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A NEW APPROACH

to the

MECHANICAL SYNTACTIC ANALYSIS OF RUSSIAN

> Mechanical Translation Group U. S. DEPARTMENT OF COMMERCE NATIONAL BUREAU OF STANDARDS Applied Mathematics Division

**U. S. DEPARTMENT OF COMMERCE NATIONAL BUREAU OF STANDARDS** 

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# NATIONAL BUREAU OF STANDARDS REPORT

NBS PROJECT

1102-40-11513

NBS REPORT

November 10, 1959.

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A NEW APPROACH TO THE MECHANICAL SYNTACTIC ANALYSIS OF RUSSIAN

# INTRODUCTION

From the moment that a writer conceives an idea which he desires to communicate to his fellow men, sizable stumbling blocks are strewn in the path of the future translator. For the ability to shape one's thought clearly, or even completely, is not granted to many; rarer still is the gift of expressing the thought--precisely, concisely, unambiguously--in the form of words. There is no guarantee, therefore, that the author's written text is a reliable image of his original idea.

Furnished with this more or less distorted record, the translator is expected to perform a number of amazing feats. In the first place, he has to discern--through the dim mist of the source language--the writer's precise intention. This requires not only a perfect knowledge of both the source language and the subject matter treated in the text, but also the mental skills customarily exercised by the professional sleuth. In addition, these newly reconstructed ideas must be rendered into a target language which is so limpid, so unequivocal, so faithful to the source--as to convey, to every reader of the translator's product, the exact <u>intention</u> of the original foreign text!

Small wonder, then, that a fabulous achievement like Fitzgerald's translation of the Rubaiyat is regarded in the nature of a miracle. For the general case, it would seem that characterizing a sample of the , translator's art as a good translation is akin to characterizing a case

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of mayhem as a good crime: in both instances the adjective is incongruous If, as a crowning handicap, we are asked to replace the vast capacity of the human brain by the paltry contents of an electronic contraption, the absurdity of aiming at anything higher than a crude practical translation becomes eminently patent.

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Perhaps we are belaboring this point; we do so to avoid later arguments about the "quality" of our work. If, for example, a translated article enables a scientist to reproduce an experiment described in a source paper and to obtain the same results, -- such a translation may be regarded as a practical one. Perhaps the translation is not couched in elegant terms; here and there several alternative meanings are given for a target word; a word or two may appear as a mere transliteration of original source words. Nevertheless, this translation has served its main purpose: a scholar in one land can follow the work of his colleague in another.

This limited scope has been set for us by our own as well as the machine's deficiencies. The heartbreaking problem which we face in nechanical translation is how to use the machine's considerable speed to overcome its lack of human cognizance gained during a lifetime of experience. We do not yet really understand how the human mind associates ideas at an almost infinite rate of speed; for example, how does it differentiate <u>instantaneously</u> between the two meanings of "calculus" in the following sentences: (1) The surgeon removed the staghorn calculus from the patient's kidney, and(2) The professor announced a new course

in advanced calculus. And yet, a scheme for discerning such differences is precisely what we must impart to the machine.

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Even if there now existed a completely satisfactory method for machine translation, today's machines would not be adequate tools for its implementation. They lack automatic transformers of printed text into coded signals, and their external storage devices are not up to the mark.

Before coming to grips with the mechanical translation problem, We investigated the types of difficulties we might encounter. We found that they fall into ten groups; so far, we have been able to cope--more or less successfully--with only the first five, which depend mainly on syntactic analysis. Some thought has been given to the far more difficult points involving semantic considerations, but the short time spent in this area has not allowed us to transform the mathematical "existence solutions" into practical machine application. Thus, discussion of semantic problems is deferred. In this paper we are concerned mainly with syntactic analysis.



#### GLOSSARÝ THE

One of the indispensable accessories of MT is the construction of a source-to-target Glossary, 1/ The conventional publications would not suffice for MT, because their authors presuppose, on the part of the 5--prospective user, (1) a wide acquaintance with the basic principles of the source language, (2) an excellent knowledge of the target language, and (3) a considerable familiarity with the terminologies -- in both languages -- relating to the special subject of the source text. These assumptions are hardly justified even in the case of the professional 10translator. It follows that a glossary, designed for use with an electronic processor, must embody an immense amount of information in addition to the material culled from the best existing dictionaries. But there is a limit to the amount of data that can be handled by even 15the most advanced type of electronic processor, if MT is to be at all expedient. It is imperative, therefore, that utmost care be used to select (1) the absolutely minimum quantity of information which would suffice for our needs, (2) the most economical (space and time-saving) form for representing it, and (3) the most suitable external media for 20its storage and retrieval.

The List of Terms and List of Symbols at the end of the paper may enable the reader to identify unfamiliar expressions. Technical words to be found therein are capitalized when first encountered in the text.

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Of far greater concern is the fact that no genius exists today who is completely aware of the mental processes involved in the performance of the translation task. Yet a routine, duplicating these processes, must be prepared for insertion into the machine's memory. 6-Unfortunately, the form of the glossary depends completely upon, and varies constantly with, the particular translation scheme which is being developed by its human originator. We would not venture to predict the date when our own glossary might assume its final -- or even 9. "passable" -- shape. We are constrained, for the present, to use a 10small sample glossary, sufficient for trial runs on the computer. It is stored in the external memory and is arranged in groups, each of which lists the Satellites of a source Pseudo-root. Each satellite is an entry corresponding to a source Stem which contains the pseudoroot in question. The temporary form, which each Glossary Entry has 15assumed so far, consists of the following items:

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1. The Source Transform, which is a greatly contracted form of the original source stem.

2. Morphological information, designed to aid in the syntactical analysis of each sentence, as illustrated in Section B of Part II. 20-

Predictions regarding future Occurrences. For instance, the 21 3. Russian verb with stem CANX is marked as frequently followed by an 22 23 indirect object in the dative case and/or a complement in the instru-24 mental; also sometimes by a verb in the infinitive.

4. One or more target correspondents (T) to the source stem.

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(It is planned to expand this information to include diacritical material designed to aid in the semantic analysis of the sentence.)

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#### PART I.

Our program is being coded in two parts. Of these only the first, which consists of two sections, has been completed and tested. Section A.

The aim of this section is to investigate the nature of each occurrence in a sentence and, for the case when the occurrence is a 10word, to perform a glossary look-up.

When an occurrence in a given Russian text is read into the machine -- and we have reason to hope that this will be accomplished eventually by a fully automatic device -- this source material is subjected to the following treatment within the computer. 15~

1. An Identification Tag. (t) is appended to the occurrence to indicate the page, sentence, and ordinal number. Its characters are counted and examined for indications anent its physical make-up. For instance, the machine examines whether the occurrence is a word or, 20perhaps, a punctuation mark, formula, etc. If a word, it notes whether it starts with a capital or is an initial, whether it contains any indication of foreign origin. This orthographical material will be augmented and revised in succeeding steps to form General Specifications (GS). It is recorded in the internal memory space allotted to the occurrence t. 25-

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2. If the current occurrence is not a word, this fact is signalized in the Profile Skeleton (PS) which will eventually be expanded to serve as a rough outline of the clause formation of the source sentence to which the occurrence belongs. If, moreover, the occurrence is identified as a period, a subroutine is consulted to determine whether this punctuation marks the end of the sentence. If such be the case, this fact is indicated in the profile skeleton, and the sentence number is raised for storage in the succeeding tag numbers, t.
3. If the given occurrence is a word, a search is made in a

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Special List of frequently used words. If the word is found in the special list, the diacritical material accompanying it may show that it could be the leading word of one or more idions. In that case, the requisite number of successive source occurrances will be compared to each of the indicated idions, and when agreement is found, the entire source idiom is replaced by the corresponding material and is thereafter treated as a single occurrence.

4. If the word is not found in the above list, it is decomposed into its Pseudo-prefixes, pseudo-root<sup>1</sup>/ (or roots), Pseudo-suffixes, and Source Ending<sup>1</sup>/ by means of corresponding Lists stored in the internal memory.

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1/ The pseudo-root and true source ending are determined by a rather complicated iterative scheme.

