

Reference

NBS TECHNICAL NOTE 920

U.S. DEPARTMENT OF COMMERCE / National Bureau of Standards

Use of Synthetic Benchmarks for Estimating Service Bureau Processing Charges

QC 100 .U5753 No.920 1976

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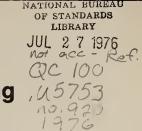
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t Technical Note 10.920

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Issued July 1976

National Bureau of Standards Technical Note 920

Nat. Bur. Stand. (U.S.), Tech. Note 920, 47 pages (July 1976) CODEN: NBTNAE

> U.S. GOVERNMENT PRINTING OFFICE WASHINGTON: 1976

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USE OF SYNTHETIC BENCHMARKS FOR ESTIMATING SERVICE BUREAU PROCESSING CHARGES

by

Dennis M. Conti

ABSTRACT

This report describes the development of a new synthetic benchmark technique for estimating batch processing charges at service bureau sites. This technique was used to estimate the cost of processing a large batch workload at a number of service bureaus within the same mainframe family. The method was found to be low-cost, yet reasonably accurate for a certain class of service bureau charging algorithms. Refinements of this method are suggested which will extend its applicability to other algorithms. The procedures used to create and run the benchmark, together with the projection of total workload cost are described.

Key Words: Benchmarking; charging algorithms; service bureaus; synthetic benchmarking; workload characterization.

I. INTRODUCTION

Federal agencies with a requirement for ADP products and services and subject to Office of Management and Budget Circular A-76, "Policies for Acquiring Commercial or Industrial Products and Services for Government Use,"[1], may find it necessary to compare the cost of data processing at commercial service bureaus and the cost of doing the same processing in-house at Federally-owned and operated facilities. This, in turn, has created a requirement for a low-cost, reasonably accurate method which agencies can use to project their processing costs at various service bureau sites. This report describes preliminary work undertaken by the National Bureau of Standards to develop such a method.

For input to an A-76 cost study it was undertaking, the Data Management Center (DMC) of the Department of Health, Education, and

Welfare requested that the Institute for Computer Sciences and Technology of the National Bureau of Standards (NBS/ICST) assist it in estimating the cost to process its batch workload at commercial service bureau sites. The scope of the project was to include only direct batch processing charges--no attempt would be made to estimate teleprocessing or other ancillary charges (e.g., storage charges for tapes, terminal connect charges, etc.). Furthermore, the study was to consider only service bureaus with DMC-compatible systems (IBM OS and VS systems in the 370/155-370/168 range). Two approaches were investigated, as described below.

The charging algorithms of a number of service bureaus were to be obtained by NBS/ICST and coded into a COBOL program. The system accounting log data (SMF [2]) for a representative month was to be processed by this program and an estimate of service bureau charges, based on their respective algorithms, was to be obtained. This method did in fact produce very accurate, job-by-job cost projections for each service bureau [3]. Two difficulties with this method, however, were: (1) the need to keep the highly-sensitive service bureau algorithms confidential; and (2) the difficulty in verifying that the COBOL version of the algorithms, and indeed the version supplied by the service bureaus, were valid.

The second approach was to develop a method by which the DMC's batch workload could be represented by a small set of synthetic benchmark programs. The programs would be run at the same set of service bureaus selected for the algorithm approach. The total DMC workload charges would then be projected from the actual charges incurred by the benchmark jobs. The purpose of the synthetic benchmark approach was not only to provide a validity check against the algorithm approach, but also to determine if a low-cost, yet reasonably accurate method for estimating batch processing charges could be developed.

The objective of this report is to describe the synthetic benchmark approach taken to estimate the cost of processing DMC's workload. Other agencies may find these techniques, or extensions thereof, useful in their own procurement environment.

This report begins with a description of previous attempts to model batch workloads with synthetic programs (primarily for the purpose of thruput estimation), and the relationship of this past work to the approach taken here. Two initial attempts to construct an acceptable synthetic mix are then described. A detailed discussion then follows of the assumptions and theory underlying the approach ultimately taken. Finally, the procedures used to run the synthetic programs and to project the total workload processing costs are described.

II. BACKGROUND

Synthetic benchmarks can be classified into two categories: taskoriented and resource-oriented. Task-oriented synthetics are artificial programs which attempt to model the functions of the real workload, while resource-oriented synthetics attempt to model the resource demands which the real workload places on a system. Because of the difficulty in obtaining functional descriptions for most jobs in a workload, resource-oriented synthetics have been investigated more extensively.

Historically, resource-oriented synthetics have been used to tune existing systems or to benchmark new systems. In 1969 Buchholz [4] described a parameter-driven PL/I program which he claimed could be used as "a well-behaved exerciser of system features or a tool for comparing the speed of dissimilar systems." The Buchholz program was a generalized file maintenance program which operated on two ordered files, a master file and a detail file. The program would sequentially read the master file until a record was found which matched the current detail record. Upon detection of a match, a compute-bound kernel would be executed. An attempt would then be made to match the next detail record. This process continued until the end of the master file was reached. Input parameters could be chosen to control the number of master and detail records, and the number of times the compute kernel was executed following a master-detail match. The Buchholz program has been used as the basis for many resource-oriented synthetic benchmarks.

Crowding [5] attempted to represent a real workload by: (1) categorizing user jobs into core classes; (2) computing the "average job" for each class; and (3) constructing a resource-oriented synthetic job to represent each class. These synthetic jobs were then combined to represent the total workload. Crowding was able to obtain close agreement between machine utilization data for the real workload and that for the synthetic job stream on a single system. Kernighan and Hamilton [6] also achieved success when they attempted to represent a workload by a set of synthetic jobs which exercised only the CPU, IO, and core. Furthermore, they felt that "moving [their] benchmarks from one machine or system to another is simple" and that their approach was "quite amenable to comparisons of system 1 on machine A with system 2 on machine B."

One of the latest and more sophisticated uses of synthetic benchmarks is described by Sreenivasan and Kleinman [7]. They attempted to represent the real workload by a joint probability matrix of CPU time and 10 counts. That is, for each (CPU, IO) pair the probability was calculated that a job existed in the real workload with processing time 'CPU' and EXCP¹ count 'IO'. A "drive workload" consisting of a Fortran version of the Buchholz synthetic was constructed to match this CPU-IO distribution. Since most of the jobs in the real workload required a 128K byte partition, all of the synthetic jobs were forced to run in 128K bytes. Sreenivasan and Esposito [8] later extended this work in a study of a Burroughs system to include core as a third variable to be modeled. They concluded that using their approach, "the relative performance of dissimilar systems can be evaluated." King [9] describes an automated procedure which models the real workload by matching the resource requirements of a set of synthetic jobs with the resource requirements of user jobs in the real workload. He concludes that this automated procedure solves, among other things, the "transportation problem" of benchmark jobs to a "strange environment."

These previous attempts to model a real workload by synthetic jobs had as their primary goal the evaluation of system performance.

EXCP - a measure of the number of IO block transfers on IBM systems.

Furthermore, several of these authors have suggested that resourceoriented synthetics can be used in the comparison of dissimilar systems. The use of resource-oriented synthetics for this purpose has been one of the most controversial issues of synthetic benchmarking which others have questioned [10,11]. While the approach used in this study employed resource-oriented synthetics to model the DMC workload, its use was restricted to <u>estimating processing costs</u> within a <u>single</u> family of systems. These were two distinct differences from the previous approaches which used synthetics to place a representative load on a system (or on systems across vendors) in order to obtain thruput and turnaround times. In the study described here, resource-oriented synthetics are used only to drive charging algorithms in a representative manner.

III. PRELIMINARY EFFORTS

The first two attempts to construct a synthetic benchmark representative of DMC's workload proved unsatisfactory for a number of reasons. Either the cost to run the benchmark at each service bureau would have been excessive, or else the benchmark would not accurately represent the DMC workload. However, a detailed analysis of the general nature of the service bureau algorithms provided the insight that allowed for the construction of a reasonably accurate, low-cost synthetic benchmark. This section first describes the required SMF data preparation steps and then discusses the initial attempts to produce an acceptable synthetic mix.

A. SMF Data Preparation

A major assumption of this study was that the workload characteristics captured by SMF were typical of the DMC workload and could serve as the primary basis for dollar charges at commercial service bureaus. Before application of either the algorithm or synthetic approach could commence, therefore, a data base consisting of DMC's SMF records for the month of July 1974 (chosen by DMC as a representative month), grouped by job, was

required. In addition, certain anomalous cases (e.g., step records with no corresponding job termination record) needed to be identified, and all improper records eliminated. The following describes the sequence of steps taken to construct this final data base.

