

**DRAFT NIST Special Publication 1227**

**Performance Metrics and Test Methods  
for Robotic Hands**

Joe Falco  
Karl Van Wyk  
Elena Messina

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**NIST**  
National Institute of  
Standards and Technology  
U.S. Department of Commerce

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# **Performance Metrics and Test Methods for Robotic Hands**

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*Intelligent Systems Division  
Engineering Laboratory*

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U.S. Department of Commerce  
*Wilbur L. Ross, Jr., Secretary*

National Institute of Standards and Technology  
*Walter Copan, NIST Director and Undersecretary of Commerce for Standards and Technology*

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This is a working document from a NIST led working group under the Institute of Electrical and Electronics Engineers (IEEE) Robotic Hands Grasping and Manipulation (RHGM) Technical Committee with periodic updates based on public comment. It serves as an archive for future community concensus based standards development work. Opportunities to comment on this document will be announced using robotics community portals such as rhgm.org and robotics-worldwide. Comments should be communicated using the [robot-performance@nist.gov](mailto:robot-performance@nist.gov) mail list (see <https://email.nist.gov/mailman/listinfo/robot-performance> to sign up). Use "Benchmark" as the subject line when submitting comments for this document. All comments are subject to release under the Freedom of Information Act (FOIA).

1 **Abstract**

2 Increasing the flexibility and general-purpose applicability of robots is a longstanding goal.  
3 Several avenues of research are addressing these goals, ranging from integration of multi-  
4 ple sensors to allow robots to perceive their surroundings and adapt accordingly, to more  
5 sophisticated control algorithms that enable robots to re-plan based on current status, to  
6 development of more dexterous means of manipulating objects. As part of the manipula-  
7 tion thrust, there has been a recent increase in the development of robotic hands. Inspired  
8 by nature, these end effectors hold potential for allowing robots to pick up and manipulate  
9 a broader range of objects, without requiring customized end-of-arm tooling or grippers.  
10 With this rapidly-growing number of robot hands with diverse designs, there is a need to  
11 capture their individual competencies and characteristics under a unified framework. In  
12 addition to knowledge of basic hand characteristics such as the number of fingers, degrees  
13 of freedom, and degrees of actuation, performance metrics can provide valuable insight  
14 into not only the raw traits of the technology, but also their task and function-level perfor-  
15 mance capabilities. These measures can then be used to help match capabilities to end-user  
16 needs as well as provide researchers and developers insight for improving their hardware  
17 and software designs.

18

19 **Key words**

20 Benchmarking; Grasping; Manipulation; Robotics; Performance; Measures; Test Methods.

21

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# 1. Introduction

Mechanisms inspired by the vastly agile grasping capabilities and dexterity of human hands are being developed to enhance the flexibility and general-purpose usability of robotic systems. At this point in time, there are varying levels of anthropomorphism in the designs of robotic hands. Although the term “hand” is applied to this general category of end-effectors, not all of them consist of a palm with five articulated digits, including opposable thumbs. Some designs attempt to reproduce many aspects of human hands (bone and tendon structure) resulting in very realistic mechanisms of great complexity (e.g., [3, 4]) with only a few degrees of freedom. These designs are usually classified as being part of the hand category. The intended application for these hands also varies considerably. Their uses include serving as human prostheses, or as parts of robots that perform service, assistive, military, medical, or industrial functions.

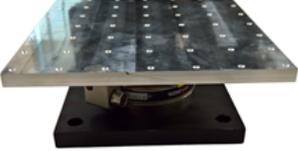
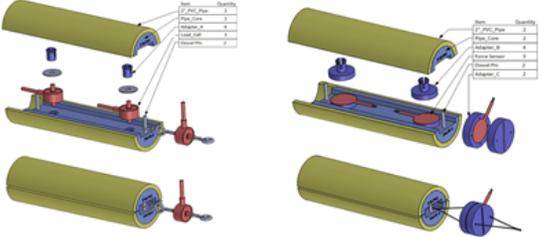
To design relevant performance metrics and methods for characterizing robotic hands, it helps to understand the contextual or application-specific issues surrounding robotic grasping and manipulation. Characterization of a robotic hand should not be thought of in terms of a single value or dimension. Rather, a full characterization that involves a range of metrics is needed to guide selection of appropriate hands for a particular application and to direct research and development advancements.

Regardless of the actual task, any grasping and manipulation problem can be broken down into its first principles: kinematics and kinetics, or more simply, motion and effort. Kinetics are the forces acting on bodies or particles that are responsible for causing their motion. Any kinetic metric or test method will be evaluating force, torques, and any other measure of effort, such as electrical current. Kinematics is the geometry of motion of bodies or particles, disregarding the forces that cause such motion. Therefore, any kinematic metric or test method will be concerned with evaluating positions, velocities, or accelerations of bodies, parts, or particles, and will typically be expressed in units of length and time. Evaluation areas of interest include palms, fingers, points of contact, or parts under grasp. Building test methods using this first principles approach will ultimately lead to relevant performance capture, and will span from lower-level capabilities including primitive sensing and control to higher-level capabilities including manipulation, perception, and decision making.

When evaluating the capabilities of a robotic hand, performance tests should be agnostic to the other system components such as the robot arm and perception system. While it is possible to access data directly from a robotic hand and derive the defined metrics, these measurements would be based on the inherent properties of the system under test. Therefore, independent measurement systems must be developed to support testing to allow for comparative metrics between systems to establish extrinsic ground truths.

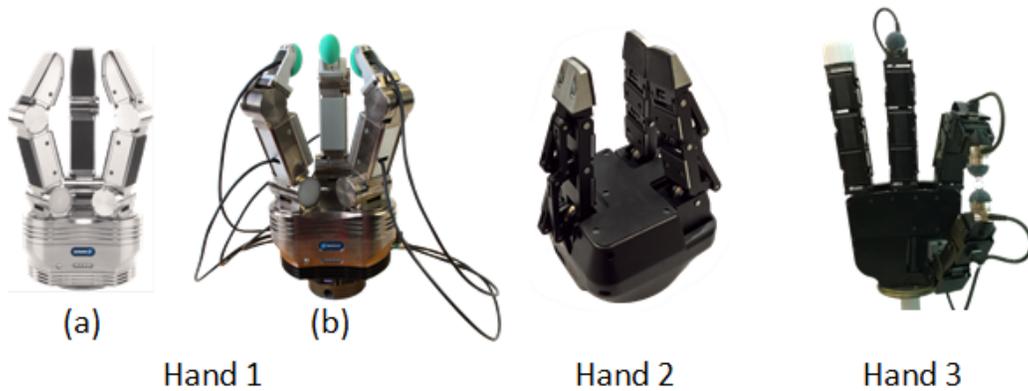
This publication contains a series of elemental metrics that were identified through a combination of literature reviews, workshops, and interactions with participants in a metrics

292 working group formed under the Institute of Electrical and Electronic Engineers (IEEE)  
 293 Robotics and Automation Society Robotic Hand Grasping and Manipulation Technical  
 294 Committee. Each section defines a metric and a test method. The test method describes  
 295 the test setup, artifacts, measurements, and guidance for analysis of the measurements. A  
 296 listing of the test methods and associated measurement instrumentation is shown in Table  
 297 1. Additionally, many sections contain an example implementation<sup>1</sup> of the test method  
 298 using the two robotic hands shown in Figure 1 as well as an array of integrated sensor sys-  
 299 tems and control algorithms. Appendices provide background and additional information  
 300 about grasp metrics, analysis of grasping tasks, and artifact design. The National Insti-  
 301 tute of Standards and Technology (NIST) continues to develop, where possible, lower-cost  
 302 alternatives to the artifact designs.

Test Method	Measurement Instrument
Finger Strength	 6- Axis Load Cell
Touch Sensitivity	
Finger Force Tracking	
Force Calibration	
Grasp Strength	 Split Cylinder Artifact
Slip Resistance	
Grasp Efficiency	
Cycle Time	
In-Hand Manipulation	 Motion Tracking System
Object Pose Estimation	

**Table 1.** Listing of test methods and associated measurement instruments

<sup>1</sup>Example implementations are produced to demonstrate use of the test method.

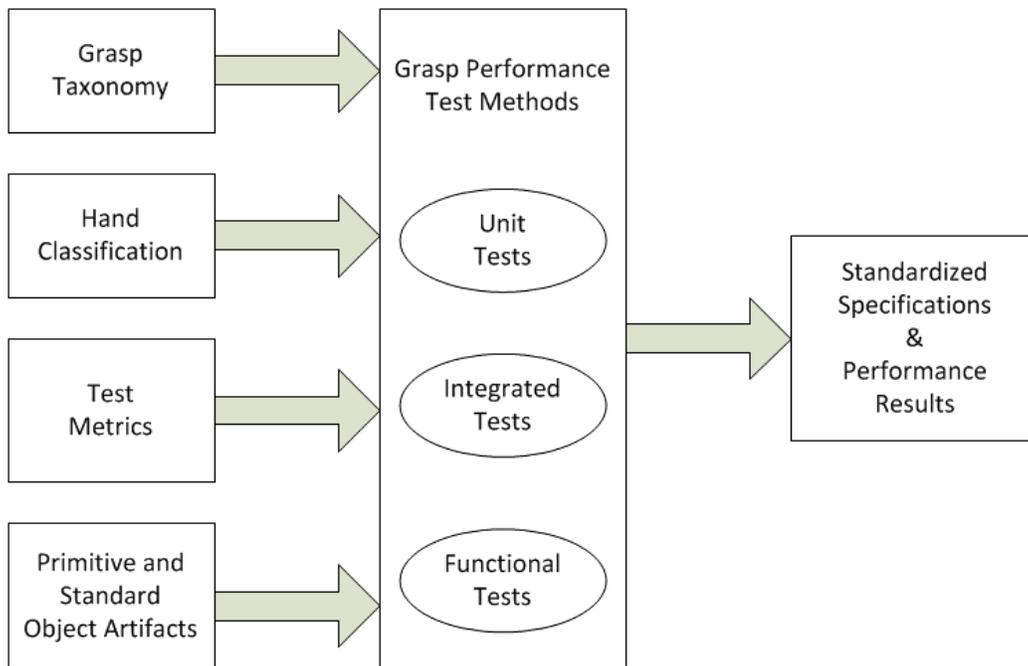


**Fig. 1.** Robot hands used to verify test methods: Hand 1(a) - Schunk Dexterous Hand, a three-fingered, 7 degree-of-actuation robotic hand with Weiss Robotics resistance based tactile sensors; Hand 1(b) - Schunk Dexterous Hand retrofitted with bio-inspired impedance based tactile sensors; Hand 2 - Robotiq Adaptive Gripper with current sensing; Hand 3 - Allegro Hand retrofitted with six-axis load cells at fingertips

## 2. Towards Standardized Benchmarks for Robotic Hands

Robotic hands are an integrated mechatronic system of sensors, motors, and control algorithms ranging from three-fingered to five-fingered anthropomorphic designs having both fully- and under- articulated joints, sometimes with built-in compliance. Designs incorporate a variety of sensing technologies including simple current sensing at the drive motors, load cells, barometers, hydrophones, pressure transducers, electrodes, cameras, and tactile arrays. Depending on the sensory layouts and mechanical implementation, tactile sensing capabilities can include the ability to resolve point of contact, directionality and magnitude of contact forces as well as other sensing modalities such as vibration and temperature. Control algorithms use these signals to incorporate position, velocity, and force control schemes. This wide scope of performance characteristics requires a modular set of performance metrics and associated test methods that can be chosen based on a defined set of grasp types the hand can perform, as well as a scheme for classifying a hand that includes sensing and control capabilities. Also needed are a common set of test objects (artifacts) to be used along with the test methods. A framework for benchmarking the performance of robotic hands is shown in Figure 2

Grasp taxonomies for the human hand have been developed towards the understanding of grasps that humans commonly use in everyday tasks. Cutkosky [5] performed a study of the grasps used by machinists in a small batch manufacturing operation and developed a taxonomy of grasps to provide insights for the design of versatile robotic hands for manufacturing. Feix et al. [6] derive a taxonomy of grasps based on a literature review of 14 human grasp studies (including Cutkosky's) from both the robotics and medical communities. The knowledge of these grasp taxonomies has been applied to the design of robotic



**Fig. 2.** Framework for standardized performance benchmarking of robotic hands

326 and prosthetic hands and provides a basis for describing the grasp types that a hand can  
 327 perform.

328 Performance tests should encompass general grasping tasks as defined in Appendix B and  
 329 be comprised of unit, integrated, and functional test methods. When evaluating the capabil-  
 330 ities of a robotic hand, unit and integrated performance tests should be agnostic to the other  
 331 system components such as the robot arm and perception system. While it is possible to ac-  
 332 cess data directly from a robotic hand and derive the defined metrics, these measurements  
 333 would be based on the inherent properties of the system under test. Therefore, indepen-  
 334 dent measurement systems must be developed to support testing to allow for comparative  
 335 metrics between systems without effects, such as force accuracies and data latencies.

336 Unit performance characteristics include kinematic properties such as volumetric capabil-  
 337 ities and grasp configurations with associated maximum force capabilities. At the very  
 338 basic level, primitive geometries such as spheres, cylinders, and cubes can be used to char-  
 339 acterize the volumetric capabilities of a hand and maximum pinch and grasp forces can be  
 340 determined at the bounds of these primitive volumetric capabilities. Individual finger tests  
 341 can be performed to determine the positional accuracy and repeatability of the finger as  
 342 well as velocity and acceleration characteristics. Sensors can be tested at their stock sens-  
 343 ing modalities for properties such as resolution and sensitivity. For example, in the case of  
 344 tactile sensors, desired characteristics might include normal and shear sensing capabilities,  
 345 as well as the ability to resolve the direction for forces and spatial resolution.

346 Integrated system characteristics include tests to evaluate the ability of a hand to withstand  
347 external forces while maintaining a good level of grasp efficiency and the ability to make  
348 initial contact with an object with minimal disturbance to the object. In addition, tests are  
349 needed to characterize the integration of a sensor system. For example, one test is defined  
350 to characterize the latency of a finger’s motion to feedback from a tactile sensor. Another  
351 test characterizes the performance of a hand to adjust grasp forces to prevent slip due to  
352 changes in external forces on the object.

353 Functional tests which include the added performance characteristics of a robot arm and  
354 perception system can be standardized if they are defined generically to meet the require-  
355 ments of an application space or to evaluate the capabilities of more than one robotic hand  
356 technology for a known application (e.g., benchmarking). These generic functional assess-  
357 ments of the hand’s performance follow unit and integrated testing. Finally, functional tests  
358 should be performed within the actual application space for final performance verification.  
359

360 In summary, standardized performance benchmarks for robotic hands offer the benefits of  
361 an “honest broker” to characterize system performance. The results of such evaluations  
362 and benchmarks help to match capabilities to end-user needs, as well as to help developers  
363 improve their product designs<sup>2</sup>. To date, benchmarks to assess the results of grasp research  
364 are primarily qualitative measures. However, there is evidence, as described in Appendix  
365 A, of quantitative assessments of grasping research results scattered across the community.  
366 Standardized benchmarks will require a framework for matching the grasp types that a  
367 system under test can perform, as well as its sensing and control capabilities to the right  
368 set of unit and integrated performance tests in order to perform a thorough evaluation of a  
369 robotic hand system.

370 It is hoped that researchers will begin using these test methods and communicate the per-  
371 formance test results of robotic hands in scholarly publications. The impact of different  
372 mechanical designs, sensors, and control algorithms can be quantified using these test  
373 methods. The metrics provided herein will provide a common language for comparing  
374 different hand designs and will strengthen the progress of development and deployment  
375 of more-capable robotic hands. Experiences in applying these test methods will serve to  
376 improve them over time. The evolved versions of these test methods can then be submitted  
377 to a standards development organization to go through the process of consensus review and  
378 balloting.

379 This publication is structured as follows. We begin with a series of elemental metrics that  
380 were identified through a combination of literature reviews, workshops, and interactions

---

<sup>2</sup>When using these tools to compare the performance of robot hands, always keep in mind the intended application. Hands evaluated to yield high strength characteristics with lower control and manipulation characteristics may be better suited for heavy-lifting applications, where hands with less strength and better control and manipulation characteristics are better suited for fine dexterity applications.

381 with participants in a metrics working group formed under the Institute of Electrical and  
382 Electronic Engineers (IEEE) Robotics and Automation Society Robotic Hand Grasping and  
383 Manipulation Technical Committee. NIST has created a web site to collect these metrics  
384 and provide test method details and data so that the community can experiment with them  
385 and provide feedback for improvement. For each metric, there is a discussion, followed by  
386 a test method that has been developed and implemented by NIST. Artifacts and procedures  
387 are described, along with example data collected by NIST. Artifact designs are available for  
388 download from a NIST site. The designs are intended to provide means of replicating the  
389 test methods without requiring expensive infrastructure or complex fabrication procedures.  
390 NIST continues to develop, where possible, lower-cost alternatives to the artifact designs.  
391 Links to the designs and the datasets collected through these test methods are provided  
392 within each sub-section. Appendices provide additional information that is relevant to  
393 grasp performance. Appendix A presents a brief overview of existing literature on grasp  
394 metrics. Further details about how a grasping task can be analyzed are found in Appendix  
395 B. The artifacts used in the test methods are described in Appendix C. Finally, Appendix  
396 D provides guidance on determining the sample size for conducting statistically significant  
397 experiments.

## 398 **3. Finger Strength**

### 399 **3.1 Metric**

#### 400 **3.1.1 Definition**

401 Finger strength is a kinetic measure of the maximum force a robotic finger can impose on  
402 its environment. This measure relates to the overall strength of the hand during grasping or  
403 manipulation. The reasons for measuring strength on a single finger are two-fold:

- 404 1. Grasping and manipulation can occur with any number of fingers which means that  
405 the most independent measure of strength would be finger strength.
- 406 2. There can be inherent variability in finger strength across different fingers even in  
407 cases where they are mechanically equivalent.

#### 408 **3.1.2 Dependencies**

409 Strength is a function of the finger's actuator capabilities, motion controllers, mechanical  
410 design, and finger-to-object configuration.

## 411 **3.2 Test Method**

### 412 **3.2.1 Measurement Instrument**

- 413 1. Calibrated load cell for measuring force in three dimensions ( $F_x, F_y, F_z$ ) or single axis  
414 load cell for measuring force in one dimension.
- 415 2. Required data acquisition hardware and software.

416  
417 Note: Attaching a rigid column to these sensors for finger interaction can help avoid un-  
418 wanted hand-to-sensor collisions.

### 419 **3.2.2 Description**

420 Of the finger strength dependencies, only the finger-to-object configuration is a test vari-  
421 able. Using desired finger-object configuration, position the finger under test just above  
422 the force sensor and verify a zero-force reading. Under position control, the finger is then  
423 commanded to close completely which should induce control saturation. The finger-to-  
424 object configuration for benchmarking occurs when the induced moment arm from making  
425 contact is at its maximum, which means the maximum attainable contact force will be at  
426 a minimum for the finger under test. For most hand designs, this occurs when a finger is

427 fully extended and all finger links are extended in the same direction as shown in Figure 3.  
 428 This configuration measures the global minimum finger strength (i.e., any other configura-  
 429 tion would yield higher finger strength.) In the case of using a single-axis load cell, slight  
 430 adjustment should be made to this finger-object configuration such that the contact force  
 431 of the finger is normal to force sensor contact surface. This prevents dispersing contact  
 432 force in directions that are not measurable. This test method can be applied to additional  
 433 finger-to-object configurations.