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2	The ending is replaced by the address $\beta$ , found alongside its
3	listed counterpart. It is stored in $S_t$ and will be used in Part II.
<b>.4</b>	Each pseudo-prefix and pseudo-suffix (if any) is replaced by a
5	single character, consisting of 6 bits, and the combination of these
8	characters (probably no more than 8) constitutes the transform ( $\Delta$ ) of
7 3	the original source word; $\underline{y}$ and $\underline{z}$ , the number of pseudo-prefixes and
8	pseudo-suffixes, as well as $\Delta$ , are stored in S <sub>t</sub> .
9	The remaining portion of the current word, constituting the
10	pseudo-root, may have no characters at all. The glossary contains a
11	group of satellites for a null pseudo-root. Its Extended Address,
12	$\alpha_{o}$ , is used to represent it in the next step.
13	If the pseudo-root contains at least one character, it may not
14	have been found in the list of pseudo-roots. In that case, the trans-
<u> 16</u>	literation subroutine dictates the form of the correspondent to be
16	stored in the normal position of the target T for the final printout.
17	A suitable Signal of Peculiarity ( $\delta$ ) is stored in GS. The Correspond-
18	ence Flag (c) in GS is set to zero.
19 ,	If the pseudo-root has been located in the list, its counterpart
20-	is accompanied by an extended address, $\alpha$ , indicating where its group
21	of satellites starts in the externally stored glossary.
22	5. The extended address $\alpha$ , accompanied by the identification tag
23	t, is intersorted with similar combinations, corresponding to the

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6. When all the internal space alloted for the sorting file is

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previously processed source words, in the Sorting File.

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filled, a search is made throughout the entire glossary for the indicated entries. Since the time for such a transit throughout the glossary
is formidable, and remains practically constant irrespective of the
number of words to be looked up, it is obvious that an appreciable
increase in internal storage space would result in a corresponding
reduction in the look-up time per word. However, considering the
high cost of internal storage devices, it might be more expedient
to utilize inexpensive non-erasible external storage media with
suitable buffering devices which allow for the simultaneous retrieval
of information along several busses.

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7. When the extended address  $\alpha$  attached to  $\underline{t}$  is reached during transit of the glossary, the routine searches for the entry corresponding to the  $\underline{y} \cdot \underline{z} \cdot \Delta$  of the occurrence  $\underline{t}$ . The correspondence flag  $\underline{c}$  is set to 1 or 0 in GS, according to whether the search has been successful or not. In the latter case, the pertinent peculiarity signal is stored in GS and the tag  $\underline{t}$  is placed in the normal position of the target T for final printout.

· Illustration 1.

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As an example of the performance of this section of the program, we offer the text word PACHOAOXEHNE. Suppose this word occurs as the 7th word of the 4th sentence on page 1. The corresponding symbol for is 1.4.7. The occurrence is examined and found to be a word (not a punctuation mark etc.) composed of 12 letters. The Word Flag

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The machine determines that no such word appears in the special list of frequently used words. The occurrence is therefore examined for pseudo-prefixes. In this case, the combinations PAC and NO happen to be true prefixes. By referring to the stored list of pseudo-Ø--prefixes, the routine would replace PAC by the letter V, and NO by the letter R. Unable to discover more prefixes, the routine would isolate the ending NE. Suppose that the list of endings indicates that information on this ending is stored in internal memory beginning at address 357; the machine then sets  $\beta = 357$ . The routine would 10proceed to identify EH as a suffix and replace it by the letter K. 11 Finding no more pseudo-suffixes, the routine would store in S1.4.7. 12 the numerals 2 and 1, to indicate the number of prefixes and suffixes 13 14 y and z; these would be followed by the transform  $\Delta$ , which is VRK. The machine would then enter the subroutine for identifying the pseudo-15root. In the present case, no difficulties would be encountered, as 16 JOX would be located at once in the list of pseudo-roots. In actual 17 practice, a number of complications may arise. The given word may 18 contain a polyroot; or what we assumed to be an ending may actually be 19 part of the pseudo-root; or we may not be able to locate the root at 20all. The sub-routine takes note of all these possibilities. 21 The root JOX is replaced by a which would be, say, 2.47.3097, 22

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<sup>23</sup> if the first member in the group of this root's satellites has the <sup>24</sup> position number 3097 in the 47th block on the 2nd tape. To  $\alpha$  we <sup>25</sup> attach the tag t and intersort the result with the other contents of

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the sorting file. The entry in the internal memory, corresponding to the occurrence PACHOJOXEHME, now has the two forms

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Storage	GS	β	y. z	Δ
<sup>S</sup> 1.4.7	Orthographic description	357	2.1 0	VRK

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· ·	α	t		
Sorting File	2.47.3097	1.4.7		

After a specified number of successive occurrences have been analyzed in this way, a transit will be made through the glossary. When the position 3097 of the 47th block on the 2nd tape is reached, the machine will locate and extract all the material corresponding to 2.1. VRK, i.e. all the information pertinent to the stem PACHONOXEH. In GS, the correspondence flag <u>c</u> would be set to 1 to indicate that the search had been successful.

20- Section B.

In this section we examine each word-occurrence of a sentence with two aims in view:

L. To assign to it all possible grammatical interpretations,
 which we call Temporary Choices, TC<sub>j</sub>. These are arranged roughly in
 order of most probable appearance; j indicates the serial number.

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2	Information common to all TC <sub>j</sub> is labeled with $j = 0$ .		
3	2. To indicate its significance in the profile skeleton.		
4	To accomplish the first aim we distinguish three types of words:		
5-	a. If a source word is found in the special list of frequently		
6	used words, its various target meanings serve as the TC <sub>j</sub> .		
7	b. For a word whose transform is found in the glossary, the TC		
8	are obtained by finding the common intersection between the		
9	possibilities given by its ending in the Table of Endings and		
10-	those given by the morphological information of the stem's		
11	glossary material.		
12	c. When a source word is represented merely by its transliteration,		
13	the TC, must be made on the basis of its ending (and, possibly,		
14	its suffixes) only.		
15-	and the second		
. 16	As regards the second aim, the TC, which accompany a current word may $_{\odot}$		
17	reveal that it could be a possible indicator of a main clause, or		
18	subordinate clause, or a phrase. If such is the case, an appropriate		
19	signal is added to the profile skeleton, in which the nature of the		
20-	non-word occurrences has previously been stored. The profile skeleton		
21	will be subjected to a crude analysis in Section A of Part II.		
22	Illustration 2.		
23	Let us use again the word PACNONOXEHNE, belonging under the		
. 24	heading 2b above. The glossary's morphological information indicates		
25-	tnat its stem, PACHONOXEH, could represent either		

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1. An inanimate neuter noun, belonging to a declension class which is identified by the ending ME in the nominative singular; or

2. An adjective, of verbal origin, belonging to a declension
class which is identified by the ending bld in the masculine nominative singular.

This material, used in conjunction with the information listed for the ending ME, leads the machine to eliminate the second possibility given by the glossary and to list the following two temporary choices:

TC, Noun, inanimate, neuter

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nominative, singular accusative, singular.

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Part II of the projected scheme, now in process of being programmed, has the purpose of analyzing the syntactical structure of each source sentence and of constructing a corresponding target sentence. While Part I works on at least several hundred source words in one **5** pass--the precise number of such words is determined by the internal 8 memory capacity of the machine -- Part II, which is made up of three 7 sections, works on one sentence at a time. 8 Section A determines, as far as possible at this stage, the clausal and phrasal structure of the sentence. Section B is an 10iteration scheme for examining syntactical relations among the Strings 11 of a sentence. It processes each string in turn from the beginning 12 to the end of each sentence, repeats this process if necessary and 13 16 decides whether a translation has been effected. Thereafter Section C takes over, composes a target sentence, and prints it out. 15-16 Types of Difficulties. 17 We shall list, in order of increasing complexity, the ten diffi-18 culties which obstruct our path toward such a goal: 10 The stem of a source word is not listed in our glossary. This 1. will occur quite often in our translation scheme, as we intend to omit 20from the glossary the majority of non-slavic stems. 21 33 2. The target sentence requires the insertion of key English 88 words, which are not needed for grammatical completeness of the source 26 sentence. For instance, the complete Russian sentence: OH BELIHAN

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II

PART

24- (literally; He poor.) must be translated as: He (is) (a) poor (man).

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3. The source sentence contains well-known idiomatic expressions.
4. The occurrences of a source sentence do not appear in the conventional order. Sober writing, without color or emphasis, employs
5- few inversions. Our method, which consists of predicting each occurrence on the basis of the preceeding ones, works quite well in that case. But such orderliness cannot be expected to hold for long stretches of the text.

5. The source sentence contains more than one clause.

Corresponding to an occurrence in the source sentence, more 6. 10than one target word is listed in the glossary. Polysemy is, of 11.12 course, recognized as a most formidable obstacle to faithful trans-12 lation, whether human or mechanical. Hilarious (or heartbreaking, 13 depending on your point of view) "malaprops" can be cited by the 14 score to uphold the conviction of many linguists that the MT task is 15a hopeless one. Our faith in the inventiveness of the human brain 16 makes us reject such gloomy forebodings. 17

7. The source sentence is grammatically incomplete. Such a
situation is frequently the result of carrying on the thought from
one or more previous sentences. To succeed, any MT scheme will have
to be able to transcend the boundaries of a sentence (or a paragraph,
or a section).

