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Additional Information



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29	Kim Schaffer
30	Computer Security Division
31	Information Technology Laboratory
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101 Abstract

102 The approved sensitive security parameter generation and establishment methods listed in this

- 103 publication replace the ones listed in ISO/IEC 19790 Annex D and ISO/IEC 24759 paragraph
- 104 6.16, within the context of the Cryptographic Module Validation Program (CMVP). As a
- 105 validation authority, the CMVP may supersede Annex D in its entirety.
- 106

Keywords

- 107 Cryptographic Module Validation Program; CMVP; FIPS 140 testing; FIPS 140-3; ISO/IEC
- 108 19790; ISO/IEC 24759; sensitive security parameter establishment methods; sensitive security
- 109 parameter generation; testing requirement; vendor evidence; vendor documentation.
- 110

Audience

- 111 This document is intended for use by vendors, testing labs, and the CMVP to address issues in 112 cryptographic module testing.
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 - 113

Supplemental Content

- 114 Special Publication 800-140D, available at <u>https://csrc.nist.gov/publications/detail/sp/800-</u>
- 115 <u>140d/final</u>, is the governing document until this revision is published as final. The updated final
- 116 may have minor changes, depending on comments received.
- 117

Note to Readers

118 Two changes were made to this document from the first draft of Revision 1 – both editorial. The

119 first was to section 6.2 (Sensitive security parameter generation and establishment methods)

- 120 where the security function subsections were renamed, modified, and recategorized. The second
- 121 was to move the following two standards from this document into SP 800-140C: SP 800-90A, SP
- 122 800-90B.

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147 **1 Scope**

- 148 This document specifies the Cryptographic Module Validation Program (CMVP) approved
- sensitive security parameter generation and establishment methods and supersedes those
- specified in ISO/IEC 19790 Annex D and ISO/IEC 24759 paragraph 6.16.

151 2 Normative references

- 152 This section identifies the normative references cited as ISO/IEC 19790 and ISO/IEC 24759. The
- specific editions to be used are ISO/IEC 19790:2012 and ISO/IEC 24759:2017. Please note that
- 154 the version 19790:2012 referenced here includes the corrections made in 2015.
- 155 National Institute of Standards and Technology (2019) Security Requirements for
- 156 Cryptographic Modules. (U.S. Department of Commerce, Washington, DC), Federal
- 157 Information Processing Standards Publication (FIPS) 140-3.
- 158 <u>https://doi.org/10.6028/NIST.FIPS.140-3</u>

3 Terms and definitions

- 160 The following terms and definitions supersede or are in addition to ISO/IEC 19790 and ISO/IEC24759.
- 162 *None at this time*

163 4 Symbols and abbreviated terms

- 164 The following symbols and abbreviated terms supersede or are in addition to ISO/IEC 19790 and 165 ISO/IEC 24759 throughout this document:
- 166CCCSCanadian Centre for Cyber Security
- 167 CMVP Cryptographic Module Validation Program
- 168CSDComputer Security Division
- 169
 CSTL
 Cryptographic and Security Testing Laboratory
- 170 FIPS Federal Information Processing Standard
- 171 FISMA Federal Information Security Management/Modernization Act
- 172 NIST National Institute of Standards and Technology
- 173 SP 800-XXX NIST Special Publication 800 series document

5 Document organization

175 **5.1 General**

Section 6 of this document replaces the approved sensitive security parameter generation and
establishment methods of ISO/IEC 19790 Annex D and ISO/IEC 24759 paragraph 6.16.

178 **5.2 Modifications**

179 Modifications will follow a similar format to that used in ISO/IEC 24759. For additions to test

180 requirements, new Test Evidence (TEs) or Vendor Evidence (VEs) will be listed by increasing

181 the "sequence_number." Modifications can include a combination of additions using <u>underline</u> 182 and deletions using strikethrough. If no changes are required, the paragraph will indicate "No

183 change."

184 6 CMVP-approved sensitive security parameter generation and establishment 185 requirements

186 **6.1 Purpose**

- 187 This document identifies CMVP-approved sensitive security parameter generation and
- 188 establishment methods. It precludes the use of all other sensitive security parameter generation 189 and establishment methods.
- and establishment methods.

190 6.2 Sensitive security parameter generation and establishment methods

191 **6.2.1 Transitions**

- Barker EB, Roginsky AL (2019) *Transitioning the Use of Cryptographic Algorithms and Key Lengths.* (National Institute of Standards and Technology, Gaithersburg, MD), NIST
 Special Publication (SP) 800-131A, Rev. 2. https://doi.org/10.6028/NIST.SP.800-131Ar2
- Sections relevant to this Annex: 1, 5, 6, 7, and 8.

