NATIONAL BUREAU OF STANDARDS REPORT

10 654

A MODEL TO ESTIMATE THE INCIDENCE OF LEAD PAINT POISONING

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NBS

U.S. DEPARTMENT OF COMMERCE NATIONAL BUREAU OF STANDARDS

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A MODEL TO ESTIMATE THE INCIDENCE OF LEAD PAINT POISONING

Milestone 4

by

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PREFACE

This report can be grouped, conceptually, with 4 other NBS Reports under the blanket title "Pediatric Lead Paint Poisoning in the United States--A Survey with Preliminary Estimates." Under this arrangement, the "parts" of the study would be listed:

- Part I NBS Report # 10499 "The Nature of the Lead Paint Poisoning Hazard"
- Part II NBS Report # 10657 "Data Collection and Assimilation for the Lead Paint Poisoning Model"
- Part III NBS Report # 10653 "Effect of Data Aggregation in Modelling"
- Part IV NBS Report # 10654 "A Model to Estimate the Incidence of Lead Paint Poisoning"
- Part V NBS Report # 10651 "National Estimates of Lead Based Paint Poisoning of Children (Estimated by Standard Metropolitan Statistical Area)"

These papers were intended as interim progress reports covering work done up to the time of publication. Reports describing validation and refinement of the models and outputs as well as analysis of the outputs will be issued subsequently. A summary report encompassing revision of the current ones and those projected above, under one cover, is anticipated.

The bibliography for "Part I" NBS Report # 10499 is appended herein. Numerical references in the body of the report apply to this bibliography. References not appearing in this listing will be found as footnotes marked *. An informal glossary of census related terminology appears at the beginning of the text.

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ABSTRACT

This report describes the development of a mathematical model to estimate the incidence in the United States, of pediatric lead poisoning resulting from the ingestion of lead based paint. The kind of model, its purpose, relevant variables and the model form are discussed. Several possible models are listed and compared based on goodness of fit to known data and on the "reasonableness" of their parameter values. The report includes a glossary and a bibliography.

Glossary of Census Related Terminology

The definitions are not all taken verbatim from census sources but are intended to convey the substance of the technical definitions.

<u>Census first count, second count, etc</u>. Census data are assembled and issued in stages. The "first count" is generally information from a 100% sampling and is available for distribution shortly after the end of the nominal year of the decennial census. Fourth count tabulations are developed from smaller samples and might not be published until up to three years after the census data.

<u>Census Tract</u>. A roughly rectangular area with a population of about 5000. <u>Urban</u> census tracts are composed of "block groups", while finer rural subdivisions are called "enumeration districts".

SMSA; Standard Metropolitan Statistical Area. Essentially, a city of at least 50,000 people with its surrounding suburbs. There were 189 'tabulated in the 1960 Decennial Census, 243 in 1970.

Household. A group, primarily a family, "living under the same roof".

<u>Crowded Population</u>. Households occupying housing units in which the average number of people per room equals or exceeds fixed thresholds. The two categories which have been tabulated in the census use the threshold values 1.01 and 1.51. This definition does not apply to people in "group quarters"--dormitories, rooming houses, etc.

Housing Categories:

<u>Sound</u> - Having no defects that could not be alleviated by routine maintenance procedures.

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Deteriorating - Containing some serious defects: holes in wallboards several inches in diameter, some rotting in structural members, heavy splintering, etc.

<u>Dilapidated</u> - Severe surface damage, fractures in structural members, etc.

Unsound - Deteriorating or Dilapidated.

Substandard - Unsound or lacking an essential plumbing facility.

Essential Plumbing Facility - Hot running water, flush toilet, bath or shower, for the exclusive use of a household.

<u>Dwelling Unit; Housing Unit</u> - Living quarters for a household; primarily a house or apartment. For our purposes the terms are interchangeable. Census used "Dwelling Unit" through 1960 and "Housing Unit" with minor technical changes in the definition, thereafter.

<u>Housing Stock</u> - Housing existing at a given time. The term is used when needed to avoid ambiguity: "Pre 1940 Housing" could mean all housing built before 1940, while "pre 1940 Housing Stock" would be limited to housing built before 1940 <u>and presently standing</u>.