8. The source sentence contains ambiguous symbols. Since we
are planning to confine our efforts to mathematical texts, such occurrences will be legion.

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9. The syntactic integration of the source sentence results in an ambiguity. It is often of a type that could be resolved by sementic considerations; but sometimes, it is inherent and thus not removable
5- by any process.

10. A combination of difficulties are listed in this category. They are quite annoying but fortunately rare: misprints; grammatical errors; localisms; peculiar nuances; comments based upon the sound (or the spelling) of source occurrences, such as puns whose sense it is impossible to render into the target language.

We have thus grouped Russian sentences into 2<sup>10</sup>, 1.e. 1024, types. 11 A sentence possessing none of the ten difficulties would be represented 12 by type number 00000 00000, whereas-at the other end--a sentence 13 exhibiting all the difficulties would belong to type 11111 111112=102310 14 Our scheme is able to cope successfully--we believe--with the 15first five types of difficulties, which involve only monosemantic 16 occurrences, or at most idiomatic expressions. We can thus handle 17 32 types of sentences ranging in type number from 00000 00000, to 18 00000 11111. 19

20- Section A.

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In both sections of Part I we kept up, for each source sentence, a profile skeleton which consists of a set of signals denoting to which special class (if any) each occurrence belongs. This tentative outline serves to indicate where the clauses and phrases of the sentence might have their inception. The routine in the present section

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- 17 -1 carries out an iterative process which aims to set rough limits to these ranges, based upon the position in the sentence of its (1) punctuation marks, (2) conjunctions, (3) actual, or possible, starters of 4 main clauses, (4) actual, or possible, starters of subordinate clauses, 5-(5) actual, or possible, predicates for each clause, and (6) actual, or possible, phrase starters. As a result of this iterative scheme, the profile skeleton PS is replaced by a Temporary Profile (TP), in which each occurrence is ø associated with four designators: 10-Its clause number (C), 1. 11 A Status Flag (v) to indicate whether the predicate of the 2. 12 clause has or has not occurred, 13 Its phrase number (P), and 3. 14 A Backward Flag (b) to indicate a particular manner in which 4. 15the string is to be handled during the process of syntactic integration. 16 In the event that the routine does not succeed in determining 17 a clause or phrase number, it will insert a Signal of Uncertainty (X), 18 which the routine in Section B will attempt to resolve. 19 Section B. 20-At the conclusion of the preceeding section, each source occur-21 rence has been replaced by a string of information which will expand 22 as we progress in the integration scheme. The string, at this point, 23 contains several sets of data: 24

A set of general specifications, GS, consisting of

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2		a. a word flag, w, indicating whether the occurrence was
3		or was not a Word-utterance (W).
6		b. a correspondence flag, c, indicating whether or not the
5		occurrence (or its transform) was located in the storage.
8	•	c. a peculiarity signal, $\delta$ , pointing out any significant
7		feature of the occurrence.
8	2.	A set of four designators, belonging to the temporary
9	profile,	TP.
10-	3.	If the occurrence was a W, its string will have in addition
11		a. a set of temporary choices, TC, giving all possible
12		grammar interpretations of the source word.
13		b. a set of target correspondents, T, if the word (or its
24		transform) has been located in the memory; otherwise
15		the correspondent will be either
16		1) the transliteration of all, (or part) of the word-
17		utterance, if its pseudo-root is not listed; or else
18		2) the identification t, if its transform is not in
89	•	the glossary.
20-		c. a set of Glossary Predictions (GP) retrieved from the
21		memory if such exist, each consisting of
22		1) a Grammar Essential (GE), indicating the predicted
23		type of agreement with a temporary choice.
84		2) a Signal of Urgency(u) indicating the probability of
26		fulfilment
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- 19 -1 In addition to the above items, there may be available at any stage of the iterative process in Section B the following information, which has been generated during the preceding portion of this Section and incorporated within the strings which cause it to be generated. **6**----1. Foresight Predictions (FP). Expectations for future strings, based on past occurrences; e.g. a direct object is governed by a transitive verb. A foresight prediction contains at least three specifications: Serial number, k, to distinguish the different foresights а. 10generated by the same string. 11 Urgency Code (U), designating the degree of necessity --Ъ. 12 or the proximity -- of the expected string, (e.g. a code 13 of 1 indicates: next occurrence or not at all). 14 Sentence Element (SE), such as Subject, Predicate, C. 15-Complement, etc. 16 In addition to the above items, which are always present, a foresight 17 prediction may contain data, in the form of 18 d. Morphological Specifications (MS) regarding animation, 19 gender, number, etc. 20-An Insert Flag (e) to indicate whether or not an English e. 21 preposition is to be inserted before the target corre-22 spondent, T. 23 5° Hindsight (H,) regarding troublesome strings. When a 24 Predictable Choice does not agree with any of the previous FP, 25-BS 160

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Hindsight Entries about this Unexpected Choice are stored together 2 with a Chain Flag (f) in H,, to be considered with subsequent strings. Such apparent inconsistencies must all be resolved at the conclusion A of the sentence, as a necessary (but not sufficient) criterion of 8successful syntactical integration. Here, too, are stored queries 6 about strings whose syntax is questionable, even though they seemingly 7 fulfill previous predictions. Entries in H, concerning these Doubtful ß Choices are not flagged. 3. Hindsight (Ho) regarding predicted alternate temporary choices. 10-It may happen that more than one of the temporary choices TC, agree 11 with previously made predictions. In this case, one is selected as 12 a link in the sentence structure and the others are stored for future 13 consideration in the current (and subsequent) iterations. 14 Hindsight (H<sub>2</sub>) regarding the remaining unpredicted temporary 95choices TC,. These are "pigeonholed" for possible use in subsequent 16 iterations. 17 Chain number (L). Whenever the machine, in proceeding through 5. 18 a sentence, encounters a string which it is unable to link with any 19 previous predictions, it starts a new Chain. There exist, however, 20five types of Unpredictable Choices which do not cause the chain 21 number to be raised. They represent (a) punctuation marks, (b) con-22 junctions, (c) adverbs, (d) particles, and (e) prepositions. 23 The Routine of Section B begins with the following steps: 24 1. All the hindsight entries, left in storage from the previous 28-

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sentence, are cleared out.

2. The chain number L is set to 1.

3. The following two predictions, for the main clause, are stored as foresights:

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k.U.SE

1.7.Subject

2.7.Predicate

where k is the serial number within the string; U is the urgency code (7 indicates the highest); and SE is the sentence element of the prediction.

We now attempt to determine the syntactic sentence structure by observing the following routine for each string. (The letter  $\underline{q}$  will indicate the current String number; Q will denote this running coordinate as it ranges from 1 to  $\underline{q}$ ;) K and J will denote, respectively, the k and j within the string Q.

1. The routine examines the unfulfilled  $FP_{QK}$  within the current clause or phrase, in decreasing order of Q and increasing order of K. Each of them is tested for agreement with any of the  $TC_j$ . The first TC which fits an FP is taken as the Selected Choice (SC) for this iteration. The successful FP is deleted. If there are several  $TC_j$ and none of them fit any  $FP_{QK}$ , the hindsight information is examined for possible clues regarding the selection of a  $TC_j$  to act as the SC. If no clue is found,  $TC_1$  becomes the SC. If, however, the string was marked by a backward flag b, the examination of foresight predictions

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is omitted. In this case the routine examines -- in reverse order -- the previous selected choices, SC, for agreement with  $TC_j$ . If the string is of the unpredictable type,  $TC_1$  is taken as the SC.

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2. The selected choice is indicated by Q.K.j., where Q is the number of the string where the successful prediction (if any) was made and K is the serial number of that prediction. If there is no such prediction for SC, both Q and K are designated as 0. The letter j, of course, represents the serial number of the chosen TC in the current string.

3. The chain number L is left unchanged, if the string has been predicted or is of the unpredictable type; otherwise L is raised by unity.

4. The designators C, v, and P of the temporary profile TP are
revised--in the light of the SC--to form the Selected Profile (SP).
The status flag <u>v</u> furnishes clues for the subsequent revision of the
clause number C, and the syntactical integration determines the
bounds of each phrase.