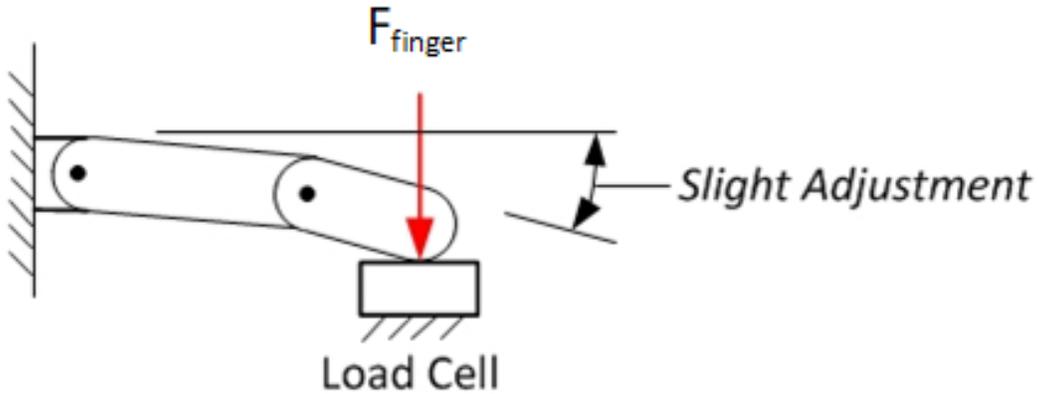


Fig. 3. Finger Strength Test Setup

### 434 3.2.3 Performance Measures

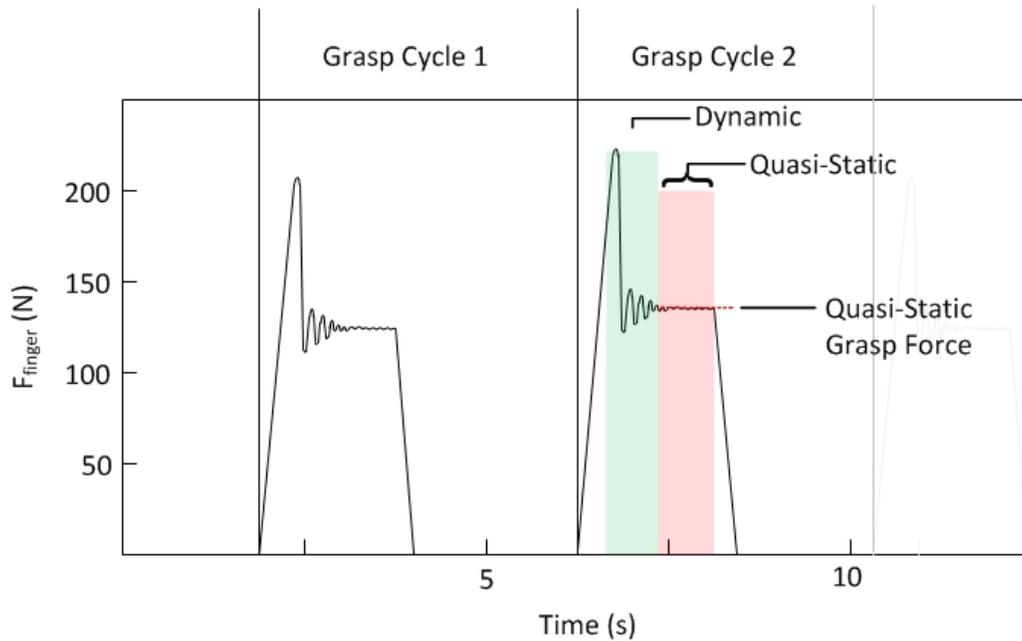
435 The fingertip contact force magnitude,  $F_{finger}$ , should be computed as:

$$F_{finger} = \sqrt{(F_x^2 + F_y^2 + F_z^2)} \quad (1)$$

436 for each set of force readings given by the load cell. Next, the contact force magnitude from  
 437 the quasi-static force region (see Figure 4) should be extracted for each load cycle, and then  
 438 averaged to yield the maximum finger strength,  $F_{finger,max}$ . The resultant contact force is  
 439 extracted from the quasi static contact force profile and the peak dynamic contact force  
 440 is ignored. This measure eliminates the effects introduced through contact momentum,  
 441 yielding the steady state strength of the finger.

### 442 3.2.4 Test Setup and Procedure

- 443 1. Position the robotic finger in a fully-extended position as depicted in Figure 3.
- 444 2. Once in this configuration, the finger is positioned just above the external force sensor  
 445 with verification of zero force.



**Fig. 4.** Depiction of dynamic and quasi-static force regions during finger load cycles

- 446 3. Under position control, the finger is then commanded to close completely to induce  
447 control saturation at a fully extended configuration.
- 448 4. Once contact has been established for a few seconds, the finger is retracted to its start  
449 position.
- 450 5. Repeat the process for the desired sample size (see Appendix D for guidance) per  
451 finger tested.
- 452 6. Record force sensor data throughout the test.
- 453 7. Calculate the performance measures.

### 454 3.2.5 Example Implementation

455 NIST performed a series of tests using the finger force metric and test method for Hand 1  
456 and Hand 2. In the case of Hand 1, the resistance sensing fingertips were used since they  
457 were purchased as an option for Hand 1 and would most likely withstand the maximum  
458 forces applied by each finger. The setups for these example implementations are shown in  
459 Figure 5. A 6-axis load cell with a rigid column is used to collect force measures at 3000  
460 Hz. In these tests, the hands are mounted to a robot manipulator for ease of positioning. All  
461 robot fingers were tested for 32 cycles. Figure 6 is a plot of the finger force samples from a  
462 finger on NIST Hand 1. Conducting this test method across all fingers on both NIST Hand  
463 1 and NIST Hand 2 yields  $F_{finger,max}$  as shown in Figure 7.

464

465

466 **Data from example test implementation**

467

Data File Archive: <http://www.nist.gov/el/isd/upload/Finger-Strength.zip>

Data Files: Hand[Number]/Finger\_[Number]

File Format: ASCII, comma delimited

468

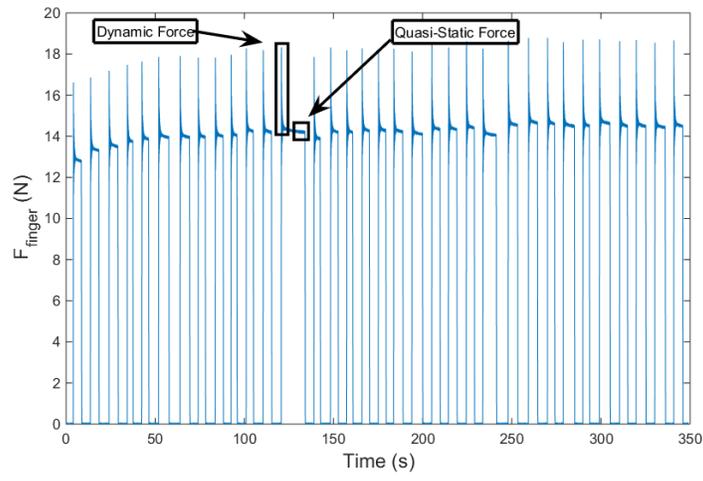
Data Values: F<sub>x</sub>, F<sub>y</sub>, and F<sub>z</sub> (one set per line)

Units: Newtons

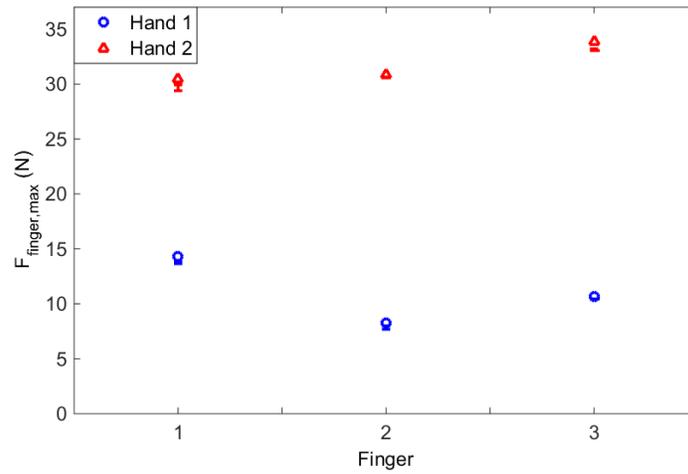
Data Sample Rate: 3 kHz



**Fig. 5.** Fingertip force test setup with NIST Hand 2(Top) and Hand 1 (Bottom) with resistance sensing fingertips tested using a 6-axis load cell. Fingers under test are positioned such that contact takes place at the fingertip with the finger fully extended and perpendicular to the palm.



**Fig. 6.** Fingertip contact forces emitted by NIST Hand 1, Finger 1 during repeated testing.



**Fig. 7.**  $F_{finger,max}$  exerted by each finger for NIST Robot Hand 1 and Hand 2.

## 4. Grasp Strength

### 4.1 Metric

#### 4.1.1 Definition

Grasp strength is a kinetic measure of the maximum force a robotic hand can impose on an object. This measure will yield information regarding a hand's payload capabilities for various object sizes as well as its limits in resisting pulling or pushing forces during a grasp operation.

#### 4.1.2 Dependencies

Grasp strength is a function of the hand's actuator capabilities, motion controllers, mechanical design, grasp configuration, and object size.

### 4.2 Test Method

#### 4.2.1 Measurement Instrument

1. Single axis load cells for one-dimensional force measurement  $F_i$  where  $i=1,2,\dots,n$  and  $n$  is the total number of load cells
2. Split cylinder artifacts (see Appendix C)
3. Required data acquisition hardware and software.

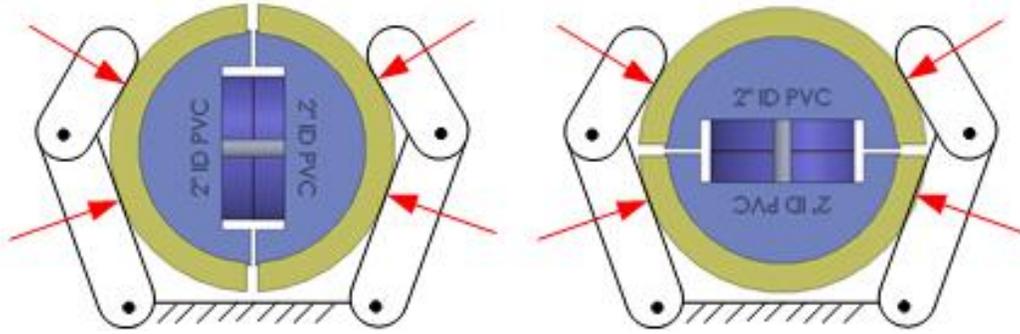
#### 4.2.2 Description

Of the grasp strength dependencies, only the grasp configuration and object size are assumed controllable. For this test, two common grasp types are chosen for investigation – pinch and wrap. The pinch grasp allows for measuring performance associated with precision grasping while the wrap grasp allows for measuring performance associated with power grasping. Split cylinder artifacts of different diameters are used to measure the internal force transmission of a grasp. Multiple cylinder diameters should be used to create a spread of performance results.

Different split cylinder artifact orientations can be used for performing wrap grasp tests:

1. In the  $0^\circ$  orientation, the load cell axis is parallel with the palm surface.
2. In the  $90^\circ$  orientation, the load cell axis is perpendicular to the palm surface.

These orientations under a wrap grasp are shown in Figure 8. Taking force measurements in two orthogonal directions provides a better approximation of a resultant internal force measurement since this artifact design only measures force in one direction.



**Fig. 8.** A split cylinder artifact in the 0° (left) and 90° (right) orientations.

### 4.2.3 Performance Measures

For each set of instantaneous force readings, add forces across all load cells since they are in-line to yield a total grasp force  $F_{total}$ ,

$$F_{total} = \sum_{i=1}^n F_i \quad (2)$$

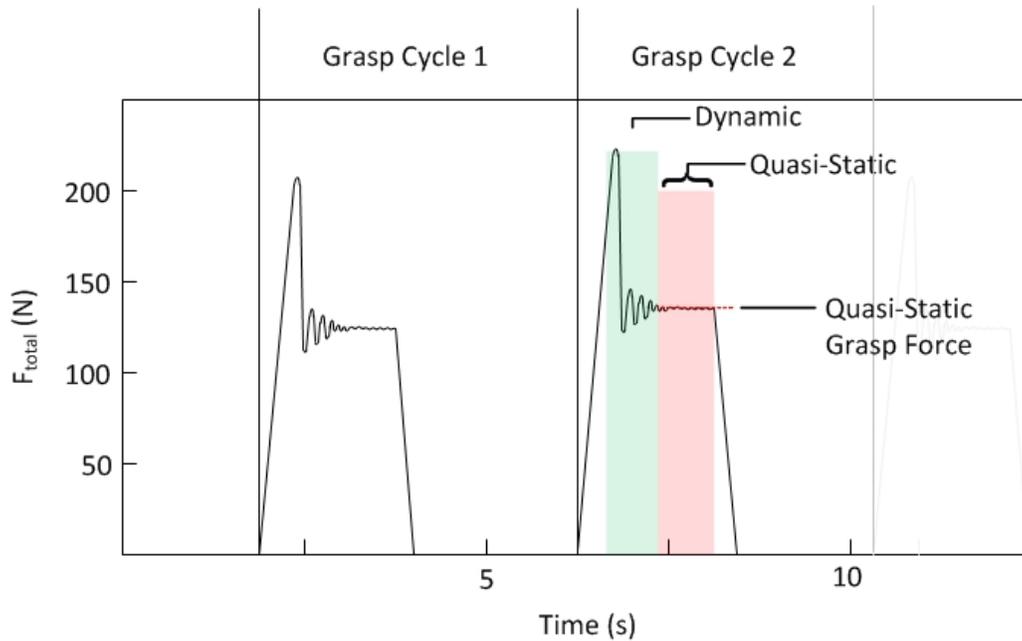
Next, the quasi-static force for each grasp cycle (see Figure 9) should be extracted for each artifact orientation and size. Quasi-static grasp forces are chosen for evaluation as they remove impact effects and give a more accurate estimate of the true strength of the hand. Given these quasi-static grasp forces, compute the force mean and 95 % confidence intervals for each artifact orientation (0° and 90°).

The final grasp force measure,  $F_{grasp}$ , is determined by computing the  $L_2$  norm of the two means (one per orientation), the two lower bounds, and two upper bounds of the confidence intervals. These values approximate the mean resultant internal force magnitude ( $F_{grasp}$ ), and its uncertainty.

Note: the confidence interval is calculated separately on the 0° and 90° grasp forces, before computing the  $L_2$  norm since the two data sets are independent test measures requiring repositioning of the split cylinder test artifact.

### 4.2.4 Test Setup and Procedure

1. Select different sized split cylinder artifacts to create a spread of performance results.
2. Grasp a split cylinder test artifact to achieve maximum force at the 0° orientation with the robotic hand under test, constraining the artifact to prevent movement when the test grasp is released.
3. Under position control, command the hand to open completely



**Fig. 9.** Depiction of dynamic and quasi-static force regions during grasp cycles.

- 521 4. Under position control, command the hand to close completely to induce control  
 522 saturation producing the maximum force closure grasp.
- 523 5. Once maximum force closure is established for a few seconds, the hand is retracted  
 524 to its start position in the form closure grasped state with minimal force applied.
- 525 6. Repeat the process for the desired sample size (see Appendix D for guidance) for  
 526 each split cylinder orientations and size. Note that summing the  $0^\circ$  and  $90^\circ$  ori-  
 527 entation forces is optional depending on the hand design. In some cases, the non-  
 528 dominate orientation forces can be determined to be negligible.
- 529 7. Record force sensor data throughout the test.
- 530 8. Calculate the performance measures.

531 Next, extract the quasi-static force for each grasp cycle (see Figure 9) for a particular arti-  
 532 fact orientation and size. Quasi-static grasp forces are chosen for evaluation as they remove  
 533 impact effects and give a more accurate estimate of the true strength of the hand. Given  
 534 these quasi-static grasp forces, compute the force mean and 95% confidence intervals for  
 535 each artifact orientation ( $0^\circ$  and  $90^\circ$ ). Note: for improving repeatability of force measure-  
 536 ment, the artifact can be placed on a marked, cross-sectional template on a table with the  
 537 hand rigidly mounted to grasp the artifact from the side. After one grasp cycle, the artifact  
 538 could be re-positioned to the marked template.

539 **4.2.5 Example Implementation**

540 NIST performed a series of tests using the grasp strength metric and test method for Hand  
 541 1 and Hand 2. In the case of Hand 1, the resistance sensing fingertips were used since they  
 542 were purchased as an option for Hand 1 and would most likely withstand the maximum  
 543 forces encountered during grasp strength testing. An earlier prototype of the NIST split  
 544 cylinder artifact that was designed with 50 mm and 80 mm diameter printed ABS plastic  
 545 was used (Figure 10). This artifact housed two single-axis load cells to capture internal  
 546 force transmission by the grasp. Force data was captured from two load cells at 3 kHz  
 547 while fully opening and closing each robotic hand around each artifact 32 times for the 0°  
 548 and 90° orientations.

549  
 550 A data plot of  $F_{total}$ , the sum of the two load cells throughout the 32 grasp cycles, is shown  
 551 in Figure 11. The mean quasi-static grasp forces were extracted for each data set. Next, the  
 552 mean and 95% confidence intervals for the force data collected in both orientations (0° and  
 553 90°) and the  $L_2$  norms are computed for both hands. The results are shown for Hand 1 and  
 554 Hand 2 in Table 2.

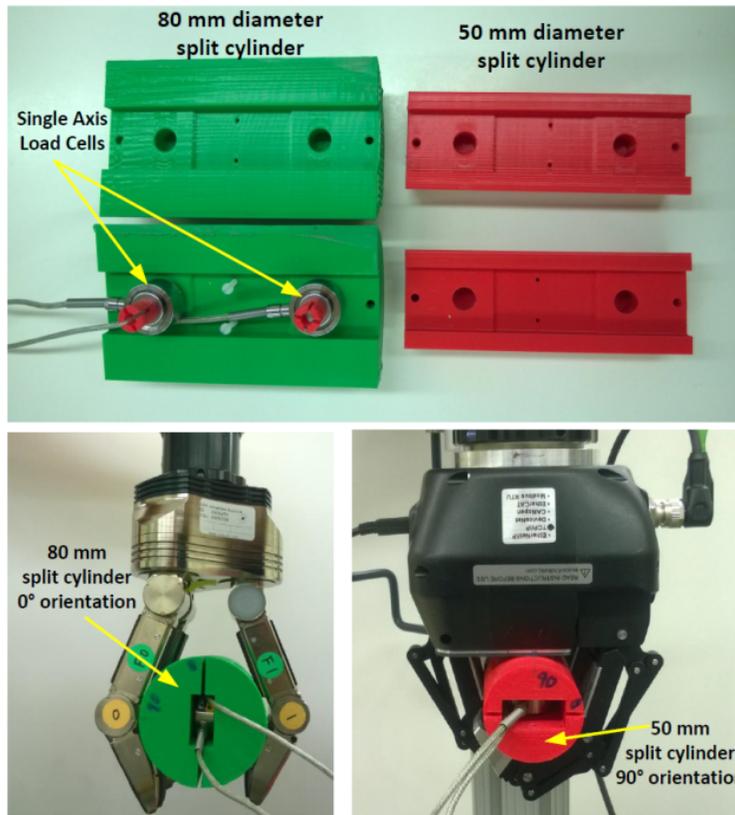
555  
 556  
 557  
 558  
 559 **Data from example test implementation**

560 Data File Archive: <http://www.nist.gov/el/isd/upload/Grasp-Strength.zip>  
 Data Files: Hand 1/C[cylinder diameter]\_[Orientation] Hand 2/C[cylinder diameter]\_[Orientation]  
 561 File Format: ASCII (American Standard Code for Information Interchange), comma delimited  
 Data Values: F1 and F2 (one set per line)  
 Units: Newtons, Millimeter  
 Data Sample Rate: 3 kHz

562  
 563

Cylinder Diameter (mm)	Hand 1 $F_{grasp}$ (N)		Hand 2 $F_{grasp}$ (N)	
	Mean	95% Confidence Interval	Mean	95% Confidence Interval
50	47.0219	[44.366, 49.468]	118.983	[101.264, 137.843]
80	76.1081	[70.003, 84.323]	92.968	[84.811, 100.669]

**Table 2.** Mean and 95% confidence intervals of the internal grasp force for Hand 1 and Hand



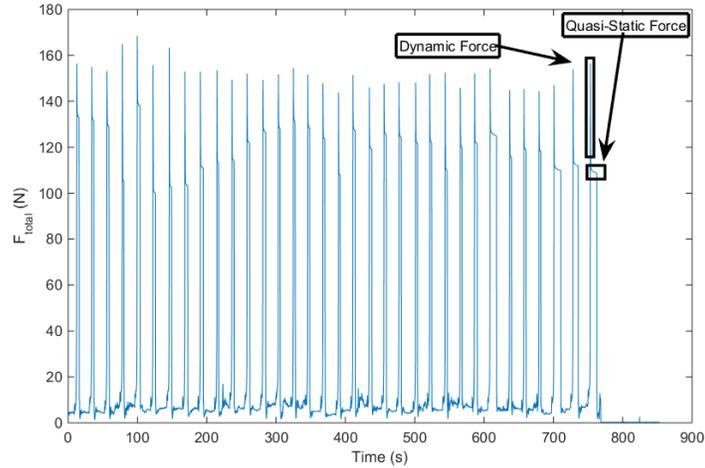
**Fig. 10.** Prototype 80 mm and 50 mm diameter split cylinder configurations for determining grasp forces (top). Grasp strength on NIST Hand 1 using 80 mm split cylinder at 0°, the dominant orientation for this hand (bottom left), and on Hand 2 using 50 mm prototype split cylinder at 90°, the dominant orientation for this hand (bottom right).

