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Recommendation for Cryptographic Key Generation

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90 whether such provisions are included in the relevant transfer documents.

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108 **Abstract**

109 Cryptography is often used in an information technology security environment to protect data
110 that is sensitive, has high value, or is vulnerable to unauthorized disclosure or undetected
111 modification during transmission or while in storage. Cryptography relies upon two basic
112 components: an algorithm (or cryptographic methodology) and a cryptographic key. This
113 recommendation discusses the generation of the keys to be managed and used by the approved
114 cryptographic algorithms.

115 **Keywords**

116 asymmetric key; key agreement; key derivation; key generation; key replacement; key transport;
117 key wrapping; private key; public key; symmetric key..

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160 **Note to the Reviewers**

161 This revision of SP 800-133 adds new content for the derivation of asymmetric key pairs (Sec.
162 4.2). Additional updates have been made to align with recent documents (e.g., SP800-90C,
163 PQC guidance), improve clarity, and ensure technical accuracy.

164 The authors specifically request comments on:

- 165 • HSM design – How do these requirements align with common practice and existing
166 systems using a root seed/secret value?
- 167 • PQC implementations and protocols – How do these requirements fit with storing
168 keys as seeds (e.g., ML-KEM) and performing hybrid (i.e., combined classical and
169 post-quantum) implementations?

170 **1. Introduction**

171 Cryptography is often used in an information technology security environment to protect data
172 that is sensitive, has high value, or is vulnerable to unauthorized disclosure or undetected
173 modification during transmission, storage, or use. Cryptography relies upon two basic
174 components: an algorithm (or cryptographic methodology) and, often, a cryptographic key.
175 The algorithm is a mathematical process, and the key is a parameter used by that process.

176 The National Institute of Standards and Technology (NIST) has developed a wide variety of
177 Federal Information Processing Standards Publications (FIPS) and NIST Special Publications
178 (SPs) to specify and approve cryptographic algorithms for use by the Federal Government. In
179 addition, guidelines have been provided on the management of the cryptographic keys to be
180 used with these **approved** cryptographic algorithms.

181 This recommendation (i.e., SP 800-133) discusses the generation of the keys to be used with
182 the **approved** cryptographic algorithms. The keys are either:

- 183 • Generated using mathematical processing on the output of **approved** random bit
184 generators (RBGs) and possibly other parameters or
- 185 • Generated based on keys that are generated in this fashion.

2. General Discussion

2.1. Keys to be Generated

This recommendation addresses the generation of cryptographic keys used in cryptography. Key generation includes the generation of a key using the output of an RBG, the derivation of a key from another key, the derivation of a key from a password, and key agreement performed by two entities using an **approved** key-agreement scheme. All keys **shall** be based directly or indirectly on the output of an **approved** RBG. Keys are considered to be indirectly generated from an RBG if they are derived:

- During a key-agreement transaction (see SP 800-56A [1] and SP 800-56B [2]),
- From another key using a key-derivation function or seed expansion function (see SP 800-108 [3], Sec. 4.2, and Sec. 5.2), or
- From a password for storage applications (see SP 800-132 [4] and Sec. 5.2.3).

This is because an ancestor key¹ or random value (e.g., the random value used to generate a key-agreement key pair) was obtained directly from the output of an **approved** RBG.

Two classes of cryptographic algorithms that require cryptographic keys have been **approved** for use by the Federal Government: asymmetric-key algorithms and symmetric-key algorithms. The generation of keys for these algorithm classes is discussed in Sec. 4 and 5, respectively.

2.2. Where Keys are Generated

Cryptographic keys **shall** be generated within FIPS 140-validated cryptographic modules (see [5]). For explanatory purposes, consider the cryptographic module in which a key is generated to be the key-generating module. Any random value required by the key-generating module during key generation **shall** be generated within that module. That is, the RBG (or portion of the RBG²) that generates the random value **shall** be implemented within the FIPS 140 cryptographic module that generates the key. The generated keys **shall** be transported (when transportation is necessary) using secure channels and **shall** be used by their associated cryptographic algorithm within FIPS 140-validated cryptographic modules.

¹An ancestor key is a key that is used in the generation of another key. For example, an ancestor key for a key generated by a key-derivation function would be the key-derivation key used by that key-derivation function.

²The RBG itself might be distributed. For example, the entropy source may not co-reside with the algorithm that generates the (pseudo) random output.

2.3. Supporting a Security Strength

A method (e.g., an RBG or a key and its associated cryptographic algorithm) *supports a given security strength* if the security strength provided by that method is equal to or greater than the security strength required for protecting the target data; the actual security strength provided can be higher than required.

2.3.1. Security Strength Supported by an RBG

A well-designed RBG supports a given security strength only if the amount of randomness available in the RBG is equal to or greater than that security strength. The support of a given security strength also requires a commensurate security strength for the confidentiality protection afforded to the entropy bits entered into the RBG (and other parameters that determine the RBG's state). When used to generate keys and other secret values, a commensurate security strength is also required for the confidentiality and integrity protection that will be provided to the RBG output. For information regarding the security strength that can be supported by **approved** RBGs, see SP 800-90C [6].

2.3.2. Security Strength Supported by an Algorithm

SP 800-57, Part 1 [7] discusses cryptographic algorithms and the security strengths that they can support given certain choices of parameters and/or key lengths. The security strength of a cryptographic algorithm is determined with the assumption that any keys used are generated with sufficient randomness. The key-generation process must provide keys of the required size and type and is assumed to support security strengths that are equal to or greater than the security strength determined for the cryptographic algorithm.³

2.3.3. Security Strength Supported by a Key

The security strength that can be supported by a key depends on:

1. The algorithm with which it is used,
2. The size of the key (see SP 800-57, Part 1),
3. The process that generated the key (e.g., the security strength supported by the RBG that was used to generate the key), and
4. How the key was handled (e.g., the security strength available in the method used to transport the key).

³Both randomness and security strengths are measured in bits.

241 Using terms like “security strength supported by a key” or “key supports a security strength”
242 assumes that these factors have been taken into consideration. For example, if an **approved**
243 RBG that supports a security strength of 196 bits has been used to generate a 196-bit key, and
244 if (immediately after generation) the key is used with AES-196 to encrypt target data, then
245 the key may be said to support a security strength of 196 bits in that encryption operation
246 for as long as the key is kept secret. However, if the 196-bit AES key is generated using
247 an RBG that supports a security strength of only 128 bits, then the key can only support a
248 security strength of 128 bits, even though its length is still 196 bits (i.e., the security strength
249 of the key has been determined by the process used for its generation).

3. Using the Output of a Random Bit Generator

Random bit strings required for the generation of cryptographic keys **shall** be obtained from the output of an **approved** RBG, as specified in SP 800-90C [6]. The RBG **shall** be instantiated at the required security strength to protect the target data (i.e., the data that will be protected by the generated keys).

The output of an **approved** RBG can be used as specified in this section to obtain, for example, a symmetric key or the random value needed to generate an asymmetric key pair. Asymmetric key pairs require the use of an **approved** algorithm for their generation (see FIPS 186, FIPS 203, FIPS 204, and FIPS 205 [8–11]). The generation of asymmetric key pairs is discussed in Sec. 4. Methods for the generation of symmetric keys are discussed in Sec. 5.