5. New predictions for the foresights are culled from three sources:

a. The temporary profile, TP, of the next string. If the TP indicates that a new clause is starting, the predictions of a new subject and predicate are entered as foresights.

b. The main routine. This may yield predictions of a

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general nature on the basis of the SC. For example, if the SC is a noun, one such prediction states that the noun might be followed by a complement in the genitive case. If the SC is the subject, we examine whether the predicate has been found previously; if not, we add to the FP of the predicate the information that it must agree with the subject in person, number, gender, etc. Similarly, if the SC is the predicate, the FP of the subject--if unfulfilled--is amplified.

c. The glossary predictions, GP, accompanying the chosen TC. Such predictions, if any, would arise from the peculiar nature of the original occurrence. For instance, a particular verb may govern the dative case.

6. The predictions yielded by a string are appraised against the entries previously placed in hindsight, in order to ascertain whether the former throw any light upon the difficulties and conflicts represented by the latter. If a partial explanation is obtained, a suitable notation is made alongside the corresponding entry. Whenever such an entry is completely explained away, it is deleted. If such a deletion takes place in H<sub>1</sub>, the chain number L is reduced by one, provided the entry bears the chain flag f. Sometimes, a rearrangement in order of the strings is indicated, as a result of the above appraisal 7. The SO may indicate that a key target word, such as a noun or a verb, has not been explicitly stated in the source sentence. If

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such be the case, the routine determines the required Target Insert (TI) and constructs a corresponding New String. On the other hand, the SC may\_dictate the suppression of a target correspondent(s).

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8. A target order number R is assigned to the string, to indicate the arrangement of occurrences in the target language. In general, the R's are consecutive. If, however, the appraisal in Step 6 calls for a rearrangement of strings, or if Step 7 resulted in the insertion of a new string (or the suppression of an Old String)--the affected R's are renumbered in accordance with the desired sequence. Pretarget Inserts (PI), such as prepositions and articles, are not assigned an R. Their handling will be discussed in Section C.

9. The TC<sub>j</sub> which do not become the SC may, under certain circumstances, be disregarded. In the cases where the routine directs the machine to retain them, they are entered into hindsight H<sub>2</sub> or H<sub>3</sub>, 12, according to whether they do or do not agree with any FP.

10. If the chain number L was raised in Step 5, an appropriate query is entered into hindsight  $H_1$  with a chain flag <u>f</u>. If the SC is a doubtful choice, suitable queries--unaccompanied by the chain flag--are also entered into  $H_1$ .

When the end of the sentence is reached, we need not embark upon another iteration if (1) the foresights do not contain unfulfilled predictions of urgency 6 and 7, and (2) the chain number is 1. (In that case  $H_1$  should be clear of flagged entries.)

In this event, the selected choices for all strings are considered

as Final Choices (FC) and the routine proceeds to Section C. If however, another iteration is indicated, it investigates the  $H_2$ information where resolution signals were placed during the previous iteration whenever some partial light was thrown upon any of its entries. As a result, one of the former selected choices is replaced by a more promising one, and the effect of that change is investigated. It is obvious that, if the number of unresolved entries in  $H_2$  is high, it would be prohibitive to pursue all the possible combinations of selected choices. We therefore set a limit to the number of iterations we allow the machine to execute. In the unlikely event that all the possibilities inherent in the  $H_2$  entries have been exhausted, the  $H_3$ entries are attacked in the same manner.

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Failure is conceded when the number of iterations already performed has reached the limit we had set for ourselves, or when the current set of selected choices repeats any of the previous sets (which are stored in the internal memory.) In that case, the routine records a failure signal and indications of the types of errors encountered, to be printed out at the conclusion of Section C. Section C.

This section is devoted to the construction and printing of the target sentence.

1. The target correspondents listed with the final choices are arranged in the sequence, given by R.

2. A subroutine supplies new pretarget inserts PI, in addition to

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those supplied by the foresights. These may be either English articles or prepositions. The set of PI (if any) are inserted in front of the proper correspondent for eventual printout.

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3. A second subroutine affixes Pidgin Endings (E) to target correspondents whenever needed. (To conserve precious internal space, we regard--for the present--all English targets as grammatically regular. Thus the plural of "foot" will appear as "foot-s".)

4. A count is made of all unresolved hindsight entries.

5. The resulting information is printed out. All inserts, whether PI or TI are printed in parentheses. Words for which there are no target correspondents are enclosed in brackets. They may appear as some combination of the following word-sections:

a. a translated initial prefix

b. a transliterated full or partial stem

c. a transliterated full or partial word.

If the iterative routine failed to satisfy our criteria, this fact would be indicated by the failure signal and by the notations of the error types encountered. On the other hand, the satisfaction of the criteria is no guarantee that the result is a faithful translation, unless all three hindsights are clear and all occurrences are monosemantic. Since such eventualities will be extremely rare, we shall regard the tallies for the hindsight entries and the multiplicity of the printed meanings as a measure of the "goodness of fit" of our version.

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Illustration 3.

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8		The chart given below outlines the syntactic integration of a
		sentence possessing the five types of difficulty which our routine
	.6-	is able to handle with some degree of success. On the other hand,
		it contains a number of polysemantic words, of which only a few can
7		be resolved at present. For the remaining polysemantic words, we are
8		forced to print out all the meanings contained in our glossary.
9		The chart is followed by a number of notes, intended to clarify
-	10-	some of its entries. It is honed that the list of Terms and list of
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50 C.	L O INI	1 lh pr 2 lp	111	30 1 1 Cpl 30 2 0 Mfr 3 3 Cpl	pw Mis 4	1 <b>30 2 1 21 1</b> 1		2	30	<b>[3.]</b>			
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	Occur : ce	Speres.						đự.		(SC)	·] <b>·</b> ];	58 .		Hind	<b>sight</b>	·			Transd	ation	)
		(GS)	Norph. Speics.	(CP) CE & u				Morph. Spa	••	TP			Batan	1		±2	<b>H</b> 3				-
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	r.		2 Rp	1	·	31	20 Cp1	8	•	L[					.)		2	34	-	[Q]	
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Notes for Chart

Note q Headings Explanation no. 1 all all The two Appendices in this paper define all headings and entries in the chart. All terms found therein are capitalized in the 5---following Notes. 8 This portion, although overwritten in 2 Occurrence Part II, is retained here for the convenience of the reader. It indicates, on separate lines, the 1) Pseudo-prefixes, 2) Pseudo-roots, 3) Pseudo-suffixes, and 4) Ending of a Wordutterance which is listed in the Glossary. 3 This Flag is not part of the routine. 10-Ø It is introduced for the convenience of the 11\_ reader to indicate a Word-utterance which is found in the Special List. 12 4 PS This portion, although overwritten in 13 Part II, is retained for the convenience of the reader. Definitions of its entries will 14 be found in the List of Symbols. 5 This signal is not part of the routine. 15 ----It is introduced for the convenience of the 16 reader to indicate the number of the String which caused the deletion of the Foresight Prediction alongside of which the r appears. 17 FPqk 6 The predictions entered in String q are 18 made by the String q-1. 19 These entries are made within the String 7 H, to H, q and are investigated by subsequent Strings. 20-8 SC and H2 For convenience of the reader, these 21 entries are placed on the same line with the corresponding TC,. 22 A subroutine makes these crude Pretarget 23 9 PI They are printed in parentheses. Inserts.