SMF tapes were provided at the outset of the study which contained all SMF record-types collected at DMC during the month of July 1974. In addition, all account numbers and job names were suitably encoded. Because they were the only records of interest in this study, the following two record-types were extracted from these tapes:

Type 4 - step termination record - 89,700

Type 5 - job termination record - 26,352

See the IBM SMF manual [2] for a complete description of each record type.

The SMFCOPY program (a utility provided by DMC) was used to extract the above records for batch jobs only, thereby reducing the number of records to the following:

> Type 4 - 89,084 Type 5 - 25,850

This intermediate file was sorted first by job-log-number (i.e., job name, reader start time, reader start date), and then by record timestamp within each job. Because of the volume of data, two sorts and then a merge were actually required.

Finally, this set of data was examined for consistency (every type 4 had a corresponding type 5, every type 5 had at least one 4), and extraneous records (type 4 primarily) were deleted. This resulted in a final data base containing 86,392 step termination and 25,850 job termination records.

B. Initial Attempts

Before describing the synthetic approach actually used in this study, two attempts to produce a representative, yet low-cost synthetic mix will now be discussed.

The first such attempt consisted of the following steps: 1. The type 4 records were partitioned into the three highest

revenue-producing job classes: H, D, and J. A fourth class, OTHER, included the remaining job-step records.

- For each job class, the job-step records were divided into core groups of 40K increments.
- For each core group, a CPU-IO joint probability matrix was computed.
- 4. A synthetic mix of N jobs was then constructed whose joint probability matrix matched that of the real workload, but whose total CPU time did not exceed one hour.
- 5. This mix of N jobs was then to be run at the selected service bureaus. Appropriate expansion factors were to be applied to the individual job costs to determine total projected workload costs.

The above approach closely paralleled the synthetic benchmark generation process outlined by Sreenivasan and Kleinman [7]. Several difficulties were encountered with this approach, however. First, only the dominant (CPU, IO) pairs could be represented in the final synthetic mix because of the need to satisfy the one hour total CPU time criterion. Second, even with this final mix, minimum job charges at several service bureau sites would have overly biased the resulting projected workload costs. A modified version of this approach was then tried.

Each (CPU, IO, core) combination was first ranked according to total resource usage (i.e., the sum of CPU time, EXCP counts, and allocated core). The relative contribution of each of the four job classes to total workload CPU time was calculated. The proportionate CPU contribution of each class to a total mix time of one hour was then computed. Figure 1 depicts these results.

<u>Class</u>	Real Workload CPU Time (sec)	<u>% of Total</u>	Synthetic Mix Allotted CPU Time (sec)
Н	192,114	31.2	562
D	71,338	11.6	209
J	100,901	16.4	295
OTHER	251,638	40.9	736
	615,991		1802 sec (1 hr)

Figure 1

For each job class, the top-ranked (CPU, IO, core) groups were selected until cumulative CPU time exceeded the allotted time for that job class. A synthetic job was then constructed to represent each of the selected groups. The collection of synthetic jobs from all job classes constituted the benchmark mix. This mix was actually run at two service bureaus. However, because of the cost incurred for these first two runs, and because it was felt that this mix did not adequately represent the real workload (since many resource groups were not represented), this approach was abandoned.

It then became clear that with a few reasonable assumptions concerning the nature of the service bureau algorithms, a more accurate, low-cost approach could be developed. This approach proved to be successful for a number of service bureau algorithms and is now described in more detail.

IV. SELECTED APPROACH

A. Assumptions

The main assumptions specific to the approach taken in this study are the following:

 CPU time, IO channel activity, and core memory space are the major cost factors for a job--all other miscellaneous charges (MISC) have a secondary effect on total job charges. 2. Service bureau charging algorithms are of the general form:

$$= W_1 \cdot CPU + W_2 \cdot IO + W_3 \cdot CPU \cdot Core + W_4 \cdot IO \cdot Core + W_5 \cdot MISC.$$

- The assigning of jobs to discrete core breakpoints has only a minor effect on total job charges.
- A synthetic job can be constructed to place a prescribed load on the CPU, IO channels, and core.

Each of these assumptions will be discussed in more detail in the following paragraphs.

B. Theory

The following describes in theoretical terms the selected synthetic benchmark process and its adequacy as a tool for comparing the cost of processing a given workload by different service bureaus. Let $R = \{R_1, \ldots, R_m\}$ be the set of resources to be modeled. Because core, the CPU, and IO channels are assumed to be primary contributors to a job's cost, they were chosen as the set R.

A synthetic job, S, is a program capable of driving each of the m resources in a prescribed manner. The demand which S puts on each of the resources is a function of specific values of the input parameter list $P = (P_1, \ldots, P_q)$. In order that this functional relationship may be empirically determined, S is first calibrated on the base machine over a wide range of values for each P_i . A linear regression model or source code analysis is then used to determine some function, f, between P and the utilization of each resource in set R. For example, assume that a relationship is found between the CPU time and EXCP count for S and the parameter list P:

$$(CPU, EXCP) = f(P).$$

Then, given a prescribed CPU time, t, and a desired EXCP count, d, the program S with parameter list $P = f^{-1}(t,d)$ should execute in CPU time t and should produce d EXCP's.

Assume that all jobs in the real workload are partitioned into r core groups:

 C_1, C_2, \dots, C_r

where

$$C_i = C_{i-1} + \Delta$$

is the i'th core breakpoint. For this study, $\Delta = 40$ K bytes. A job is assigned to core group C_i if its core size falls within $\pm \frac{\Delta}{2}$ of C_i . Let CPU_{ij} and IO_{ij} be the CPU time and IO channel activity (expressed in EXCP counts) for the j'th job of core group C_i . For each C_i , let:

$$CPU_{i} = \frac{\sum_{j} CPU_{ij}}{n_{i}}$$
$$IO_{i} = \frac{\sum_{j} IO_{ij}}{n_{i}}$$

represent the CPU time and EXCP counts, respectively, for the "average job," where n_i is the number of jobs in C_i . Let sf_i be the appropriate scaling factor which reduces CPU_i to an arbitrary value, t' (here, t' was chosen to be 10 seconds):

$$sf_{i} = \begin{cases} 1 \text{ if } CPU_{i} \leq t' \\ \\ \frac{CPU_{i}}{t'} \text{ if } CPU_{i} > t \end{cases}$$

Calculate the new scaled averages:

$$CPU'_{i} = \frac{1}{sf_{i}} \cdot CPU_{i}$$

$$IO_{i}' = \frac{1}{sf_{i}} \cdot IO_{i}$$

Finally, determine the appropriate input parameter list $p_i = f^{-1}(CPU_i, IO_i)$ which causes S to exactly duplicate the scaled averages CPU_i and IO_i . If S_i represents S forced to a core size of C_i (e.g., via the REGION parameter on the EXEC card), then S_i(p_i) will be used to represent all jobs in core group C_i.

Inspection of the actual service bureau charging algorithms supports the claim that many are of the general form:

$$= W_1 \cdot CPU + W_2 \cdot IO + W_3 \cdot CPU \cdot Core + W_4 \cdot IO \cdot Core + W_5 \cdot MISC$$

where MISC are miscellaneous charges that are assumed to have a secondary effect on the total cost of a job (e.g., disk and tape allocation charges, charges for temporary work space). Note, however, that the following implicit assumptions still remain: 1) no minimum job charges exist in any of the service bureau pricing schemes; and 2) disk and tape channel activity have the same weighting factor (i.e., W_4). The cost of actually running a job J_{ij} with core size $C_i + \delta_{ij}$ ($|\delta_{ij}| \leq \frac{\Delta}{2}$), CPU time CPU_{ij}, EXCP count IO_{ij}, and miscellaneous charges MISC_{ii}, is thus:

The actual cost of running all jobs represented by core group C_i is then:

and the actual cost of all jobs in all core groups is:

$$actual = \Sigma$$
 $actual_i$

Now, assume that the synthetic job $S_i(p_i)$ is run at the service bureau. Its cost will be:

$$(s_i) = W_1 \cdot CPU_i + W_2 \cdot IO_i + W_3 \cdot CPU_i \cdot C_i + W_4 \cdot IO_i \cdot C_i + W_5 \cdot MISC_i$$

where MISC is primarily due to the synthetic's temporary work space. Expanding the above, we obtain:

$$\begin{aligned} \$(s_{i}) &= \mathtt{W}_{1} \cdot \frac{1}{\mathtt{sf}_{i}} \cdot \mathtt{CPU}_{i} + \mathtt{W}_{2} \cdot \frac{1}{\mathtt{sf}_{i}} \cdot \mathtt{IO}_{i} \\ &+ \mathtt{W}_{3} \cdot \frac{1}{\mathtt{sf}_{i}} \cdot \mathtt{CPU}_{i} \cdot \mathtt{C}_{i} + \mathtt{W}_{4} \cdot \frac{1}{\mathtt{sf}_{i}} \cdot \mathtt{IO}_{i} \cdot \mathtt{C}_{i} + \mathtt{W}_{5} \cdot \mathtt{MISC}_{i}' \\ &= \mathtt{W}_{1} \cdot \frac{\mathtt{j}}{\mathtt{sf}_{i} \cdot \mathtt{n}_{i}} + \mathtt{W}_{2} \cdot \frac{\mathtt{j}}{\mathtt{sf}_{i} \cdot \mathtt{n}_{i}} \\ &+ \mathtt{W}_{3} \cdot \mathtt{C}_{i} \cdot \frac{\mathtt{j}}{\mathtt{sf}_{i} \cdot \mathtt{n}_{i}} + \mathtt{W}_{4} \cdot \mathtt{C}_{i} \cdot \frac{\mathtt{j}}{\mathtt{sf}_{i} \cdot \mathtt{n}_{i}} + \mathtt{W}_{5} \cdot \mathtt{MISC}_{i}' \end{aligned}$$

Let $ef_i = sf_i \cdot n_i$ be the expansion factor for S_i . The estimated cost for all the jobs in core group C_i is then:

(2)
$$\$est_{i} = ef_{i} \cdot \$(s_{i}) = W_{1} \cdot \Sigma CPU_{ij}$$

+
$$W_2 \cdot \sum_{j} IO_{ij}$$

+ $W_3 \cdot C_i \cdot \sum_{j} CPU_{ij}$
+ $W_4 \cdot C_i \cdot \sum_{j} IO_{ij}$
+ $W_5 \cdot sf_i \cdot n_i \cdot MISC'_i$

Comparing (1) and (2), this estimate is in error by:

$$\varepsilon_{i} = \$actual_{i} - \$est_{i} = W_{3} \cdot \sum_{j} CPU_{ij} \cdot \delta_{ij} + W_{4} \cdot \sum_{j} IO_{ij} \cdot \delta_{ij}$$
$$+ W_{5} \cdot (\sum_{j} MISC_{ij} - sf_{i} \cdot n_{i} \cdot MISC_{i}')$$

The estimated cost of the complete workload W is given by:

and is in error by:

$$\varepsilon = \$actual - \$est = \Sigma \varepsilon_{i}$$
$$= W_{3} \cdot \Sigma CPU_{ij} \cdot \delta_{ij} + W_{4} \cdot \Sigma IO_{ij} \cdot \delta_{ij}$$
$$+ W_{5} \cdot (\Sigma MISC_{ij} - MISC')$$

where MISC = $\sum_{i} sf_{i} \cdot n_{i} \cdot MISC_{i}$. Inspection of the service bureau algorithms and the SMF data indicated that the first two error terms, which are due to the assigning of jobs to discrete core breakpoints, would have a minimal impact on total job charges.

Recall the four major assumptions of the synthetic approach:

- 1. MISC charges have a secondary effect on total job charges.
- 2. Service bureau charging algorithms have the general form:

$$= W_1 \cdot CPU + W_2 \cdot IO + W_3 \cdot CPU \cdot Core + W_4 \cdot IO \cdot Core + W_5 \cdot MISC.$$

 The assigning of jobs to discrete core breakpoints has only a minor effect on total job charges. 4. A synthetic job can be constructed to place a prescribed load on the CPU, IO channels, and core.

Assumption 2 appears valid, as has been already noted, for many service bureau algorithms. The impact of minimum charges and the assumption of equal weighting for tape and disk activity in the algorithms are addressed in Section V, Results, as is the validity of Assumption 1. As has been noted, Assumption 3 appears valid from inspection of the service bureau algorithms and the SMF data base. The validity of Assumption 4 is discussed on p. 19.

It is interesting to note that the approach which was finally arrived at closely resembled that suggested by Crowding [5] (in the sense that an "average job" within each core group is computed), although both approaches were formulated independently of each other. In addition, both had completely different purposes, as noted earlier.

C. Procedures

The following is a list of the steps required to generate a synthetic mix for the purposes of this study:

- 1. Choose an appropriate synthetic program.
- Calibrate the synthetic on the base machine over a wide range of input parameter values.
- 3. Determine a functional relationship between the synthetic input parameters and CPU time and EXCP counts.
- Partition the workload into core groups, each identified by the mid-point of a 40K increment.
- For each core group, determine the "average" job--i.e., the average CPU time and EXCP count.
- Scale these averages, if need be, to reduce the CPU time to 10 seconds of base machine time.
- Generate a synthetic job which runs in the prescribed core size and which duplicates the scaled CPU time and EXCP averages.

8. Combine the jobs from each core group to form the synthetic mix. Four considerations should be taken into account when choosing the synthetic program. First, the program should be capable of exercising

the CPU and IO in a prescribed manner via appropriate input parameters. Second, the program should be portable across a family of operating systems. Third, the program should be easy to instrument in order that its CPU time and IO activity (EXCP counts) may be determined during the calibration phase. Finally, the program's source code should not be subject to varying degrees of optimization at each different site. A slightly modified version of the Fortran synthetic program described by Shetler and Bell [12] and originally developed by Buchholz [4] meets all of these criteria and was therefore chosen as the synthetic program for this study. Appendix A contains a source listing of the program. Note that this program currently models all IO channel activity via disk IO.

In order to calibrate the synthetic with respect to CPU time, it was first instrumented with STIMER and TTIMER calls [13]. A call via the STIMER macro initiated an interval timer which was thenceforth enabled only during the active execution of the program. Succeeding calls via the TTIMER macro allowed for accurate timings of various portions of the synthetic job. A wide range of NMAS (number of master records) and NCPURP (number of CPU loop repetitions per detail record) values were input and the corresponding CPU times on DMC's 370/165 were noted, as shown in Table 1. The NDET (number of detail records) and NPASS (number of passes) parameters were held constant at 12 and 1, respectively. Using the model:

CPU time =
$$X_1 \cdot NMAS + X_2 \cdot NCPURP + X_3$$

linear regression analysis of the data in Table 1 produced the following relationship between CPU time and the NMAS and NCPURP parameters:

Simple source-code analysis of the program produced the following relationship between EXCP counts and NMAS values:

$$EXCP's = 7 \cdot NMAS + 58$$

This count includes 12 EXCP's for the synthetic's SYSIN and SYSOUT activity. Furthermore, the following DCB parameter settings for the four temporary DASD data sets used by the program are assumed:

<u>Unit</u>	BLKSIZE	LRECL	RECFM
7	80	76	VS
8	800	796	VS
9	800	796	VS
10	800	796	VS

From the inverse of (3) and (4), the proper values of NMAS and NCPURP needed for the synthetic to duplicate a prescribed CPU time and EXCP count can be determined:

(5) NMAS =
$$(EXCP - 58)/7$$

(6) $NCPURP = (CPU - .9763375 - .005276 \cdot NMAS) / .000832$

The type 4 (step termination) SMF records were partitioned into the four classes: H, D, J, OTHER. The CPU time, total tape and disk EXCP count, and core-requested fields for each type 4 record were stripped off and written to one of four files, corresponding to the appropriate job class in which the step was executed. Because at the time of data collection the DMC configuration consisted of both a 370/155 and a 370/ 165, it was necessary to convert all CPU time to a single base. Therefore, all 370/155 CPU time was expressed in equivalent 370/165 time. The data within each of the four classes was then partitioned, for convenience, into the following nine core groups:

Core Group	Midpoint	Range
1	20К	0 - 40K
2	60K	40 - 80K
3	100K	80 - 120K
4	140K	120 - 160K
5	180K	160 - 200K
6	220K	200 - 240K
7	260K	240 - 280K
8	300K	280-320к
9	340К	320 - 360K

An average CPU time and EXCP count was then found for each core group within each class. Several of the core groups required the averages to be scaled in order to reduce the average CPU time to 10 seconds, a reasonably manageable number. Table 2 lists the number of jobs in each core group and the total and average CPU times and EXCP counts. Table 3 lists the scaled times and counts with appropriate expansion factors. These factors were applied to the cost of each synthetic in order to arrive at an estimated cost of running the total workload. These projected estimates are discussed in Section V, Results.

From the scaled CPU times and EXCP counts in Table 3, the appropriate NMAS and NCPURP synthetic input parameters were calculated using equations (5) and (6). Table 4 lists the synthetic input parameters and the REGION size required to represent each core group. NMAS must be forced to at least a size of 12 for the synthetic to execute properly. It is to be noted that the core group with midpoint 20K was actually represented by a synthetic with REGION = 44K. This was required since the synthetic itself was of size 44K. In any case, most of the service bureaus had a minimum core size of from 60K to 85K words.