When random bit strings are required for the generation of cryptographic keys, they are obtained as follows.

Let B be the random bit string to be acquired, for example, to use as a symmetric key (K) or as input to an asymmetric-key-generation algorithm. Let $bLen$ be its desired length in bits. B **shall** be a bit string that is formed as follows:

$$B = U \oplus V,$$

where

- U is a bit string of $bLen$ bits that is obtained as the output of an **approved** RBG that is capable of supporting the security strength required by the algorithm and/or application using B (e.g., to protect the target data),
- V is a bit string of $bLen$ bits (which could be all zeros), and
- The value of V is determined in a manner that is independent of the value of U and vice versa.

The algorithm and/or application with which B will be used and the security strength that B is intended to support will determine the required bit length of B . $bLen$ **shall** meet the relying application or algorithm's length requirement for a value of the bit string B to be used as intended in support of the targeted security strength. For example, if B is to be used as an AES key, then $bLen$ **shall** be an **approved** AES key length that supports the required security strength for protecting the target data. As another example, according to FIPS 186, if B is to be used as a seed in the specified process of generating provably prime factors of an RSA modulus n , then $bLen$ is to be twice the security strength associated with the bit length of n .

282 Since there are no restrictions on the selection of V other than its length and independence
283 from U , a conservative assumption is that the process used to select U provides most if not
284 all of the required entropy, which — when measured in bits — cannot exceed the length of
285 U (i.e., $bLen$). Therefore, the **approved** RBG from which U is obtained **shall** be capable of
286 providing the requisite entropy for B during the generation of U (i.e., at least $bLen$ bits of
287 entropy are provided during the seeding of the RBG).

288 The independence requirement on U and V is interpreted in a computational and statistical
289 sense: the computation of U does not depend on V , and the computation of V does not
290 depend on U . Knowledge of the value of V (but not B) must provide no advantage to a party
291 intent on gaining insight into an as-yet-unknown value of U . The value of V may be selected
292 using a process that provides little entropy (e.g., V may be assigned a fixed, public value).
293 Given that U is the output of an **approved** RBG, the following are examples of independently
294 selected V values:

- 295 • V is a constant that is selected independently of the value of U but may depend on
296 the use of B . For example, if B is used as a key-derivation key, then V may be some
297 value M , but if B is used as a key-wrapping key, then V may be some value N . If V is
298 a string of binary zeros, then $B = U$ (i.e., the output of an **approved** RBG).
- 299 • V is a key obtained using an **approved** key-derivation method from a key-derivation
300 key and other input that is independent of U (see SP 800-108 [3]).
- 301 • V is a key that was independently generated in another cryptographic module. During
302 subsequent transport, V was protected using an **approved** key-wrapping algorithm or
303 transported using an **approved** key-transport scheme. Upon receipt, the protection on
304 V is removed within the key-generating module that generated U before combining V
305 with U .
- 306 • V is produced by hashing another bit string (V') using an **approved** hash function
307 and (if necessary) truncating the result to the appropriate length before combining it
308 with U . That is, $V = T(H(V'), bLen)$ where $T(x, bLen)$ denotes the truncation of
309 bit string x to its $bLen$ leftmost bits. The bit string V' may be selected using the
310 previous examples.

4. Generation of Key Pairs for Asymmetric-Key Algorithms

Asymmetric-key algorithms (also known as public-key algorithms) require the use of asymmetric key pairs that consist of a private key and a corresponding public key. A key pair can be used to generate and verify digital signatures (see Sec. 4.1.1) or for key establishment (see Sec. 4.1.2). Each public/private key pair is associated with only one entity, which is known as the key-pair owner. Key pairs **shall** be generated by:

- The key-pair owner or
- A Trusted Party that provides the key pair to the owner in a secure manner. The Trusted Party must be trusted by all parties that use the public key.

After key-pair generation, the key pair is retained and used by its owner. If the key pair was generated by a Trusted Party, both the owner and any relying party must trust that party not to use the private key of the key pair. The public key may be known by or provided to whomever needs to use it when interacting with the owner (see Sec. 4.3).

Key pairs are generated using a corresponding key-generation algorithm that requires (pseudo)random input. Section 4.1 discusses the generation of asymmetric key pairs using random inputs from an RBG. Section 4.2 discusses the derivation of random inputs for key-pair generation.

At some point, an asymmetric key pair may need to be replaced (e.g., its cryptoperiod has been exceeded, or it has been compromised). Section 4.4 discusses replacement.

4.1. Direct Generation of Random Inputs for Generating Key Pairs

Unlike symmetric keys, asymmetric key pairs are not necessarily uniformly (pseudo)random data and instead have a particular mathematical structure. To correctly construct these key pairs, the corresponding key-generation algorithm is required, which in turn requires uniform (pseudo)random input. For example, the asymmetric key-generation routines specified in FIPS 186-5 [8] generate keys through either repeated calls to an RBG or a deterministic process that begins from a seed value that is typically generated from an RBG. The maximum security strength that can be supported by the resulting key pairs depends on the security strength of the RBG and a variety of size and parameter choices. Guidelines on the size and parameter choices appropriate for supporting various security strengths can be found in SP 800-57, Part 1.

341 **4.1.1. Key Pairs for Digital Signature Schemes**

342 Digital signatures are generated on data to provide source authentication, identity authentica-
343 tion, assurance of data integrity, or support for signatory non-repudiation. Digital signatures
344 are generated by a signer using a private key and verified by a receiver using a public key. The
345 generation of key pairs for digital signature applications is addressed in each digital signature
346 specification (e.g., FIPS 186-5, FIPS 204, FIPS 205, SP 800-208 [8, 10–12]).

347 When using RBG output directly for key generation, values of B (computed as shown in Sec.
348 3) **shall** be used to provide all random bit strings used in key-pair generation, as specified in
349 the digital signature specification.⁴ The maximum security strength that can be supported
350 by the resulting key pairs depends on a variety of size and parameter choices.

351 Guidelines on the size/parameter choices appropriate for supporting various security strengths
352 can be found in SP 800-57, Part 1. For example, SP 800-57, Part 1 states that an ECDSA
353 key pair generated using an appropriate elliptic curve and a base point whose order is a
354 256-bit to 383-bit prime number can support (at most) a security strength of 128 bits. FIPS
355 186-5 specifies that for such ECDSA key pairs, the random value used to determine a private
356 key must be obtained using an RBG that supports a security strength of 128 bits. Using the
357 method in Sec. 3, a random value B that is to be used for the generation of the private
358 key is determined by U (i.e., a value of a specified bit length obtained from an RBG that
359 supports a security strength of at least 128 bits) and V (which could be zero). The value of
360 B is then used to determine the private key from which the public ECDSA key is obtained,
361 as specified in FIPS 186-5.