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1	•			- 36 -
2	Note	q	Headings	Explanation
3	10	1	Т	In the Glossary, English correspondents are stored in a compact form somewhat similar to the Source Transform. The decomposition is shown here. All transliterated portions
5 6 7	ۍ 11	•	E	The English Pidgin Endings assume all words to be regular.
8 9 10	12	1	GP	The numbers (u) in the columns, not headed by $x$ , will give rise to the FP's in String 2. Their connotation is explained under the heading "Signal, urgency" in the List of Terms.
12	13		<sup>FP</sup> 1,2	The r in this Prediction is encircled to indicate (to the reader only) that it is amplified within String, 2 in the light of the chosen Subject.
14	14		SC	The Q.K.j indicate that TC, (a verb in the infinitive) was chosen as the Subject.
16 17	15		H <sub>l</sub>	The unflagged Entries indicate that the SC was a Doubtful Choice. They are to be interpreted as follows: First Entry
18	3			<ul> <li>a. The j is blank to indicate that the Entry concerns the SC.</li> <li>b. The SE indicates that this part of the SC contains the doubtful item.</li> </ul>
20				c. The <u>Sbj</u> gives the specific Element of the SC which is doubtful. Second Entry
22				erned, not by FP <sub>1,1</sub> as chosen, but by some future FP.
23 24		Section of the sectio		<ul> <li>c. The m indicates the part of some future FP which may govern TC<sub>1</sub>.</li> <li>c. The m indicates that TC<sub>1</sub> may be the fulfillment of a Prediction for a verb in the infinitive mood.</li> </ul>
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2	Note	đ	Headings	Explanation	
3	16	2	TP	The preposition starts a new phrase, as indicated by $P = 1$ .	
5 6 7 8	- 17		FP <sub>2,k</sub>	The first Prediction is FP, revised in the light of the chosen Subject. The number 3 in the Y column indicates the 3rd person. The remaining FP were culled from GP in String 1. The Flag e indicates that a crude PI will be inserted before a T which fulfills a predicted dative or instrumental Complement.	
\$	18		SC	The Q and K are zero, because a pre- position is an Unpredictable Choice.	
12	19	3	TCo	The <u>j</u> is set to zero to indicate that the information will be common to all the TC j listed in String 3.	
23 24 25-	20		GP	The Grammar Essential <u>g</u> is not used for nouns or adjectives, since the routine always makes the prediction of a Complement in the genitive for these parts of speech. In Russian, adjectives may act as nouns.	
16 17	21		<sup>н</sup> 2, <sup>н</sup> 3	When the Complement of a preposition is fulfilled, the other TC, are disregarded and not entered in Hindsight.	
18	. 22	4	Occurrence	The prefix and first suffix are non-slavic.	
19	23		TC :G	The encircled F means Masculine or Neuter.	
20-	- 24		TP and SP	The routine resolves the Uncertainty Signal X, in TP, concerning the phrase number P.	
22	25	- 	FP	The first two Predictions are made by the routine anent the noun in the preceeding	
23				because, whether fulfilled or not, FP's with Urgency 1 or 0 are not kept beyond one String. (Cf. Urgency code in List of Terms). The third FP throws some light on the second query in H <sub>1</sub>	/
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2	Note no.	đ	Headings	Explanation
8				but does not resolve it, as indicated by the negative sign in $\underline{h}$ .
<b>6</b>	26	•	H <sup>2</sup>	Two alternate choices are noted here: First conflict. a. The SE indicates the part of some
6			· · · · · · ·	future SC which may throw light on the conflict.
8				conflict will be resolved, if a future SC will supply a Master for the current SC.
9 10-				Second conflict. First Entry a. The A indicates the part in a future
1	. 1		· ·	<ul> <li>b. The im indicates the fact that the conflict will be resolved, if a</li> </ul>
3	·.			future SC will 'supply an inanimate Master for the current SC. Second Entry.
15-	• •	-	1	a. The Y indicates the part in a future SC which may throw light on the conflict.
16				b. The a indicates the fact that the conflict may be resolved, if no future SC fulfills the expectation
18	27		Е	This is the Pidgin Ending for all non- slavic adjectives.
20-	28	5	TC:G	The Pseudo-suffix N3 with a non-slavic root will be taken as denoting a masculine noun. Any required non-slavic feminine or
21		•		neuter noun with this Pseudo-suffix will be included in the Glossary.
23	29		FP	These Predictions are supplied by the routine anent the adjective in the preceeding String.
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2	Note no.	đ	Headings	Explanation
8 6	30	•	SC and H <sub>2</sub> resol.	The Master prediction was satisfied, thus resolving the first Entry in H <sub>2</sub> (Cf. Note 26.) Unfortunately the Occurrence was a non-slavic word and not represented in our Glossary. There was no information about its animation, and therefore the second Entry can not be resolved.
7 8 9 10	31		H <sub>2</sub> Entry	The Entry of the form 5.2.1, to indicate that FP is also satisfied by TC <sub>1</sub> , is omit- ted for <sup>5</sup> the following reason. When a Master genitive case prediction is satisfied, there is at present no way to resolve this ambiguity, since the considerations of semantics and of context would be involved.
11 12 13 14	32	6	SP and FP4,3	Since none of the Predictions made by the Strings in phrase 1 are fulfilled by the current String, whereas a Prediction in the main clause is, the phrase number P it is set to zero again. The unfulfilled Prediction in Phrase 1, namely FP <sub>4,3</sub> which bears a low U is deleted.
15-	33		TC <sub>1</sub> and H <sub>1</sub> resol.	Since TC could serve as Subject, the fact is noted in the resolution column of the first Entry in Hindsight H <sub>l</sub> (in String 1.)
17	34		SC and H <sub>2</sub>	The SC throws partial light on the conflict in the H <sub>2</sub> column. (Cf. Note 26.)
19 20—	35	7	TC:G	The suffix EM with a non-slavic root will be considered as belonging to feminine nouns. (Cf. Note 28.)
21 22 23 24	36		TC and H <sub>l</sub> resol.	Although TC, could serve as the Subject, no note is made of this fact in the reso- lution of the first Entry in H <sub>1</sub> , because it would be the Master of TC <sub>1</sub> in the previous String, which has already been recorded. (Cf. Note 33.)
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2	Note no.	q	Headings	Explanation	
3	37	8	TC and H <sub>l</sub> resol.	Partial light is thrown on the first Entry in H <sub>1</sub> .	•
5	38		TC3 and H3	This Choice is pigeonholed.	
<b>6</b>	39		· T'	There is no way to resolve the polysemy at the present stage of our investigation.	
7 8 9	40	9	FP <sub>9,3</sub>	This prediction deletes FP , as explained in the List of Terms Under the heading "Urgency Code."	
10 11	41	10	TC and H <sub>l</sub>	The iterative scheme in Section A of Part II established the fact that this String did not start a new clause (since the old clause still had the Status zero). The cur- rent String could therefore represent either	
13			۰.	a coordinative conjunction binding two related SC, or an adverb. Since both Choices are Unpredictable, they are recorded as Doubtful. (unflagged Entries in H <sub>l</sub> ).	
15—	42 .	11	GSιδ	This String represents an Idiom, which constitutes a single Word-utterance.	
16 17 18 19	43	12	ש ני זי	The Backward Flag was placed by the Profile routine in Section A of Part II. It indicates that, instead of examining the FP's, the routine will scan the previous SC in reverse order to establish a new link in the structural Chain.	
20 21 22	<u></u> 44		SC:B	The entry in this column, indicates that the SC in String 6 is conjunctively related to the current String, and the true nature of String 10 is thus established, as indicated by the resolutions in $H_1$ .	
23	45	14	GS:8	The source word might under certain con- ditions be treated like a prepositive posses- sive modifier rendered in English by "their".	
25	46		TC:D	The number 3 indicates the person.	
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- 41 -. 1 Note q Headings Explanation 3. no. 16 47 These Predictions were caused by the FP change in the clause number C of the previous String. 48 6. b; B; H<sub>1</sub> Similar entries have been explained in Notes 43, 44, and 15. 49 23 TP:v The Profile routine indicated that the 7 current String must be followed by a New String representing a copulative verb. The Status Flag v was set to 1 in order to effect the proper insertion. (Cf. Note 50.) 24 10-Gġ:δ 50 This String was inserted because of the v Flag in the previous String. (Cf. Note 49.) 11 51 25 FP These Predictions were made by the 12 routine anent the copulative verb in the preceeding String. 13 TC2 14 52 27 The locative case cannot be considered, since it is governed only by a preposition. 15-The Chain is broken, as the routine 53 L cannot account for the dative. (The FPol 16 cannot be considered, since the two Strings 17 are not in the same phrase.) 18 54 The Entry is flagged, since the Choice H.:1 is Unexpected. 19 The rearrangement in the target order 55 R 20will be explained in Note 62. 21 56 28 b; B; R Cf. Notes 43, 44, 62. 22 FP29,1 This prediction throws partial light 57 29 23 on the H, Entry in String 27. The r is indicated in the light of Note 62. 58 PI Since the noun is animate, only one connotation of the preposition is used. 25

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ŝ. - 42 -2 Note Headings Explanation q no. 3 59 30 GS: 8 and This part is used in obtaining the TC:A signal pw (proper word.) 60 31 FP The appearance of an initial calls 5strongly for another proper noun. Hence the inversion of the usual order of Pre-6 dictions after a noun. 57 61 32 CS: 8 and The fact that the capitalized word does 8 TC:A not start a sentence causes A to become pw. C 62 33 Since no other explanation offered itself for the H, resolution in 27, the 10previous explanation is accepted, the Entry is deleted, and L is reduced by one. This 11 fact also causes the rearrangement of the two neighboring R's. The T's for these two 12 strings reflect the result of this rearrangement. 13 14 End of Iteration 1. Since no FP's with U of 6 or 7 remain and the chain number L is equal to 1, no other iteration is necessary. 15-The translation is printed out as indicated in the last three columns of the chart, followed by the tally of unresolved 16 Hindsight Entries. 17 18 19 20-21 22 23 24 ----18 156 nuseript SPO 