The final collection of 34 jobs presented in Table 4 constitutes the synthetic mix which was run at DMC and at each of the six service bureaus. The following steps were required to estimate the total workload cost at each service bureau:

1. Run the mix at the priority which results in normalized job

costs i.e., the priority with a corresponding service factor of 1.

- Apply the appropriate expansion factor to the cost of each job. This represents an estimate of the core group cost.
- 3. For each class, sum the core group estimates. This sum then represents the total cost of each job class.

A discussion of the results obtained from running the generated mix under these conditions now follows.

The synthetic job mix was run at each of the six service bureaus used in the algorithm approach as well as at the DMC itself. Because only individual job costs were of interest and not total mix thruput or turnaround times, the particular sequence of jobs in the mix was not important. Table 5 lists the expected CPU times and EXCP counts for class H versus the actual times and counts achieved on the DMC 370/165. This data supports the assumption that the synthetic can closely duplicate specified CPU times and EXCP counts. Tables 6-9 list the individual job costs for each core group at DMC and each service bureau. In order to maintain the anonymity of the service bureaus and DMC, no site will be identified by name in Tables 6-10.

V. RESULTS

In order to obtain the total projected cost for each class, the expansion factors listed in Table 3 were applied to the individual synthetic job costs (Tables 6-9). Table 10 contains these projected class totals. Note, these are undiscounted estimates and do not include unit record charges.

Due to the earlier assumption concerning the general form of the charging algorithm, it was expected that there would be a discrepancy between the actual workload costs as determined by the algorithm approach and the projected costs using the synthetic approach. What was not known <u>a priori</u> was the magnitude of these MISC charges. As shown in Table 10 the relative error introduced into the synthetic approach by

ignoring MISC charges ranged from a low of 6.3% for site #4 to a high of 32.1% for site #6. Because a detailed description of the site algorithms might compromise their confidentiality, only general comments will be made concerning the effect of ignoring these MISC charges.

For sites #1, #4, #5, and #7 a large portion of the MISC charges is due to device mounts and minimum charges which the "actual workload costs" include but for which the synthetic approach did not account. For these sites the inaccuracy due to ignoring MISC charges ranged from 6.3% to 13.1%. For sites #2, #3, and #6 which show the higher percent difference rates, most of the MISC charges are due to charges for temporary work space and different charging factors for tape and disk EXCP's (recall that the synthetic approach did not distinguish between tape and disk EXCP's).

VI. CONCLUSIONS

The synthetic benchmark approach described here was used to project direct batch processing charges at a number of service bureaus. The approach relied on a number of assumptions all of which proved to be valid, or at least resulted in explainable differences between actual and projected charges.

Because it was not possible to associate DMC job-step SMF records with their corresponding HASP initiation records, individual job turnaround times and in-queue times could not be obtained. Therefore no attempt was made in either the algorithm approach or in the synthetic approach to account for the impact of service bureau priority schemes, which usually result in a discount for deferred processing and a premium for express processing.

Refinement of the synthetic approach to include temporary work space and a distinction between tape and disk activity should result in a more accurate estimate of projected workload costs for service bureaus whose charges rely heavily on these measures. Even in its present form, however, the synthetic approach described here provides a reasonably

accurate estimate (within 13.1%) of workload costs for a given class of charging algorithms within the same vendor mainframe family.

VII. ACKNOWLEDGMENTS

The author would like to thank Mr. Richard F. Dunlavey for his insight into some of the problems encountered during the course of this study, and Dr. Robert S. Butler for suggesting the scaled-average approach.

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APPENDICES

APPENDIX A SYNTHETIC PROGRAM LISTING

FROM IBM SYSTEMS JOURNAL, VOL. 8, NO. 4 BY BUCHOLZ TRANSLATED TO FORTRAN BY J. F. MARANZANO, BELL TEL. LABS MODIFIED BY T. E. BELL, THE RAND CORP.

THIS PROGRAM IS FOR USE IN GENERATING A SYNTHETIC PATCH STREAM. PARAMETERS ON INPUT CARDS CAUSE THE JOB TO USE THE COMPUTER SYSTEM LIKE A CPU-BOUNO JOB, N I/O BOUNO JOB, OR A JOB WITH ANY OEGREE OF CPU AND I/O MIX. EACH PARAMETER CARD CAUSES ONE OR MORE TIMED PASSES THROUGH THE SPECIFIED PASS. AT THE END OF WORK FOR ONE PARAMETER CARD, THE RESULTS ARF PRINTED SEVERAL PARAMETER CARDS. END OF INPUT IS INDICATED BY A OUT. OIFFERENT TYPES OF PASSES CAN BE SPECIFIED BY INPUTTING OUMMY PARAMETER CARD WITH NPASS=0.

THE JOB FIRST INITIALIZES FILES FOR A SET OF PASSES (SPECIFIED BY ONE PARAMETER CARO). EACH PASS HAS ITS OWN INITIALIZATION AND TERMINATION TO REWIND FILES AND TO SUMMARIZE RESULTS. HOWEVER, OUTPUT IS HELD UNTIL ALL PASSES OF THE PAPAMETER CARO ARE COMPLETED. THIS ELIMINATES SYSOUT BEING OVERLAPPED WITH TESTS.

1/0 CAN BE OIRECTED TO TAPE, DISC, OR DRUM. READING AND WRITING ARE UNFORMATTED TO REDUCE FORTRAN CONVERSION ROUTINE EXECUTION. I/O UNITS ARE USED AS FOLLOWS -1. MASTER FILE WRITTEN OURING PASS SET INITIALIZATION. IT IS

• MASIER FILE WRITTEN OURING FASS SET INITIALIZATION. IT IS SUBSEQUENTLY READ FOR WRITING TO FILE 4.

2. OETAIL FILE WRITTEN OURING PASS SET INITIALIZATION. IT IS SUBSEQUENTLY READ FOR WRITING TO FILE 3.

3. OETAIL FILE WRITTEN OURING PASS FROM FILE 2.

4. MASTER FILE WRITTEN OURING PASS FROM FILE 1.

IF A PASS HAS MASTER RECORDS, ONE UR MORE MASTER RECORDS ARE PROCESSED FOR EACH DETAIL RECORD. EACH DETAIL RECORD PROCESSED IS FOLLOWED BY THE NUMBER OF CPU REPETITIONS INDICATED ON THE PARAMETER CARO. IF NUET = 0, NO CPU REPETITIONS WILL BE PERFORMED. HOWEVER, IF NCPURP = 0, DETAIL RECORDS WILL BE PROCESSED IF REQUESTED.

IF A PASS HAS NO MASTER RECORDS, NCUPRP REPETITIONS OF THE CPU LOOP WILL BE PERFORMED.

THE PROGRAM IS WRITTEN IN FORTRAN, AND CALLS A ROUTINE NAMEO ZTIME TO OBTAIN THE CURRENT COMPUTER CLOCK VALUE. THIS ROUTINE MUST BE WRITTEN FOR EACH SYSTEM.

INPUT

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 NMAS
 NUMBER OF MASTER RECORDS.
 NMAS MUGT BE GREATER

 THAN
 ZERO FOR ANY 120 TO BE OONE.

 NOET
 NUMBER OF DETAIL RECORDS (LESS THAN OR EQUAL TO NMAS)