362 **4.1.2. Key Pairs for Key Establishment**

363 Key establishment includes key-agreement, key-transport, and key-encapsulation mechanisms.
364 With key agreement, the resultant secret keying material is a function of information con-
365 tributed by all participants in the key-establishment process (usually only two participants).
366 Thus, no party can predetermine the value of the keying material independent of any other
367 party's contribution. With key transport, one party (i.e., the sender) selects a value for the
368 secret keying material and then securely distributes that value to one or more other parties
369 (i.e., receivers). Key-encapsulation mechanisms (KEMs) are algorithms that may be used by
370 two parties to securely establish a shared secret key over a public channel (see SP 800-227
371 [13]).

372 **Approved** methods for generating the asymmetric key pairs used by **approved** key-establishment
373 schemes between two parties include those specified in:

⁴This includes the generation of “secret numbers” used as ephemeral private keys in FIPS 186.

- 374 • SP 800-56A [1] for schemes that use finite-field or elliptic-curve cryptography
- 375 • SP 800-56B [2] for schemes that use integer-factorization cryptography, such as RSA
- 376 • FIPS 203 [9] for ML-KEM, which is a key-encapsulation mechanism using modular
377 lattice cryptography

378 Future NIST publications may specify additional **approved** key-establishment methods.

379 When using RBG output directly for key generation, values of B (computed as shown in
380 Sec. 3) **shall** be used to provide the random values needed to generate key pairs for the
381 finite field or elliptic curve schemes in SP 800-56A, to generate key pairs for the integer-
382 factorization schemes specified in SP 800-56B, or to generate key pairs for KEMs (i.e., seeds).
383 The maximum security strength that can be supported by the **approved** key-establishment
384 schemes and the key sizes used by these schemes is provided in SP 800-57, Part 1.

385 4.2. Derivation of Random Inputs From a Key-Derivation Key

386 In some cases, the random inputs used to generate asymmetric key pairs may be derived
387 from a secret value held by the intended key-pair owner. This secret value functions as
388 a key-derivation key (KDK), which allows the key-pair owner to generate and use a set
389 of asymmetric key pairs while only needing to generate and/or store the corresponding
390 key-derivation key. The set of asymmetric key pairs could be derived and re-derived on
391 demand using the KDK along with other information unique to each key pair.

392 This document refers to “deriving” a key pair from a KDK, but the full process includes:

- 393 • Generating one or more pseudorandom values from the KDK and additional input and
- 394 • Providing those pseudorandom values to a key-generation algorithm to generate the
395 key pair.

396 The key-derivation key **shall** be generated and stored using an **approved** technique for
397 generating symmetric keys, as specified in Sec. 5. The security strength of this KDK **shall**
398 be sufficient to support the security strength required for the asymmetric key pairs that will
399 be derived from the KDK.

400 The key-derivation key **shall** be kept secret. If a KDK is ever output from a cryptographic
401 module, the key **shall** be output and transferred in a form and manner that provides appropriate
402 assurance of its confidentiality and integrity. If a KDK needs to be used by multiple devices
403 or entities, it may either be generated using an **approved** key-establishment scheme (see
404 Sec. 5.2.1) or transferred after generation using an **approved** key-transport or key-wrapping
405 scheme (see Sec. 5.4). The security of this step **shall** meet or exceed the security requirements

406 of the intended derived key pairs.⁵ All keys that are generated from a shared key-derivation
407 key will be known to all owners of the KDK. That is, sharing the KDK is equivalent to sharing
408 all derived private keys.

409 Asymmetric key-pair generation algorithms may use random values derived from a KDK.
410 Random values used to generate a key pair **shall not** be used for any other purpose.
411 Asymmetric key pairs derived from a key-derivation key **shall** be generated using an **approved**
412 asymmetric key-generation algorithm (see Sec. 4.1.1 for key pairs for digital signature schemes
413 and Sec. 4.1.2 for key pairs for key-establishment schemes).

414 A single key-derivation key may be used to derive multiple asymmetric and symmetric keys.
415 This may involve:

- 416 • A separate key-derivation process for each key,
- 417 • Deriving all keys from the output of a single key-derivation process, or
- 418 • Deriving multiple sets of keys over several key-derivation processes.

419 A key-derivation process may be a call to a key-derivation method (see Sec. 4.2.1) or
420 seed-expansion method (see Sec. 4.2.2). Each key-derivation process using a given key-
421 derivation key **shall** use unique additional input.⁶ If multiple keys are generated during a
422 single key-derivation process, the random values and keys **shall** be selected from disjointed
423 (i.e., non-overlapping) segments of the key-derivation process output.

424 4.2.1. Derivation of Initial Values Using Key-Derivation Methods

425 Seeds may be derived from the key-derivation key using an **approved** KDM (see Sec. 5.2.2)
426 for **approved** key-generation mechanisms that require one or more initial random values (i.e.,
427 seeds), such as those specified in:

- 428 • Sections A.1.2, A.2.1, or A.2.2 of FIPS 186-5 [8]
- 429 • Algorithm 16 in FIPS 203 [9]
- 430 • Algorithm 6 in FIPS 204 [10]
- 431 • Algorithm 18 in FIPS 205 [11]

⁵This is particularly relevant for long-lasting key pairs that intend to be quantum-resistant. See IR 8547 [14].

⁶Additional input may be reused across different key-derivation keys. A blank or “null” additional input is allowed.

432 The key-generating module **shall** use a unique label and/or context as “additional input” to
433 the KDM to produce a unique output for each key-derivation process. This output may be
434 used as the random input for key-generation algorithms.

435 This guideline supersedes the requirement that seeds be fresh (i.e. not previously used) and
436 obtained directly from an RBG, as stated in FIPS 186-5, Appendices A.1.2, A.2.1, and A.2.2;
437 FIPS 203, Sec. 3.3; FIPS 204, Sec. 3.6; and FIPS 205, Sec. 3.1.

438 4.2.2. Derivation of Initial Values Using Seed Expansion

439 Seed-expansion functions may be used to expand an initial random value (i.e., a seed) into a
440 longer sequence of pseudorandom material. This pseudorandom material may be used for
441 initial values in key-pair generation (see examples in Sec. 4.2.1). Select **approved** algorithms
442 may be used as seed-expansion functions, namely eXtensible-Output Functions (XOFs) and
443 deterministic random bit generators (DRBGs). Examples of **approved** XOFs include SHAKE
444 (see FIPS 202 [15]) and ASCON-XOF (see SP 800-232 [16]). **Approved** DRBGs are specified
445 in SP 800-90A [17].

446 The original random value (i.e., the KDK) used for seed expansion **shall not** be used across
447 multiple devices or parties. The seed **shall** be a value that is generated locally or provided to
448 the device for sole ownership and usage, as described in Sec. 3.

449 The security strength supported by the underlying seed-expansion algorithm **shall** meet
450 or exceed the security strength requirements of any keys generated using the resulting
451 seed-expansion output.

452 This guideline supersedes the requirement that seeds provided to the key-generation algorithm
453 be fresh (i.e. not previously used) and obtained directly from an RBG, as stated in FIPS
454 186-5, Appendices A.1.2, A.2.1, and A.2.2; FIPS 203, Sec. 3.3; FIPS 204, Sec. 3.6; and
455 FIPS 205, Sec. 3.1.

