IN sequence of byte        **algorithmInput**,  
                  IN LONG                    **inputLength**,  
                  OUT sequence of byte       **algorithmOutput**,  
                  INOUT LONG                 **outputLength**  
                  );

**Parameters:**   **cardHandle**                    Opaque identifier of the card to be acted upon as returned by pivConnect

**algorithmIdentifier**        Identifier of the cryptographic algorithm to be used for the cryptographic operation [SP800-78, Tables 9 and 10 ]

**keyReference**                Identifier of the on-card key to be used for the cryptographic operation. See [SP800-78, Table 8] and SP 800-73-5 Part1, Table 5.

---

<sup>3</sup> The pivCrypt function does not perform any cryptographic operations itself. It provides the interface to the GENERAL AUTHENTICATE command to perform cryptographic operations on-card. All cryptographic operations are performed outside of the PIV Middleware except for SM on the client side.

<b>algorithmInput</b>	Sequence of bytes used as the input to the cryptographic operation. The algorithmInput for RSA algorithms SHALL be restricted to the range 0 to $n-1$ , where $n$ is the RSA modulus.
<b>inputLength</b>	Length of the algorithm input
<b>algorithmOutput</b>	Sequence of bytes output by the cryptographic operation
<b>outputLength</b>	As an input, length of the buffer allocated for algorithmOutput. As an output, length of the algorithm output.

**Return Codes:**

- PIV\_OK
- PIV\_INVALID\_CARD\_HANDLE
- PIV\_INVALID\_KEYREF\_OR\_ALGORITHM
- PIV\_SECURITY\_CONDITIONS\_NOT\_SATISFIED
- PIV\_INPUT\_BYTES\_MALFORMED
- PIV\_CARD\_READER\_ERROR
- PIV\_SM\_FAILED
- PIV\_INSUFFICIENT\_BUFFER

The PIV\_INPUT\_BYTES\_MALFORMED error condition indicates that some property of the data to be processed, such as the length or padding, was inappropriate for the requested cryptographic algorithm or key.

If the value of keyReference is '04' (PIV Secure Messaging key), then the PIV Middleware SHALL return PIV\_INVALID\_KEYREF\_OR\_ALGORITHM.

If the length of the algorithm output is longer than the buffer allocated by the client application, then the PIV Middleware SHALL return PIV\_INSUFFICIENT\_BUFFER but SHALL still set outputLength to the length of the algorithm output.

### 3.4. Entry Points for Credential Initialization and Administration

The PIV Middleware SHALL NOT submit data provided to the pivPutData or pivGenerateKeyPair function over the contactless interface. If the PIV Middleware is not communicating with the PIV Card via the card's contact interface, then the pivPutData or pivGenerateKeyPair function SHALL return PIV\_FUNCTION\_NOT\_SUPPORTED.

#### 3.4.1. pivPutData

**Purpose:** Replace the entire data content of the named data object with the provided data.

**Prototype:** status\_word pivPutData(

```

    IN handle          cardHandle,
    IN string         OID,
    IN LONG           oidLength,
    IN sequence of byte data,
    IN LONG           dataLength
);

```

**Parameters:**

<b>cardHandle</b>	Opaque identifier of the card to be acted upon as returned by pivConnect
<b>OID</b>	Object identifier of the object whose data content is to be replaced coded as a string (e.g., "2.16.840.1.101.3.7.2.96.80"). See SP 800-73-5 Part1, Table 3.
<b>oidLength</b>	Length of the object identifier
<b>data</b>	Data to be used to replace in its entirety the data content of the named data object
<b>dataLength</b>	Length of the provided data

**Return Codes:**

```

PIV_OK
PIV_INVALID_CARD_HANDLE PIV_INVALID_OID PIV_CARD_READER_ERROR
PIV_INSUFFICIENT_CARD_RESOURCE
PIV_SECURITY_CONDITIONS_NOT_SATISFIED
PIV_FUNCTION_NOT_SUPPORTED

```

### 3.4.2. pivGenerateKeyPair

**Purpose:** Generates an asymmetric key pair in the currently selected card application.

If the provided key reference exists and the cryptographic mechanism associated with the reference data identified by this key reference is the same as the provided cryptographic mechanism, then the generated key pair replaces in entirety the key pair currently associated with the key reference.

**Prototype:**

```

status_word pivGenerateKeyPair(
    IN handle          cardHandle,
    IN byte           keyReference,
    IN byte           cryptographicMechanism,
    OUT sequence of byte publicKey,
    INOUT LONG        KeyLength
);

```

**Parameters:** **cardHandle** Opaque identifier of the card to be acted upon as returned by pivConnect

<b>keyReference</b>	The key reference of the generated key pair
<b>cryptographicMechanism</b>	The type of key pair to be generated. See SP 800-73-5 Part1, Table 7.
<b>publicKey</b>	BER-TLV data objects defining the public key of the generated key pair. See SP 800-73-5 Part2, Table 11.
<b>KeyLength</b>	As an input, the length of the buffer allocated for publicKey; as an output, length of the public key-related data retrieved from the PIV Card

**Return Codes:** PIV\_OK

PIV\_INVALID\_CARD\_HANDLE  
PIV\_SECURITY\_CONDITIONS\_NOT\_SATISFIED  
PIV\_FUNCTION\_NOT\_SUPPORTED  
PIV\_INVALID\_KEY\_OR\_KEYALG\_COMBINATION  
PIV\_UNSUPPORTED\_CRYPTOGRAPHIC\_MECHANISM  
PIV\_CARD\_READER\_ERROR  
PIV\_INSUFFICIENT\_BUFFER

If the length of public key-related data retrieved from the PIV Card is longer than the buffer allocated by the client application, then the PIV Middleware SHALL return

PIV\_INSUFFICIENT\_BUFFER but SHALL still set KeyLength to the length of the public key-related data retrieved from the PIV Card.