1	APPENDIX I
2	
9	LIST OF TERMS
4	ADDRESS, extended ( $\alpha$ ). The locator of an item on an external storage
8	medium. Its form depends on the machine used. On the IBM 704
G	it consists of (1) type number, (2) block number, (3) serial
7	position of item in the block.
6	CHAIN. A group of consecutive SC's characterized by the same chain
9	number (L).
10-	CHOICE,
11	doubtful. A Selected Choice which entails the recording of an
12	unflagged query in Hindsight H <sub>1</sub> .
13	final (FC). The Selected Choice in the last iterative cycle.
	predictable. Not belonging to class of Unpredictable Choices.
15—	unexpected. A Predictable Choice which does not agree with any of
16	the Foresight Predictions (FP).
17	unpredictable. A TC containing one of the following parts of speech:
18	(1) a conjunction (2) an adverb (3) a particle, and (4) a prepo-
19	sition; or else (5) it is a punctuation mark.
20—	selected (SC). A Temporary Choice selected as a link in the Chain
21	during a current iteration.
C2	temporary (TC). A grammatical interpretation of a Source Occurrence.
23 -	CODE, urgency. Cf. Urgency
26	ELEMENT, sentence. Cf. Sentence
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	2	ENDING,	
		source. The true inflectional ending of a word in the source text.	:
	۵	pidgin (E). Regular endings affixed to stems of target correspond-	
	5-	ents, regardless of correct usage.	
	Ø	ENTRY,	
	7	glossary. A complete set of Glossary items corresponding to a	
	3	Source Stem.	
	0	hindsight. A record of an Unexpected String, a Doubtful Choice,	÷.
	10-	or a surplus Temporary Choice. (cf. Hindsight)	
	11	ESSENTIAL, grammar (GE). A grammatical form called for by a glossary	
	12	Prediction (e.g. an accusative called for by a transitive verb).	
	13	Each type of GE has a separate location in the string reserved	
	14	for it. A GE is predicted by storing an Urgency Signal in this	
1	15	location.	
1	16	EXTENDED Address. Cf. Address.	1
	87	FILE, sorting. The internal space allotted for sorting the Extended	
	18	Addresses (a).	
	19	FLAG. A binary digit (i.e. either a 0- or 1-bit).	Î
	20	Backward (b). A 1-bit alerting the machine to examine the foregoing	
	21	Selected Choices in order to establish the linkage of the current	
	22	String.	
	23	Chain (f). A 1-bit accompanying a Hindsight Entry in H <sub>1</sub> to record an	
	24	Unexpected Choice.	
	28	Correspondence (c). A bit indicating whether the String contains	
-	· · · ·	target correspondents or not.	v
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FLAG. (continued)

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Insert (e). A l-bit accompanying a Foresight Prediction to indicate that a suitable English preposition is to be used as a Pretarget Insert (PI).

Locative (x). A 1-bit in Glossary Predictions to indicate that the locative case is, 1) if the String represents a preposition, one of the cases governed by the preposition; or 2) if the String does not represent a preposition, to be used after the next Positional Preposition encountered in the sentence.

Status (v). A bit indicating whether the predicate of the current clause has turned up or not.

Word (w). A bit indicating whether the String represents a word or not.

15- GENERAL Specifications. Cf. Specifications

GLOSSARY. The externally stored source-to-target dictionary used by the MT group at NBS. It is stored in a greatly compacted form and contains diacritical material designed to aid in the syntactic--and to a small degree in the semantic--analysis of source sentences.

GRAMMAR Essential. Cf. Essential.

HINDSIGHT. Internal space allotted for storing in

H<sub>1</sub>, Entries concerning Unexpected Choices or Doubtful Choices.
H<sub>2</sub>, Temporary Choices (TC), other than the Selected one, which fulfill Foresight Predictions (FP).

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2	HINDSIGHT (continued)
8	H <sub>3</sub> , Temporary Choices (TC), other than the Selected one, which do
4	not fulfill any of the Foresight Predictions (FP).
5-	IDENTIFICATION Tag. Cf. Tag.
8	INSERT,
8	target (TI). A target correspondent incorporated in a New String.
8	pretarget (PI). A word inserted before a target correspondent.
9	LIST. Internally stored one-to-one correspondences, yielding for each
10	of the
11	endings, an address $\beta$ for each Source Ending, enabling the machine
12	to find, subsequently, the corresponding morphological information
13	in the Table of Endings.
14	pseudo-prefixes, a 6-bit characten as a substitute for each
15	Pseudo-prefix.
16	pseudo-roots, an Extended Address $\alpha$ leading to the location of the
17	first externally stored Satellite of each Pseudo-root.
18	pseudo-suffixes, a 6-bit character, as a substitute for each
19 1	pseudo-suffix.
20-	symbols, a definition (to be found at end of the paper)
21	terms, a definition (to be found at end of the paper)
22	special words, dictionary information. The arguments in this List
23	<pre>consist of conjunctions, prepositions, particles, idioms, abbre-</pre>
u 1	viations, some edverbs, and words with ambiguous endings.
27-	MORPHOLOGICAL specifications. Cf. Specifications.

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OCCURRENCE, source. A combination of one or more characters in a source text. It may represent: (1) a Word-utterance (2) a punctuation mark or a set of such; (3) a symbol or a set of such; (4) a diagram or a set of such; etc.

POSITIONAL preposition. Cf. Preposition. PREDICTIONS,

foresight (FP), information concerning TC's which are expected to occur somewhere in the sentence under consideration. Such information is derived either from rules of grammar incorporated in the machine instructions, or from Glossary Predictions, or from the Temporary Profile.

glossary (GP), partial information, retrieved from the Glossary or Special List and stored as part of a String, indicating what kinds of TC's are expected to occur somewhere before or after the current SC in the same sentence. A GP is recorded by assigning an Urgency Signal to a Grammar Essential. One String may contain several GP's.

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positional. One of a set of Russian prepositions which govern either the accusative or locative case.

PROFILE. The sequence of sets of designations, incorporated in each String of a sentence, which may throw light upon the ranges of its clauses and phrases.

Selected (SP). The Temporary Profile, revised during an iterative cycle in the syntactic integration process.

GPO

Skeleton (PS). The initial stage of a Profile, which bears one signal for each Occurrence, indicating the latter's significance in determining the clauses and phrases of the current sentence. Temporary (TP). A sequence of sets of four preliminary designators 5 **-**--assigning a rough clause (C) and phrase (P) number, as well as a Status (v)--and possible Backward (b)--Flag, to each Occurrence. PSEUDO-ROOT. That portion (if any) of a word-Occurrence remaining after the Pseudo-prefixes, Ending, and Pseudo-suffixes are stripped off. 10-PSEUDO-PREFIX. One of a set of combinations of source letters which are frequently found at the beginning of words in the source language. PSEUDO-SUFFIX. One of a set of combinations of source letters which 15are frequently found before the Source Ending of words in the source language. SATELLITE of a Pseudo-root. A Glossary Entry listing the Transform of a Source Stem which contains the Pseudo-root in question. SENTENCE element (SE). One of the following ingredients of a sentence: Subject Predicate Complement Modifier Master Clause Phrase.

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peculiarity ( $\delta$ ). An indicator of some peculiar nature of an

Occurrence, e.g. that

it is a capitalized word,

it is an initial,

its root is not listed, etc.

uncertainty (X). Used instead of a clause or phrase number in a
Profile, when the determination of that number is not possible.
urgency (u). One of the numbers 0 to 7, indicating the probability
of a Glossary Prediction (GP), used to form Urgency Code U in
FP, according to the following relation between u and U:

 $0 \sim 1; 1 \sim 3; 2 \sim 5; 3 \sim 7.$ 

A <u>u</u> signal, 4 units higher than the above, indicates an alternate prediction of a clause.

SORTING file. Cf: File.

SPECIFICATIONS,

entry (ES). Signals in H<sub>1</sub> and H<sub>2</sub> to specify the type of query. • general (GS). Designators in a String, consisting of a Word (w) and Correspondence (c) Flags, as well as a Signal of Peculiarity (6).

<u>morphological</u> (MS). Designators in the TC<sub>j</sub>, FP and H<sub>l</sub> of a String, which deal with the grammatical interpretation of the original Occurrence.

STFM. The portion of a word, remaining after the ending is removed.