456 The **approved** methods for using seed-expansion functions are:

- 457 1. An **approved** XOF used in the following way:

$$458 \quad K' = \text{XOF}(\textit{seed} \parallel \textit{additional_input}, L),$$

459 where

- 460 • K' is the final pseudorandom expanded output,
- 461 • \textit{seed} is the initial random value,
- 462 • $\textit{additional_input}$ is the optional unique value, and

- L is the desired length of the expanded output.

2. An **approved** DRBG algorithm used in the following way:

$DRBG_instance = DRBG.instantiate(seed, additional_input)$

$K' = DRBG.generate(DRBG_instance, L, \epsilon),$

where

- $DRBG_instance$ is the state handle for the dedicated DRBG,
- $DRBG.instantiate$ is the instantiation function for the DRBG algorithm,
- $seed$ is the initial random value,
- $additional_input$ is the optional unique value,
- K' is the final pseudorandom expanded output,
- $DRBG.generate$ is the generation function for the DRBG algorithm,
- L is the desired length of the expanded output, and
- ϵ is the empty string.

Notes: The instantiated DRBG **shall not** be used for any other purpose and **must not** be callable from user-controlled software. The initial random values that are generated for a key-generation algorithm **shall** be created using a single generate call to the instantiated DRBG. The requested number of bits **shall** be the total size of the initial random values.

4.2.3. Derivation of Algorithm Random Values

For many key-generation algorithms, the amount and length of random inputs are known before generating a key pair. However, some algorithms (see FIPS 186-5, Appendices A.1.3 and A.2.2) perform dynamic requests for randomness, resulting in a variable amount of required random inputs. These random values may be generated using a dedicated DRBG.

The DRBG **shall** be instantiated with a KDK and unique additional input. The KDK **shall not** be used across multiple devices or parties. A DRBG instantiated with a KDK to fulfill randomness requests for a key-generation algorithm **shall not** be used for any other purpose. In particular, the DRBG **shall not** be used to generate more than one key pair.

When generating random values during (rather than before) a key-generation algorithm, each request for randomness **shall** be fulfilled with a separate generate call to the instantiated

492 DRBG. The requested number of bits for each generate call **should** be the requested number
493 of bits from the key-generation algorithm step. For a given key pair, the sequence of DRBG
494 generate calls needs to be deterministic and consistent across separate executions of the
495 key-generation algorithm to successfully recreate the key pair.

496 **4.3. Distributing Key Pairs**

497 A general discussion of the distribution of asymmetric key pairs is provided in SP 800-57, Part
498 1. Depending on the asymmetric-key algorithm, key pairs may either be static or ephemeral.
499 Static key pairs are intended to be used multiple times; ephemeral keys are usually used only
500 once.

501 The private key of a key pair **shall** be kept secret. It **shall** either be generated:

- 502 • Within the key-pair owner's cryptographic module (i.e., the key-pair owner's key-
503 generating module) or
- 504 • Within the cryptographic module of an entity trusted by the key-pair owner and any
505 relying party not to misuse the private key or reveal it to other entities.⁷

506 If a private key is ever output from a cryptographic module, the key **shall** be output and
507 transferred in a form and manner that provides appropriate assurance⁸ of its confidentiality
508 and integrity (e.g., using manual methods and multi-party control procedures or automated
509 key-transport methods). The protection **shall** provide appropriate assurance that only the
510 key-pair owner and/or the party that generated the key pair will be able to determine the
511 value of the plaintext private key (e.g., the confidentiality and integrity protection for the
512 private key uses a cryptographic mechanism that is at least as strong as the maximum security
513 strength that must be supported by the asymmetric-key algorithm that will use the private
514 key).

515 The public key of a key pair may be made public. However, it **shall** be distributed and verified
516 in a manner that ensures its integrity and association with the key-pair owner. For example,
517 in the case of a static public key, this may be accomplished using an X.509 certificate that
518 provides a level of cryptographic protection that is at least as strong as the security strength
519 associated with the key pair.

⁷In this case, the key pair is generated within the key-generating module of a Trusted Party and securely transferred to the key-pair owner's cryptographic module.

⁸The term "provide appropriate assurance" is used to allow various methods for the input and output of cryptographic keys to/from cryptographic modules that may be implemented at different security levels (see FIPS 140 and Sec. 7.7 of the FIPS 140 IG).

520 **4.4. Key Pair Replacement**

521 Key pairs need to be replaced if the private key is compromised. Key pairs also need to be
522 replaced occasionally to limit the amount of information that is protected by the key pair in
523 case of a compromise of the private key [7]. SP 800-57, Part 1 discusses the usage period for
524 each key of the key pair for both digital signature and key-establishment key pairs.

525 When asymmetric key pairs need to be replaced, they **shall** be generated and distributed as
526 specified in Sec. 4.1, 4.2, or 4.3, as appropriate.

5. Generation of Keys for Symmetric-Key Algorithms

Symmetric-key algorithms use the same secret key to both apply cryptographic protection to information (e.g., compute a message authentication code [MAC], transform plaintext data into ciphertext data using an encryption operation) and to remove or verify the protection (e.g., remove protection by transforming the ciphertext data back to the original plaintext data using a decryption operation or verify protection by computing a new MAC and comparing it with a received MAC).

Keys used with symmetric-key algorithms are commonly referred to as secret keys and must be known only by the entities authorized to apply, remove, or verify the protection. A secret key is often known by multiple entities that are said to share or own the secret key, although it is not uncommon for a key to be generated, owned, and used by a single entity (e.g., for secure storage). A secret key **shall** be generated by:

- One or more of the entities that will share the key or
- A Trusted Party that provides the key to the intended sharing entities in a secure manner. The Trusted Party must be trusted by all entities that will share the key to not disclose the key to unauthorized parties or otherwise misuse the key.

A symmetric key K could be used, for example, to:

- Encrypt and decrypt data in an appropriate mode (e.g., using AES in the CTR mode, as specified in FIPS 197 [18] and SP 800-38A [19])
- Generate MACs (e.g., using AES in the CMAC mode, as specified in FIPS 197 and SP 800-38B [20]; HMAC, as specified in FIPS 198 [21]; or KMAC, as specified in SP 800-185 [22])
- Derive additional keys using a key-derivation function (KDF) specified in SP 800-108 [3], where K is the pre-shared (i.e., preexisting) key that is used as the key-derivation key (KDK) (e.g., K could be a value of B generated as specified in Sec. 3)

Section 5.1 discusses the generation of symmetric keys that are obtained from the output of an RBG. Section 5.2 discusses the derivation of symmetric keys. Section 5.4 specifies **approved** techniques for combining a symmetric key with other symmetric keys and/or additional data. At some point, a symmetric key needs to be replaced for a number of possible reasons (e.g., its cryptoperiod has been exceeded, or it has been compromised; see SP 800-57, Part 1). Section 5.5 discusses key replacement.

5.1. Direct Generation of Symmetric Keys

Symmetric keys that are to be directly generated from the output of an RBG **shall** be generated as specified in Sec. 3, where B is used as the desired key K . The length of the key to be generated depends on the length requirement of the application or algorithm with which the key is used and the security strength to be supported. SP 800-57, Part 1 discusses key lengths and the maximum security strengths supported by symmetric-key algorithms and their keys.