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## **Appendix A. List of Symbols, Abbreviations, and Acronyms**

**AID**

Application Identifier

**API**

Application Programming Interface

**ASN.1**

Abstract Syntax Notation One

**BER**

Basic Encoding Rules

**FIPS**

Federal Information Processing Standard

**FISMA**

Federal Information Security Management Act

**GSC-IS**

Government Smart Card Interoperability Specification

**HSPD**

Homeland Security Presidential Directive

**ICC**

Integrated Circuit Card

**IEC**

International Electrotechnical Commission

**INCITS**

InterNational Committee for Information Technology Standards

**ISDN**

Integrated Services Digital Network

**ISO**

International Organization for Standardization

**ITL**

Information Technology Laboratory

**LSB**

Least Significant Bit

**MSB**

Most Significant Bit

**NIST**

National Institute of Standards and Technology

**OCC**

On-Card Biometric Comparison

**OID**

Object Identifier

**OMB**

Office of Management and Budget

**PC/SC**

Personal Computer/Smart Card

**PIN**

Personal Identification Number

**PIV**

Personal Identity Verification

**PKCS**

Public-Key Cryptography Standards

**PKI**

Public Key Infrastructure

**RFU**

Reserved for Future Use

**SM**

Secure Messaging

**SP**

Special Publication

**TLV**

Tag-Length-Value

## Appendix B. Glossary

### **application identifier**

A globally unique identifier of a card application, , as adapted from [ISO/IEC 7816-4](#).

### **application session**

The period of time within a card session between when a card application is selected, and a different card application is selected or the card session ends.

### **algorithm identifier**

A 1-byte identifier that specifies a cryptographic algorithm and key size. For symmetric cryptographic operations, the algorithm identifier also specifies a mode of operation (i.e., ECB).

### **BER-TLV data object**

A data object coded according to [ISO/IEC 8824-2:2021](#).

### **card**

An integrated circuit card.

### **card application**

A set of data objects and card commands that can be selected using an application identifier.

### **card interface device**

An electronic device that connects an integrated circuit card and the card applications therein to a client application.

### **card reader**

Synonym for *card interface device*.

### **client application**

A computer program running on a computer in communication with a card interface device.

### **card management operation**

Any operation involving the PIV Card Application Administrator.

### **data object**

An item of information seen at the card command interface for which is specified a name, a description of logical content, a format, and a coding.

### **interface device**

Synonym for *card interface device*.

### **key reference**

A 1-byte identifier that specifies a cryptographic key according to its PIV Key Type. The identifier is part of cryptographic material used in a cryptographic protocol, such as an authentication or a signing protocol.

### **object identifier**

A globally unique identifier of a data object, as adapted from [ISO/IEC 8824-2:2021](#).

### **reference data**

Cryptographic material used in the performance of a cryptographic protocol, such as an authentication or a signing protocol. The reference data length is the maximum length of a password or PIN. For algorithms, the reference data length is the length of a key.

### **status word**

Two bytes returned by an integrated circuit card after processing any command that encodes the success of or errors encountered during said processing.

**template**

A (constructed) BER-TLV data object whose value field contains specific BER-TLV data objects.

## Appendix C. Notation

The 16 hexadecimal digits SHALL be denoted using the alphanumeric characters 0, 1, 2, ..., 9, A, B, C, D, E, and F. A byte consists of two hexadecimal digits, for example, '2D'. The two hexadecimal digits are represented in quotations '2D' or as 0x2D. A sequence of bytes MAY be enclosed in single quotation marks (e.g., 'A0 00 00 01 16') rather than given as a sequence of individual bytes (e.g., 'A0' '00' '00' '01' '16').

A byte can also be represented by bits b8 to b1, where b8 is the most significant bit (MSB) and b1 is the least significant bit (LSB) of the byte. In textual or graphic representations, the leftmost bit is the MSB. Thus, for example, the most significant bit b8 of '80' is 1, and the least significant bit b1 is 0.

All bytes specified as RFU SHALL be set to '00', and all bits specified as RFU SHALL be set to 0.

All lengths SHALL be measured in number of bytes unless otherwise noted.

Data objects in templates are described as being mandatory (M), optional (O), or conditional (C). Mandatory means that the data object SHALL appear in the template. Optional means that the data object MAY appear in the template.

In other tables the M/O/C column identifies properties of the PIV Card Application that SHALL be present (M), may be present (O), or are conditionally required to be present (C).

BER-TLV data object tags are represented as byte sequences, as described above. Thus, for example, 0x4F is the interindustry data object tag for an application identifier, and 0x7F60 is the interindustry data object tag for the Biometric Information Templates Group template.

This document uses the following typographical conventions in text:

- ASN.1 data types are represented in a monospaced font. For example, SignedData and SignerInfo are data types defined for digital signatures.
- Specific terms in **CAPITALS** represent normative requirements. When these same terms are not in **CAPITALS**, the term does not represent a normative requirement.
- The terms **SHALL** and **SHALL NOT** indicate requirements to be followed strictly in order to conform to the publication and from which no deviation is permitted.
- The terms **SHOULD** and **SHOULD NOT** indicate that among several possibilities, one is recommended as particularly suitable without mentioning or excluding others, that a certain course of action is preferred but not necessarily required, or that — in the negative form — a certain possibility or course of action is discouraged but not prohibited.
- The terms **MAY** and **NEED NOT** indicate a course of action that is permissible within the limits of the publication.
- The terms **CAN** and **CANNOT** indicate a material, physical, or causal possibility or capability or — in the negative — the absence of that possibility or capability.