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1. INTRODUCTION

It has been estimated that pediatric lead poisoning resulting from the ingestion of lead based paint is a problem of epidemic proportions in the United States.^{27,28} Several cities, notably New York²⁵ and Chicago have mass screening programs to identify those children with the disease, but many areas have no screening programs and authorities in some are still unaware of the problem.[†] Some cities do not even require reporting of lead poisoning cases, 27,28 while others report several hundred cases a year.²⁵ At present, the number of cases reported appears to depend primarily on the effort spent looking for lead poisoning, rather than on the size of the city. Thus it is desirable that the Federal Government estimate the magnitude and extent of lead poisoning in all areas of the country, in order to determine what level of Federal assistance is most appropriate to combat this problem. This report describes the factors considered by members of the Technical Analysis and Applied Mathematics Divisions of the National Bureau of Standards in an attempt to construct mathematical models with which to estimate the number of children afflicted with lead poisoning from paint ingestion, in cities or roughly equivalent geographical subdivisions and in the nation as a whole.

^{*}Conversations with Dr. Herbert Slutsky, Chicago Board of Health. [†]Chicago Daily News, 8/3/71.

We are faced with an attempt to develop a forecast model under a limited allocation of time and other resources. We have, moreover, a substantially frozen data base, i.e., there is no possibility of undertaking a structured major program of data acquisition. Such circumstances uncover four question areas which call for early decisions, <u>seriatim</u>, as each decision will influence the nature of the subsequent questions.

The first involves specifying as explicitly as possibly the purpose and scope of the model, because they exert a strong influence on the form of the model and the difficulty of successful completion.

The second general question is: What "kind" of model can be produced?, i.e., what is the major component of the methodological basis for the model? The answers here will determine whether the bulk of the analysis will be qualitative, involving development of theories, or quantitative, relying mainly on manipulation of numerical data.

Third, to what extent can the relevant variables be identified at the outset?

Fourth, what is the most appropriate detailed formal structure of the model in the context of answers to the previous questions?

We note in passing that when a model is to be produced by a group of analysts in response to a request by another person or group, the answer to the first question is primarily the responsibility of this "customer" for the model effort.

2. THE PURPOSE OF THE MODEL

There are two general purposes for developing a model. In one case some output of information is desired and the model is a device for

obtaining this output. In the second case the model itself is the end, either because it is to be used to increase the comprehension of a process or system by experimental simulation or because the model is part of a generalized scheme and will be turned over to others who will apply it to specific problems. The model described here falls into the first category. The primary purpose for the lead paint poisoning model is to estimate the present incidence of lead poisoning in the United States. The emphasis is on the model output, rather than the model itself.

This decision on the purpose of the model has made the task easier in several ways, and thus facilitated the construction of a lead poisoning model within the required time span. The model is to be applied by the analysts who designed it. This means that a different kind of documentation is required, one aimed at a proper technical record of what was done, rather than, e.g., a users manual to describe to city officials how to apply the model in their cities.

The predictor variables are the ones which generally are most associated with lead poisoning, although in a specific case other variables might have stronger effect. (As an example, many transportation demand models predict the number of trips between two geographical zones as a function of the trip time, trip cost, and the populations of the two zones. However, in any particular instance the factor which is most important in the trip decision may be none of these, e.g., baggage carrying facilities.) Local conditions vary, but because the model is to be applied at a fairly gross level many of these local variations are subsumed in averages over the larger areas. The fraction of dilapidated or deteriorating housing

for an SMSA is likely to have changed less than in a particular census tract. Urban renewal can completely change the character of the housing stock in a particular census tract, but even the largest renewal efforts affect a relatively small proportion of the total number of housing units in a metropolitan area. Thus there are advantages in predicting at this gross level. This is particularly true since some desirable tract data items are available only from the 1960 census and are not yet or will never be available for 1970.

Another advantage of designing a model for producing a particular output is that the application and the characteristics of that application are known in advance. One knows the level of aggregation involved, and thus the ranges of the numbers which will be used. This means that one does not need to be concerned with all possible values of the variables, but only those in the known ranges. The problems associated with different levels of aggregation are reduced since the desired level of aggregation is known at the outset, and problems arising during the model development process can be anticipated and tackled as specific problems when they occur. On the other hand, once having delimited the range of application we cease expending resources on wringing the last dram of flexibility from a formulation.