5.2. Derivation of Symmetric Keys

Symmetric keys are often obtained from the output of an **approved** key-derivation method (KDM), which is a cryptographic process specifically designed to transform secret input values into bit strings that can be parsed into cryptographic keys and/or other secret keying material.

Approved KDMs have been constructed from more basic cryptographic components, such as an **approved** hash function, as specified in FIPS 180 or FIPS 202 [15, 23]; HMAC (using an **approved** hash function), as specified in FIPS 198-1 [21]; AES-CMAC, as specified in FIPS 197 [18] and SP 800-38B [20]; or a KMAC variant, as specified in SP 800-185 [22].

Depending on the application and the KDM, the input to a KDM may include one or more of the following:

- A shared secret value produced during the execution of a key-agreement scheme
- A cryptographic key (i.e., a KDK)
- A password or passphrase
- A salt value, which may be secret, non-secret, fixed, or randomly selected
- A nonce (including RBG output) that may indicate the algorithm to be associated with the key (e.g., AES), the use of the key (e.g., email), or any other information that may be useful for associating a particular execution of the KDM with the keys to be derived

Approved KDMs can be divided into two categories:

1. The first category consists of one-step KDMs, which are usually called key-derivation functions (KDFs). General-purpose KDFs are based on pseudorandom functions (PRFs) that use a KDK (and other input) to generate additional keys (see SP 800-108 [3]). Some special-purpose KDFs, which are employed only as components of key-agreement schemes, are used to obtain keying material from the shared secrets produced during

589 the execution of such schemes (see SP 800-56C and SP 800-135 [24, 25]). Other
590 special-purpose KDFs are to be used only for password-based protection of stored data
591 and/or the keys that protect that data (see SP 800-132 [4]).

592 2. The second category consists of extraction-then-expansion key-derivation procedures
593 that involve two steps:

594 (a) Randomness extraction to obtain a single cryptographic KDK. The extraction of
595 a KDK from a shared secret produced during the execution of a key-agreement
596 scheme is described in SP 800-56C. The HMAC-based extraction of a symmetric
597 key from the concatenation of preexisting symmetric keys and other data is
598 described in Sec. 5.3 along with other methods of combining preexisting keys to
599 form a new key. The key resulting from a key-extraction process can be used as
600 a KDK for key expansion.

601 (b) Key expansion to derive keying material from 1) the KDK produced during
602 randomness extraction and 2) other information, as specified in SP 800-56C and
603 SP 800-108.

604 5.2.1. Symmetric Keys Generated Using Key-Establishment Schemes

605 When an **approved** key-agreement scheme or KEM is available within an entity's key-
606 generating module, a symmetric key may be established with another entity that has the
607 same capability. This process results in a symmetric key that is shared between the two
608 entities participating in the key-agreement or KEM transaction.

609 SP 800-56A [1] and SP 800-56B [2] provide several methods for pairwise key agreement.
610 Asymmetric key-agreement keys are used with a key-agreement primitive algorithm to generate
611 a shared secret. The shared secret is provided to a key-derivation method to derive keying
612 material. SP 800-56C [24] specifies **approved** key-derivation methods for the key-agreement
613 schemes in SP 800-56A and SP 800-56B.

614 KEMs may also be used for symmetric key establishment. Asymmetric KEM keys are used
615 with an **approved** KEM (e.g., FIPS 203 [9]) to generate a shared secret key. This generated
616 key does not require further key derivation before use (see SP 800-227 [13]).

617 The maximum security strength that can be supported by a key derived in this manner
618 depends on:

- 619 • The security strength supported by the asymmetric key pairs (as used during key
620 establishment),
- 621 • The key-derivation method used,

- 622 • The length of the derived key, and
- 623 • The algorithm with which the derived key will be used.

624 See SP 800-57, Part 1 for more details.

625 **5.2.2. Symmetric Keys Derived From a Preexisting Key**

626 Symmetric keys are often derived using a KDF and a preexisting key (i.e., a KDK). For
627 example, the preexisting key may have been:

- 628 • Generated from an **approved** RBG (see Sec. 3) and distributed as specified in Sec. 5.4
- 629 • Agreed upon using a key-agreement scheme (see Sec. 5.2.1)
- 630 • Derived using a KDF and a (different) preexisting key, as specified in SP 800-108
- 631 • An **approved** function of multiple cryptographic keys and other data, as described in
632 Sec. 5.3

633 SP 800-108 specifies **approved** KDFs for deriving keys from a pre-shared (i.e., preexisting)
634 KDK. The KDFs are based on HMAC (as specified in FIPS 198-1), CMAC (as specified in SP
635 800-38B), and KMAC (as specified in SP800-185). Section 5.4 describes how to distribute
636 the derived keys to other entities.

637 In addition to the symmetric-key algorithm with which a derived key will be used, the security
638 strength that can be supported by the derived key depends on the security strength supported
639 by the key-derivation key and the KDF used (see SP 800-57, Part 1 for the maximum security
640 strength that can be supported by HMAC and CMAC).

641 **5.2.3. Symmetric Keys Derived From Passwords**

642 In a number of popular applications, keys are generated from passwords. This is a questionable
643 practice since passwords are usually selected using methods that provide very little entropy (i.e.,
644 randomness) and are, therefore, easily guessed. However, **approved** methods for deriving keys
645 from passwords for storage applications are provided in SP 800-132. For these applications,
646 users are strongly advised to select passwords using methods that provide a very large amount
647 of entropy.

648 When a key is generated from a password, the entropy provided (and thus, the maximum
649 security strength that can be supported by the generated key) **shall** be considered to be zero
650 unless the password is generated using an **approved** RBG. In this case, the security strength
651 that can be supported by the password (*password_strength*) is no greater than the minimum

652 of the security strength supported by the RBG (*RBG_strength*) and the actual number of
653 bits of RBG output (*RBG_outlen*) used in the password. That is,

$$654 \quad \textit{password_strength} \leq \min(\textit{RBG_strength}, \textit{RBG_outlen}).$$

655 **5.3. Symmetric Keys Produced by Combining Multiple Keys and Other** 656 **Data**

657 When symmetric keys K_1, \dots, K_n are generated and/or established independently, they
658 may be combined within a key-generating module to form a key K . Other items of data
659 (D_1, \dots, D_m) can also be combined with the K_i to form K under the conditions specified
660 below. While the K_i values must be kept secret, the D_i values do not.

661 The component symmetric keys (i.e., the K_i values) **shall** be generated and/or established
662 independently (and subsequently protected as necessary) using **approved** methods that
663 support a security strength that is equal to or greater than the targeted security strength of
664 the algorithm or application that will rely on the output key K . Each component key **shall**
665 be kept secret and **shall** not be used for any purpose other than the computation of a specific
666 symmetric key K (i.e., a given component key **shall** not be used to generate more than one
667 key).