## 564 **5. Slip Resistance**

### 565 **5.1 Metric**

#### 566 **5.1.1 Definition**

567 Slip resistance is a kinetic measure of a robotic hand's ability to resist slip. The focus  
 568 of this metric is to investigate the inherent surface friction properties of the hand. With  
 569 higher friction coefficients, robotic fingers will possess wider friction cones at the areas of  
 570 contact with an object. This behavior would ultimately allow friction forces to contribute to  
 571 the overall grasping effort, yielding greater resistance to slipping and generally enhanced  
 572 energy efficiency during the grasping operation.



**Fig. 11.** Force data for Hand 2 wrap grasping the 50 mm prototype split cylinder in the 90° orientation.

573 **5.1.2 Dependencies**

574 Slip resistance depends on the hand's: actuator capabilities, motion controllers, mechanical  
 575 design, grasp configuration, object size, and object surface properties.

576 **5.2 Test Method**

577 **5.2.1 Measurement Instrument**

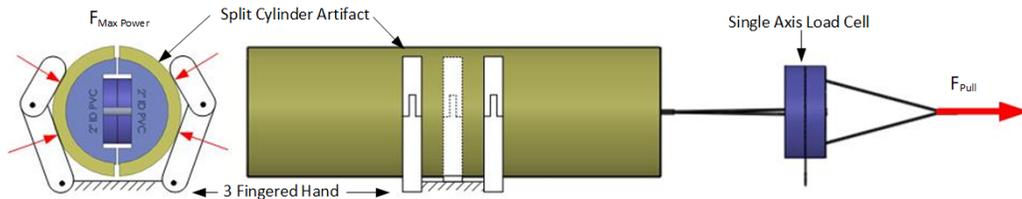
- 578 1. Split cylinder artifacts (see Appendix C) or equivalent Standard ASTM D2665 polyvinyl  
 579 chloride (PVC) pipe segments. Note: International PVC pipe standards could be se-  
 580 lected to promote global adoption.
- 581 2. Single axis load cell for measuring force in one dimension.
- 582 3. Required data acquisition hardware and software.
- 583 4. A mechanism for providing a controlled increasing force along the axial direction to  
 584 the pipe segment.

585 **5.2.2 Description**

586 Of the slip resistance dependencies, only the grasp configuration, object size, and object  
 587 surface properties are assumed controllable. Given this large performance search space,  
 588 some variables are fixed to make testing more tractable while still providing useful results.  
 589 Specifically, the wrap force closure grasp on a cylindrical artifact was chosen to investigate

590 slip resistance capabilities under maximum power and highest number of hand-to-object  
591 points of contact. Furthermore, use of a cylindrical shape under a wrap grasp eliminates  
592 the undesirable behavior of object-to-finger locking. ASTM D2665 PVC pipe is selected  
593 for the artifact for the following reasons:

- 594 1. The cylindrical pipe comes in a variety of standard diameters with dimensions that  
595 are compatible with robotic hand volumetric capabilities.
- 596 2. The surface properties of these pipes are relatively consistent. The general setup for  
597 this test is shown in Figure 12.



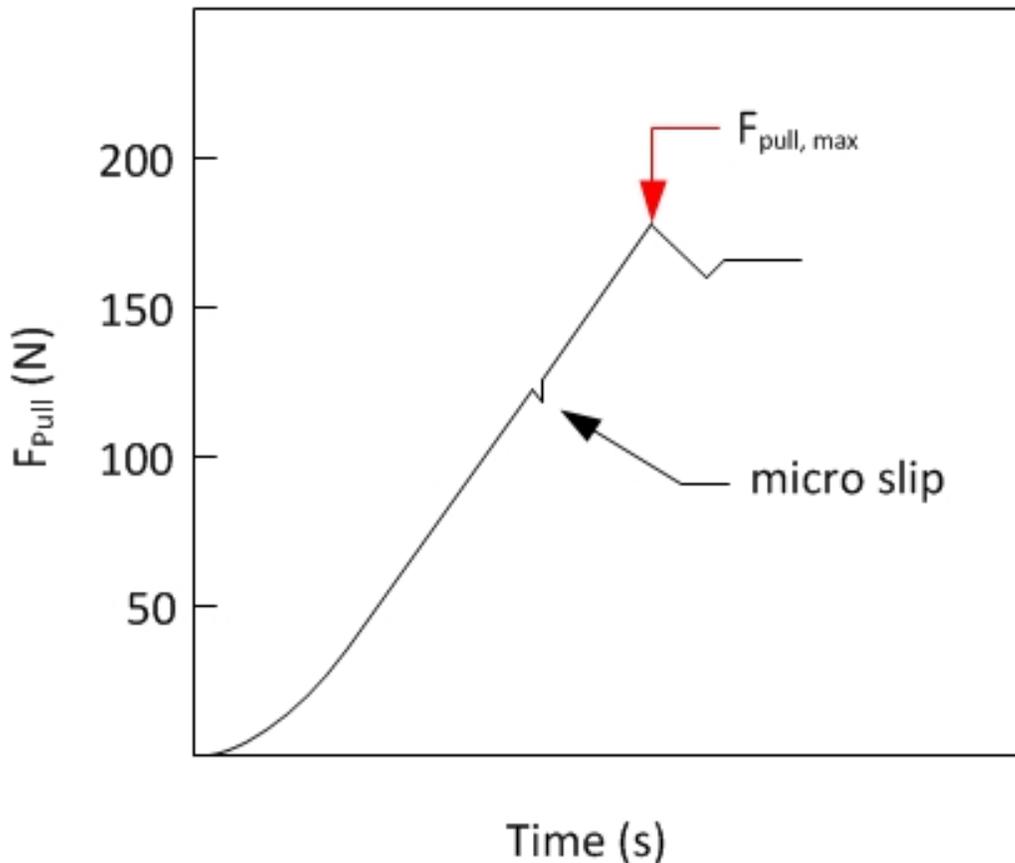
**Fig. 12.** Depiction of a three finger wrap grasp on a cylindrical artifact under maximum power  $F_{MaxPower}$  with the cylinder subjected to an axial pull force  $F_{Pull}$

### 598 5.2.3 Performance Measures

599 The measure of interest in this test is the maximum obtainable pull force before gross slip  
600 of a given hand and pipe size under a full-force wrap grasp. For each test cycle, record the  
601 pull force,  $F_{pull}$ , over time. Extract the maximum pull force,  $F_{pull,max}$ , from the force/time  
602 plot as shown in Figure 13. Calculate the mean and 95% confidence intervals for each pipe  
603 diameter size. Note that periodically during the pull force ascent, there are several instances  
604 of temporary “necking” or plateauing of pull force where micro-slipping is occurring in the  
605 grasp. We hypothesize that the object is leaving and entering new states of high grasp  
606 friction as the object “settles” within the grasp.

### 607 5.2.4 Test Setup and Procedure

- 608 1. Place a cylindrical artifact in the robotic hand using a wrap grasp at maximum power  
609 with the highest number of hand-object points of contact possible.
- 610 2. Pull on the pipe at a controlled rate of increasing force, recording force until gross  
611 slipping is visually confirmed between the hand and PVC pipe. Note: A future ver-  
612 sion of this test will specify force transfer rates as test parameters. These will be  
613 achievable using appropriate spring stiffnesses and pull velocity pairs.
- 614 3. Repeat the process for the desired sample size (see Appendix D for guidance)



**Fig. 13.** Test setup for slip resistance where a standard diameter of ASTM D2665 PVC pipe is placed in a wrap grasp at maximum hand power. The pipe is then pulled at an increasing force until gross slip at  $F_{\text{pull,max}}$  is observed.

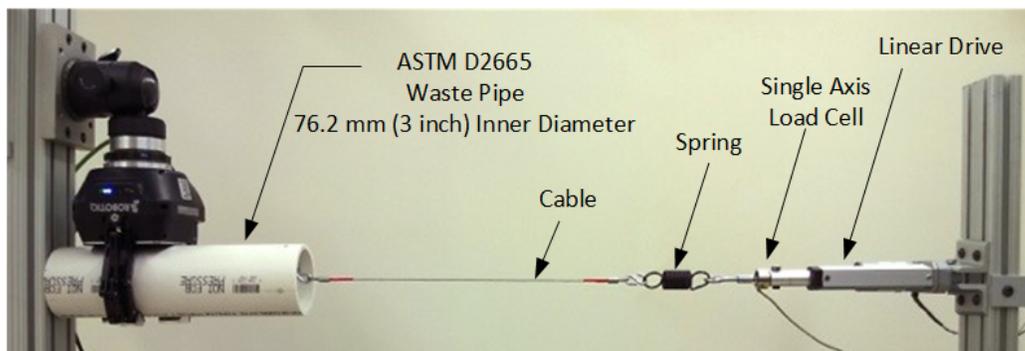
- 615 4. Record force sensor data throughout the test.
- 616 5. Calculate the performance measures.
- 617 6. Repeat this test procedure over a range of standard pipe diameters that the robotic
- 618 hand is capable of grasping.

### 619 5.2.5 Example Implementation

620 NIST performed a series of tests using the slip resistance metric and test method for Hand  
 621 1 and Hand 2. In the case of Hand 1, the resistance sensing fingertips were used since they  
 622 were purchased as an option for Hand 1 and would most likely withstand the maximum  
 623 forces applied during slip resistance testing. A test set-up was designed as shown in Figure  
 624 14 where a fixed robotic hand is commanded to perform a wrap grasp around standard PVC  
 625 pipe. A linear drive provides a controllable pull velocity on the pipe when coupled with  
 626 a cable and spring. The linear actuator is commanded to move at a constant velocity until

627 gross slipping is visually confirmed between the hand and PVC pipe, and a peak force is  
628 shown by the load cell during data collection. This process was repeated 10 times for each  
629 robotic hand and four different cylinder diameters ranging from 2.54 cm to 10.16 cm. The  
630 measure of interest in this test is the maximum obtainable pull force for a given hand and  
631 pipe size under a full-force wrap grasp. The common trend in this test was that the pull  
632 force increased mostly linearly before reaching a peak force, and then subsequently yielded  
633 a drop in pull force (see Figure 15). Variations in the force profile could exist across differ-  
634 ent hand designs. Regardless, the maximum pull force is the metric of interest and should  
635 be acquirable regardless of the force profile. This drop after the peak force indicates a shift  
636 from static Coulomb friction to dynamic Coulomb friction. After 10 test runs were con-  
637 ducted across both hands, and four different pipe diameters, the relevant data was extracted  
638 and calculated. The results for both hands across all pipe diameters tested are shown in  
639 Figure 16.

640



**Fig. 14.** Slip resistance testing on Hand 2 using a length of ASTM D2665 PVC pipe. A linear drive attached to a cable provides incremental loading on the pipe. The load rate is decreased using an in-line spring and force is recorded using a single-axis load cell.

641

## 642 5.2.6 Data

Data File Archive: <http://www.nist.gov/el/isd/upload/Slip-Resistance.zip>

Data Files: Hand 1/C[cylinder ID]\_[test run number]

Hand 2/C[cylinder ID]\_[test run number]

Cylinder ID: C1 = 25.4 mm (1.0 inches)

(Inside Diameter) C2 = 50.8 mm (2.0 inches)

C3 = 76.2 mm (3.0 inches)

C4 = 101.6 mm (4.0 inches)

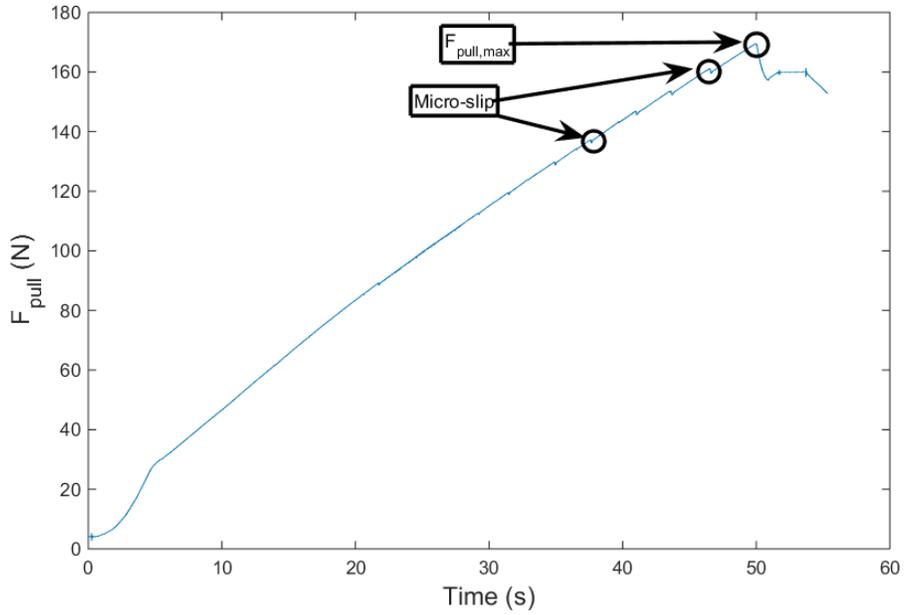
643

File Format: ASCII, comma delimited

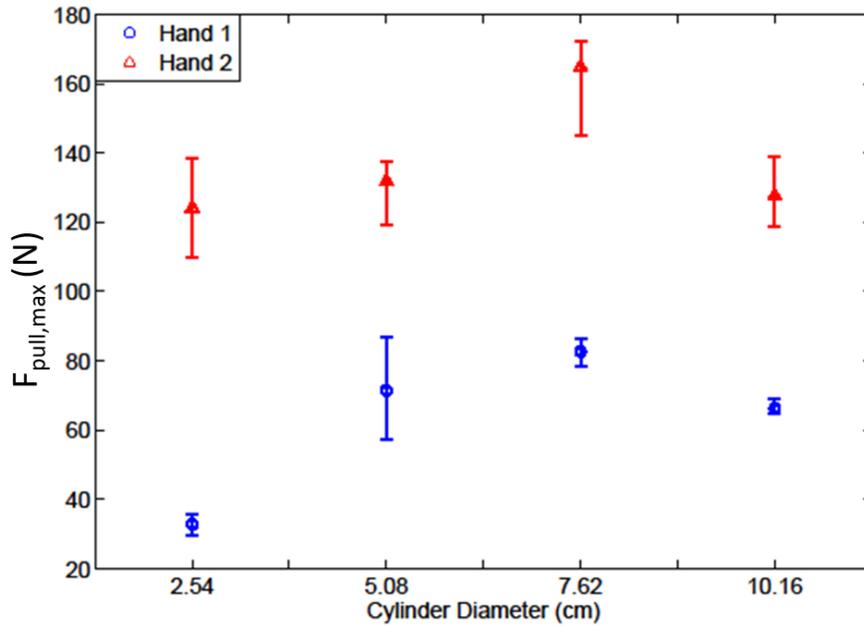
Data Values: F<sub>pull</sub> (one per line)

Units: Newtons, Millimeters

Data Sample Rate: 3 kHz



**Fig. 15.** Plot of the typical pull force profile as a function of time for Hand 2 under test and a 50.8 mm (2 inch) PVC pipe artifact.



**Fig. 16.** The maximum pull force achieved by each hand across several PVC pipe artifacts of inner diameter ranging from 2.54 cm to 10.16 cm (1 inch to 4 inches)

## 644 **6. Grasp Cycle Time**

### 645 **6.1 Metric**

#### 646 **6.1.1 Definition**

647 Grasp cycle time is a measure of the minimum time required for a robotic hand to achieve  
648 full closure from a known pre-grasp configuration and to return to the pre-grasp config-  
649 uration from the grasp position. This measure will yield information regarding a hand's  
650 closing/opening speed capabilities.

#### 651 **6.1.2 Dependencies**

652 Closing/Opening time is a function of the hand's: actuator capabilities, motion controllers,  
653 mechanical design, and grasp configuration.

### 654 **6.2 Test Method**

#### 655 **6.2.1 Measurement Instrument**

- 656 1. Single axis load cells for one-dimensional force measurement  $F_i$  where  $i=1,2,\dots,n$   
657 and  $n$  is the total number of load cells
- 658 2. Split cylinder artifacts (see Appendix C)
- 659 3. Required data acquisition hardware and software.

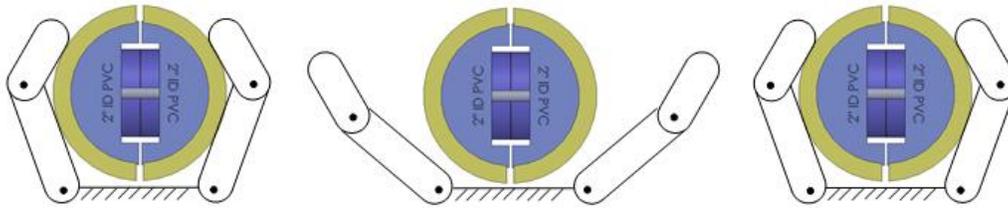
#### 660 **6.2.2 Description**

661 Of the previously listed dependencies, only the grasp configuration and object size are  
662 assumed controllable. Two common grasp types can be chosen for investigation – pinch  
663 and wrap. The pinch grasp allows for measuring closing/opening performance associated  
664 with precision grasping, while the wrap grasp allows for measuring performance associated  
665 with power grasping. The grasp cycle of a wrap grasp is depicted in Figure 17. Artifact  
666 sizing should be chosen based on the intended application and the parts being handled.  
667 Otherwise, a reference artifact can be used to facilitate benchmarking across a variety of  
668 robotic hands.

#### 669 **6.2.3 Performance Measures**

670 For each set of instantaneous force readings, add forces across all load cells since they are  
671 in-line to yield a total grasp force  $F_{total}$ ,

$$F_{total} = \sum_{i=1}^n F_i. \quad (3)$$

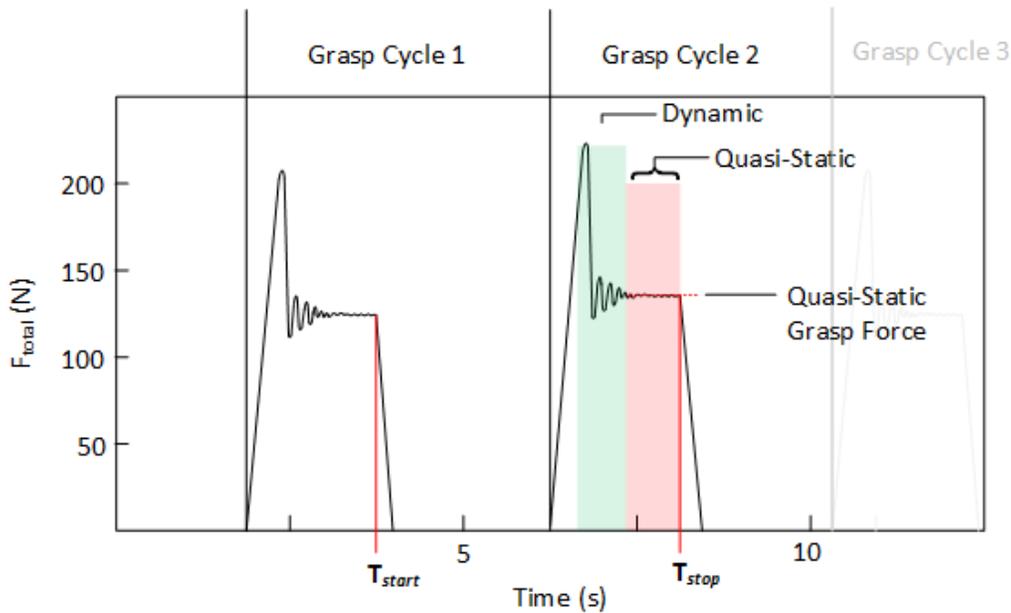


**Fig. 17.** Split cylinder artifact in the dominant (zero-degree) orientation relative to power grasp stages

672 Determine the total time between quasi-static closure to yield a grasp cycle time  $T_{grasp\ cycle}$   
 673 determined by:

$$T_{grasp\ cycle} = T_{stop} - T_{start}. \quad (4)$$

674  $T_{start}$  and  $T_{stop}$  are chosen as the first indication of grasp release for two subsequent grasp  
 675 cycles performed (see Figure 18). Quasi-static grasp forces are chosen for evaluation as  
 676 they remove impact effects and give a more accurate estimate of the time required to attain  
 677 an object. For thorough experimentation, several runs should be conducted to compute  
 678  $T_{grasp\ cycle}$  using two quasi-static grasp force events over two grasp cycles. Then the grasp  
 679 cycle time mean and 95% confidence intervals can be computed.