GPO

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ື	STRING. The information, replacing the original Occurrence, which is
8	available to the routine during the process of syntactic
\$	integration.
8-	New A String which is inserted during the process of syntactical
8	integration.
7	Old A String which is available at the beginning of the
8	syntactic process.
9	TABLE of Endings. A tabulation of the morphological possibilities
10-	of each Source Ending.
1	TAG, identification (t). A serial number attached to each Source
2	Occurrence of a text sentence. It consists of (1) page number,
3	(2) sentence number, and (3) Occurrence number.
4	TRANSFORM, source ( $\Delta$ ). A contraction representing the Stem of a
15-	Source Occurrence.
6.	URGENCY code (U). One of the numbers .0 to 7, connoting the proba-
7	bility of a Foresight Prediction (FP) as follows:
8	7 must occur sometime
9	5 very likely to occur sometime
20-	3, may occur sometime. An FP bearing this U is erased by a subsequent
12	FP identical to it
2	l, will be the next Choice or won't occur at all. It is erased after
23	the next SC.
24	A code of 6,4,2 and 0, indicates the same degree of Urgency as
25	7,5,3 and 1 respectively. Moreover, the even-numbered codes

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	2	denote an FP alternate to the last preceeding odd-numbered FP	
	3	in the same String (e.g. successive U's of 5,4,2 indicate that	•
	4	the second and third predictions are alternates for the first,	
	5	so that if one of the three occurs, all three could be erased.)	
	6	An FP with $U > 4$ is not erased until the end of the iterative	
	7	cycle, unless it, or one of its alternates, are satisfied. An	
1.1	8	FP with $U = 6$ or $U = 7$ , left unsatisfied at the end of a cycle,	
	9	calls for another iteration.	
	10-	URGENCY signal - cf. Signal	
1	11	UTTERANCE, word Cf. Word	
1	12	WORD - utterance (W). One word or a set of consecutive wordsin	2
1	13	complete or abbreviated formused as an entity. (e.g. an	
1	14	initial, compound word, an idiom, etc.)	
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1 1 APPENDIX II 2 LIST OF SYMBOLS 3 α Extended Address Α Heading in TC, and FP Accusative case a Aj Adjective, a Ps 6. Animate am Av Adverb, a Ps β Address of argument in Table of Endings 7 В Signal in SC to indicate the String where concatenation was established during backward examination b Backward Flag C For all Strings other than the first in a sentence, C is the clause number in the Profile. For the first string of a 10sentence, (since all sentences start with clause number 1) we shall use this symbol as a code: 1, declarative sentence 2, interrogative sentence 3, exclamatory sentence, etc. This is possible because the Temporary Profile is obtained as a result of an iterative routine, and the nature of the sentence is known before Part II is undertaken. Correspondence Flag С 15-Cap Word starts with capital, in  $\delta$ cđ Coordinate C.j Conjunction, a Ps Cls Clause, an SE co Clause opener, in PS Copulative ср Cpl Complement, an SE Cpr. Compound root, in  $\delta$ CW Coordinate word, in PS 20-Δ Source Transform δ Signal of Peculiarity Heading in C1 D d Dative case dm Demonstrative . Е Pidgin Ending A l-bit to indicate that an English preposition is to be е 23 used as a PI EG Entry Specifications 25---End of sentence, in PS eв Esp End-of-sentence-period, in  $\delta$ 

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11 1 F Feminine gender f Chain Flag FC Final Choice FP Foresight Prediction 45 G Gender: M, F, and N. An encircled gender indicates the two other genders 5-Genitive case g GE Grammar Essential, such as 6 g, a, d, i, x, m GP Glossary Predictions 7 GS General Specifications Storage of queries anent Unexpected and Doubtful Choices ព H H<sup>1</sup> H<sup>2</sup> H<sup>3</sup> h<sup>3</sup> Storage of alternate predicted TC Storage of surplus unpredicted TC (for convenience of the reader only) Signal for type of . resolution in Hindsight: minus for partial, plus for complete 10i Instrumental case 11 iđ Indicative mood Idm 12 Idiom, in  $\delta$ if Infinitive mood im Inanimate 13 Initial (a capital letter followed by a period), in  $\delta$ Inl 14 A number indicating the j<sup>th</sup> TC in String Q J A serial number of TC within a String q Ĵ 15-A number indicating the k<sup>th</sup> FP in String Q Κ 16 A serial number of an FP within a String q k 17 L Chain number, indicating the degree to which the syntactic integration lacks cohesion 18 locative case 19 М Masculine gender A Flag, indicating whether or not a verb in the infinitive 20m is predicted. 21 Mfr Modifier, an SE Mst Master, an SE 22 Neuter gender N Nominative case 23 n Nn Noun, a Ps Np Not part of speech 24 Mør Non-slavic root, in 8 25 1156 per

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iii 1 ø (for convenience of the reader only) A 1-bit to show that the W was found in the Special List P Phrase number in a Profile р Plural number Postpositive copulative implied, in PS pc Predicate, an SE Pdc 5. PI Pretarget Insert Pn Pronoun, a Ps pn Personal po Phrase opener, in PS Pp Preposition, a Ps. present tense pr PS Profile Skeleton. A set of signals, in addition to punctuation marks, such as co clause opener 10cw coordinate word es end of sentence po phrase opener 11 pc postpositive copulative implied vf verb finite 12 vi verb infinitive 13 Ps Part of speech Positive degree ps. Passive voice pv 14 pw Proper word 15-Q Running coordinate for q The serial number of the Strings 16 q R Target order number, indicating sequence in which the 17 English correspondents will be printed out. (for convenience of the reader only), indicator of the String 18 r in the consideration of which a given FP is deleted. An encircled (r) indicates the String where the FP is revised. 19 rl Relative 20 s<sub>t</sub> Storage space in internal memory allotted to information about Occurrence t 21 Singular number ទ Subject, an SE 22 Sb.j SC Selected Choice 23 Sentence Element, in an FP SE String inserted by syntax, in '\delta Sis 24 SP Selected Profile Sp. Special possessive form, in  $\delta$ 25-

script

The enclosed Supplement to our NBS Report No. 6595, A NEW APPROACH TO THE MECHANICAL SYNTACTIC ANALYSIS OF RUSSIAN, is intended to clarify some points not treated in the Notes for the Chart starting on page 35. The reader may need guidance in the temporal sequence of the various steps in the routine. We shall therefore review this sequence from the start of the process on through the handling of the first String of the sentence. The Notes following the Chart are designed to clarify situations which do not come up in String 1.

The MT Group at the National Bureau of Standards will welcome further suggestions for making the Chart understandable to all workers in this field.

1. The portions headed "Part I" and "T" in Part II list the material obtained prior to the initiation of the syntactic process.

			ΡA	R	TI		(-	 PAI	RT II		
	Source Occur'ce		Gen. Spcfcs.		Temp. Chs. $(TC_j)$	Clss. Preds	PS	()	 Sect:	ion C	
			(GS)	-	Morph. Spcfcs. (MS)	(.GP) 			Trans	lation	ı
q		ø	wcδ	J	Ps A D ts G Y Z	gadixm		ì		T	E
1	По- каз- а- ть		l l Cap	1	Vb if	7111	vi	()()()		de- mon- str- ate	

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Heading	Explanation
Occurrence	This portion, although overwritten in Part II, is retained here for the convenience of the reader. It indicates, on separate lines, the 1) Pseudo-prefixes, 2) Pseudo-roots, 3) Pseudo-suffixes, and 4) Ending of a Word-utterance which is listed in the Glossary.
ø	This Flag is not part of the routine. It is intro- duced for the convenience of the reader to indicate a Word-utterance which is found in the Special List.
GS	The General Specifications of this Occurrence indicate that 1) it is a Word-utterance, 2) its corre- spondent (T) is an English word retrieved from storage, and 3) it starts with a capital letter. (Cf. the headings in the List of Symbols).
TCj:J TCj:MS	The index <u>j</u> remains at 1; this indicates that the given Occurrence has given rise to only one Temporary Choice. The Morphological Specifications indicate that the Occurrence represents a verb (Vb) in the infinitive mood (if).
GP	The Glossary Predictions accompany the Stem of the Occurrence in Storage. The numbers in the various columns are Urgency Signals (u). Each of these, with the exception of the number headed by x, will give rise to Foresight Predictions to be stored in String 2. Their connotation is explained in the List of Terms, and will be clarified at the time they will be utilized.

Heading	Explanation
PS	The Profile Skeleton, although overwritten in Part II, is kept in the chart for the convenience of the reader. It serves as a basis for determining the boundaries of the clauses and phrases within the source sentence.
Section C T	In the Glossary, English correspondents are stored in compact form somewhat similar to the Source Transform. The decomposition is hown here.