668 The independent generation/establishment of the component keys K_1, \dots, K_n is interpreted
669 in a computational and statistical sense. That is, the computation of any particular K_i
670 value does not depend on any one or more of the other K_i values, and it is not feasible
671 to use knowledge of any proper subset of the K_i values to obtain any information about
672 the remaining K_i values. When their use is permitted, D_1, \dots, D_m **shall** be generated or
673 obtained using methods that ensure their independence from the values of the component
674 keys K_1, \dots, K_n .

675 The required independence of the component keys from these other items of data is also
676 interpreted in a computational and statistical sense. This means that the computation of the
677 K_i values does not depend on any of the D_j values; the computation of the D_j values does
678 not depend on any of the K_i values; and knowledge of the D_j values yields no information
679 that can feasibly be used to gain insight into the K_i values. In cases where some (or all) of
680 the D_j values are secret and the rest of the D_j values (if any) are public, "independence"
681 also means that knowledge of the K_i values and public D_j values yields no information that
682 can feasibly be used to gain insight into the secret D_j values.

683 Let K_1, \dots, K_n be the n component keys to be combined to form K . For each K_i (where
684 $i = 1$ to n), let ss_M_i be the maximum security strength that can be supported by the

685 combination of methods used to generate K_i and to protect it after generation (e.g., during
686 key transport and/or storage). In particular, assume that an adversary that is capable of
687 exerting an effort on the order of 2^{ss-M_i} “basic operations” will be able to compromise those
688 methods and obtain the value of K_i .

689 The **approved** methods for combining the component keys and other data are:

690 1. Concatenating two or more keys:

$$691 \quad K = K_1 || \dots || K_n$$

692 Notes:

- 693 (a) This method requires $n \geq 2$.
- 694 (b) The sum of the bit lengths of the n component keys **shall** be equal to $kLen$,
695 which is the required bit length for K .
- 696 (c) The methods used to generate or establish the component keys **shall** be such
697 that the sum of the randomness provided by those methods is equal to or greater
698 than the randomness required for the resulting key K .

699 2. Exclusive-Oring one or more symmetric keys and possibly one or more other items of
700 data:

$$701 \quad K = K_1 \oplus \dots \oplus K_n \oplus D_1 \oplus \dots \oplus D_m$$

702 Notes:

- 703 (a) The length of each component key (K_i) and the length of each data item (D_i)
704 **shall** be equal to $kLen$, which is the required bit length of K .

705 This method requires $m \geq 0, n \geq 1$, and $n + m \geq 2$.

- 706 • If $m = 0$, then $D_1 \oplus \dots \oplus D_m$ is an all-zero bit string of bit length $kLen$.
- 707 • If $m = 1$, then $D_1 \oplus \dots \oplus D_m$ is just D_1 .
- 708 • If $n = 1$, then $K_1 \oplus \dots \oplus K_n$ is just K_1 , and $D_1 \oplus \dots \oplus D_m$ **shall** be
709 a non-zero bit string. In particular, m **shall** be at least 1.

- 710 (b) The methods used to generate or establish the component keys **shall** be such
711 that at least one of them provides randomness equal to or greater than the
712 randomness required for the resulting key K .

713 3. A key-extraction process:

$$714 \quad K = T(\text{HMAC-hash}(\text{salt}, K_1 || \dots || K_n || D_1 || \dots || D_m), kLen)$$

Notes:

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- (a) HMAC-*hash* **shall** be an implementation of HMAC (as specified in FIPS 198-1, using an **approved** hash function hash) with a security strength that meets or exceeds the targeted security strength of the algorithm or application that will rely on the resulting key K (see SP 800-57, part 1).
- (b) The *salt* is a secret or non-secret value with a length ≥ 0 that is used as the HMAC key. The *salt* must be known by all entities using this key-extraction process to obtain the same value of K .
- (c) This method requires $n \geq 1$. If $n = 1$, then $K_1 || \dots || K_n$ is just K_1 .
- (d) This method requires $m \geq 0$. If $m = 0$, then $D_1 || \dots || D_m$ is a null string. If $m = 1$, then $D_1 || \dots || D_m$ is just D_1 .
- (e) T is the truncation function defined in Sec. B.
- (f) The length of the output block of the hash function used with HMAC **shall** be at least $kLen$ bits, which is the required bit length for K .
- (g) The sum of the randomness provided by the methods used to generate or establish the component keys **shall** be equal to or greater than the randomness required for the output K and **should** be at least twice that amount of randomness.
- (h) Alternative orderings are permitted when forming the concatenation of keys and data (including interleaving the keys and data), but the ordering must be known by all entities computing the value of K .
- (i) The security strength of the key formed from combining multiple keys and data is subject to the considerations discussed in Sec. 2.3.

5.4. Distributing Symmetric Keys

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The symmetric key generated within a key-generating module often needs to be shared with one or more other entities that have their own cryptographic modules. The key may be distributed manually or using an **approved** key-transport or symmetric key-wrapping method (see SP 800-56B, SP 800-38F [26], and SP 800-57, Part 1). The method used for key transport or key wrapping **shall** support the desired security strength needed to protect the target data (i.e., the data to be protected by the application or algorithm relying on the symmetric key). The requirements for the output of a key from a cryptographic module are discussed in FIPS 140.

746 **5.5. Replacement of Symmetric Keys**

747 Sometimes, a symmetric key may need to be replaced. This may be due to a compromise of
748 the key or the end of the key's cryptoperiod (see SP 800-57, Part 1). Replacement **shall** be
749 accomplished through a rekeying process. Rekeying is the replacement of a key with a new
750 key that is generated independent of the value of the old key (i.e., knowledge of the old key
751 provides no knowledge of the value of the replaced key and vice versa).

752 When a compromised key is replaced, the new key **shall** be generated in a manner that
753 ensures its independence from the compromised key. The new key may be generated using
754 any appropriate method in Sec. 5 with the following restrictions:

- 755 1. The method used **shall** provide assurance that there is no feasibly detectable relationship
756 between the new key and the compromised key. To that end, the new key **shall** not be
757 derived or updated using the compromised key.
- 758 2. If the compromised key was generated in a manner that depended (in whole or in part)
759 on a password (see Sec. 5.2.3), then that password **shall** be changed prior to the
760 generation of any new key. In particular, new keys **shall** be generated in a manner that
761 is independent of the old password value.

762 If an uncompromised symmetric key is to be replaced, it **shall** be replaced using any method
763 in Sec. 5 that supports the required amount of security strength. However, if the key to be
764 replaced was generated in a manner that depended (in whole or in part) on a password (see
765 Sec. 5.2.3), that password **shall** be changed prior to the generation of the new key.