196 6.2.2 Symmetric Key Generation

Barker EB, Roginsky AL, Davis R (2020) *Recommendation for Cryptographic Key Generation.* (National Institute of Standards and Technology, Gaithersburg, MD), NIST
 Special Publication (SP) 800-133, Rev. 2. https://doi.org/10.6028/NIST.SP.800-133r2

200 6.2.3 Key-Based Key Derivation

Chen L (2009) *Recommendation for Key Derivation Using Pseudorandom Functions (Revised)*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST
 Special Publication (SP) 800-108, Revised. https://doi.org/10.6028/NIST.SP.800-108

204 **6.2.4 Password-Based Key Derivation**

- Sönmez Turan M, Barker EB, Burr WE, Chen L (2010) *Recommendation for Password- Based Key Derivation: Part 1: Storage Applications*. (National Institute of Standards and
 Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-132.
 https://doi.org/10.6028/NIST.SP.800-132
- 209 6.2.5 Asymmetric Key-Pair Generation
- National Institute of Standards and Technology (2013) Digital Signature Standard (DSS).
 (U.S. Department of Commerce, Washington, DC), Federal Information Processing
 Standards Publication (FIPS) 186-4. https://doi.org/10.6028/NIST.FIPS.186-4
- DSA, RSA, and ECDSA.
- Note. For the purposes of the key establishment techniques, the Digital Signature
 Standard is only used to define the domain parameters and the (private, public) key pair generation.
- 217 6.2.6 Key Agreement
- Barker EB, Chen L, Roginsky AL, Vassilev A, Davis R (2018) *Recommendation for Pair-Wise Key-Establishment Schemes Using Discrete Logarithm Cryptography.*(National Institute of Standards and Technology, Gaithersburg, MD), NIST Special
 Deblication (SD) 200 5(A David 2, https://doi.org/10.0028/DUST.SD.200.5(A 2)
- 221 Publication (SP) 800-56A, Rev. 3. <u>https://doi.org/10.6028/NIST.SP.800-56Ar3</u>
- Barker EB, Chen L, Roginsky AL, Vassilev A, Davis R, Simon S (2019)
- 223 Recommendation for Pair-Wise Key-Establishment Using Integer Factorization
- *Cryptography.* (National Institute of Standards and Technology, Gaithersburg, MD),
 NIST Special Publication (SP) 800-56B, Rev. 2. https://doi.org/10.6028/NIST.SP.800-
- 226 56Br2
- 227 6.2.7 Key Agreement Key Derivation
- 228 Barker EB, Chen L, Davis R (2020) *Recommendation for Key-Derivation Methods in*
- 229 *Key-Establishment Schemes*. (National Institute of Standards and Technology,
- 230 Gaithersburg, MD), NIST Special Publication (SP) 800-56C, Rev. 2.
- 231 <u>https://doi.org/10.6028/NIST.SP.800-56Cr2</u>
- 232 Barker EB, Chen L, Davis R (2018) Recommendation for Key-Derivation Methods in
- 233 *Key-Establishment Schemes.* (National Institute of Standards and Technology,
- Gaithersburg, MD), NIST Special Publication (SP) 800-56C, Rev. 1.
- 235 <u>https://doi.org/10.6028/NIST.SP.800-56Cr1</u>

236 **6.2.8 Protocol-Suite Key Derivation**

- Dang QH (2011) *Recommendation for Existing Application-Specific Key Derivation Functions*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST
 Special Publication (SP) 800-135, Rev. 1. https://doi.org/10.6028/NIST.SP.800-135r1
- 240 The Transport Layer Security (TLS) Protocol Version 1.3, Section 7.1. (Internet
- 241 Engineering Task Force, Fremont, CA), RFC 8446, August 2018.
- 242 https://tools.ietf.org/html/rfc8446#section-7.1
- 243 6.2.9 Key Transport
- 244 **6.2.9.1** Key Wrapping
- 245 Dworkin MJ (2012) *Recommendation for Block Cipher Modes of Operation: Methods for* 246 *Key Wrapping*. (National Institute of Standards and Technology, Gaithersburg, MD),
- 247 NIST Special Publication (SP) 800-38F. https://doi.org/10.6028/NIST.SP.800-38F

248 6.2.9.2 Key Encapsulation

- 249 Barker EB, Chen L, Roginsky AL, Vassilev A, Davis R, Simon S (2019)
- 250 Recommendation for Pair-Wise Key-Establishment Using Integer Factorization
- 251 *Cryptography*. (National Institute of Standards and Technology, Gaithersburg, MD),
- 252 NIST Special Publication (SP) 800-56B, Rev. 2. <u>https://doi.org/10.6028/NIST.SP.800-</u>
- 253 <u>56Br2</u>

254 **6.2.10** Other sensitive security parameter establishment methods

- 255 Sensitive security parameter establishment methods allowed in the approved mode with 256 appropriate restrictions are listed in FIPS 140-3 Implementation Guidance Section D.A.
- 257

258 **Document Revisions**

Edition	Date	Change
Revision 1	[Date]	6.2 Sensitive security parameter generation and establishment methods
		Added/Modified: Security function subsection headers.
		6.2.2 Symmetric Key Generation
		Added: SP 800-133 Revision 2, June 2020
		Removed: SP 800-133 Revision 1, July 2019
		6.2.7 Key Agreement Key Derivation
		Added: SP 800-56C Revision 2, August 2020
		6.2.8 Protocol-Suite Key Derivation
		Added: RFC 8446, Section 7.1, August 2018
		6.2.10 Other sensitive security parameter establishment methods
		Added: FIPS 140-3 Implementation Guidance Section D.A

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