**Fig. 18.** Depiction of dynamic and quasi-static force regions during grasp cycles.

#### 680 6.2.4 Test Setup and Procedure

- 681 1. Grasp a split cylinder test artifact in the dominant force orientation with the robotic  
682 hand under test, constraining the artifact to prevent movement when the test grasp is  
683 released.
- 684 2. Under position control, command the hand to open completely.
- 685 3. Under position control, command the hand to close completely to induce control  
686 saturation, producing the maximum force closure grasp.
- 687 4. Repeat steps 1 and 2 at maximum hand velocities for the desired sample size (see  
688 Appendix D for guidance).
- 689 5. Record force sensor data throughout the test.
- 690 6. Calculate the performance measures.

### 691 6.2.5 Example Implementation

692 NIST performed a series of tests using the grasp cycle time metric and test method for  
693 Hand 2. Force data was captured from two load cells while fully opening and closing the  
694 robotic hand in a power grasp around the artifact 32 times for a single orientation. The test  
695 setup is shown in Figure 19.

696 A data plot of F1 and F2 from the split cylinder artifact load cells throughout the 32 grasp  
697 cycles is shown in Figure 20. The  $F_1$  time plot is used to determine the total time be-  
698 tween quasi-static closure forces to yield grasp cycle times  $T_{grasp\ cycle}$ . The mean and 95%  
699 confidence intervals for  $T_{grasp\ cycle}$  for Hand 2 are shown in Table 3.  
700

Robot	$T_{grasp\ cycle}$	
	Mean (s)	95% Confidence Interval (s)
Hand 2	3.8207	[3.6432,3.9898]

**Table 3.** Mean and 95% confidence intervals of the internal grasp force for Hand 2

### 701 6.2.6 Data

Data File Archive: <http://www.nist.gov/el/isd/upload/Grasp-Cycle-Time.zip>

Data Files: Hand2\_Grasp\_Cycle\_Time.csv

File Format: ASCII, comma delimited

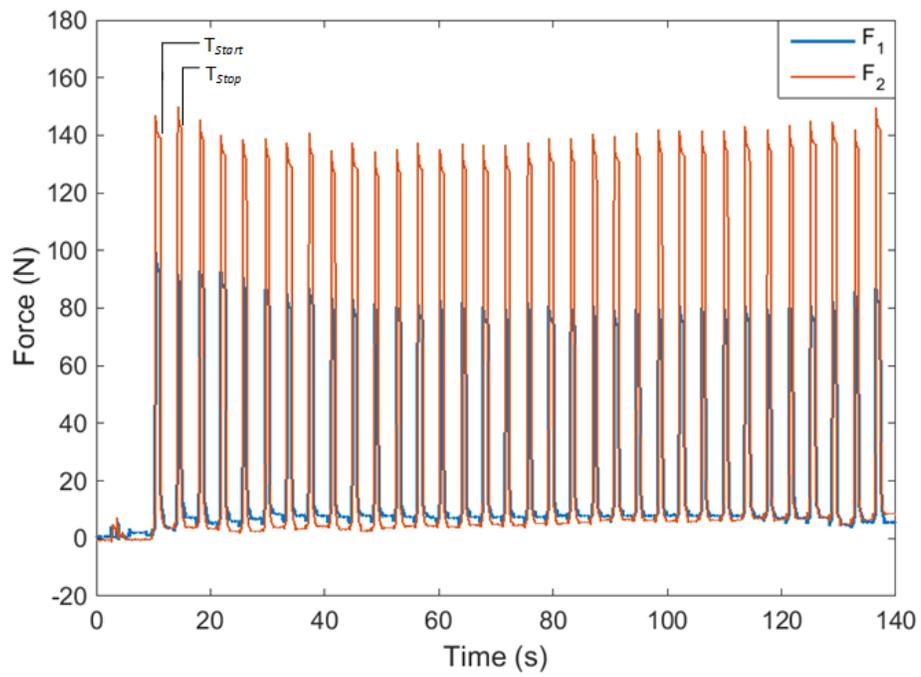
702 Data Values: F1 and F2 (one set per line)

Units: Newtons

Data Sample Rate: 1 kHz



**Fig. 19.** 50.8 mm (2 inch) PVC split cylinder artifact with Robotic Hand 2 performing wrap grasp cycles at fully open (top) and start release (bottom) positions.



**Fig. 20.** Shows the load cell forces for the 50.8 mm (2 inch) PVC split cylinder artifact oriented at 0 degrees created by grasp cycles from Robotic Hand 2

## 703 **7. Touch Sensitivity**

### 704 **7.1 Metric**

#### 705 **7.1.1 Definition**

706 Touch sensitivity is a kinetic measure of the smallest self-registered contact force exerted  
707 by a robotic finger on an object. The significance of this trait revolves around the hand's  
708 ability to delicately interact with minimal disturbance to the immediate environment as well  
709 as detect small force perturbations. Direct applications would include touch-based grasp  
710 planning, or part acquisition with object location or shape uncertainties.

#### 711 **7.1.2 Dependencies**

712 This characteristic is a function of the hand's sensor capabilities, motion controllers, band-  
713 width, closing speed, finger size, and finger-object configuration.

### 714 **7.2 Test Method**

#### 715 **7.2.1 Measurement Instrument**

- 716 1. Option 1: Object fixed to three-axis load cell that can measure the forces imposed on  
717 the object -or-
- 718 2. Option 2: Position tracking system to measure relative translation and rotation of an  
719 object in space during touch interaction.
- 720 3. Required data acquisition hardware and software.

#### 721 **7.2.2 Description**

722 To most accurately capture the performance of a hand in this category, a dynamic test is  
723 needed. Of the touch sensitivity dependencies, only the closing speed and finger configura-  
724 tion are assumed controllable. The robotic finger(s) are commanded to close on an object  
725 at a specified joint velocity. An important finger-object configuration for benchmarking  
726 occurs at a specified joint velocity and at a fully-extended configuration with the finger  
727 orthogonal to the palm surface. At this configuration, the Cartesian velocity at the fingertip  
728 is maximized which will induce the highest (worst-case) impact forces upon collision. By  
729 commanding different closing speeds, a spread of behavior can be generated that will pro-  
730 vide the user valuable insight on the trade-off between speed and touch sensitivity for any  
731 robotic hand.

### 7.2.3 Performance Measures

#### 1. Force:

If using a sensor capable of resolving forces in three dimensions (option 1), compute the resultant magnitude of contact forces from the load cell data,  $F_{\text{contact}}$ , by computing the  $L_2$  norm of the three-dimensional contact forces as

$$F_{\text{contact}} = \sqrt{(F_x^2 + F_y^2 + F_z^2)}. \quad (5)$$

Extract the peak  $F_{\text{contact}}$  for each touch test cycle over a range of hand closing speeds. After collecting these maximum forces for each closing speed, compute the mean and 95% confidence intervals to evaluate the force associated with the closing speed.

#### 2. Displacement:

If using an object position tracking system (Option 2) compute the resultant relative translation,  $T_{\text{contact}}$  and rotation,  $R_{\text{contact}}$

$$T_{\text{contact}} = \sqrt{(T_x^2 + T_y^2 + T_z^2)} \quad (6)$$

$$R_{\text{contact}} = \sqrt{(R_x^2 + R_y^2 + R_z^2)}. \quad (7)$$

After collecting these displacements for each closing speed, compute the mean and 95% confidence intervals to evaluate the displacement associated with the closing speed.

### 7.2.4 Test Setup and Procedure

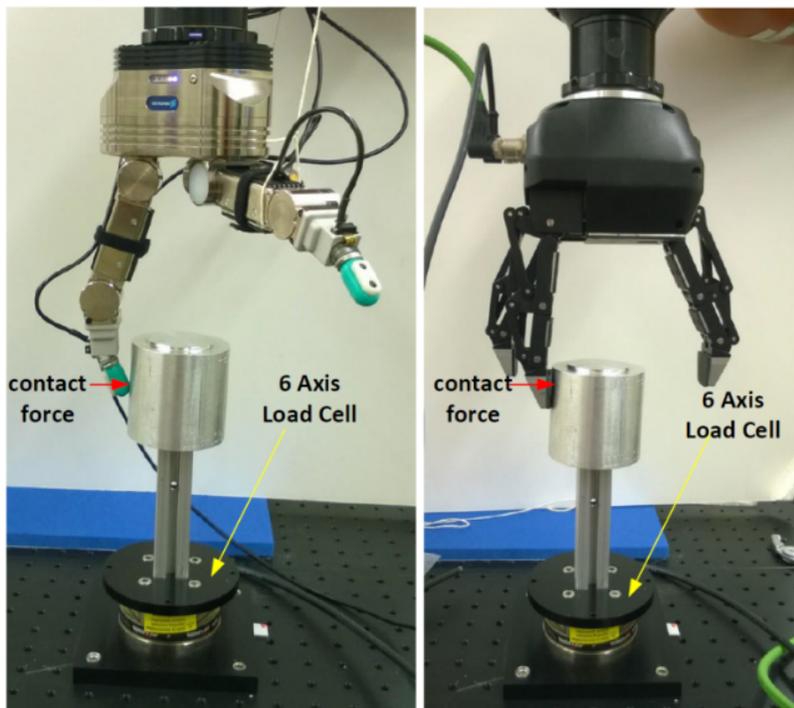
1. Place the robotic finger under test in a fully extended configuration with fingertip touch occurring on a cylinder mounted to the load cell.
2. Fully retract the finger to remove contact with the load cell (or object with position-sensing targets) and to provide sufficient offset for the finger to obtain the desired closing speed before contact.
3. While recording the load cell force data (or tracking system position data), command the hand to close at a preset joint velocity while polling the fingertip sensor for the slightest indication that contact has been established.
4. Once contact is detected by the hand, the control program automatically commands the finger to hold position.
5. Repeat the process for the desired sample size (see Appendix D for guidance)

- 758 6. Record force sensor data (or position tracking system data) throughout the test.  
759 7. Calculate the performance measures.

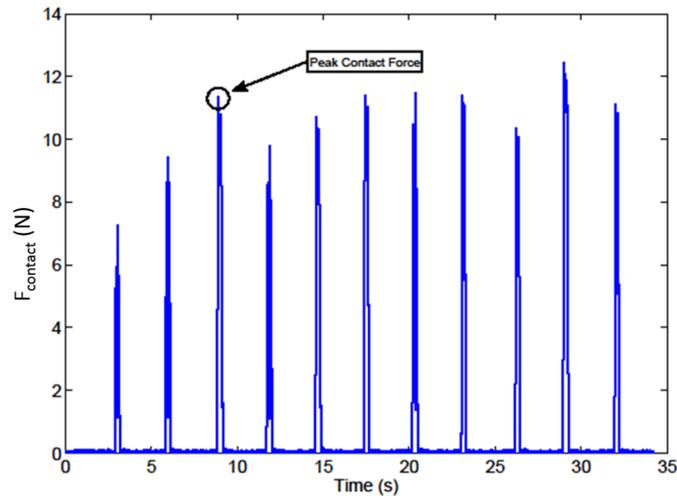
### 760 7.2.5 Example Implementation

761 NIST performed a series of tests using the touch sensitivity metric and test method for  
762 Hand 1 and Hand 2. The test was performed with a mounted robotic hand and an external  
763 6-axis load cell. The calibrated load cell is used as ground truth for measuring contact  
764 forces. A robotic arm was used to position the hand relative to the load cell. However, once  
765 positioned, the arm remained stationary throughout the test with its brakes engaged. The  
766 test setup is shown in Figure 21

767  
768 The resultant magnitude of contact forces from the load cell data was calculated by com-  
769 puting the  $L_2$  norm of the three-dimensional contact forces  $F_{\text{contact}}$ . This yields the overall  
770 size of the contact force exerted by the finger onto the artifact. Next, the peaks of the force  
771 data were extracted yielding the maximum impact forces for each test cycle,  $F_{\text{contact,max}}$   
772 (see Figure 22). After collecting these maximum forces for each closing speed, the mean  
773 and 95% confidence intervals were calculated to establish a most likely performance point  
774 and the uncertainty. This process was repeated ten times for six different closing velocities.



**Fig. 21.** Finger-artifact configuration during touch sensitivity testing; Hand 1 with impedance sensing (left) and Hand 2 (right) .



**Fig. 22.** Contact force profile for Hand 1, Finger 1 with resistance sensing at 20 rad/s closing speed.

775 After data collection and analysis across Hand 1 with impedance and resistance sens-  
 776 ing, and Hand 2 with current sensing, the data is displayed to show not only an absolute  
 777 performance, but relative performance (see Figure 23). The lower the maximum contact  
 778 force, the more sensitive and reactive the finger.

## 779 7.2.6 Data

Data File Archive: <http://www.nist.gov/el/isd/upload/Touch-Sensitivity.zip>

Data Files: Hand 1 Impedance/Finger[No.]\_Vel\_[val]  
 Hand 1 Resistance/Finger[No.]\_Vel\_[val]  
 Hand 2/Finger[No.]\_Vel\_[val]

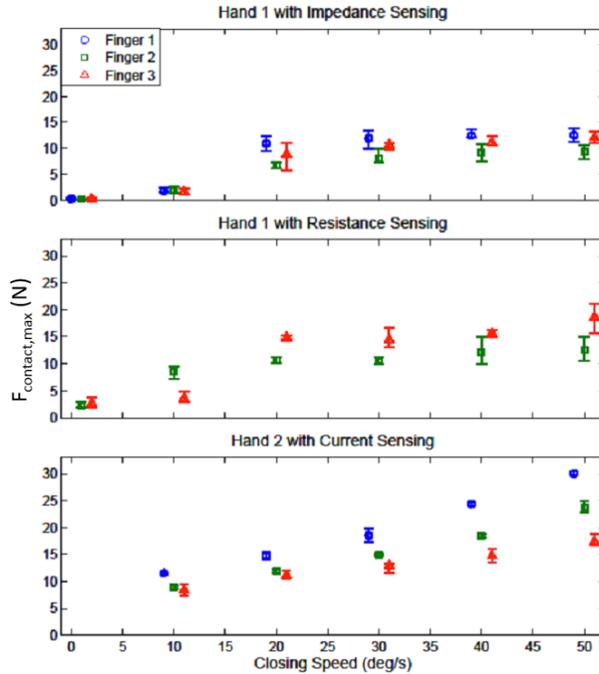
780 File Format: ASCII, comma delimited  
 Data Values: 7  $F_x$ ,  $F_y$ ,  $F_z$  (one set per line)  
 Units: Newtons  
 Data Sample Rate: 3 kHz

## 781 8. Grasp Efficiency

### 782 8.1 Metric

#### 783 8.1.1 Definition

784 Grasp efficiency is a measure of the hand's ability to modulate grasp force in the presence  
 785 of increasing object disturbance forces, while minimizing the overall required effort. This



**Fig. 23.** Plot of maximum contact force of all robot fingers across hand 1 and hand 2 with tests for impedance, resistance, and current sensing strategies. Note that in the resistance sensing plot, Finger 1 of Hand 1 was not tested due to a faulty contact sensor.

786 measure will yield a hand's control and sensing capabilities regarding slip minimization  
 787 and operational efficiency in grasping objects with uncertain disturbance loads.

### 788 8.1.2 Dependencies

789 Grasp efficiency is a function of the hand's actuator and sensing capabilities, motion con-  
 790 trollers, mechanical design, and grasp configuration.

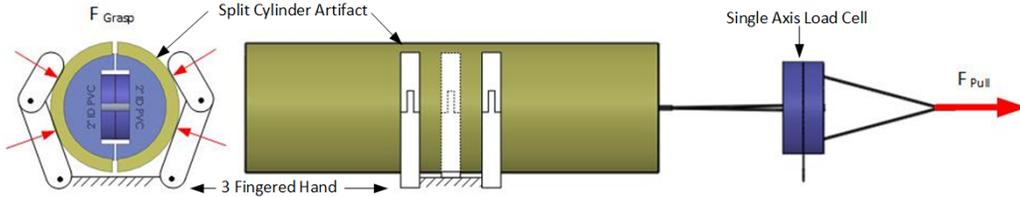
## 791 8.2 Test Method

### 792 8.2.1 Measurement Instrument

- 793 1. Split cylinder artifacts (see Appendix C)
- 794 2. Single axis load cell for measuring force in one dimension.
- 795 3. Required data acquisition hardware and software.
- 796 4. A mechanism for providing a controlled increasing force along the axial direction to  
 797 the pipe segment.

798 **8.2.2 Description**

799 Of the previously listed dependencies, only the grasp configuration, object size, and ob-  
 800 ject surface properties are assumed controllable. For this test, two common grasp types  
 801 can be chosen for investigation – pinch and wrap. The pinch grasp allows for measuring  
 802 performance associated with precision grasping, while the wrap grasp allows for measur-  
 803 ing performance associated with power grasping. The general setup for this test is shown  
 804 in Figure 24. The split cylinder artifact is used to measure the internal grasp force  $F_{Grasp}$   
 805 while an increasing force  $F_{Pull}$  is applied to the cylinder artifact in the axial direction. This  
 806 test assumes that the robot hand is capable of sensing slip or friction forces, and increases  
 807  $F_{Grasp}$  as  $F_{Pull}$  increases.



**Fig. 24.** Grasp efficiency setup,  $F_{Grasp}$  and  $F_{Pull}$

808 In this test method, the hand is commanded to perform an initial grasp using the mini-  
 809 mum force required to constrain the artifact  $F_{Grasp,Min}$ . Then, the  $F_{Pull}$  is steadily increased,  
 810 ultimately approaching  $F_{Pull,Max}$  as defined in the slip resistance test.  $F_{Grasp}$  and  $F_{Pull}$  are  
 811 recorded throughout the test.

812 **8.2.3 Performance Measures**

813 For each set of instantaneous force readings, add the forces across the force sensors in-  
 814 ternal to the grasp artifact since they are in-line to yield a total grasp force  $F_{Grasp}$ , while  
 815 synchronously recording  $F_{Pull}$ .

$$F_{Grasp} = \sum_{i=1}^n F_i. \quad (8)$$

816 For each test cycle (see Figure 25) compute Grasp Efficiency ( $E_{Grasp}$ ) at each data point  
 817 collected from the initial grasp force  $F_{Grasp,Min}$  until reaching  $F_{pull,max}$ . Calculate grasp  
 818 efficiency and compute the mean and 95 % confidence intervals to establish a most likely  
 819 performance point and the uncertainty where:

$$Grasp\ Efficiency = \frac{F_{pull}}{F_{Grasp}}. \quad (9)$$

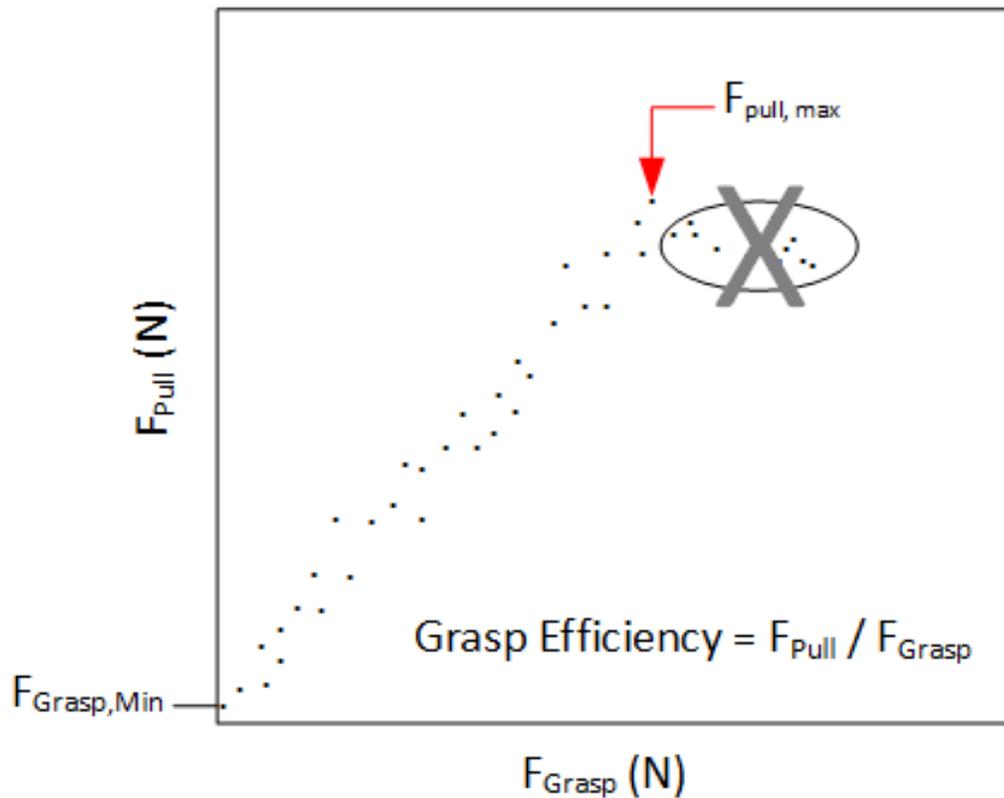


Fig. 25. Depicted grasp efficiency data,  $F_{Grasp}$  and  $F_{Pull}$

820 **8.2.4 Test Setup and Procedure**

- 821 1. Place a cylindrical artifact in the robotic hand using a wrap grasp at minimum force to  
 822 resist external forces ( $F_{Grasp, Min}$ ) and with the highest number of hand-object points  
 823 of contact possible.
- 824 2. Pull on the pipe at a controlled rate of increasing force, recording force until gross  
 825 slipping is visually confirmed between the hand and the split cylinder artifact.
- 826 3. Repeat the process for the desired sample size (see Appendix D for guidance)
- 827 4. Record force sensor data throughout the test.
- 828 5. Calculate the performance measures.
- 829 6. Repeat this test procedure over a range of standard pipe diameters that the robotic  
 830 hand is capable of grasping.