 NCPURP
 NUMBER OF REPETITIONS OF CPU MINOR LOOP FOR

	_		
	С	EACH DETAIL RECORD. IF NO DETAIL OR MASTER	
	C	RECORDS, NCPUR IS THE TOTAL NUMBER OF	
	C	REPETITIONS PER PASS IN TOTALLY CPU-BOUND	
	С	PASSES.	
	С	NPASS NUMBER OF IDENTICAL PASSES THROUGH LOOP DEFINED	
	С	BY NMASS, NDET, AND NCPURP	
	С		
	С	THE FORMAT OF THE PARAMETER INPUT CARD IS AS SHOWN BELOW.	
	С	THE ZEROS WOULD, OF COURSE, BE REPLACED WITH THE DESIRED	
	С	VALUES. THE VARIABLE IVENTIFIERS ARE PRESENT ONLY FOR	
	С	CONVENIENCE. (PARAMETER CARDS ARE READ UNDER FORMAT 88.)	
	Č		
	CNMAS: C	678901234567890123456789012345678901234567890123456789n123456789n123 =0000000,NDET=0000000,NCPURP=0000000,NPASS=00	34567890
	С		
	C		
	С	THE MASTER FILE AND DETAIL FILE ARE DEFINED HERE	
	C	BY THE LENGTH OF THE 'RECORD' VARIABLE WHICH WILL DE WRITTEN.	
	С	'RECORD' MUST BE DIMENSIONED AS RECORD(N) WITH N 1 OR MORE.	
0001		INTEGER RECORD (47)	
0002		INTEGER START, SUM, TABLE (1000)	
0003		INTEGER X1,X2,X3,X4,X5,X6	
0004		DIMENSION TIMES(100,2)	
0005		CATA N/10/,START/100/	
	C		
0006		ÇALL BTIME	
0007		wRITE(6+87)	
0007	С	wRITE(6,87)	
0007	C C	WRITE (6,87) READ INPUT PARAMETERS	
0007	-		
0007	Ċ	READ INPUT PARAMETERS	*****
	C C	READ INPUT PARAMETERS	******
0008	C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN)	• • • • • • • • • •
0008	C C 1000	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ (5,88) NMAS, NDET, NCPURE, NPASS	• # # # # # # # # #
0008 0009	C C 1000	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ(5,88) NMAS, NDET, NCPURE, NPASS FORCE NMAS TO AT LEAST 12	• • • • • • • • • • • • • • • • • • •
0008 0009 0010	C C 1000	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ (5,88) NMAS, NDET, NCPURP, NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12	*
0008 0009 0010	C C 1000 C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ (5,88) NMAS, NDET, NCPURP, NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12	6 6
0008 0009 0010	C C 1000 C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ(5,88) NMAS, NDET, NCPURP, NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 WRITE(6,69) NMAS, NDET, NCPURP, NPASS	*
0008 0009 0010	C C 1000 C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ(5,88) NMAS, NDET, NCPURP, NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 WRITE(6,69) NMAS, NDET, NCPURP, NPASS	* * *
0008 0009 0010	C C 1000 C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ(5,88) NMAS, NDET, NCPURE,NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 WRITE(6,89) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT	* * * *
0008 0009 0010 0011	C C 1000 C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ(5,88) NMAS, NDET, NCPURP, NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 WRITE(6,69) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS	4 4 4 4 4
0008 0009 0010 0011	C C 1000 C C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ(5,88) NMAS, NDET, NCPURP, NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 WRITE(6,69) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS IF (NPASS +LE, 0) CALL EXIT.	4 4 4 4 4 4
0008 0009 0010 0011	C C 1000 C C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ (5,88) NMAS, NDET, NCPURP,NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 WRITE (6,89) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS IF (NPASS .LE. 0) CALL EXIT. MORE DETAIL THAN MASTER DISREGARD IF (NMAS .GE. NDET) GO TO 30	* * * * *
0008 0009 0010 0011 0012 0013	C C 1000 C C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ (5,88) NMAS, NDET, NCPURP;NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 wRITE(6,89) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS IF (NPASS +LE. 0) CALL EXIT. MORE DETAIL THAN MASTER DISREGARD	8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8
0008 0009 0010 0011 0012 0013 0014	C C 1000 C C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ(5,88) NMAS, NDET, NCPURP,NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 WRITE(6,89) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS IF (NPASS .LE. 0) CALL EXIT. MORE DETAIL THAN MASTER DISREGARD IF(MMAS.GE.NDET) GO TO 30 WRITE(6,90)	* * * * * * * *
0008 0009 0010 0011 0012 0013 0014	C C 1000 C C C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ(5,88) NMAS, NDET, NCPURP, NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 wRITE(6,69) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS IF (NPASS .LE. 0) CALL EXIT. MORE DETAIL THAN MASTER DISREGARD IF (IMMAS.GE. NDET) GO TO 30 wRITE(6,90) GO TO 1000	* * * * * * * *
0008 0009 0010 0011 0012 0013 0014 0015	C C 1000 C C C C C C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ (5,88) NMAS, NDET, NCPURP, NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 wRITE(6,89) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS IF (NPASS .LE. 0) CALL EXIT. MORE DETAIL THAN MASTER DISREGARD IF (NMAS.GE. NDET) GO TO 30 wRITE(6,90) GO TO 1000 REQUESTED CPU REPETITIONS, BUT NDET = 0, NMAS GT 0	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4
0008 0009 0010 0011 0012 0013 0014 0015 0016	C C 1000 C C C C C C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ (5.88) NMAS, NDET, NCPURP,NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 WRITE(6,89) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS IF (NPASS .LE. 0) CALL EXIT. MORE DETAIL THAN MASTER DISREGARD IF(NMAS .GE. NDET) GO TO 30 WRITE(6,90) GO TO 1000 REQUESTED CPU REPETITIONS, BUT NDET = 0, NMAS GT 0 IF(NMAS .LE. 0) .OR. (NDET .GT. 0) .OR. (NCPURP .LE. 0)) GO TO 32	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4
0008 0009 0010 0011 0012 0013 0014 0015 0016 0017	C C C C C C C C C C C C C C C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ(5,88) NMAS, NDET, NCPURP,NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 wRITE(6,89) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS IF (NPASS .LE. 0) CALL EXIT. MORE DETAIL THAN MASTER DISREGARD IF (NMAS .GE. NDET) GO TO 30 wRITE(6,90) GO TO 1000 REQUESTED CPU REPETITIONS, BUT NDET = 0, NMAS GT 0 IF ((NMAS .LE. 0) .OR. (NDET .GT. 0) .OR. (NCPURP .LE. 0)) GO TO 32 wRITE(6,91)	*
0008 0009 0010 0011 0012 0013 0014 0015 0016 0017	C C C C C C C C C C C C C C C C 30 32	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ(5,88) NMAS, NDET, NCPURP,NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 wRITE(6,89) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS IF (NPASS .LE. 0) CALL EXIT. MORE DETAIL THAN MASTER DISREGARD IF (NMAS .GE. NDET) GO TO 30 wRITE(6,90) GO TO 1000 REQUESTED CPU REPETITIONS, BUT NDET = 0, NMAS GT 0 IF ((NMAS .LE. 0) .OR. (NDET .GT. 0) .OR. (NCPURP .LE. 0)) GO TO 32 wRITE(6,91)	*
0008 0009 0010 0011 0012 0013 0014 0015 0016 0017	C C C C C C C C C C C C C C C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ (5,88) NMAS, NDET, NCPURP, NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 WRITE(6,69) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS IF (NPASS .LE. 0) CALL EXIT. MORE DETAIL THAN MASTER DISREGARD IF (NMAS.GE. NDET) GO TO 30 WRITE(6,90) GO TO 1000 REQUESTED CPU REPETITIONS, BUT NDET = 0, NMAS GT 0 IF ((NMAS .LE. 0) .OR. (NDET .GT. 0) .OR, (NCPURP .LE. 0)) GO TO 32 WRITE(6,91) CONTINUE	****
0008 0009 0010 0011 0012 0013 0014 0015 0016 0017	C C C C C C C C C C C C C C C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ (5,88) NMAS, NDET, NCPURP, NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 WRITE(6,69) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS IF (NPASS .LE. 0) CALL EXIT. MORE DETAIL THAN MASTER DISREGARD IF (NMAS.GE. NDET) GO TO 30 WRITE(6,90) GO TO 1000 REQUESTED CPU REPETITIONS, BUT NDET = 0, NMAS GT 0 IF ((NMAS .LE. 0) .OR. (NDET .GT. 0) .OR, (NCPURP .LE. 0)) GO TO 32 WRITE(6,91) CONTINUE	*
0008 0009 0010 0011 0012 0013 0014 0015 0016 0017	C C C C C C C C C C C C C C C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ (5,88) NMAS, NDET, NCPURP,NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 WRITE(6,89) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS IF (NPASS .LE. 0) CALL EXIT. MORE DETAIL THAN MASTER DISREGARD IF (NMAS .GE. NDET) GO TO 30 WRITE(6,90) GO TO 1000 REQUESTED CPU REPETITIONS, BUT NDET = 0, NMAS GT 0 IF ((MAS .LE. 0) .OR. (NDET .GT. 0) .OR. (NCPURP .LE. 0)) GO TO 32 WRITE(6,91) CONTINUE END OF PARAMETER_INPUT PROCESSING	*
00008 0009 0010 0011 0012 0013 0014 0015 0016 0017 0018	C C C C C C C C C C C C C C C C C C C	READ INPUT PARAMETERS CALL ZTIME (TIMEN) READ(5,88) NMAS, NDET, NCPURP,NPASS FORCE NMAS TO AT LEAST 12 IF (NMAS.LT.12) NMAS=12 WRITE(6,69) NMAS, NDET, NCPURP, NPASS CHECK FOR UNUSUAL INPUT END OF INPUT CARDS IF (NPASS .LE. 0) CALL EXIT. MORE DETAIL THAN MASTER DISREGARD IF (NMAS .GE. NDET) GO TO 30 WRITE(6,90) GO TO 1000 REQUESTED CPU REPETITIONS. BUT NDET = 0, NMAS GT 0 IF (NMAS .LE. 0) .OR. (NDET .GT. 0) .OR. (NCPURP .LE. 0)) GO TO 32 WRITE(6,91) CONTINUE END OF PARAMETER INPUT PROCESSING INITIALIZE TABLE WITH NUMPERS	*