2. The portion headed "TP" lists the components of the Temporary Profile, resulting from the iterative process performed on the data in the Profile Skeleton (PS) involving the entire sentence.



Heading	Explanation
C	The number 1 indicates that the given Occurrence
	forms part of the first clause
v	This flag remains at the zero level until the
	Prediction of a predicate is fulfilled
Р	The zero indicates that the given Occurrence is not
	part of a phrase.

3. The following preliminary steps are executed by the routine prior to entering the (minor) iterative cycle involving the first String.

- a. The Chain number (L) is set to 1.
- b. The Hindsight is cleared of all content.
- c. The Foresight Predictions (FP  $_{qk}$ ) stored within String q are made by

String q-1. When q is equal to 1,  $FP_{1.1}$  and  $FP_{1.2}$  are made by the routine in response to signals indicating the start of the first clause. They predict with utmost assurance (shown by U=7) that a Subject and Predicate will occur within the clause.

d. The Selected Profile (SP) is temporarily set equal to the Temporary Profile.

4. The portion headed "Section B" indicates how the routine attempts to incorporate the given Occurrence as a link of a unifying Chain, representing the sentence structure.

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									ΡA	RТ	I	I		,										•
Ĺ	Section B																							
		Fo	ore	es't ]	Prds	. (1	Pqk		Sel. (S	. Ch SC)	• I		SP			Η	ir	nds	i	g h	t	-	-	
					Mor	ph.	Sps.	-			_				Hl		`					<sup>H</sup> 2		<sup>H</sup> 3
						(MS)	)	_	FP					Ent	ry		Re	esol.		En	try	7	Res	1
q	r	k	U	SE	V	A	Y Z	i e	QK	j	B	C	v P	fj	ES		h	QK.	J	Q[K]	j	ES	hQ	j
1	$\frac{1}{2}$	1 2	7 7	Sbj Pdc					11	l	1	ļļ	0 0	£	SE S	bj		6 8	1				e	
			•											l	Y	m	-	43 L03						
																	- 2	26 1						1
2	24 6	1	7	Pdc Cpl	vf	r r	IЗ в а					• <b></b>												· • • • • • • • • • • • • • • • • • • •
	6	3	6 2	Cls Cnl			a a																	
	9	5	3	Cpl			i																	

Heading	Explanation
SC:FP	The Q and K under this heading indicate that FP calling for a Subject, has been responsible for the Selected Choice.
SC:j	The index 1 indicates that TC <sub>1</sub> a verb in the infini- tivefulfilling the above prediction, was selected.
L.	The Chain number remains unchanged because the SC fulfilled a Foresight Prediction.
r	This signal is not part of the routine. It is intro- duced for the convenience of the reader to indicate the number of the String which caused the deletion of the Foresight Prediction alongside of which the <u>r</u> appears. The <u>r</u> accompanying $FP_{1,2}$ is encircled to indicate (to the reader only) that the prediction is amplified within String 2 in the light of the chosen Subject.

Heading,	Explanation
FP <sub>2,k</sub>	Foresight Predictions, to be stored in String 2, are made on the basis of the Selected Choice in String 1. First, and most urgent is the amplification of the Pre- deiction of a Predicate. There were no general grammar Predictions because in our routine a verb does not yield such. The remaining four Predictions came from the Glossary information, accompanying the Temporary Choice selected as SC for String 1. They predict that with utmost neces- sity a direct object (in the form of either a single word in the accusative case or a clause) will occur and that a slight probability exists for the appearance of comple- ments (i.e. indirect objects) in the dative and/or instrumental case, each followed by a suitable preposition (e Flag = 1). Since the x Flag in String 1 is unity, a suitable signal will be set to indicate that the next Positional Preposition will govern the locative case, unless the signal is turned off by an x equal to zero in a subse- quent String.
Hindsight resol.	In general, the TC <sub>j</sub> , of the Current String, and the newly made FP <sub>p+1,k</sub> , stored in the next String, are examined to ascertain whether they throw any light on the perplexities, doubts, and conflicts recorded in the Hindsight columns. For q=1, however, the Hindsight is empty at this stage of the process. (The resolutions indicated on the chart for String 1 will, of course, have been made by subsequent Strings.)
SP	In general, the doubts recorded in the selection of the components of the Profile are resolved in light of the SC and of the Hindsight resolution. For q=1, this is seldom necessary.
Hindsight Entries	This information is derived by, and stored in, String $\underline{q}$ to be investigated by subsequent Strings.

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Heading	Explanation
H <sub>1</sub> :Entries	<pre>The unflagged Entries in String 1 indicate that the SC was a Doubtful Choice. They are to be interpreted as follows: First Entry     a. The j is blank to indicate that the Entry     concerns the SC.     b. The SE indicates that this part of the SC     contains the doubtful item.     c. The Sbj gives the specific Element of the SC     which is doubtful. Second Entry     a. The j indicates that TC<sub>1</sub> may be governed, not     by FP<sub>1,1</sub> as chosen, but by some future FP.     b. The Y indicates the part of some future FP     which may govern TC<sub>1</sub>.     c. The <u>m</u> indicates that TC<sub>1</sub> may be the fulfillment     of a Prediction for a verb in the infinitive mood. </pre>
H <sub>2</sub> Entries	The one Temporary Choice in String 1, necessarily taken as the SC, fulfilled (doubtfully) $F_{1.1}$ but not $F_{1.2}^{\circ}$ Therefore, $H_2$ has no Entry for String 1.
H <sub>3</sub> Entries	When a String has only one TC, there can be no unused Choices.

5. The portion headed "Section C" indicates the order and form in which the target correspondents will appear in the translated sentence.

		Sect	ion C								
	Translation										
đ	R	PI	T	Е							
1	1	(To)	de- mon- str- ate								

s .

Heading	Explanation
R	The order in which the correspondents will appear in the printed translation in general follows closely the order of the original Occurrences. On occasion, a particular Selected Choice (SC) and/or a Hindsight resolution may effect a deviation from the sequence. The routine in Section B indicates the proper order (R) of every correspondent in the printed sequence.
PI	A subroutine makes these crude Pretarget Inserts. They are printed in parentheses.
E	The Pidgin Endings assume all English words to be regular.

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iv 1 Т Target correspondent 2 t Identification Tag of Occurrence TC Temporary Choice 3 TI Target Insert tl Title 4 TP Temporary Profile ts Tense 5-U Urgency Code, in FP Ġ Urgency Signal, in TC u 7 V Heading in FP v · Status Flag A Vb Verb, a Ps vi Verb infinitive, in PS vŕ Verb finite, in PS and under heading V in FP 10-Ŵ Word-utterance Word Flag W 11 Х Signal of Uncertainty, in PS 12 A 1-bit to indicate that the locative case is governed x either at once, if the TC represents a preposition, or 13 after the next Positional Preposition (which Cf. in List of Terms.) 14 Y A heading in TC and FP 18-Count of Pseudo-prefixes in a word-Occurrence Y 16 Z A heading indicating grammar plurality, "  $\mathbf{z}$ Count of Pseudo-suffixes in a word-Occurrence. 17 18 19 20-21 22 23 24 28-IBH 156 lanuseript Paper 10-111

## U.S. DEPARTMENT OF COMMERCE Frederick H. Mueller, Secretary

NATIONAL BUREAU OF STANDARDS A. V. Astin, Director



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HEAT. Temperature Physics. Heat Measurements, Cryogenic Physics. Rheology. Molecular Kinetics. Free Radicals Research. Equation of State. Statistical Physics. Molecular Spectroscopy.

RADIATION PHYSICS. X-Ray. Radioactivity. Radiation Theory. High Energy Radiation. Radiological Equipment. Nucleonic Instrumentation. Neutron Physics.

CHEMISTRY. Surface Chemistry. Organic Chemistry. Analytical Chemistry. Inorganic Chemistry. Electrodeposition. Molecular Structure and Properties of Gases. Physical Chemistry. Thermochemistry. Spectrochemistry. Pure Substances.

MECHANICS. Sound. Pressure and Vacuum. Fluid Mechanics. Engineering Mechanics. Combustion Controls. ORGANIC AND FIBROUS MATERIALS. Rubber. Textiles. Paper. Leather. Testing and Specifications. Polymer Structure. Plastics. Dental Research.

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RADIO SYS'I'EMS. High Frequency and Very High Frequency Rescarch. Modulation Research. Antenna Research. Navigation Systems. Space Telecommunications.

UPPER ATMOSPHERE AND SPACE PHYSICS. Upper Atmosphere and Plasma Physics. Ionosphere and Exosphere Scatter. Airglow and Aurora. Ionospheric Radio Astronomy.

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