## 831 **9. Force Calibration**

### 832 **9.1 Metric**

#### 833 **9.1.1 Definitions**

834 Force based sensor calibration is important for many state-of-the-art robotic grasping and  
835 manipulation control algorithms that use force-based control approaches. That is, to control  
836 contact forces, force sensor readings must be accurate. Moreover, force capabilities can be  
837 used for touch-based grasp planning, controlled interaction for texture discrimination, and  
838 object localization.

#### 839 **9.1.2 Dependencies**

840 This characteristic is a function of the tactile sensor mechanical design, and its calibration.

## 841 **9.2 Test Method**

### 842 **9.2.1 Measurement Instrument**

- 843 1. Calibrated load cell for measuring force in three dimensions ( $F_x, F_y, F_z$ )
- 844 2. Required data acquisition hardware and software.

### 846 **9.2.2 Description**

847 This test method seeks to capture the performance of force based tactile sensors by com-  
848 paring the force readings by the sensor to force data recorded simultaneously using an  
849 external load cell. Using the desired sensor-object orientation, position the sensor under  
850 test just above the force sensor and verify a zero-force reading. Press the sensor against the  
851 load cell and record both the sensor force reading and the load cell readings.

### 852 **9.2.3 Performance Measures**

#### 853 **1. Force Magnitude:**

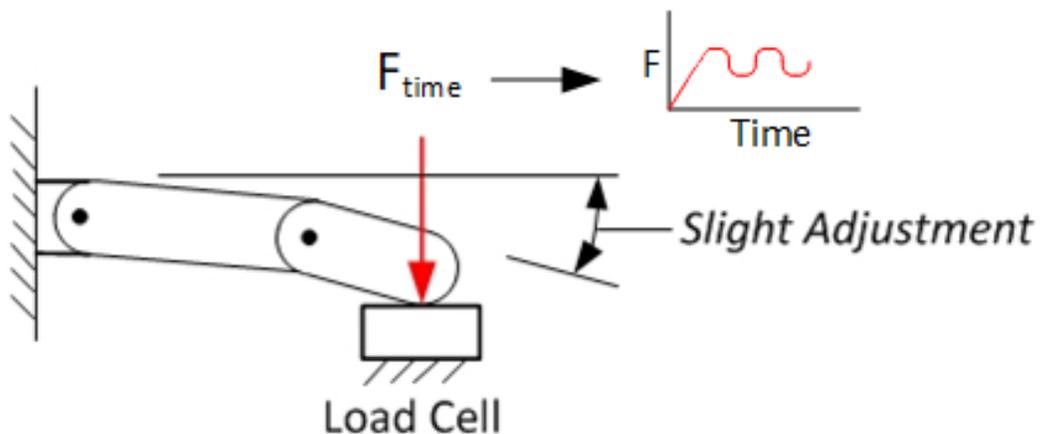
854 Calculate the Root Mean Squared Error (RMSE) between the tactile sensor force  
855 magnitudes and those measured by the reference force sensor for all data collected.  
856 In the case of a single axis load cell, the sensor force should be applied along the load  
857 cell axis. This measure gives an indication of how competent the sensors are with  
858 predicting the correct contact force magnitude.

859 **2. Force Direction:**  
860 Compute the RMSE between the force direction as measured by the tactile sensor  
861 and the external load cell. This measure gives an indication of how competent the  
862 sensors are with predicting the correct contact force directionality. This test requires  
863 the use of a three axis load cell.

864 **3. Maximum Force Error:**  
865 Calculate the absolute maximum error between the contact force magnitude as mea-  
866 sured by the hand sensor and the reference force. This measure will give an upper  
867 bound to the sensor's worst force predictions.

#### 868 9.2.4 Test Setup and Procedure

- 869 1. Position the robotic finger over the measurement device as depicted in Figure 26.
- 870 2. Once in this configuration, the finger is positioned to hover over the touch point on  
871 the force sensor with zero force.
- 872 3. Under force control, the finger is then commanded to seek  $F_{time}$  a defined force profile  
873 (see example in 9.2.5).
- 874 4. Record force sensor data throughout the test to track the force profile.
- 875 5. Repeat the process for tracking the force profile according to the desired sample size  
876 (see Appendix D for guidance) per finger tested.
- 877 6. Calculate the performance measures.



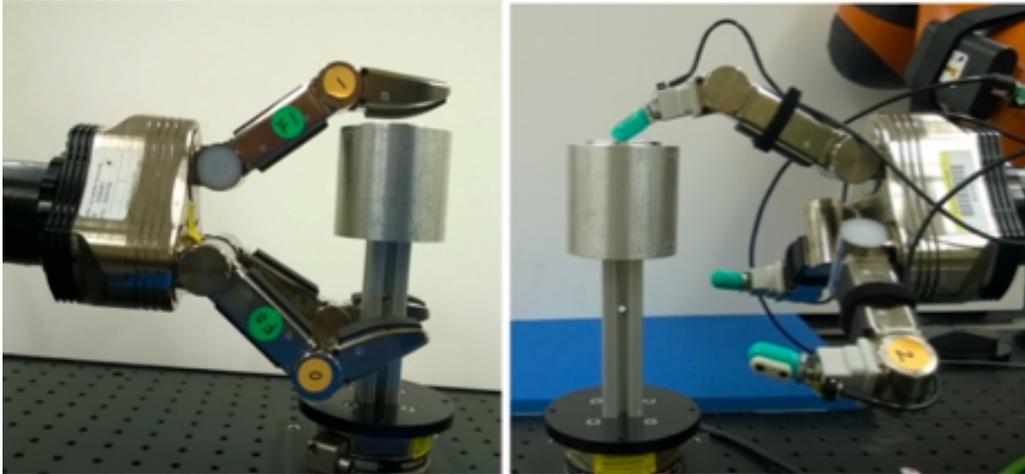
**Fig. 26.** Force Calibration Test Setup

## 878 9.2.5 Example Implementation

879 NIST performed a series of tests using the force calibration metric and test method for Hand  
880 1. In the first test, the hand was retrofitted with resistance-based sensors at the fingertips  
881 and in the second test, the hand was fitted with impedance sensors at the fingertips. The  
882 collected data came from the finger force tracking test where each finger was commanded to  
883 seek a certain force against a flat object surface that was rigidly attached to a three-axis load  
884 cell (Figure 27). Four different force profiles were issued consisting of 1 N,  $F_{finger,max}/2$   
885 N,  $F_{finger,max}$  N, and a time-varying trajectory defined as

$$\|F_{d,z}\| = 5 \log \left( \sin \left( \frac{\pi(t+3)}{2} \right) + \cos \left( \frac{t}{4} + \pi \right) + 3 \right) + 1 \quad (10)$$

886 where  $t$  is time, and  $F_{d,z}$  is the desired force trajectory in the world coordinate system's  
887 z-axis. Each test was conducted for a continuous 60 seconds. In the future, static calibra-  
888 tion verification will be performed while incorporating multiple approach points to more  
889 thoroughly test larger regions of the sensor response space. Moreover, purely sinusoidal  
890 force trajectories could be issued with varying amounts of amplitude and frequency.



**Fig. 27.** Test setup for measuring performance of force calibration fidelity: Hand 1 with resistance based sensors (left) and Hand 1 with impedance sensors (right).

891 Three performance measures were extracted from the collected data. First, the Root Mean  
892 Squared Error (RMSE) was calculated between the sensor force magnitudes and those mea-  
893 sured by the reference force sensor for all data collected. Next, the RMSE was calculated  
894 between the force direction as measured by each sensor and the reference load cell. Lastly,  
895 the maximum force error is calculated between the desired contact force magnitude and the  
896 measured contact force magnitude. Combined results are shown in Table 4.

Robotic Hand	$\ F_d\ $ N	RMSE (N) $\ F_L\ $ – $\ F_S\ $	RMSE $\hat{F}_L - \hat{F}_S$	Maximum Force Error (N)
Hand 1 (Impedance Sensing)	1	1.054	[0.412; 0.529; 0.285]	3.004
	$\frac{F_{finger,max}}{2}$	2.855	[0.254; 0.248; 0.118]	7.108
	$F_{finger,max}$	2.170	[0.087; 0.154; 0.038]	9.084
	Eq. 1	2.711	[0.201; 0.257; 0.099]	7.191
Hand 1 (Resistance Sensing)	1	2.586	[0.218; 0.280; 0.815]	6.380
	$\frac{F_{finger,max}}{2}$	4.825	[0.075; 0.427; 0.144]	13.386
	$F_{finger,max}$	4.939	[0.062; 0.336; 0.101]	16.398
	Eq. 1	5.411	[0.093; 0.359; 0.158]	13.003

**Table 4.** Various force calibration performance errors for Hand 1 under both impedance and resistance-based contact sensing

897 **9.2.6 Data**

Data File Archive: <http://www.nist.gov/el/isd/upload/Finger-Force-Tracking.zip>  
Data Files: Hand 1 Impedance/Set Point/Finger[No.][File Type]. [Magnitude]N  
Hand 1 Impedance/Time Varying/Finger[No.][File Type.]  
Hand 1 Resistance/Set Point/Finger[No.][File Type]. [Magnitude]N  
Hand 1 Resistance/Time Varying/Finger[No.][File Type]  
Hand 2 /Set Point/Finger[No.][File Type]. [Magnitude]N  
898 File Type: Loadcell - reference load cell  
Impedance - impedance contact sensing  
Resistance - resistance contact sensing  
File Format: ASCII, comma delimited  
Data Values: F\_x, F\_y, F\_z (one set per line)  
Units: Newtons  
Data Sample Rate: 3 kHz

## 899 **10. Finger Force Tracking**

### 900 **10.1 Metric**

#### 901 **10.1.1 Definition**

902 Finger force tracking is a kinetic measure regarding the finger’s ability to impose desired  
903 contact forces on its environment. This capability is particularly important for many state-  
904 of-the-art robotic grasping and manipulation control algorithms that use force-based con-  
905 trol approaches. Moreover, this capability can be used for touch-based grasp planning,  
906 controlled interaction for texture discrimination, and object localization.

#### 907 **10.1.2 Dependencies**

908 This characteristic is a function of the hand’s actuator capabilities, tactile sensor calibration,  
909 motion and force controllers, control and sensing bandwidth, mechanical design, finger-  
910 artifact configuration, and the parameters of the selected contact force trajectory.

## 911 **10.2 Test Method**

### 912 **10.2.1 Measurement Instrument**

- 913 1. Calibrated load cell for measuring force in three dimensions  $(F_x, F_y, F_z)$
- 914 2. Required data acquisition hardware and software.

### 916 **10.2.2 Description**

917 This test method seeks to capture the force tracking performance of an individual finger  
918 of a robotic hand. Of the finger force tracking dependencies, only the finger-artifact con-  
919 figuration and the parameters of the desired contact force profile are assumed controllable.  
920 The test begins by commanding the finger under test to track a desired force profile by  
921 contacting an artifact attached to a reference load cell. The parameters of this desired force  
922 profile can vary in contact force directionality as well as magnitude. In addition, the finger-  
923 artifact configuration can also be varied to test performance for different contact scenarios.  
924 During the test, the desired force profile  $(F_d \in \mathbb{R}^{3 \times 1})$ , the contact forces measured by the  
925 finger sensor  $(F_S \in \mathbb{R}^{3 \times 1})$ , and the contact forces measured by the load cell  $(F_L \in \mathbb{R}^{3 \times 1})$  are  
926 all recorded for extracting performance measures. This test assesses the total force track-  
927 ing performance, and the controller force tracking performance. In the former, the desired  
928 profile data is compared to the reference force sensor data to establish real-world force  
929 tracking performance. In the latter, the desired profile data is compared to the hand sensor

930 data to establish only the controller force tracking performance. For both considerations,  
931 the following performance measures are extracted.

### 932 **10.2.3 Performance Measures**

#### 933 **1. Force Magnitude:**

934 Calculate the Root Mean Squared Error (RMSE) between the desired force magni-  
935 tudes ( $\|F_d\| \in \mathbb{R}$ ) and those measured by either the reference force sensor ( $\|F_L\| \in \mathbb{R}$ )  
936 or hand sensor ( $\|F_S\| \in \mathbb{R}$ ) for all data collected. In the case of a single axis load cell,  
937 the sensor force should be applied along the load cell axis.

#### 938 **2. Force Direction:**

939 Compute the RMSE between the desired force direction ( $\|F_d\| \in \mathbb{R}^{3 \times 1}$ ) and the di-  
940 rection as measured by the external load cell ( $\|F_L\| \in \mathbb{R}^{3 \times 1}$ ) or hand sensor ( $\|F_S\| \in$   
941  $\mathbb{R}^{3 \times 1}$ ). This measure has three dimensions (one for each axis) and therefore requires  
942 the use of a three-axis load cell. Note: Only performed on robotic hands with suffi-  
943 cient degrees of freedom.

#### 944 **3. Force Peak Overshoot:**

945 Calculate the peak overshoot ( $\|F_{peak}\| \in \mathbb{R}$ ) between the desired contact force mag-  
946 nitude and the contact force magnitude as measured by the reference sensor or hand  
947 sensor. This measure will give an upper bound to the finger's control response.

### 948 **10.2.4 Test Setup and Procedure**

- 949 1. Position the robotic finger in a fully-extended position as depicted in Figure 28.
- 950 2. Once in this configuration, the finger is positioned to hover over the touch point on  
951 the force sensor with zero force.
- 952 3. Under force control, the finger is then commanded to seek a defined force profile (see  
953 example in 10.2.5).
- 954 4. Record force sensor data throughout the test to track the force profile.
- 955 5. Repeat the process for tracking the force profile according to the desired sample size  
956 (see Appendix D for guidance) per finger tested.
- 957 6. Calculate the performance measures.

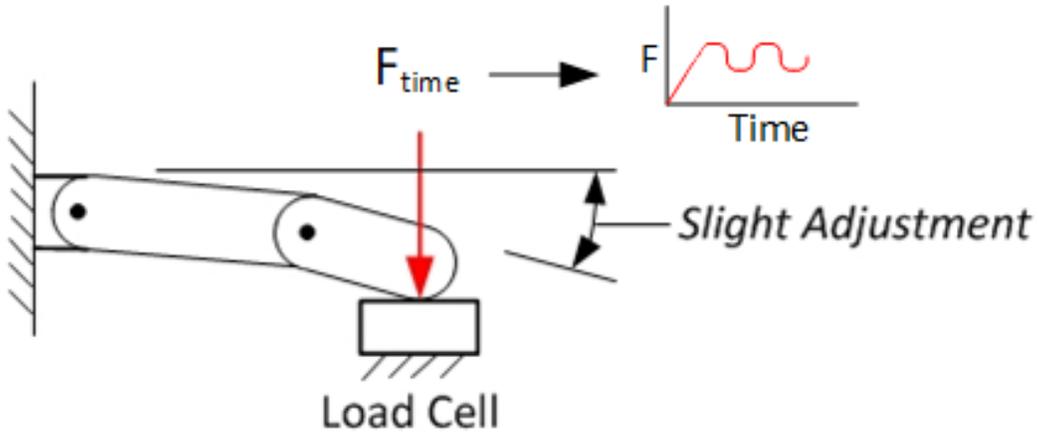


Fig. 28. Force Calibration Test Setup

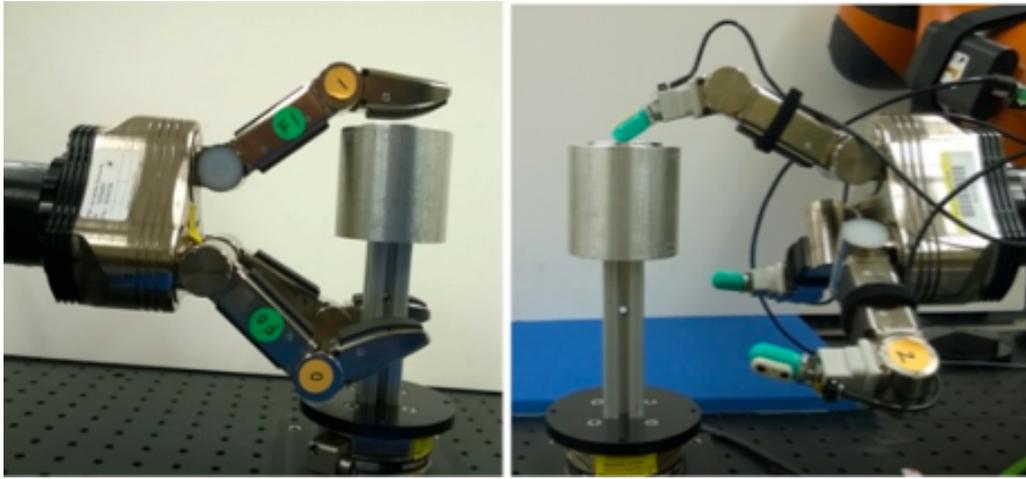
### 10.2.5 Example Implementation

NIST performed a series of tests using the force calibration metric and test method with Hand 1 and Hand 2. Hand 1 was first retrofitted with impedance sensors at the fingertips and then with resistance based sensors at the fingertips. Hand 2 uses motor currents to sense force per finger. To extract force tracking performance, each finger for each robotic hand is commanded to exert a specified force profile onto an artifact mounted to an external force sensor (in this case, 6-axis load cell) as shown in Figure 29. In the case of this experimentation, four distinct forces profile magnitudes were prescribed for testing. Three were fixed-force profiles of 1N,  $\|F_{finger,max}\|/2$  N, and  $\|F_{finger,max}\|$  N where  $F_{finger,max}$  is the maximum finger force capability as determined in the previous test for finger strength. The final force profile was time-varying in nature with two frequencies and varying amplitudes defined by

$$\|F_d\| = 5 \log \left( \sin \left( \frac{\pi(t+3)}{2} \right) + \cos \left( \frac{t}{4} + \pi \right) + 3 \right) + 1 \quad (11)$$

This equation is purposefully scaled in magnitude to remain within the maximum force strength capabilities of the robotic hand under test. The direction of all force profile magnitudes was vertically downward into the artifact mounted to the force sensor (see Figure 29). Different force profiles will be added and tested in the future. Because of the current control limitations of Hand 2, only the fixed-force step functions were applied to test the hand's ability to achieve a force.

The test is performed on two hand configurations; Hand 1, a robotic hand platform retrofitted with resistance based fingertip sensors, and Hand 1, the same robotic hand platform retrofitted with impedance based fingertip sensors. The test begins by positioning either Hand 1 such that the palm is parallel to the vertical axis of the artifact. Next, the finger under test was placed in a configuration of maximum manipulability with the fingertip parallel to and



**Fig. 29.** Test setup for finger force tracking: Hand 1 with resistance based fingertip sensors (left), Hand 1 with impedance based fingertip sensors (right).