The purpose of the model described here is to predict the incidence of lead poisoning. The model, which we hope will be valid for cities or SMSA's and possibly census tracts, is not designed to be applied at the micro level to predict for very small areas within a city. It will certainly not be able to predict individual cases. The model as a tool,

a part of the prediction process, is intended to furnish us specific information, and has not been designed to facilitate its application in settings merely analogous to its explicit purpose. Further study and testing may indicate that this can be done, but such testing is not part of the current efforts.

3. THE KIND OF MODEL

There are basically two ways to formulate mathematical forecast models. The first of these could be called "cause and effect" modelling. This procedure involves a working hypothesis and can be followed only when the phenomenon being predicted is well enough understood that the modeller can formulate mathematically the "laws" which govern the phenomenon's production as a consequence of its causes. Such a model can then be applied in widely differing contexts by modifying the process equations according to knowledge of the various milieus. However, good working hypotheses for answering socio-economic or demographic questions are hard to come by, since the phenomena under study are seldom well enough understood to make this type of model possible. The second formulation procedure is based essentially on calculations of correlations among sets of historical data or experimental observations. For this reason we will call the result a "correlation" model. This type is used for phenomena for which one knows or suspects several causative factors, but one does not know the precise interrelationships among them and between this causative set and the phenomenon to be predicted. In this case, the exact functional form of the model is not chosen because of a known relationship, but rather in general, to reflect some desired model behavior.

The set of possible model variables may be large and is reduced by comparing model results and fits. Care must be taken in applying such models since the fact that a model fits a particular set of data points does not insure that it will fit others, particularly those out of the range of the data base of observations. Care must also be taken in interpreting such models since the function does not necessarily represent a cause and its effect, but represents a relationship deduced from collections of numbers, a dependence between "dependent" and "independent" variables.

The model described in this report is of the second type. Because of the nature of our current knowledge of lead poisoning, we reiterate that the model described here is a "correlation" model means that care must be taken in applying it, particularly in a context slightly different from the present one. The direct cause of most pediatric lead poisoning is of course the ingestion of lead based paint chips by young children. Authorities disagree on why children eat paint. One group believes that pica, an unreasonable craving for non-food substances, is a major factor.³⁷ However, the causes of pica are insufficiently understood to be modelled. In fact, even the factors associated with pica are not agreed upon, and many (such as emotional disturbance) are not directly measured by demographic data and would have to be modelled themselves. Thus with little knowledge of the actual causes of paint eating by children, it is impossible to build a "cause and effect" model. However, many factors associated with a high risk of affliction by lead poisoning are known. These include extreme youth (age 6 and under), poverty, low educational level of the mother, female household head,

crowding, and living in rented older housing in poor condition. These factors are highly correlated and interrelated. Other factors correlated with the ones listed above may be used to fill in current data gaps when necessary.

4. IDENTIFICATION OF RELEVANT VARIABLES

The process of identifying the variables most relevant for use in the model should, stagewise, choose the dependent variable, identify associated independent variables, and analyze the independent variables.

4.1. The Dependent Variable

The choice of the dependent variable is critical to the modelling process, since it determines what is being modelled. However, this does not mean that the choice is obvious. There were several possible dependent variables for the lead poisoning model:

- a. number of lead poisoning cases
- b. number of children with elevated blood lead levels (EBL)
- c. the percent or fraction of the number of children with elevated blood lead levels

d. the number or proportion of housing units offering a hazard. Although this last is necessary for estimating costs as a part of planning a deleading program, the primary measure of the lead poisoning problem is the number of children who have lead poisoning. The definition of a lead poisoning "case" is, however, sufficiently vague and differs from place to place and doctor to doctor, so that quantitatively it is meaningless. The output desired from the lead poisoning model is the number of children with lead poisoning, but this can either be predicted directly or by first

predicting the incidence rate and applying this rate to the total child population. Both of these methods have been used in the modelling effort for this project, and the one used in a particular model will be indicated in the description of the model. The actual numbers predicted, whether they represent numbers of children or the fraction of children, refer to those with "elevated blood lead levels." The cutoff used here is 40 µg lead per 100 ml. of whole blood, the Surgeon General's recommended level indicating undue absorption of lead.¹⁸ Not all children with blood lead levels above 40 μ g/100 ml. require treatment, but this can be determined only by further diagnosis by a doctor. This cutoff level thus distinguishes children who require scrutiny in addition to measurement of blood lead levels even in the absence of accompanying symptomology. Use of a higher cutoff might miss some lead poisoning cases and, what is more important, as is indicated above, would suppress information needed for estimation of total diagnostic costs. The distribution of blood lead levels above 40 µg/100 ml. can be estimated from existing blood lead data so that fractions of the child population with higher levels are also potentially available.