0021 0022 0023	200	TABLE(I) = START+I-1 MKEY = 0 LKEY = 0	AS * SE * ST *
0023	C		U *
0024	С	IF (NMAS .EQ.0) GO TO 280	S P * E *
0025	С	MASTER GENERATION RECORD(1) = 0	T *
0026		DU 250 MKEY=1+NMAS	*
0027		RSUM = 0.	*
0028		MCHK = MKEY	\$
0029	250	WRITE (7) MKEY, RSUM, MCHK, RECORD	*
0030		RECORD(1) = 1	\$
0031 0032		WRITE (7) MKEY, RSUM, MCHK, RECORD	**
0033	•	$\begin{array}{l} RECORU\left(1\right) = 0 \\ ENDFILE 7 \end{array}$	*
0033	С		4
	Ċ		4
0034	251	IF(NOET .EQ. 0)GO TO 280	\$
	С	DETAIL GENERATION	\$
0035		NRATO = NMAS/NOET	*
0036		00 275 LKEY = NRATO, NMAS, NRATO	*
0037 0038		DSUM =0. LCHK = LKEY	**
0039	275	WRITE (8) LKEY, DSUM, LCHK, RECORD	*
0040	2,5	RECORD(1) = 1	*
0041		WRITE (8) LKEY, DSUM, LCHK, RECORD	\$
0042		RECORD(1) = 0	*
0043		ENDFILE 8	\$
	C C C		*****
0044	_ <u>28</u> 0_ C	CONTINUE	
	C C	DU LOOP NPASS TIMES	******
	С		*
0045	С	CO 1 ICNT = 1, NPASS	P S * A E *
	ic i	IF NO MASTER OR DETAILED RECORDS, SKIP I/O SETUP	ST*
0046	Ũ	IF (NMAS .EQ. 0) GO TO 285	SU #
0047		KCNT = 0	P *
0048		REWIND 10	\$
0049		REWINO 7	` *
0050		READ (7) MKEY, RSUM, MCHK, RECORD	*
0051 0052		IF(NDET .GT. 0) GO TO 283 LKEY = 999999	*
0053		GO TO 285	*
0054	283	REWIND 9	*
0055		REWIND 8	4
0056		READ (8) LKEY, DSUM, LCHK, RECORD	\$
	C		******
	C C C	START TIMED FASS **********************	***********

0057 0058	285	CALL ZTIME(FSTRTM) TIMES(ICNT, 1) = FSTRTM
0058	с	
	č	
ü059	400	IF(MKEY-LKEY) 475,450,425
	С	SEQUENCE ERROR
0060	425	wRITE(6+94)
0061	С	STOP 6
0062	450	IF NOT REQUESTED, SKIP THE COMPUTE KERNEL IF (NCPURP .LE. 0) GO TO 301
0002	C C	
	č	
	С	COMPUTE KERNEL
	С	
	<u>C</u>	REPEAT NCPUR TIMES FOR EACH DETAIL RECORD (OR JUST NCPUR IF
	C C	NMAS = NDET = 0
	C C	START CPU LOOP
0063	C	DO 300 1 = 1, NCPURP
0064		SUM = 0
0065		$I \cup = 0$
0066		J = 0
0067		DO 350 K=1,N
0068 0069		J = J + (6*IU+1) SUM = SUM + TABLE(J)
0070	350	IU =IU +K
0010	Ç	CPU 100P
0071		LSUM = (N*(N+1))/2
0072		IF(START .EQ. (SUM-LSUM*LSUM)/N+1)GO TO 300
0073		wRITE(6,96)
0074 0075	300	STOP 5 CONTINUE
0075	,C	
	č	
	C	IF NO I/O REQUESTED, SKIP I/O SECTION
0076	301	IF (NMAS .EQ. 0) GO TO 600
	С	
	C C	
	C C	START OF I/O SECTION
0077	460	CONTINUE
	С	WRITE DETAIL RECORDS
0078		RSUM = SUM
0079		DSUM = SUM
0080		ICHK = LCHK
0081 0082		KCNT = KCNT+1 wRITE (9) LKEY, DSUM, LCHK, RECORD
0083		READ (B) LKEY, DSUM, LCHK, RECORD
0084		IF (RECORD (1) .EQ. 1) GO TO 500
	С	
	С	WRITE MASTER
0.005	C	I/O LOOP
0085 0086	475	WRITE (10) MKEY, RSUM, MCHK, RECORD READ (7) MKEY, RSUM, MCHK, RECORD
0087		IF (RECORD (1) .EQ. 1) GO TO 600

0088	GO TO 400	
	C NO DETAILED RECORDS LEFT	
0089 0090	500 LKEY = 999999 GO TO 475	
	C C NO MASTER RECORDS LEFT C	
0091	600 CONTINUE C GET END TIME	
0092 0093	CALL ZTIME (FENDTM) TIMES (ICNT+ 2) = FENDTM	
	C	*********
	C END OF TIMED PASS ARGRARGE A	
	C IF I/O SECTION HAS BEEN USED, CHECK FOR CORRECT OPFRATION	******** P C *
0094	IF((NMAS.EQ.0) .OR. (NDET.EQ.0)) GO TO 1 IF(ICHK - (KCNT*NRATO))700,1,700	<u>A H *</u> S E *
0096	700 wRITE (6,93) ICHK, ICNT, NRATO	SC *
	C	K #
0097	C 1 CONTINUE	*****
0097	C	
		-

	C PUT OUT TOTAL ELAPSED TIME FOR THIS SET OF PASSES	R
	C	r E * I S *
	C	NU *
0098	CALL ZTIME(TIMEF)	AL*
0099	CIFF = TIMEF - TIMEN WRITE(6,95) TIMEN, TIMEF, DIFF	LT * S *
V100		> ×
	C	
	C COMPUTE RESULTS	*******
0101	C wRITE (6,97)	*
0102	STIMES = 0.	*
0103	SSTIME = 0.	4
0104	CO 1100 ICNT = 1. MPASS	47
0105	TIMEON = TIMES(ICNT, 1) TIMEOF = TIMES(ICNT, 2)	
0107	DIFF = TIMEOF - TIMEON	*
0108	WRITE(6,99) TIMEON, TIMEOF, DIFF	R *
0109	STIMES = STIMES + DIFF	E *
0110 0111	SSTIME = SSTIME + DIFF ** 2 1100 CONTINUE	PS#
0112	TMEAN = STIMES / FLOAT (NPASS)	AU* SL*
0113	TSTDEV = SQRT((SSTIME / FLOAT(NPASS)) - TMEAN ** 2)	S T *
0114	WRITE(6.98) TMEAN, TSTDEV	S *
	C	*

	C C	GO GET NEXT CONTROL CARD	*
0115	v	REWIND 7	
0115			
		REWIND 8	P
0117		REWIND_9	45
0118		REWIND 10	4
0119		GU TO 1000	4
	С		***
	C C	FORMAT STATEMENTS	
	C		
0120	87	FORMAT(24H1 SYNTHETIC BATCH STREAM)	
0121	88	FORMAT(6X, I7, 6X, I7, 8X, I7, 7X, I2)	
0122	89	FORMAT(10H0 MASTER =, 18, 4X, 8HDETAIL =, 18, 4X, 17HCPU REPETITIONS =,	
		1 $I8+4X+8HPASSES =+15$)	~
0123	90	FORMAT (38H MORE DETAILS THAN MASTERS SPECIFIED.)	
0124	91	FORMAT (30H NO CPU LOOPS SINCE NDE1 = v_{\bullet})	
0125	93	FORMAT (23H CHECKSUM ERROR, ICHK= ,16,7H KCNT= ,16,8H HRATO= ,16)	
v126	94	FORMAT(15H SEQUENCE ERROR)	
0127	95	FORMAT(10H00N-TIME =,F10,3,4X,10H0FF-TIME =,F10,3.	
		1 $4x,20$ HTOTAL ELAPSED TIME = $(F10,3)$	
0128	96	FORMAT (14H COMPUTE ERROR)	
0129	97	FORMAT(1H0,11X,5HSTART,11X,3HEND,12X,12HELAPSED TIME)	
0130	98	FORMAT(1H ,22X,19HMEAN ELAMSED TIME =+F10,3,	
		1 22H STANDARD DEVIATION = F10.3//)	
0131	99	FORMAT(1H , 8X, F10.3, 6X, F10.3, 7X, F10.3)	
0132		END	