982 offset by approximately 1 cm from the artifact surface. For Hand 1, the maximum ma-  
983 nipulability finger pose resulted in joint angles of -45 degrees for the first joint, and 45  
984 degrees for the second joint. Since Hand 2 is underactuated and possesses only one degree  
985 of freedom per finger, the specification of joint angles for maximum manipulability is not  
986 relevant. Different finger-artifact configurations will be added and tested in the future.

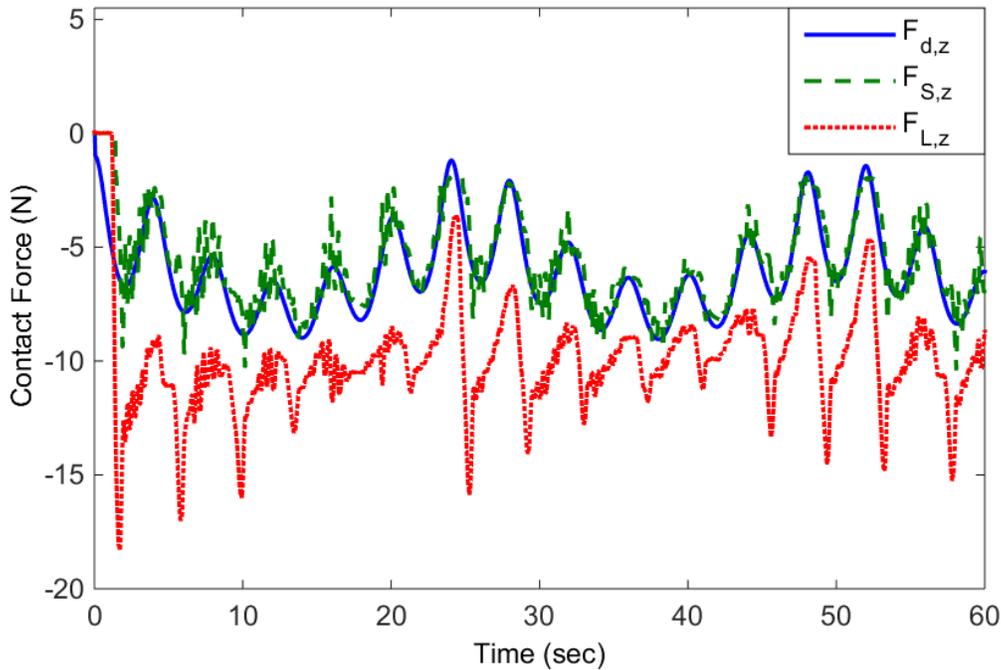
987

988 Each finger was then commanded to track the desired force profile, and the contact forces  
989 were measured using the external force sensor. Since Hand 1 exhibited continuous force  
990 tracking control capability, it was tasked with tracking the desired profile for 60 seconds.  
991 Since Hand 2 used a simpler stop-and-hold strategy upon achieving the desired force, it  
992 was tasked to cyclically achieve the desired force by lifting off and making contact again,  
993 a process that was repeated ten times for each finger. For both hands, the force profile was  
994 used to generate a mean performance and its uncertainty measures.

995

996 The three performance measures were extracted from the collected data that concern the  
997 total force tracking performance (controller performance will be added in the future). First,  
998 the Root Mean Squared Error (RMSE) was calculated between the desired force magni-  
999 tudes and those measured by the reference force sensor for all data collected (see Figure 30  
1000 for example visualization of force controller results). This measure gives an indication of  
1001 how well the fingers impart desired contact force magnitudes. Second, the RMSE between  
1002 the desired force direction and measured contact force direction is calculated. This measure  
1003 has three dimensions (one for each axis). Lastly, peak overshoot, a measure often used to  
1004 indicate controller performance, is calculated between the desired contact force magnitude  
1005 and the measured contact force magnitude. Results for Hand 1 and Hand 2 are shown in  
1006 Table 5. The lower the RMSE reported values, the closer the hand was to imparting the  
1007 pre-defined force profile. The lower the peak overshoot, the lower the maximum error be-

1008 tween the desired and imparted forces. Hand 2 is under-actuated and therefore does have  
 1009 any reportable measures for RMSE for the force control directions.



**Fig. 30.** The desired force profile ( $F_{d,zi}$ ), the contact force as sensed by the onboard sensor ( $F_{s,zi}$ ), and the contact force as sensed by an external load cell ( $F_{L,zi}$ ) for Hand 1, finger 2 with resistance sensing.

## 1010 10.2.6 Data

Data File Archive: <http://www.nist.gov/el/isd/upload/Finger-Force-Tracking.zip>  
 Data Files: Hand 1 Impedance/Set Point/Finger[No.][File Type]. [Magnitude]N  
 Hand 1 Impedance/Time Varying/Finger[No.][File Type].  
 Hand 1 Resistance/Set Point/Finger[No.][File Type]. [Magnitude]N  
 Hand 1 Resistance/Time Varying/Finger[No.][File Type]  
 Hand 2/Set Point/Finger[No.][File Type]. [Magnitude]N  
 1011 File Type: Loadcell - reference load cell  
 Impedance - impedance contact sensing  
 Resistance - resistance contact sensing  
 File Format: ASCII, comma delimited  
 Data Values:  $F_x$ ,  $F_y$ ,  $F_z$  (one set per line)  
 Units: Newtons  
 1012 Data Sample Rate: 3 kHz

Robotic Hand	$\ F_d\ $ N	RMSE (N) $\frac{\ F_d\ }{\ F_L\ }$ -	RMSE $\hat{F}_d - \hat{F}_L$	Force Peak Over-shoot (N)
Hand 1 (Impedance Sensing)	1	0.567	[0.124; 0.428; 0.323]	1.046
	$\frac{F_{finger,max}}{2}$	2.182	[0.020; 0.225; 0.102]	4.972
	$F_{finger,max}$	1.773	[0.015; 0.134; 0.021]	5.159
	Eq. 1	2.092	[0.024; 0.204; 0.082]	5.160
Hand 1 (Resistance Sensing)	1	2.121	[0.218; 0.285; 0.483]	6.382
	$\frac{F_{finger,max}}{2}$	4.577	[0.075; 0.398; 0.178]	12.028
	$F_{finger,max}$	4.032	[0.062; 0.283; 0.133]	16.746
	Eq. 1	5.013	[0.093; 0.330; 0.223]	14.223
Hand 2 (Current Sensing)	1	N/A	N/A	N/A
	$\frac{F_{finger,max}}{2}$	1.226	N/A	2.864
	$F_{finger,max}$	5.129	N/A	-3.012*
	Eq. 1	N/A	N/A	N/A

**Table 5.** Various force tracking performance errors for the three force-controlled hand layouts

# 1013 **11. In-Hand Manipulation**

## 1014 **11.1 Metric**

### 1015 **11.1.1 Definition**

1016 In-hand manipulation is a kinematic measure of how well a robotic hand can control the  
1017 pose of an object. The pose of an object is described in Cartesian coordinates, and the ma-  
1018 nipulation efficacy is captured in terms of control error between the desired object Cartesian  
1019 pose and the measured object Cartesian pose over a time-varying trajectory. This capability  
1020 is arguably one of the most difficult to achieve and measure, but is paramount to achieving  
1021 dexterous robotic systems.

### 1022 **11.1.2 Dependencies**

1023 In-hand manipulation is an apex function for a robotic hand, and therefore depends on ev-  
1024 erything ranging from its mechatronic design and basic components to its control software.  
1025 Performance is also substantially dependent on the object's properties: friction coefficient,  
1026 mass, mass distribution, geometric dimensions, and morphology. Performance also de-  
1027 pends on finger-object contact configuration, and number of fingers as well.

## 1028 **11.2 Test Method**

### 1029 **11.2.1 Measurement Instrument**

- 1030 1. Position tracking system to measure relative translation and rotation of an object in  
1031 space during touch interaction.
- 1032 2. Required data acquisition hardware and software.
- 1033 3. Objects retrofitted with sensors or markers to measure the object's Cartesian pose  
1034 during manipulation with the position tracking system.

### 1035 **11.2.2 Description**

1036 Of the in-hand manipulation dependencies, only the object will be taken as a controlled  
1037 test variable. It will be up to the user to place the fingers appropriately on the object that  
1038 maximizes performance. Once appropriate contact has been established by an object and a  
1039 robotic hand, an object-fixed coordinate system should be known to both the robotic hand  
1040 and the reference measurement system with the respective transformations. From the ob-  
1041 ject's initially grasped pose,  $r_c(t_0) \in \mathbb{R}^{6 \times 1}$ ,  $t_0$  is the time at initial grasp acquisition, the hand  
1042 should individually manipulate the object along as many independent Cartesian axes as

1043 possible (up to six) along a desired Cartesian trajectory,  $r_{cd}(t) \in \mathbb{R}^{6 \times 1}$ ,  $r_{cd} = [x, y, z, \gamma, \beta, \alpha]$ ,  
1044 where  $x, y, z$  are translations and  $\gamma, \beta, \alpha$  are rotations about the X, Y, and Z axes. Along  
1045 each viable axis, the object should be moved both positively and negatively from the initial  
1046 condition (starting point) on that axis. The desired magnitude and rate of travel from  $r_c$   
1047 ( $t_0$ ) should be recorded. A simple method for doing so is to define the desired Cartesian  
1048 trajectory as  $r_{cd,i}(t) = A \sin(2\pi ft) + r_{c,i}(t_0)$  for  $i=1, \dots, 6$ . In this case,  $A$  is the motion  
1049 magnitude, and  $f$  is the number of motion cycles per second. The total manipulation error,  
1050  $e_{total} = r_{cd} - r_c$ , should be recorded over time during a manipulation test.

### 1051 11.2.3 Performance Measures

1052 The main performance measure should be the Root Mean Squared Error of  $e_{total}$ ,  $RMSE_{e,total}$ .  
1053  $RMSE_{e,total}$  is calculated for each set of manipulation tests. For thorough experimenta-  
1054 tion, several runs should be conducted for a manipulation test, and the mean and standard  
1055 deviation of  $RMSE_{e,total}$  can be calculated to capture a more accurate representation of  
1056 performance.

### 1057 11.2.4 Test Setup and Procedure

- 1058 1. Register a six degree of freedom position measurement device with the base coordi-  
1059 nate system of the robotic hand (typically the palm).
- 1060 2. Attach corresponding markers or attachments to objects under manipulation (if re-  
1061 quired by measurement device).
- 1062 3. Acquire an initial grasp on the object with the robotic hand.
- 1063 4. Command the robotic hand to change the pose of the object along a pre-defined  
1064 trajectory.
- 1065 5. Record the commanded trajectory, and the motion trajectory as measured by both the  
1066 hand (if available) and reference measurement device.
- 1067 6. Repeat this process for a variety of objects and sinusoidal amplitudes and frequen-  
1068 cies.
- 1069 7. Calculate the performance measures.

### 1070 11.2.5 Example Implementation

1071 NIST performed a series of tests using the in-hand manipulation metric and test method for  
1072 Hand 1, a three-fingered, 7 degree-of-actuation robotic hand retrofitted with bio-inspired  
1073 impedance based tactile sensors (Figure 31). Also shown in this figure are three geomet-  
1074 rically primitive artifacts – sphere, cuboid, and cylinder. The sphere has a diameter of

1075 120 mm and mass of 286 g, the cuboid has dimensions of 90 mm by 90 mm by 75 mm  
 1076 and a mass of 178 g, and the cylinder has a diameter of 90 mm, a height of 75 mm, and  
 1077 a mass of 143 g. The artifacts are retrofitted with reflective markers for position tracking  
 1078 using a motion capture system (MOCAP). The time-variant desired translation and rotation  
 1079 trajectories were defined as follows:

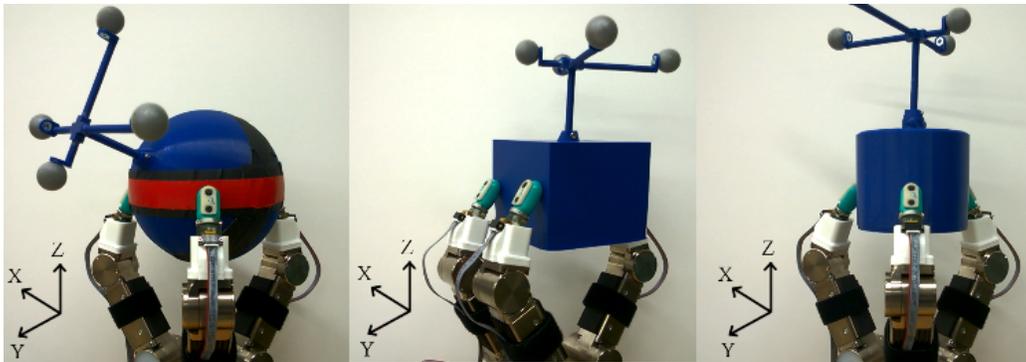
1080 1.  $r_{cd,z} = -0.0075 \sin(t) + 0.1425$  (m)

1081 2.  $r_{cd,\alpha} = -\frac{\pi}{25} \sin(1.25t)$  (rad)

1082 3.  $r_{cd,\beta} = -\frac{\pi}{25} \sin(0.75t)$  (rad)

1083 For these objects, these three pose axes were deemed controllable by this hand, and there-  
 1084 fore,  $r_{cd,x}$ ,  $r_{cd,y}$ , and  $r_{cd,\alpha}$  were left equal to their respective initial conditions.

1085



**Fig. 31.** Robotic hand holding a sphere (left), a cuboid (center), and a cylinder (right) with reflective markers attached for object motion tracking.

1086 The desired object trajectory can be deconstructed in terms of manipulation amplitude and  
 1087 frequency as shown in Table 6.

$r_{cd}$ component	Amplitude, $A$	Frequency, $f$ (Hz)
$r_{cd,x}$	N/A	N/A
$r_{cd,y}$	N/A	N/A
$r_{cd,z}$	7.5 (mm)	0.16
$r_{cd,\gamma}$	7.2 (deg)	0.20
$r_{cd,\beta}$	7.2 (deg)	0.12
$r_{cd,\alpha}$	N/A	N/A

**Table 6.** Motion magnitude and motion cycles per second across independent axes

1088 Given these trajectory parameters, the following manipulation performance was captured  
 1089 in Table 7. The orientation error remained relatively low. The translation performance was

Object	$RMSE_{e,total}$ (mm)	$RMSE_{e,total}$ (deg)
Sphere	[19.61,6.05,1.89]	[0.79,0.57,1.20]
Cuboid	[8.93,5.15,2.55]	[1.21,0.58,0.41]
Cylinder	[12.57,6.85,2.57]	[0.76,0.62,1.48]

**Table 7.** Total manipulation performance for object translation and object orientation

1090 most accurate in the Z-axis across all objects. Substantial translation error accrued in the  
1091 X and Y axes.

1092 **Notes:**

1093 The desired trajectories were concatenated for a single manipulation operation. Retrospec-  
1094 tively, a single manipulation test should consist of the hand manipulating the object along  
1095 a single, independent axis only.

1096 **12. Object Pose Estimation**

1097 **12.1 Metric**

1098 **12.1.1 Definition**

1099 Object pose estimation is a kinematic measure of how well a robotic hand can estimate  
1100 the pose of an object. The pose of an object is described in Cartesian coordinates, and the  
1101 estimation fidelity will be captured in terms of the error between the hand-estimated Carte-  
1102 sian pose versus the reference-measured Cartesian pose. Object pose estimation is useful  
1103 feedback for in-hand manipulation control and hand-arm coordination and control, partic-  
1104 ularly since visual occlusions for an external vision system typically occur when grasping  
1105 an object.

1106 **12.1.2 Dependencies**

1107 System dependencies for object pose estimation can vary considerably based on strategy.  
1108 Strategies can fall into one of two main categories – contact or non-contact. If requiring  
1109 object contact, then pose estimation capabilities will likely involve proprioceptive and cu-  
1110 taneous sensory systems as well as the driving algorithm for making the estimations. In  
1111 this case, estimation performance will depend on these underlying sensors and the overar-  
1112 ching estimation algorithm. For the non-contact strategy, a vision strategy is likely used  
1113 that will depend on the vision sensor and supporting algorithms. Overall performance is  
1114 likely to also depend on the object’s properties as well including morphology, orientation,  
1115 and optical traits.

1116 **12.2 Test Method**

### 1117 12.2.1 Measurement Instrument

- 1118 1. Position tracking system to measure relative translation and rotation of an object in  
1119 space during touch interaction.
- 1120 2. Required data acquisition hardware and software.
- 1121 3. Objects retrofitted with sensors or markers to measure the object's Cartesian pose  
1122 during manipulation with the position tracking system.

### 1123 12.2.2 Description

1124 Of the previously listed dependencies, only the object will be taken as a controlled test  
1125 variable. It will be up to the user to place the object appropriately within the hand and  
1126 establish an object-fixed coordinate system and a ground coordinate system. The object-  
1127 fixed coordinate system should be known to both the robotic hand and the reference position  
1128 tracking system with the relevant transformations. The object should be moved through a  
1129 variety of poses with respect to the hand, while object poses are estimated by the hand and  
1130 “ground-truth” poses are measured by the reference position tracking system.

### 1131 12.2.3 Performance Measures

1132 The main performance measure should be the Root Mean Squared Error of  $e_{estimation}$ ,  
1133  $RMSE_{e,estimation}$ , where  $e_{estimation} = r_{c,estimation} - r_c \in \mathbb{R}^{6 \times 1}$ . Furthermore,  $r_c$  is the pose  
1134 of the object as measured by the reference position tracking system, and is defined as,  
1135  $r_c = [x, y, z, \gamma, \beta, \alpha]$ , where  $x, y, z$  are translations and  $\gamma, \beta, \alpha$  are rotations about the X, Y,  
1136 and Z axes. Finally,  $r_{c,estimation}$  is the pose of the object as estimated by the robotic hand.  
1137  $RMSE_{e,estimation}$  is calculated separately for a variety of parts. For thorough experimen-  
1138 tation, several runs should be conducted per object, and the mean and 95% confidence  
1139 interval of  $RMSE_{e,estimation}$  can be calculated to capture a more accurate representation of  
1140 performance.

### 1141 12.2.4 Test Setup and Procedure

- 1142 1. Register a six degree of freedom position measurement device with the base coordi-  
1143 nate system of the robotic hand (typically the palm).
- 1144 2. Attach corresponding markers or attachments to objects under manipulation (if re-  
1145 quired by measurement device).
- 1146 3. Acquire an initial grasp on the object with the robotic hand.
- 1147 4. Command the robotic hand to change the pose of the object along a pre-defined  
1148 trajectory.

- 1149 5. Record the commanded trajectory, and the motion trajectory as measured by both the  
1150 hand and reference measurement device.
- 1151 6. Repeat this process for a variety of objects and sinusoidal amplitudes and frequen-  
1152 cies.
- 1153 7. Calculate the performance measures.

### 1154 12.2.5 Example Implementation

1155 NIST performed a series of tests using the in-hand manipulation metric and test method for  
1156 Hand 1, a three-fingered, 7 degree-of-actuation robotic hand retrofitted with bio-inspired  
1157 tactile sensors (Figure 31). Also shown in this figure are three geometrically primitive  
1158 artifacts – sphere, cuboid, and cylinder. The sphere has a diameter of 120 mm and mass of  
1159 286 g, the cuboid has dimensions of 90 mm by 90 mm by 75 mm and a mass of 178 g, and  
1160 the cylinder has a diameter of 90 mm, a height of 75 mm, and a mass of 143 g. The artifacts  
1161 are retrofitted with reflective markers for position tracking using a motion capture system  
1162 (MOCAP). The time-variant desired translation and rotation trajectories were defined as  
1163 follows:

1164 1.  $r_{cd,z} = -0.0075 \sin(t) + 0.1425$  (m)

1165 2.  $r_{cd,\alpha} = -\frac{\pi}{25} \sin(1.25t)$  (rad)

1166 3.  $r_{cd,\beta} = -\frac{\pi}{25} \sin(0.75t)$  (rad)

1167 This implementation is a contact-based object pose estimation solution, and therefore the  
1168 hand was tasked with manipulating the object along the above-defined trajectories in order  
1169 to induce object motion and estimate the object’s pose. See the In-hand Manipulation test  
1170 method.