4.2. The Independent Variables

Table 1 contains a list of possible independent variables, all of which have been tabulated at one time or another by the Bureau of the Census. Some of the Census data also contain composites of these variables, such as "female household heads living in crowded housing", or "population under age 18 living in crowded housing". Such composites are especially useful for focusing attention on the particular population of interest,

- Housing stock built before 1940, and housing stock built between 1940 and 1949.
- 2. Dilapidated H.U. (housing units)
- 3. Deteriorating H.U.
- 4. Value of owner occupied housing (Median dollar value of housing unit e.g., house, coop apartment, etc.)
- 5. Monthly contract rent (\$/mo.)
- 6. Housing vacancy rate
- 7. Multiple unit structures
- 8. Children under 5 years old
- 9. Children 5 years old
- 10. Children 6 years old
- 11. Female household heads
- 12. Crowded population (\geq 1.01 per room)
- 13. Crowded population (\geq 1.51 per room)
- 14. Region of birth of household head (distribution)
- 15. Educational level of household head (distribution by years of school completed)
- 16. Median family income (\$/ann.)
- 17. Number of families with income below the poverty level (Criterion is frequently revised, and is different for rural and urban population)
- 18. Work status of head of household (Employed or unemployed; distributions by type of employment)
- 19. Race

since they enumerate people with combinations of the desired characteristics. The sources of these data and the motivation for their use are discussed more fully in NBS Reports #10499, Nature of the Lead Paint Poisoning Hazard, and #10657, Data Collection and Assimilation for the Lead Paint Poisoning Model. A typical lead poisoned child is less than six years old, comes from an urban poor family, and lives in a housing unit containing surfaces with peeling lead based paint. The variables listed in Table 1 help to characterize the areas in which this situation is most likely to exist.

4.2.1. Rankings of Independent Variables

Once the data have been collected and assimilated, some preliminary analysis is carried out. Table 2 lists for 189 SMSA's, the values of several data items and the ranks of the SMSA's by these items. For example, in Abilene, Texas .3998 of the houses were built before 1940; Abilene ranks 41st among the SMSA's in this attribute. All the ranks are from lowest to highest, so that the SMSA ranked 1 in a column has the smallest fraction of houses built before 1940, the smallest fraction of housing rented, the smallest population, or the least average rent. The purpose of this exercise is to display the data in a form to enable one to see plainly trends and relationships between variables. For instance, it can be deduced from Table 2 that pre 1940 housing is concentrated in the Northeast and Mississippi Valley, so that this variable may explain some of the regional differences^{*} which have been associated with lead poisoning incidence.

^{*}Conversations with Jim Simpson of the Bureau of Community and Environmental Management, Department of Health, Education, and Welfare.

Note: The 189 SMSA's listed here are those that were SMSA's in 1960.

- 1. Alphabetical Index of SMSA According to 1970 Listing
- 2. Name of SMSA
- 3. "% Old Houses" Fraction of 1967 Housing Stock Built Before 1940
- 4. "% Rental" Fraction of 1970 Housing Stock Renter Occupied or "Vacant for Rent"
- 5. "Pop" 1970 Population
- 6. "Rent" 1970 Monthly Rent in Dollars
- 7. "Age of House" Rank with Respect to Col. 3, (Low Rank \sim Fewer Pre 1940 H.U.)
- 8. "% Rented" Rank with Respect to Col. 4, (Low Rank ∿ Fewer Renters, More Owners)
- 9. "Pop" Rank with Respect to Col. 5, (Low Rank \sim Low Pop)
- 10. "Rent" Rank with Respect to Col. 6, (Low Rank ∿ Low Rent)