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866 **Appendix A List of Acronyms**

- 867 **AES** Advanced Encryption Standard
- 868 **CAVP** Cryptographic Algorithm Verification Program
- 869 **CMAC** Cipher-Based MAC
- 870 **CMVP** Cryptographic Module Verification Program
- 871 **CTR** Counter Mode for a Block Cipher Algorithm
- 872 **DRBG** Deterministic Random Bit Generator
- 873 **DSA** Digital Signature Algorithm

- 874 **ECDSA** Elliptic Curve Digital Signature Algorithm
- 875 **FIPS** Federal Information Processing Standards Publications
- 876 **HMAC** Keyed-Hash Message Authentication Code
- 877 **ITL** Information Technology Laboratory
- 878 **KDF** Key-Derivation Function
- 879 **KDM** Key-Derivation Method
- 880 **KEM** Key-Encapsulation Mechanism
- 881 **KMAC** KECCAK Message Authentication Code
- 882 **MAC** Message Authentication Code
- 883 **NIST** National Institute of Standards and Technology
- 884 **RBG** Random Bit Generator
- 885 **RSA** Rivest-Shamir-Adleman
- 886 **SP** Special Publication
- 887 **TTP** Trusted Third Party
- 888 **XOF** eXtensible-Output Function

889 **Appendix B Symbols and Terms**

890 \oplus Bit-wise exclusive-or. A mathematical operation that is defined as

891
$$0 \oplus 0 = 0, \quad 0 \oplus 1 = 1, \quad 1 \oplus 0 = 1, \quad 1 \oplus 1 = 0.$$

892 ϵ The empty string.

893 **A || B** The concatenation of bit strings A and B.

894 *B* The bit string to be determined.

895 ***bLen*** The length of the bit string *B* in bits.

896 **H(*x*)** A cryptographic hash function with *x* as an input.

897 *K* The key to be determined.

898 ***kLen*** The length of *K* in bits

- 899 **$\max(x_1, \dots, x_n)$** The maximum of the x_i values.
- 900 **$\min(x, y)$** The minimum of x and y . For example, if $x < y$, then $\min(x, y) = x$.
- 901 **ss_K** The security strength that can be supported by the key K .
- 902 **ss_{M_i}** The security strength that can be supported by the combination of the methods used
903 to generate a key K_i , and the methods used to protect it after generation (e.g.,
904 during key-transport and/or storage).
- 905 **$T(x, l)$** Truncation of the bit string x to the leftmost l bits of x , where $l \leq$ the length of x
906 in bits.
- 907 **$XOF(x, L)$** An extendable output function with input x and the set output length L .

908 **Appendix C Glossary**

- 909 **algorithm** A clearly specified mathematical process for computation; a set of rules that, if
910 followed, will give a prescribed result.
- 911 **approved** FIPS-approved and/or NIST-recommended.
- 912 **asymmetric key** A cryptographic key used with an asymmetric-key (public-key) algorithm.
913 The key may be a private key or a public key.
- 914 **asymmetric-key algorithm** A cryptographic algorithm that uses two related keys: a public
915 key and a private key. The two keys have the property that determining the private
916 key from the public key is computationally infeasible; also known as a public-key
917 algorithm.
- 918 **bit string** An ordered sequence of 0 and 1 bits.
- 919 **ciphertext** Data in its encrypted form.
- 920 **compromise** The unauthorized disclosure, modification, or use of sensitive data (e.g., keying
921 material and other security-related information).
- 922 **cryptographic algorithm** A well-defined computational procedure that takes variable inputs
923 (often including a cryptographic key) and produces an output.
- 924 **cryptographic boundary** An explicitly defined continuous perimeter that establishes the
925 physical bounds of a cryptographic module and contains all of the hardware, software,
926 and/or firmware components of a cryptographic module. See FIPS 140.

927 **cryptographic key (key)** A parameter used in conjunction with a cryptographic algorithm
928 that determines its operation in such a way that an entity with knowledge of the
929 correct key can reproduce or reverse the operation, while an entity without knowledge
930 of the key cannot.

931 **cryptographic module** The set of hardware, software, and/or firmware that implements
932 security functions (including cryptographic algorithms and key-generation methods)
933 and is contained within a cryptographic module boundary. See FIPS 140.

934 **cryptoperiod** The period of time during which a specific key is authorized for use or in which
935 the keys for a given system or application may remain in effect.

936 **data integrity** A property of data items that have not been altered in an unauthorized
937 manner since they were created, transmitted, or stored.

938 **decryption** The process of changing ciphertext into plaintext using a cryptographic algorithm
939 and key.

940 **deterministic random bit generator (DRBG)** A DRBG produces a sequence of bits from
941 a secret initial value called a seed along with other possible inputs. A DRBG is often
942 called a pseudorandom number (or bit) generator.

943 **digital signature** The result of a cryptographic transformation of data that, when properly
944 implemented, provides source authentication and assurance of data integrity and
945 supports signatory non-repudiation.

946 **encryption** The process of changing plaintext into ciphertext using a cryptographic algorithm
947 and key.

948 **entity** An individual (person), organization, device, or process; used interchangeably with
949 “party.”

950 **entropy** A measure of the disorder, randomness, or variability in a closed system; see SP
951 800-90B.

952 **eXtendable-Output Function (XOF)** A function on bit strings in which the output can be
953 extended to any desired length. **Approved** XOFs (e.g., those specified in FIPS 202)
954 are designed to satisfy the following properties as long as the specified output length
955 is sufficiently long to prevent trivial attacks:

956 1. (One-way) It is computationally infeasible to find any input that maps to any new
957 pre-specified output.

958 2. (Collision-resistant) It is computationally infeasible to find any two distinct inputs
959 that map to the same output.

960 **hash function** A function on bit strings in which the length of the output is fixed. **Approved**
961 hash functions (e.g., those specified in FIPS 180 and FIPS 202) are designed to
962 satisfy the following properties:

963 1. (One-way) It is computationally infeasible to find any input that maps to any new
964 pre-specified output.

965 2. (Collision-resistant) It is computationally infeasible to find any two distinct inputs
966 that map to the same output.

967 **identity authentication** The process of providing assurance about the identity of an entity
968 interacting with a system (e.g., to access a resource). Sometimes called entity
969 authentication. Compare with source authentication.

970 **key** See cryptographic key.

971 **key agreement** A (pair-wise) key-establishment procedure in which the resultant secret
972 keying material is a function of information contributed by both participants so that
973 neither party can predetermine the value of the secret keying material independently
974 of the contributions of the other party; contrast with key transport.

975 **key-agreement primitive** A primitive algorithm used in a key-agreement scheme specified
976 in SP 800-56A or SP 800-56B.

977 **key derivation** 1. A process by which one or more keys are derived from a shared secret
978 and other information during a key-agreement transaction.

979 2. A process that derives new keying material from a key (i.e., a key-derivation
980 key) that is currently available.

981 **key-derivation function** As used in this recommendation, either a one-step key-derivation
982 method or a key-derivation function based on a pseudorandom function, as specified
983 in SP 800-108.

984 **key-derivation key** A key used as an input to a key-derivation method to derive other keys;
985 see SP 800-108.

986 **key-derivation method** A key-derivation function or other **approved** procedure for deriving
987 keying material.

988 **key-derivation procedure** As used in this recommendation, a two-step key-derivation method
989 consisting of randomness extraction followed by key expansion.