1171  
1172 Given these trajectories, object pose estimation performance was captured in Table 8. In-  
1173 terestingly, the orientation error remained relatively low. The translation performance was  
1174 most accurate in the Z-axis across all objects. Substantial translation error accrued in the  
1175 X and Y axes.

Object	$RMSE_{e,estimation}$ (mm)	$RMSE_{e,estimation}$ (deg)
Sphere	[18.00,4.57,2.34]	[2.98,3.37,3.23]
Cuboid	[8.45, 6.82,2.76]	[2.05,1.81,2.87]
Cylinder	[11.88,5.66,2.50]	[1.97,1.29,3.07]

**Table 8.** Total manipulation performance for object translation and object orientation

#### 1176 Notes:

1177 It is observed that estimation errors are largely due to finger-object slipping that was not  
1178 detectable or incorporated by the robotic hand.

## 1179 **Acknowledgments**

1180 The authors would like to thank the metrics working group formed under the Institute  
1181 of Electrical and Electronic Engineers (IEEE) Robotics and Automation Society Robotic  
1182 Hand Grasping and Manipulation (RHGM) Technical Committee for their constructive  
1183 comments that improved the overall quality, thoroughness, and usefulness of this publi-  
1184 cation.

1185

# 1186 Appendix A: Background on Grasp Performance Measures

## 1187 A.1 Quantitative Grasp Measures

1188 The physical results of grasping are reported using both qualitative and quantitative data.  
1189 Qualitative data is a categorical measurement expressed by means of a natural language  
1190 description where quantitative data is a numerical measurement. Qualitative measures ex-  
1191 pressing the ability to grasp an object are commonplace and typically use pass/fail indica-  
1192 tors along with a description of how well a grasp was performed on a given test (e.g., grasp  
1193 A is not as stable as grasp B, the object was ejected from the grasp). Another aspect of per-  
1194 formance testing is functional vs. non-functional tests. Functional tests evaluate a robotic  
1195 hand and overall robotic system’s ability to perform the grasp required to accomplish a  
1196 specific task (e.g., holding and operating tools, grasping and turning valves, and operat-  
1197 ing a door knob after unlocking it with a key) [7–9], while non-functional tests would be  
1198 designed to measure more general properties of a robot hand outside the scope of an inte-  
1199 grated robotic system. Both qualitative and quantitative measures can be used to express  
1200 the results of functional and non-functional tests. Qualitative measures are easily found in  
1201 robotic grasping research literature, however, examples of applying quantitative measures  
1202 to evaluate grasp performance are sparse.

## 1203 A.2 Volumetric

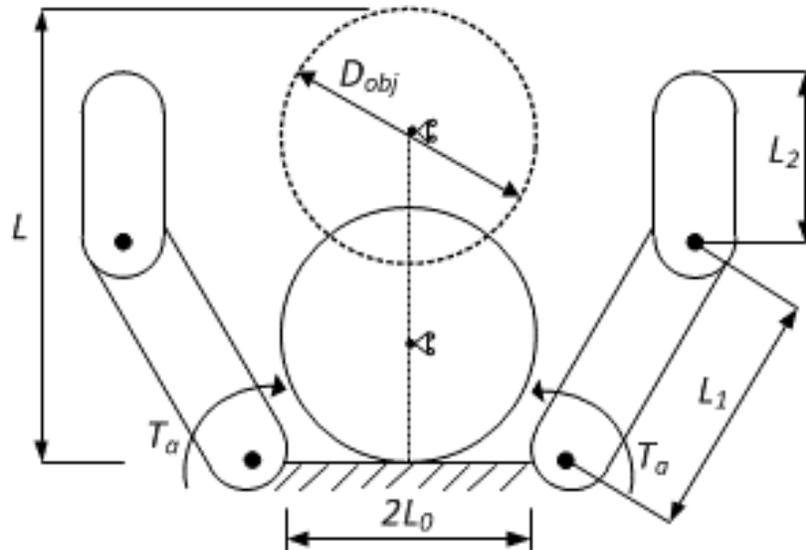
1204 In [? ], the authors propose a benchmark to measure the kinematic ability of a robotic hand  
1205 to grasp objects. In particular, cylindrical objects of increasing diameter (40, 50, 55, 60, 63,  
1206 75, 90, 110, 115, and 120 mm) were used under both pinch grasps and enveloping grasps.  
1207 In the case of a pinch grasp, the outermost point of the object circumference was placed a  
1208 distance of  $L$  from the palm and in the case of an enveloping grasp, the object was placed  
1209 against the palm. Also noted during grasps were the cases where a pinch grasp resulted in  
1210 the hand pulling the artifact towards the palm resulting in a transition to a final enveloping  
1211 grasp equilibrium. A performance metric was defined as follows:

$$Q_{grasp} = \frac{\pi \delta D_{obj}}{2L + 2L_0}, \quad (12)$$

1212 where,  $\delta D_{obj}$  is the difference between the diameter of the largest and smallest graspable  
1213 object,  $L = L_1 + L_2$  is the length of one finger and  $2L_0$  is the palm width of the hand (Figure  
1214 32).

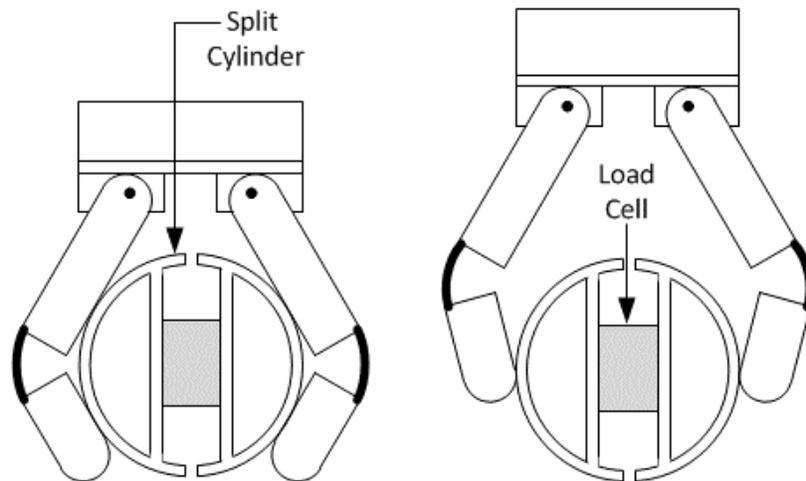
## 1215 A.3 Internal Force

1216 Odhner et al. implemented a test apparatus to test the power grasp capabilities of the  
1217 iRobot-Harvard-Yale (iHY) Hand, a compliant under-articulated hand that used tendons to  
1218 actuate finger motion [2]. The apparatus was constructed of a split cylinder and a load cell



**Fig. 32.** Reproduced from [1], initial positions of a freely moving cylindrical object with respect to the palm of a hand to determine the ability to successfully grasp this object. Palm position is represented by the solid object and the pinch position by the dashed object.  $D_{obj}$  is the diameter of the object,  $2L_0$  is the width of the palm,  $L_1$  is the length of the proximal phalanx,  $L_2$  is the length of the distal phalanx,  $L$  is the length of the finger. A torque  $T_a$  applies to the base of the fingers.

1219 attached at the cylinder center. The apparatus was oriented such that it was symmetric with  
 1220 the fingers and the load cell measured the force exerted between the opposing fingers in  
 1221 the direction of the split. The same test artifact was used to measure both power grasping  
 1222 (Figure 33 - Left) and finger-tip grasping (Figure 33 - Right).



**Fig. 33.** Reproduced from [2] split ring test apparatus to measure power grasp and finger- tip force.

1223 Romano et al. [10] presents quantitative testing when evaluating the performance of a

1224 novel robotic grasp controller. The system’s ability to control delicate manipulation tasks  
1225 was evaluated with crushing measures. Crushing was defined as a deformation of 10 mm  
1226 beyond the initial surface contact. There was no indication as to how these measurements  
1227 were made.

#### 1228 **A.4 Resistance to Force and Slip**

1229 A benchmark in [1] tests the ability to hold objects. Again using cylindrical objects, the  
1230 object is placed against the palm of the hand and grasped. The object is slowly moved  
1231 along a straight line (5 mm/s) in a disturbance direction  $\mu$ , with the object allowed to move  
1232 in the perpendicular direction  $\nu$  (Figure 34). The force is measured throughout the pulling  
1233 direction over several pull directions  $\Phi$  and the maximum pull force is recorded for each.  
1234 A performance metric was designed as follows:

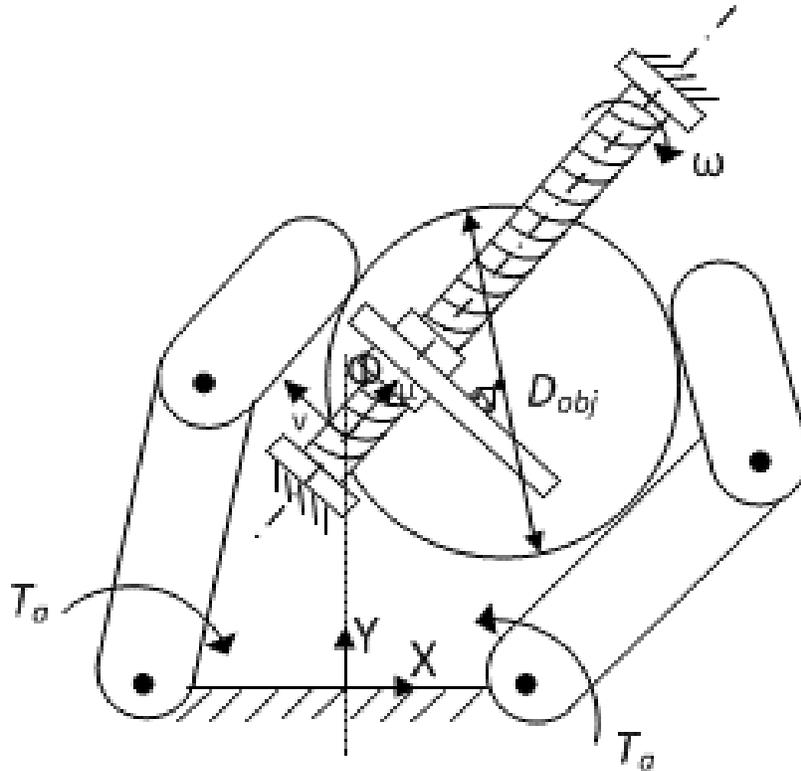
$$Q_{hold} = \frac{FL}{T_a}, \quad (13)$$

1235 where  $F$  is the minimum force needed to pull an object out of the hand,  $T_a$  is the constant  
1236 actuation torque applied at the base of the fingers, and  $L$  is the total length of the finger. In  
1237 [11] the authors conduct similar experiments with grasps as in [1] but also independently  
1238 measure the contact force using a load cell internal to the cylinder and coupled to the hand  
1239 though a ball bearing protruding through a hole in the cylinder. In these tests, the authors  
1240 are relating the forces exerted on the cylinder by the hand to the forces required to pull the  
1241 cylinders from the hand.

1242  
1243 Romano et al. [10] test a robotic hand’s ability to control delicate manipulation tasks using  
1244 slippage measures. Slippage was defined in two forms: translation (greater than 5 mm), and  
1245 rotation (greater than 10 degrees). There was no indication as to how these thresholds were  
1246 developed. Slip measures were used to evaluate the grasp controller’s ability to adjust the  
1247 minimum grip force necessary to lift an object 10 mm from a table surface and to evaluate  
1248 the controller’s slip response. To evaluate slip response, a cup was stably grasped at a fixed  
1249 load of 5N. The cup was loaded incrementally with batches of 15 marbles (about 0.6 N per  
1250 batch) and the gripper was shaken for two seconds while the cup was observed for slip. The  
1251 authors also used pressure-sensitive film to capture the forces imposed on an object during  
1252 placement onto a flat surface.

#### 1253 **A.5 Touch Sensitivity**

1254 Dollar et al. [12] presents an experimental setup to test grasp improvements achieved  
1255 when integrating piezofilm contact sensors with a reactive control algorithm onto the Shape  
1256 Deposition Manufactured (SDM) Hand. The experimental setup consists of a shape artifact  
1257 constrained to a six-axis force-torque sensor. A nominal grasp pose relative to the position  
1258 of the artifact to be grasped is determined. Error offsets are then applied to the nominal



**Fig. 34.** Reproduced from [1], a schematic for the setup where a cylindrical object with a diameter  $D_{obj}$  is pulled out of the hand at a constant slow speed  $\omega$  in the direction of  $\mu$  while the fingers are at a constant torque  $T_a$ . The object is free to move in the direction  $v$ , which is perpendicular to  $\mu$ . The resultant of the contact forces on the object in the pull direction  $\mu$  is measured.

1259 pose and the forces associated with and without sensor based feedback improvements are  
 1260 measured. In addition to the force measurements, a qualitative assessment is applied to  
 1261 measure the success of the grasp. A successful grasp is defined as one where the object is  
 1262 able to be successfully lifted out of the force sensor mount without slipping out of the hand.  
 1263 In the reported experiments, grasp success and contact force data were evaluated at 10 mm  
 1264 error increments from the nominal position and showed that the addition of feedback from  
 1265 the contact sensors on the hand decreased the forces applied to the object during the grasp  
 1266 and increased the range of acceptable positioning offsets that still resulted in a successful  
 1267 grasp.

1268

1269 Based on Dollar's work, SynTouch LLC reports an experiment for comparing the sensitivity  
 1270 of grasp using tactile sensing technologies. Using a spherical object fixed to a force plate,  
 1271 the experiment measures the unbalanced forces acting on the object upon making grasp  
 1272 point contacts. The tests were conducted over a range of closing velocities and varied  
 1273 the position of the object to test how grasps can adapt to positional errors. Results showed  
 1274 higher forces with increasing closing velocities and decreasing sensor compliance and were

1275 attributed to the speed of the hand’s force control loop using the integrated sensor system.  
1276 The research also presented a mechanism for using the collected data to determine the range  
1277 of velocities and position errors a robotic hand system can tolerate for a given peak force.

## 1278 **A.6 Compliance**

1279 The developers of the iHY also developed a test for measuring the compliance of planar  
1280 and spherical pinch grasps [2]. This was accomplished by mounting a 6-axis force-torque  
1281 sensor to a mill headstock with the iHY hand fixtured in the mill’s vice (Figure 35). Distur-  
1282 bance displacements were applied using the three linear axis of the milling machine, and the  
1283 resultant forces were recorded relative to displacement. Stiffness values were determined  
1284 by averaging out hysteresis due to tendon friction and the viscoelasticity of polymer pads  
1285 and flexures by averaging values in both directions of each motion over several cycles. A  
1286 linear least squares estimation was used to fit the parameters of a symmetric stiffness matrix  
1287  $\mathbf{K}$  to the data for both the opposed and spherical fingertip grasps.

$$K = \begin{bmatrix} K_{xx} & K_{xy} & K_{xz} \\ K_{yx} & K_{yy} & K_{yz} \\ K_{zx} & K_{zy} & K_{zz} \end{bmatrix} \quad (14)$$

1288

1289

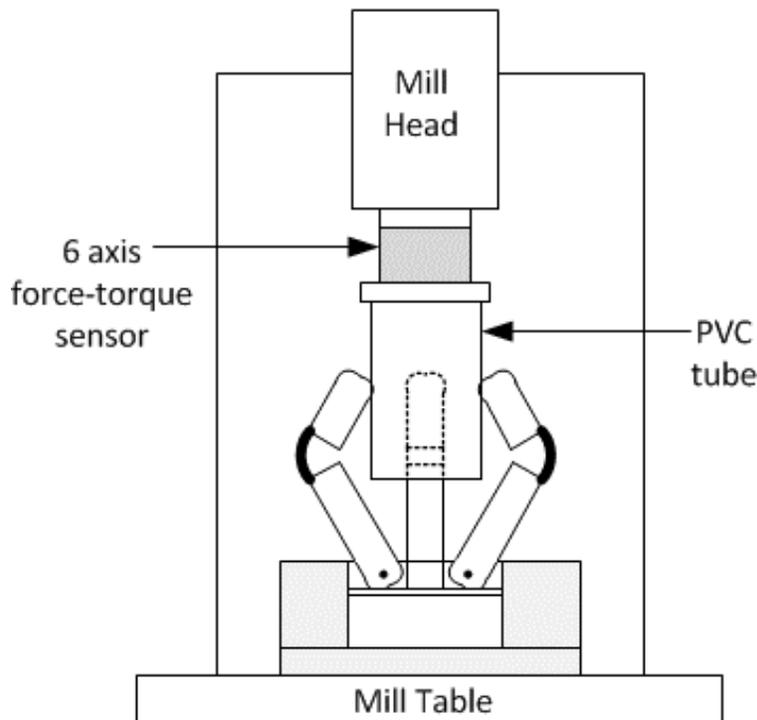
1290

## 1291 **A.7 In-Hand Manipulation**

1292 Odhner et al. [13] reports on experiments used to evaluate the in-hand manipulation ca-  
1293 pabilities using two fingers of an under-actuated robotic hand. Using several small objects  
1294 having different width and radius of curvature, manipulation tests were conducted by track-  
1295 ing the position of the object relative to an initial fingertip grasped position. Objects were  
1296 tracked in six degree-of-freedom space using a commercially available tracking system and  
1297 the degree of slip was detected by measuring the error between the nominal start and fin-  
1298 ish object positions after returning the robotic hand fingers to their original fingertip grasp  
1299 position.

## 1300 **A.8 Grasp Properties and Quantitative Measures**

1301 Grasp synthesis identifies the physical and mechanical properties of grasps and the creation  
1302 of suitable parameters to quantify them. In a review of grasp synthesis algorithms, Shimoga  
1303 identifies the main properties of grasp as disturbance resistance, dexterity, equilibrium, sta-  
1304 bility, and dynamic behavior [14]. A grasp with good disturbance resistance can withstand  
1305 disturbances in any direction. This can be accomplished by form-closure (complete kine-  
1306 matical restraint) where a set of grasp points results in finger positioning that constrains  
1307 an object, or force closure where grasp point forces applied by fingers constrain motion of



**Fig. 35.** Reproduced from [2], experimental setup for measuring stiffness properties of compliant hand

1308 the object (specific measures for these are proposed by many researchers and are discussed  
 1309 in Section 5.3). A grasp is considered dexterous if the kinematic properties of the robotic  
 1310 hand allow the object to be moved using a controlled and stable method, a concept also re-  
 1311 ferred to as in-hand manipulation. A grasp is in equilibrium if resultant forces and torques  
 1312 applied to an object by finger and external forces equate to zero. A grasp is considered to  
 1313 be stable if any positional errors caused by external forces in finger or object position can  
 1314 be eliminated once the disturbance is removed. Finally, the dynamic behavior of a grasp is  
 1315 defined as the time response of the grasp for changes in its motion or force trajectories.

1316  
 1317 Similar to Shimoga, Cutkosky presents the properties of force closure, form closure, stabil-  
 1318 ity, and manipulability as analytical measures used to describe a grasp [5]. Cutkosky also  
 1319 presents internal forces, slip resistance, compliance, and connectivity. Internal forces apply  
 1320 to the magnitude and variance of forces that a hand applies to an object while maintaining  
 1321 grasp equilibrium that is described above. Slip resistance is the magnitude of the forces  
 1322 and moments on the object at the onset of slip. The resistance to slipping depends on the  
 1323 configuration of the grasp, on the types of contacts, and on the friction between the object  
 1324 and the fingertips. Compliance (inverse of stiffness) of the grasped object with respect to  
 1325 the hand is a function of grasp configuration, joint servoing, and structural compliances in  
 1326 the links, joints, and fingertips. Finally, connectivity is the number of degrees of freedom

**Table 9.** Grasp properties and applicable performance tests

Grasp Property	Description	Applicable Tests (Appendix A section)
Form Closure	Ability to spatially constrain an object from moving when the finger joints are locked when assuming contact between the fingers and the object.	A.2
Force Closure	There exists a conical combination of contact forces at the points of contact such that any external wrench applied to the object can be resisted.	A.3, A.4
Manipulability	The ability of the fingers to impart motions to the object using the kinematic properties of the robotic hand allowing the object to be moved using a controlled and stable method. Also called in-hand manipulation.	A.7
Equilibrium	Resultant forces and torques applied to an object by finger and external forces equate to zero	A.3, A.4
Stability	Ability of the grasp to return to its initial configuration after being disturbed by an external force or moment.	none
Dynamic Behavior	The time response of the grasp for changes in its motion or force trajectories.	none
Internal Forces	Magnitude and variance of internal grasp forces that a hand applies to an object without disturbing the grasp equilibrium.	A.3
Slip	Magnitude of the forces and moments on the object at the onset of slip.	A.4
Compliance	The effective compliance of the grasped object with respect to the hand.	A.6
Connectivity	Number of degrees of freedom between the grasped object and the hand.	A.7
Sensitivity	Ability to conform to deviations in nominal object position without disturbing actual object location prior to achieving final grasp.	A.5

1327 between the grasped object with respect to the hand.