2	
TABLE	

			7 RENTAL	L POP.	RENT	HOUSE	REBTED	POP.	RENT
		HOUSES							
-	165.5	· 3098	.3336	139	61.	1 1	1		6 1
~	K804, 3410	5	.2345	7923	97.	116	2	143	145
31	2 C	.9701.	.3535	71910	81.	136	11	146	О́б
n ~	COLORED N -		2/600	P1101	86.	Ω.	01	96	102
0 1			2112	ີ ເ	• • • •	1 6 6		5 51	6 U
. 00		<u> </u>	3202	02.44	76.	102	• •	00	
11	ANN ARBOR MICHIGAN	5249	4285	34103	142.		16	64	187
13	ASHEVILLE. VORTH CAROLINA	ŝ	.2872	45056	57.	109	'	21	-
1 4		.4034	.4250	06	104.	42	16	171	S
15	ATLENTIC CITY, NEW JERSEY	-0	.3787	175043.	٥u•	158	12	41	123
16	AUGUSTA, GFORSTA	3	.3540	ຕິ.	6 4 •	52	10	69	29
17		.3551	.4508	50	104.	28	17	89	158
16	BAKERSFIELD, CALIFORNIA	3	.4748	329162	72.	20	15	101	53
2	HALTIMOTE, HARYLAND	5510	.4189	570	94.	95	15	- 641	140
24	BATON 401.65. LA.	306	1755.	167	83.	16	8	82	16
17		6 2 3 1 2 3	.18/2	334	82.	132	-	נ הו	8 6
25	DEADEONT-PORT ANTHUR-ORADGE, TEXAS		5575. 5575	1	67.	10 T	ат 1	16	0 L
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35		.6700	.3706		81.	155	12	167	d
36	Cavron, Sulo	• 6135	.2652		78.	127	-	112	67
37		. 6293	.2813	163213.	9 6.	136	2	33	141
38		.5445	.4619	1632:1.		92	17	34	142
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- - -	Carelestou, iest virginia Auto iter	.5351	.3559	29515	63.	6 g	-	61	26
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46	0198	.4264	.4121	235972	103.	50	• ••	99	55
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	. COLUMBUS, GEORGIA	.4037	.4727	154	62 .	43	·	67	1 23
د م ا		34	40.94	226	3	86	-	156	133
- C - C	CORPUS CHRISTI, TEXAS		• 3564	1832	70	13		81	47
4 3 1 0	CALLAN, FERAS CAVENDATTOOLA ISLAND-MOTINE TOTA	37 L 10 3	1000	5.4	111.	27	-	174	166
5		5024	, 3 1 5 6 a		97.	142		152	1 29
5.6	DECATUR. ILLINDIS.	a	.2343	010	79.	061		10	6.8
57	JEAVER, COLJEADA	45	.3953	27529	0.7	65	-	164	162
5	DES W01-ES, 10/4	. 6249	19(15.	10148		133		83	151
5 · ·	:	.5275	.2790	189991	04	61		1 A.S	1 40
61	DULUTH-SUPERIOR. MINKWIS.	.7173	.2673	6535	9	169		76	34
20	CURHAR - COVTH CARDLINA F. D.CO. Tryar	517	.4616 4130	90388	79.	78		8 C 7	
4 4	ERIE. PENNSVLVANIA	n o- 0 v	.2843	365	71.	0 7 F	-	0 I I	ນ ບໍ່
· 55	EUGENE, ORFGON	.3528	359	13358		26	-	56	120
56	EVANSVILLE, 1.0KY.	95	302	32775	70.	118	•	63	8 1 1 1
57	PIVER, MASSR.I.	40	516	43976	61.	182	-	25	20
6.R	-MORENEAD. N. D	10	37	20238	92.	123	123	9	130
17	LIVT, MICHIGAV	20	222	9665	0	8.0		124	148
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225	TUSCAL JSA. AL ANAMA	.4156	• 3924	116029.	S h e	4.1	140	1-1
277	UTICA-ROKE AF YORK	74RB	.3347	340570.	69 °	174	8 6	103
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120		• 3 8 8 9	°5403	2861123.	137.	37	186	1.8
232	AATESSURY. JOUNECTICUT	6512	.3323	298956.	82.	145	130	4 10
213	SATERIOS TOBA	5709	.2462.	132416.	82 .	102	15	1
234	NEST PALM REACH FLORIDA	. 2789	.3240	348753.	102 .	12	7 0	01
235	AHEELING 2. VOHIO	8114	.3030	182712.	49.	184	42	4
236	PICHITA, KANSAS	.3815	.3528	389352.	83.	34	106	116
237	CHITA FAILS, TEXAS	. 4692	.3380	127621.	66.	65	90	-
238	WILKES-RARRE-HATLETON. PA.	8882	.3343	342301.	56.	187	в 1	10
239	211 MINGTON. DEL H. J YD.	5058	.3169	499493.	•16	77	57	129
142	ADACESTER. "ASSACHUSETTS	7300	.4015	344320.	79.	171	148	104
242	YORK PENNSYLVANIA	6559	.2745	329540.	65 e	147	22	10
243	YOUNGSTOWN-AASREN. OHIO	. 5977	.2469	536003.	78.	121	6	12.
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4.2.2. Correlations