0001	0	SUBROUTINE ZTIME (TIMET)
	С С С С	RETURNS CPU ELAPSED TIME IN SECONDS USED ONLY DURING THE CALIBRATION PHASE HENCE A DUMMY ITIME FOR THE RUNNING VERSION OF THE SYNTHETIC
0002	C	DUMMY=0.0
0002	С	ITIME RETURNS NO. OF TIMER UNITS REMAINING (1 TIMER UNIT=26 USEC)
0003		I=ITIME (DUMMY)
	С	STIMER SETTING WAS 16**4/100
0004		TIMET=16.0**4/100.0-26.0*(I*.000001)
0005 0006		RETURN END
0008		C ND
0001		SUBROUTINE BTIME
0.0.0.2	С	DUMMY BTIME
0002 0003		I=1 RETURN
0004		END
0001		FUNCTION ITIME (DUMMY)
0001	С	DUMMY ITIME (DUMMY)
0002	Ŭ	ITIME=0
0003		RETURN
0004		END

APPENDIX B TABLES

SYNTHETIC PROGRAM CALIBRATION

(NDET = 12, NPASS = 1)

NMAS	NCPURP	DMC 370/165 Time (sec)	<u>NMAS</u>	NCPURP	DMC 370/165 Time (sec)
$ \begin{array}{r} 12\\ 50\\ 100\\ 500\\ 900\\ 1000\\ 5000\\ 9000\\ 10000\\ \end{array} $	0 0 0 0 0 0 0 0	.765 1.005 1.201 3.541 5.837 6.503 32.239 50.256 53.161	$ \begin{array}{r} 12\\ 50\\ 100\\ 900\\ 1000\\ 5000\\ 9000\\ 10000\\ 10000\\ \end{array} $	$\begin{array}{c} 4000 \\ 4000 \\ 4000 \\ 4000 \\ 4000 \\ 4000 \\ 4000 \\ 4000 \\ 4000 \\ 4000 \end{array}$	3.757 3.827 4.456 5.721 8.337 8.939 32.764 50.199 53.035
$ \begin{array}{r} 12\\ 50\\ 100\\ 500\\ 900\\ 1000\\ 5000\\ 9000\\ 10000\\ \end{array} $	500 500 500 500 500 500 500 500 500	$1.015 \\ 1.201 \\ 1.561 \\ 3.401 \\ 5.491 \\ 5.987 \\ 28.644 \\ 50.173 \\ 53.986$	12 50 100 500 900 1000 5000	$ \begin{array}{r} 16000 \\ 16000 \\ 16000 \\ 16000 \\ 16000 \\ 16000 \\ 16000 \\ \end{array} $	14.530 15.372 14.873 17.801 19.682 20.204 41.703
$ \begin{array}{r} 12\\ 50\\ 100\\ 500\\ 900\\ 1000\\ 5000\\ 9000\\ 10000\\ \end{array} $	1000 10000 1000 1000 1000 1000 1000 1000 1000	1.528 1.787 1.937 4.247 7.319 7.105 29.586 51.677 50.915	12 50 100 500 900	90000 90000 90000 90000 90000	75.672 76.574 76.231 79.639 79.153

CORE GROUP AVERAGES

<u>Class</u>	Group	No. of Jobs	Total 165 CPU 	Total EXCP Count	Average CPU Time	Average EXCP Count
Н	1.	5538	5538	554100	1	100
	2.	5819	35919	22452704	6	3858
	3.	2970	61066	23538768	20	7925
	4.	619	30351	9760500	49	15768
	5.	907	32452	10649700	35	11741
	6.	175	10000	1474500	57	8425
	7.	57	18912	1628200	331	28564
	8.	13	372	112600	28	8661 -
	9.	26	4698	1514400	180	58246
D	1.	5006	5254	701600	1	140
	2.	10103	16254	4933400	1	488
	3.	12253	33275	6731700	2	549
	4.	3169	17912	1766100	5	557
	5.	1958	9156	1502000	4	767
	6.	154	635	94200	4	611
	7.	11	344	2200	31	200
	8.	31	312	9800	10	316
	9.	4	340	20000	85	5000
J	1.	5536	6203	744000	1	134
	2.	6782	21810	10295300	3	1518
	3.	8014	49324	13781100	6	1719
	4.	1362	12035	2118600	8	1555
	5.	799	17597	2977600	22	3726
	6.	51	866	64400	16	1262
	7.	16	166	7300	10	456
	8.	1	6	100	6	100
OTHER	1.	2209	2627	485500	1	219
	2.	6562	33253	20882448	5	3182
	3.	4071	67709	22316256	16	5481
	4.	1270	38334	6530300	30	5141
	5.	652	65851	6040800	100	9265
	6.	205	29671	1483700	144	7237
	7.	43	19431	503400	451	11706
	8.	6	6	800	1	133

CORE GROUP SCALED AVERAGES AND EXPANSION FACTORS

<u>Class</u>	Core <u>Group</u>	Scaled Avg. CPU Time (sec)	Scaled Avg. EXCP Count	Expansion Factor
Н	1.	1	100	5538
	2.	6	3858	5819
	3.	10	3963	5940
	4.	10	3218	3033.1
	5.	10	3355	3174.5
	6.	10	1478	997.5
	7.	10	863	1886.7
	8.	10	3093	36.4
	9.	10	3236	468
D	1.	1	140	5006
-	2.	1	488	10103
	3.		549	12253
	4.	2 5	557	3169
	5.	4	767	1958
	6.	4	611	154
	7.	10	65	34.1
	8.	10	316	31
	9.	10	588	34
J	1.	1	134	5536
U	2.	3	1518	6782
	3.	6	1719	8014
	4.	8	1555	1362
	5.	10	1694	1757.8
	6.	10	789	81.6
	7.	10	456	16
	8.	6	100	1
OTHER	1.	1	219	2209
OTHER	2.	5	3182	6562
	3.	10	3426	6513.6
	<i>4</i> .	10	1714	3810
	5.	10	927	6520
	6.	10	503	2952
	7.	10	260	1939.3
	8.	1	133	6

SYNTHETIC MIX SPECIFICATION

<u>Class</u>	Core <u>Group</u>	Synthetic Input NMAS	Parameters (NDET=12,NPASS=1) NCPURP	REGION Size (K)
H	1.	12	0	44
	2.	543	2595	60
	3.	558	7307	100
	4.	451	7986	140
	5.	471	7859	180
	6.	203	9558	220
	7.	115	10116	260
	8.	434	8094	300.
	9.	454	7967	340
D	1.	12	0	44
	2.	61	0	60
	3.	70	786	100
	4.	71	4386	140
	5.	101	2994	180
	6.	79	3133	220
	7.	12	10839	260
	8.	37	10611	300
	9.	76	10364	340
J	1.	12	0	44
	2.	209	1107	60
	3.	237	4535	100
	4.	214	7085	140
	5.	234	9362	180
	6.	104	10186	220
	7.	57	10484	260
	8.	12	6000	300
OTHER	1.	23	0	44
	2.	446	2008	60
	3.	481	7796	100
	4.	237	9343	140
	5.	124	10059	180
	6.	64	10440	220
	7.	29	10662	260
	8.	12	0	300

EXPECTED VS. ACTUAL CPU TIMES AND EXCP COUNTS FOR CLASS H ON THE DMC 370/165

Core Groups	Expected CPU Time (sec)	Expected EXCP's	Actual CPU Time (sec)	Actual EXCP's
1.	1	100	.89	142*
2.	6	3858	4.92	3859
3.	10	3963	8.49	3964
4.	10	3218	9.16	3215
5.	10	3355	9.18	3355
6.	10	1478	9.78	1479
7.	10	863	9.55	863
8.	10	3093	9.59	3096
9.	10	3236	9.39	3236

*due to NMAS forced to 12

INDIVIDUAL SYNTHETIC JOB COSTS (\$)

CLASS H

	#7	•66	5.06	10.73	14.83	22.31	17.35	16.13	49.19	63.87
	#6	•40	3.32	5.81	6•69	7,31	7.86	8.85	9°89	10.59
	#5	.63	8.70	10.61	10.87	11.64	11.47	13.64	14.30	16.25
Site Number	#4	1.00	6.22	8.04	7.61	8.14	6.66	6.39	8.74	9.38
ŝ	#3	.92	6.78	12.09	12.94	15.32	11.48	11.23	20.50	23,13
	<u>#2</u>	• 29	6.73	8.64	10.15	13.53	8.86	7.49	21.09	24.80
	#1	•95	8.57	11.17	10.95	12.37	9.17	8.44	15.33	16.96
Core	Group	1.	2.	°.	4.	5.	6.	7.	8	.6

INDIVIDUAL SYNTHETIC JOB COSTS (\$)

CLASS D

	<u>+</u>	.66	1.27	2.45	4.68	7.01	8.52	9.17	13.51	20.47
	9#	.41	•50	1.34	3.67	2.94	3.47	8.73	9.43	10.37
	#5	• 83	1.19	1.94	5.22	5.09	4 。 62	11.57	12.60	13.71
Site Number	#4	1.00	1.00	1.21	2.74	2.37	2.45	5.21	5.70	6.31
Š	#3	•92	1.35	2.33	5.32	5.01	5.56	8.18	9.28	10.99
	#2	• 29	• 85	1.24	2.41	3.41	3.61	4.02	5.57	8.06
	#1	• 95	1.58	2.30	4.05	4.29	4.53	6.61	7.67	9.15
Core	Group	1.	2.	°.	4.	5.	6.	7.	°.	9.