1328

1329 Another important property as indicated in [8] that we will call grasp sensitivity, is the  
1330 ability of a grasp to conform to deviations in nominal object position without disturbing  
1331 actual object location prior to achieving form closure with the object. Grasp sensitivity  
1332 is a property of a force or contact sensing and associated control algorithms that occurs  
1333 when achieving form closure. Table 9 consolidates these measures and maps them to the  
1334 quantitative experimental methods as described in the first part of this report. As indi-  
1335 cated, there are multiple performance tests that can be used to assess a given measure; and  
1336 some measures can be supported using several of the experimental methods found in the  
1337 literature.

## 1338 **Appendix B: Analysis of a Grasping Task**

1339 Breaking down a problem into its parts can provide novel insights towards its solution.  
1340 Consider the underlying tasks associated with a robotic pick and place operation for a fully  
1341 integrated multi-fingered robotic hand (see Figure 36). Each task in this operation pos-  
1342 sesses several associated problems that can serve as a basis for extracting performance  
1343 measures. More specifically, quantifying the performance of a system in handling these  
1344 problems can help guide and justify the various strategies taken. Furthermore, identifying  
1345 the significance of performance measures towards different grasping tasks would provide  
1346 valuable knowledge on necessary functionalities and their performance towards task com-  
1347 pletion. For example, picking up a part and tossing it into a bin requires minimal position  
1348 accuracy of the grasped object once the grasp component is completed, where picking  
1349 up a part and performing an assembly operation requires much more accurate positioning  
1350 throughout the task. Thus, quantifying and suggesting a minimal level of performance in  
1351 the system’s ability to control and measure object position in the latter scenario would be  
1352 critical in predicting operation success.

1353  
1354 A plausible outline for the pick and place operation begins with a “best” set of grasp points  
1355 as determined from a grasp planner. The hand is positioned by a robotic arm to cage an ob-  
1356 ject by establishing an approach trajectory and offsets that are based on the grasp planning  
1357 stage. During the cage task segment, it is possible for components of the robotic hand to  
1358 run into obstructions near the object or run into the candidate object to be grasped due to  
1359 inadequate clearances.

1360  
1361 During the constrain task segment, the object is spatially confined by the grasp at the grasp  
1362 points. Sensorless contacts depend on the positioning accuracy of the hand delivery system  
1363 and/or the synchronization of fingertip position in time. Unsynchronized contact requires  
1364 minimal force contacts to minimize disturbance to the part, if maintaining part position is  
1365 important, and requires hand sensing capabilities such as tactile or current sensing. Position  
1366 problems can occur during this task segment that result in a missed contact point where the  
1367 part is not fully constrained and contact movement due to synchronization issues or inad-  
1368 vertent contact by the hand due to clearance issues. Clearance issues may also result if the  
1369 object is too small to be grasped as in the case of a 3-fingered radial grasp on a cylinder  
1370 where closing the fingers results in a collision between fingers before contacting the object.

1371  
1372 The load task segment applies the calculated forces required to keep a firm grasp on the  
1373 object. These forces are most often calculated to obtain an efficient grasp based on the  
1374 forces required to stabilize the object in the presence of gravitational and inertial forces.  
1375 Problems during this segment are due to the uncertainty in the kinetics of the system (i.e.,  
1376 object mass), disturbance forces, and torques applied to the object. Uncertainty in the sys-  
1377 tem can lead to the occurrence of slippage, damage to the part (crushing), or ejection when  
1378 trying to achieve efficient grasp forces.

1379

1380

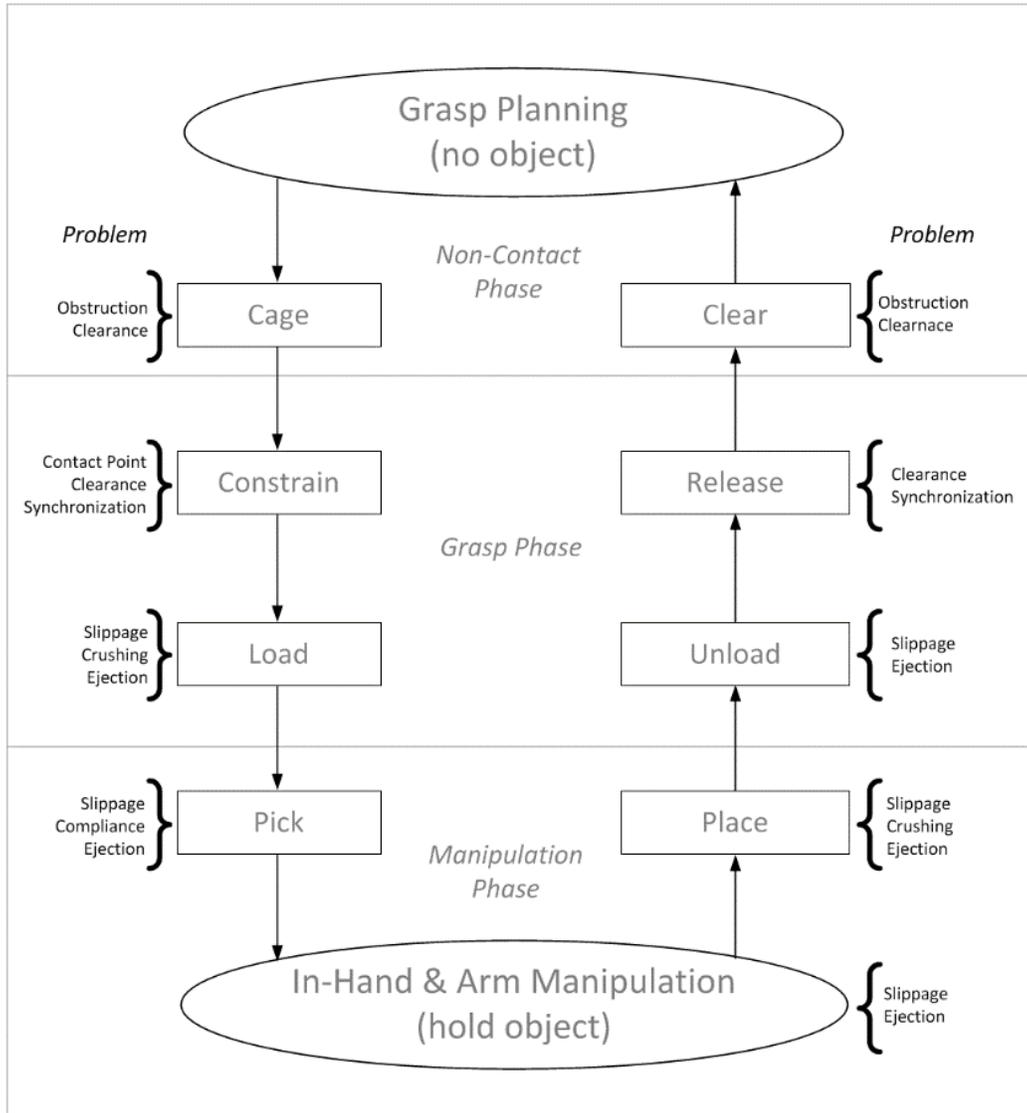
1381

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1384

The pick task segment lifts the object for manipulation. Problems during this segment are due to the errors between gravitational forces and the forces applied during the load task and again result in slippage, ejection or crushing. Other considerations are variations in object position relative to the robot hand coordinate frame upon picking up the part due to the compliance properties of the robot hand.



**Fig. 36.** Pick and place task segmentation and transitions between grasped and un-grasped states

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During the manipulation phase, the part is picked (or lifted) from its grasped position, moved along a trajectory, and placed in a final position. The trajectory could be induced by the robot carrying the hand as well as the robotic hand itself, often referred to as in-hand manipulation. Problems are due to change in part position relative to the hand caused by

1389 external forces associated with contacts between the object and the environment through-  
1390 out the manipulation process as well as uncertainty in the object's kinetic properties. In  
1391 addition, fluctuations in the mass of the object as well as exogenous disturbances can occur  
1392 due to an intermediate assembly operation on the object. These force changes can result in  
1393 slippage, ejection, or crushing.

1394

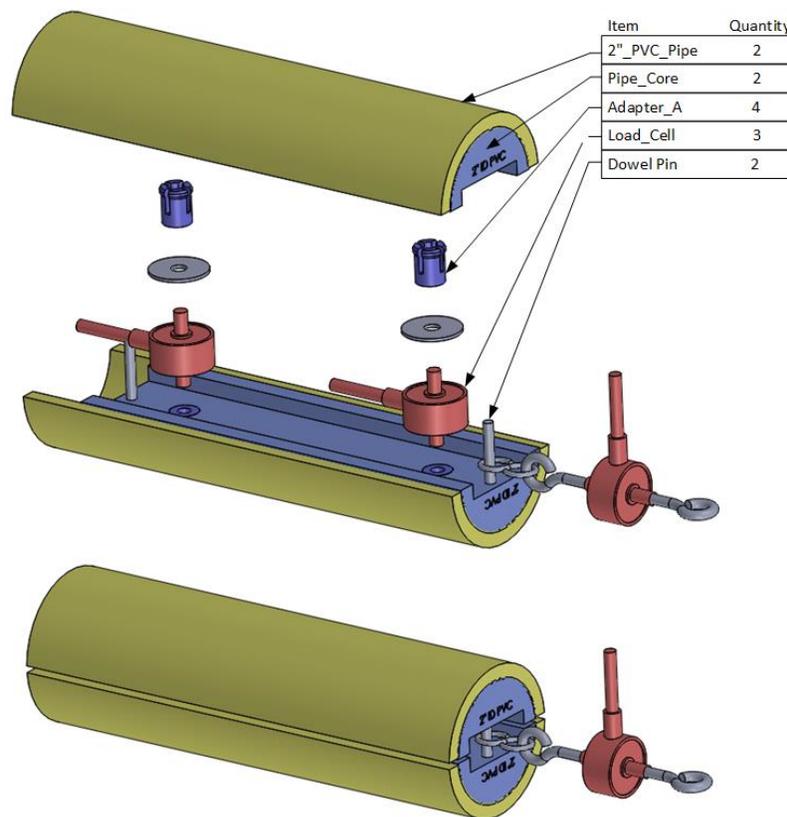
1395 During the place task segment the object errors are dependent on object positional place-  
1396 ment accuracy. In the most lenient case, the object is to be placed into a bin at a random  
1397 orientation. In another case the object is positioned on a flat surface where accuracy errors  
1398 could result in unexpected surface/object contact forces leading to ejection, slip, or crush-  
1399 ing. The most complex case is that of assembly where assembly algorithms are dependent  
1400 on the positional accuracy of initial contact between the object and the subassembly and  
1401 the object is subjected to a multitude of external forces throughout the assembly process  
1402 also leading to ejection, slip, or crushing.

1403

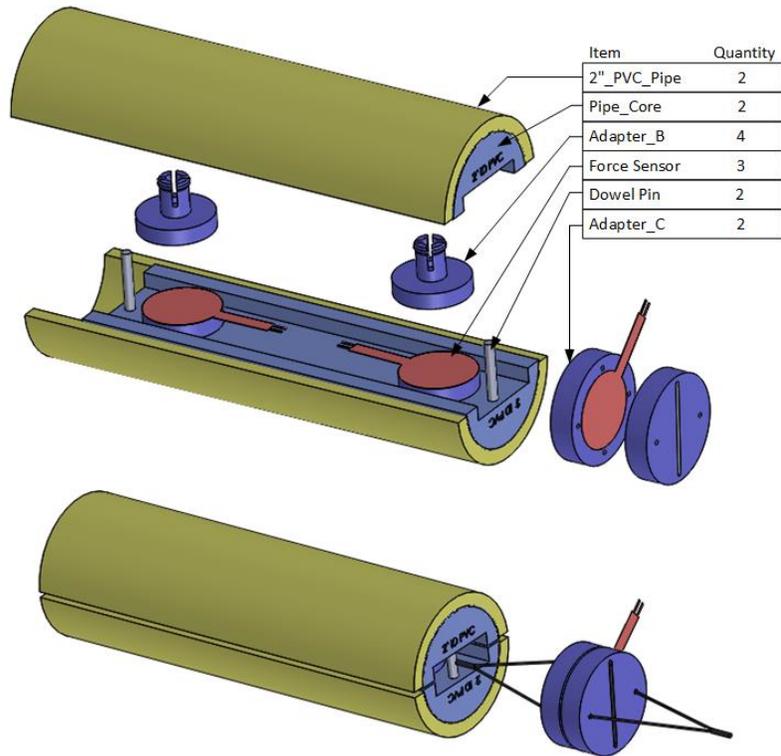
1404 Post manipulation phase, the remaining task segments are a reversal of the task segments  
1405 that lead up to the manipulation phase and the errors associated with these are like their  
1406 counterparts. Here the object is unloaded to the point of zero force contact and released so  
1407 that the robot hand components clear the object allowing the hand to be moved to the next  
1408 operation.

1409 **Appendix C: Test Artifacts**

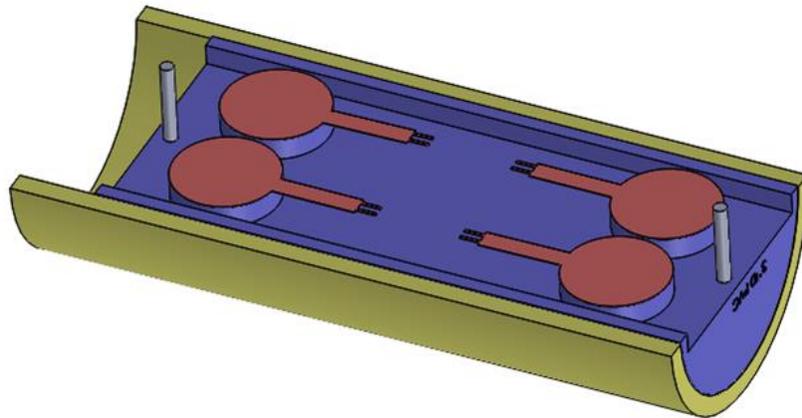
1410 The split cylinder artifacts support the grasp strength, slip resistance, and grasp efficiency  
 1411 NIST elemental grasp performance test methods. The cylinder artifacts incorporate ASTM  
 1412 D2665 PVC pipe for the following reasons: 1) the cylindrical pipe comes in a variety  
 1413 of standard diameters with dimensions that are compatible with robot hand volumetric  
 1414 capabilities, and 2) the surface properties of these pipes are relatively consistent. A 304.8  
 1415 mm (12 in) segment of PVC pipe is cut in half along the axial direction. Each PVC pipe  
 1416 half is then glued to the two pipe cores using epoxy resin. The primary alignment of the  
 1417 plastic cores is accomplished using two 4 mm diameter dowel pins where the pin holes  
 1418 in the 3D printed core are drilled to achieve a slip fit. Figure 37 and Figure 38 show the  
 1419 50.4 mm (2 in) inner diameter PVC split cylinder designs using single axis load cells and  
 1420 the resistive force sensor force measurement techniques, respectively. Figure 39 shows the  
 1421 76.2 mm (3 in) PVC pipe configuration where two additional sensors are added to stabilize  
 1422 the axial forces. More information regarding the design of these artifacts can be found on  
 1423 the NIST Performance Metrics and Benchmarks to Advance the State of Robotic Grasping  
 1424 website [15]



**Fig. 37.** 50.4 mm (2 in) ID PVC Split Cylinder Artifact with Single Axis Load Cells



**Fig. 38.** 50.4 mm (2 in) ID PVC Split Cylinder Artifact with Low Cost Force Sensitive Resistors



**Fig. 39.** 76.2 mm (3 in) ID PVC Split Cylinder Artifact Half with Low Cost Force Sensitive Resistors

1425 **Appendix D: Determining Test Method Sample Size**

1426 **Pass-Fail Data**

1427 To determine the required sample size ( $n$ ) for pass-fail test data, one can use the following  
 1428 equation,

$$n = \frac{\ln(1 - CL)}{\ln(PS)}, \quad (15)$$

1429 where  $CL$  is the desired confidence level (typically 0.95), and  $PS$  is the desired probability  
 1430 of success [16]. This formulation assumes that failures do not occur within  $n$  number of  
 1431 trials. Example values are shown in Table 10.

		Probability of Success					
		0.85	0.90	0.95	0.987	0.99	0.9999966
Confidence Level	0.85	12	19	37	150	189	557976
	0.90	15	22	45	182	230	677230
	0.95	19	29	59	236	299	881097
	0.99	29	44	90	363	459	1354460

**Table 10.** Minimum samples required to establish probabilities of success at confidence intervals.

1432 If failures occur during testing, then one can update the calculation of  $PS$  as follows.  
 1433 Given a confidence level  $CL \in \mathbb{R} : [0, 1]$ , number of successes  $m$ , and number of independent  
 1434 trials  $n$ , one can calculate the theoretical probability of success  $PS \in \mathbb{R} : [0, 1]$  from the  
 1435 following inequality involving the binomial cumulative distribution function,

$$BINCDF(m - 1, n, PS) \geq CL, \quad (16)$$

1436 where  $PS$  is its minimum value to some precision while still satisfying (16). Alternatively,  
 1437 one can use (16) with parameter settings of  $PS$ ,  $CL$ , and  $m$  to calculate the number of  
 1438 required samples  $n$ .

1439 **Continuous Data**

1440 To determine sample size for continuous test data, a common strategy involves analyzing  
 1441 sample means. For instance, one can set the tolerable error  $\delta$  in calculating the true system  
 1442 performance average  $\mu$  with the following relationship

$$\bar{X} - \delta \leq \mu \leq \bar{X} + \delta, \quad (17)$$

1443 where  $\bar{X}$  is the sample average [17]. Ideally, the population standard deviation  $\sigma$  should  
 1444 also be known, but is very often unknown. Alternatively, the sample standard deviation  
 1445  $s$  could be used, but is also not known in advance unless preliminary or past experiments  
 1446 have already been conducted. Therefore, assuming that a sample standard deviation is not  
 1447 known, a general strategy is to conduct a few experiments first (e.g., ten trials) and then  
 1448 calculate the remaining number of trials required. Once an initial sample standard deviation  
 1449  $s$  is known, one can use the following equation to calculate the number of required trials  $n$   
 1450 for a two-sided test,

$$n = (t_{1-\alpha/2} + t_{1-\beta})^2 \left(\frac{s}{\delta}\right)^2, \quad (18)$$

1451 where  $t_{1-\alpha/2}$  and  $t_{1-\beta}$  are critical values of the t-distribution with the degrees of freedom  
 1452 equal to  $n-1$  from the initial sample set (e.g., ten trials).  $\alpha$  (typically 0.05) is 1-CL is  
 1453 the likelihood of falsely rejecting the null hypothesis, while  $\beta$  (typically 0.1-0.2) is the  
 1454 likelihood of falsely accepting the null hypothesis. Alternatively,  $\delta$  can be expressed in  
 1455 terms of  $\sigma$  for simplicity (e.g.,  $\delta = 1.0\sigma$ ). With this route, an example table of sample  
 1456 sizes can be calculated in advance as in Table 11 (reproduced from [17])

$\alpha$	$\beta$	$\delta=0.5\sigma$	$\delta=1.0\sigma$	$\delta=1.5\sigma$
0.01	0.01	98	25	11
0.01	0.05	73	18	8
0.01	0.10	61	15	7
0.01	0.20	47	12	6
0.01	0.50	27	7	3
0.05	0.01	75	19	9
0.05	0.05	53	13	6
0.05	0.10	43	11	5
0.05	0.20	33	8	4
0.05	0.50	16	4	3
0.10	0.01	65	16	8
0.10	0.05	45	11	5
0.10	0.10	35	9	4
0.10	0.20	25	7	3
0.10	0.50	11	3	3
0.20	0.01	53	14	6
0.20	0.05	35	9	4
0.20	0.10	27	7	3
0.20	0.20	19	5	3
0.20	0.50	7	3	3

**Table 11.** Minimum samples required to establish tolerable error of mean  $\delta$  with preset confidence ( $\alpha$ ) and power levels ( $\beta$ ).

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