- 990 **key-encapsulation mechanism (KEM)** A set of three cryptographic algorithms (i.e., Key-
991 Gen, Encaps, and Decaps) that can be used by two parties to establish a shared
992 secret key over a public channel.
- 993 **key establishment** A procedure that results in secret keying material that is shared among
994 different parties.
- 995 **key expansion** The second step in the key-derivation procedure in which a key-derivation
996 key is used to derive secret keying material with the desired length. The first step in
997 the procedure is randomness extraction.
- 998 **key extraction** See randomness extraction.
- 999 **key-generating module** A cryptographic module in which a given key is generated.
- 1000 **key generation** The process of generating keys for cryptography.
- 1001 **key pair** A private key and its corresponding public key; a key pair is used with an asymmetric-
1002 key (public-key) algorithm.
- 1003 **key-pair owner** In asymmetric-key cryptography, the entity that is authorized to use the
1004 private key associated with a public key, whether that entity generated the key pair
1005 itself or a Trusted Party generated the key pair for the entity.
- 1006 **key transport** A key-establishment procedure whereby one party (i.e., the sender) selects a
1007 value for the secret keying material and securely distributes that value to another
1008 party (i.e., the receiver).
- 1009 **key wrapping** A method of encrypting and decrypting keys and (possibly) associated data
1010 using symmetric-key cryptography; both confidentiality and integrity protection are
1011 provided; see SP 800-38F.
- 1012 **key-wrapping key** A key used as an input to a key-wrapping method; see SP 800-38F.
- 1013 **MAC key** A symmetric key used as input to a security function to produce a message
1014 authentication code.
- 1015 **message authentication code (MAC)** A cryptographic checksum on data that uses an
1016 **approved** security function and a symmetric key to detect both accidental and
1017 intentional modifications of data.
- 1018 **min-entropy** The min-entropy (in bits) of a random variable X is the largest value m having
1019 the property that each observation of X provides at least m bits of information
1020 (i.e., the min-entropy of X is the greatest lower bound for the information content
1021 of potential observations of X). The min-entropy of a random variable is a lower

1022 bound on its entropy. The precise formulation for min-entropy is $-\log_2(\max p_i)$ for
1023 a discrete distribution having event probabilities p_1, \dots, p_k . Min-entropy is often
1024 used as a worst-case measure of the unpredictability of a random variable.

1025 **module** See cryptographic module.

1026 **nonce** A time-varying value that has (at most) an acceptably small chance of repeating. For
1027 example, the nonce may be a random value that is generated anew for each use, a
1028 timestamp, a sequence number, or some combination of these.

1029 **owner** 1. For an asymmetric key pair consisting of a private key and a public key, the
1030 owner is the entity that is authorized to use the private key associated with
1031 the public key, whether that entity generated the key pair itself or a Trusted
1032 Party generated the key pair for the entity.

1033 2. For a symmetric key (i.e., a secret key), the entity or entities that are authorized
1034 to share and use the key.

1035 **parameter** A value that is used to control the operation of a function or that is used by a
1036 function to compute one or more outputs.

1037 **party** See entity.

1038 **password** A string of characters (e.g., letters, numbers, other symbols) that are used to
1039 authenticate an identity or to verify access authorization. A passphrase is a special
1040 case of a password that is a sequence of words or other text. In this recommendation,
1041 the use of the term “password” includes this special case.

1042 **permutation** An ordered (re)arrangement of the elements of a finite set; a function that is
1043 both a one-to-one and onto mapping of a set to itself.

1044 **plaintext data** In this recommendation, data that will be encrypted by an encryption algo-
1045 rithm or obtained from ciphertext using a decryption algorithm.

1046 **pre-shared key** A secret key that has been established between parties who are authorized
1047 to use it by means of some secure method (e.g., secure manual-distribution process,
1048 automated key-establishment scheme).

1049 **primitive algorithm** A low-level cryptographic algorithm (e.g., an RSA encryption operation)
1050 used as a basic building block for higher-level cryptographic algorithms or schemes
1051 (e.g., RSA key transport).

1052 **private key** A cryptographic key used with an asymmetric-key (public-key) cryptographic
1053 algorithm that is not made public and is uniquely associated with an entity that is

1054 authorized to use it. In an asymmetric-key cryptosystem, the private key is associated
1055 with a public key.

1056 **public key** A cryptographic key used with an asymmetric-key (public-key) cryptographic
1057 algorithm that may be made public and is associated with a private key and an entity
1058 that is authorized to use that private key.

1059 **public-key algorithm** See asymmetric-key algorithm.

1060 **random bit generator (RBG)** A device or algorithm that outputs bits that are computa-
1061 tionally indistinguishable from bits that are independent and unbiased.

1062 **randomness extraction** The first step in the two-step key-derivation procedure during which
1063 a key-derivation key is produced. The second step in the procedure is key expansion.

1064 **rekey** A procedure in which a new cryptographic key is generated in a manner that is
1065 independent of the (old) cryptographic key that it will replace.

1066 **salt** As used in this recommendation, a byte string (which may be secret or non-secret) that
1067 is used as a MAC key.

1068 **secret key** A cryptographic key used by one or more authorized entities in a symmetric-key
1069 cryptographic algorithm; the key is not made public.

1070 **secure channel** A path for transferring data between two entities or components that ensures
1071 confidentiality, integrity, replay protection, and mutual authentication between the
1072 entities or components. A secure channel may be provided using cryptographic,
1073 physical, or procedural methods or a combination thereof.

1074 **security function** Cryptographic algorithms, together with modes of operation (if appropri-
1075 ate), such as block ciphers, digital signature algorithms, asymmetric key-establishment
1076 algorithms, message authentication codes, hash functions, or random bit generators;
1077 see FIPS 140.

1078 **security strength** A number associated with the amount of work (i.e., the number of basic
1079 operations) required to break a cryptographic algorithm or system. Security strength
1080 is often expressed in bits. If the security strength is S bits, then it is expected that
1081 (roughly) 2^S basic operations are required to break the algorithm or system.

1082 **shall** This term is used to indicate a requirement of a Federal Information Processing Standards
1083 Publication (FIPS) or a requirement that must be fulfilled to claim conformance to
1084 this recommendation; note that **shall** may be coupled with not to become **shall not**.

1085 **shared secret** A secret value that has been computed during a key-establishment scheme, is
1086 known by all participating parties, and is used as input to a key-derivation method
1087 to produce keying material.

1088 **shared secret key** A shared secret that can be used directly as keying material or as a
1089 symmetric key.

1090 **source authentication** The process of providing assurance about the source of information.
1091 Sometimes called origin authentication. Compare with identity authentication.

1092 **support a security strength** A term applied to a method (e.g., RBG, a key with its asso-
1093 ciated cryptographic algorithm) that is capable of providing (at a minimum) the
1094 security strength required or desired for protecting data. A security strength of s bits
1095 is said to be supported by a particular choice of keying material, algorithm, primitive,
1096 auxiliary function, or parameters for use in the implementation of a cryptographic
1097 mechanism if that choice will not prevent the resulting implementation from attaining
1098 a security strength of at least s bits.

1099 **symmetric key** See secret key.

1100 **symmetric-key algorithm** A cryptographic algorithm that uses the same secret key for its
1101 operation and (if applicable) for reversing the effects of the operation (e.g., an HMAC
1102 key for keyed hashing, an AES key for encryption and decryption); also known as a
1103 secret-key algorithm.

1104 **target data** The data that is to be protected (e.g., a key or other sensitive data).

1105 **trusted party** A party that is trusted by its clients to generate cryptographic keys.