INDIVIDUAL SYNTHETIC JOB COSTS (\$)

CLASS J

	#7	.66	2.33	5.68	8.67	13.79	12.51	13.07	9.12
	# 6	.43	1.51	3.12	5.01	6.87	8.61	9.83	
	<u>#5</u>	.72	3.20	6.10	8.10	10.90	11.77	13.50	7.59
Site Number	#4	1.00	2.51	3.94	4.78	6.11	5.85	6.29	3.26
	#3	.92	3.24	6.35	8.61	11.35	10.13	10.44	5.99
	<u>#2</u>	.29	2.69	3.93	5.46	7.97	6.24	6.06	3.03
	<u>#1</u>	.94	3.81	5.74	6.91	8.80	7.87	8.11	5.13
Core	Croup	1.	2.	з.	4.	5.	6.	7.	8.

INDIVIDUAL SYNTHETIC JOB COSTS (\$)

CLASS OTHER

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	<u>L#</u>	.71	4.30	9.93	9.87	9.82	9.87	11.03	6.76
	9 #	.31	2.97	5.90	6.18	6.97	8.05	10.07	.78
	<u>#5</u>	1.23	5.40	10.31	10.07	10.45	10.73	14.75	1.01
	<u>#4</u>	1.00	5.01	7.28	5.90	5.31	5.33	6.10	1.00
סדר	#3	1.05	5.68	10.81	9.71	9.59	8.91	9.59	2.20
	#2	.47	5.54	7.64	6.24	5.39	4.88	5.14	1.02
	<u>#1</u>	1.14	7.15	10.21	8.02	7.15	6.91	7.68	2.53
Core	Group	1.	2.	3.	4.	5.	.9	7.	8.

Site #7	292,060	77,451	102,263	246,663	718,437		798,565		80,128			10.0%
Site #6	129,422	42,402	57,442	170,913	400,179)ACH	589,241	ED)	189,062			32.1%
Site #5	232,360	68,420	105,950	272,093	678,823	ACTUAL WORKLOAD COSTS (\$) - ALGORITHM APPROACH	781,437	ESTIMATE OF MISC CHARGES (ACTUAL - PROJECTED)	102,614		ACTUAL)	13.1%
Site #4	161,819	44,205	71,966	167,174	445,164	(\$) - YTC	475,234	ARGES (ACTUA	30,070		(THALL DIFFERENCE (MILON/ MCLUAR)	6.3%
Site #3	248,454	75,259	110,633	254,439	688,785	KLOAD COSTS	961,172	OF MISC CHA	272,387	CENT DIRECT		28.3%
Site #2	201,170	40,687	73,399	170,453	485,709	ACTUAL WOI	694,943	ESTIMATE	209,234	дъд	1	30.1%
Site #1	227,527	71,607	102,701	228,422	630,257		722,971		92,714			12.8%
Class	Н	D	Ŀ	OTHER	TOTALS							

PROJECTED WORKLOAD COSTS (\$) - SYNTHETIC APPROACH

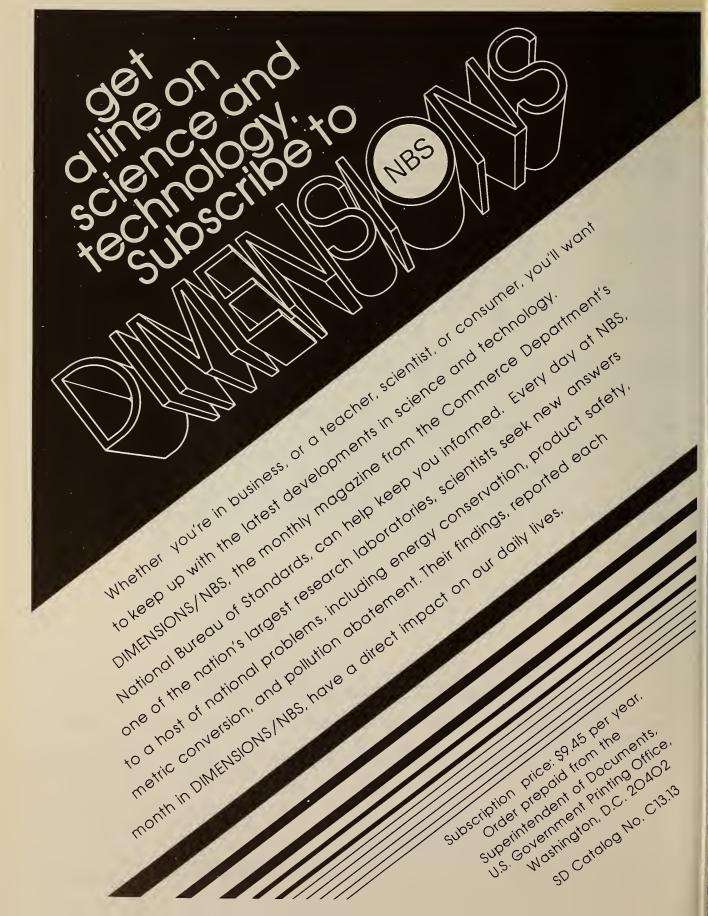
* U.S. GOVERNMENT PRINTING OFFICE: 1976-210-801/339

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TABLE 10

NBS-114A (REV. 7-73)

U.S. DEPT. OF COMM. BIBLIOGRAPHIC DATA					
SHEET	1. PUBLICATION OR REPORT NO. NBS TN-920	2. Gov't Accession No.	3. Recipient'	's Accession No.	
4. TITLE AND SUBTITLE	• <i>UD,>U=3.20</i>		5. Publicatio	n Date	
			July 1976		
	nchmarks for Estimating Ser	vice Bureau			
Processing Charges			6. Performing	g Organization Code	
7. AUTHOR(S)	·····		8 Performing	g Organ. Report No.	
Dennis M. Conti			or r crior ming	g organ. Report No.	
9. PERFORMING ORGANIZAT	ION NAME AND ADDRESS		10. Project/I	Task/Work Unit No.	
			640.113		
			11. Contract/		
DEPARTMENT OF COMMERCE WASHINGTON, D.C. 20234			···· contract/	Grant No.	
#ASHINGTON	1 , D.C. 20234				
12 Spansoring Organization No.	ma and Complete Address (Street City S	toto 7ID)	13 Tupo of R	Report & Period	
12. Sponsoring Organization Name and Complete Address (Street, City, State, ZIP)			Covered	Report & Period	
Data Management Center			Final		
Department of Health, Education, and Welfare					
Washington, D.C. 20203			14. Sponsorin	g Agency Code	
15. SUPPLEMENTARY NOTES					
	less factual summary of most significant	information. If documen	includes a s	ignificant	
bibliography or literature su	rvey, mention it here.)				
This report describ	es the development of a new	synthetic benchm	ark techn	ique for	
estimating batch pr	ocessing charges at service	bureau sites. I	his techn	ique was	
used to estimate th	e cost of processing a larg	e batch workload	at a numb	er of	
service bureaus within the same mainframe family. The method was found to be					
low-cost, vet reaso	nably accurate for a certain	class of servic	e hureau	charging	
low-cost, yet reasonably accurate for a certain class of service algorithms. Refinements of this method are suggested which will				11 outond its	
applicability to other algorithms. The procedures used to cre			1 extend	Its	
honohoorh to other	ner argorithms. The proced	ires used to crea	te and ru	in rne	
benchmark, together	with the projection of tot	al workload cost	are descr		
				ibed.	
	entries; alphabetical order; capitalize on			ibed.	
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