A second type of analysis that can be performed on the data is the calculation of correlations among the independent variables. Table 3 gives the simple correlation coefficients and Table 4 the Spearman rank correlation coefficients, calculated on tract data for the city of New Haven. These data are being used for model calibration, since at the time these analyses were being carried out, New Haven was the only available source of tract incidence data. A correlation coefficient of 1 indicates the two variables differ at most by a constant scale factor. (For example, the same measurements both in inches and in feet differ by the constant scale factor 12 inches/foot.) The interpretation of the simple correlation coefficient assumes the variables are normally distributed throughout the whole population, while for the rank correlation coefficient no assumption on the distribution of the variables is made. The first column of each table gives the correlation coefficients between each of the independent variables and the dependent variable.

The analysis of the correlations is used in the modelling process in two ways:

- 1) to avoid fitting error
- 2) to substitute for unavailable variables.

If two (or more) parameters are assumed to vary independently of each other whereas in fact they are correlated, spurious coefficients may occur in the process of curve fitting. If the resultant model is to be used simply for predictions based on the insertion of observed values of the model parameters, no real harm is done, because presumably the observed

	Number of EBL	Total Housing	Rental Units	Deteriorating Units	Dilapidated Units	Units Built Before 1940	Total Population	Children 🗲 4 Years	Children 🗲 5 Years	Children ± 6 Years	Female Household Heads	Median Income	Population Crowded (1.01)	Population Crowded (1.51)	Median Rent	Built Before 1940
Number of EBL	I	• 2943	.3578	.7224	.3768	• 4484	.4129	.6478	.6831	.6658	. 6386	3053	1) .7441	1) .8483	3141	2116
Total Housing		I	.8891	.3931	.2815	.7271	.8381	.6258	.6302	.6523	.6017	.0498	.5386	.4985	.1926	.0484
Rental Units			I	.5460	.5017	.8479	.6539	.4729	. 5056	. 5229	.6392	2494	. 5074	.4982	.0367	0903
Deteriorating Units				ı	.6061	.6967	.3407	.4629	.5614	.5468	.6777	4940	.6295	.7206	4164	1487
Dilafed Units	ł				1	. 5609	.1271	.2019	.3137	.3360	.6027	6010	.3648	.3774	3197	1042
Units Built Before 1940						I	•4694	.3841	.4386	.4485	.6111	3463	.4514	.5196	2434	. 5608
Total Population							I	.8307	.8201	.8303	.6740	.0126	.7332	.6533	.1175	1455
Children <								1	.9704	.9446	.7836	1492	.9135	.8255	1254	1017
5 Years Children									I	.9814	.8517	2113	.9445	.8623	1911	0840
e Kears Children										I	.8699	1992	.9311	.8476	1439	0862
Median Income											I	4086	.8530	.7834	1905	.0684
Populati												I	3916	3676	.7368	5149
Heads Female Household Crowded (1.01)													I	• 9469	3379	.0136
Population Population														1	3754	.1697
Median Rent															1	- • 6006
% Built گ Built																I

Г

Table 3: Simple Correlation Coefficients

.

	1. N	2. T	3 . R	4. D	5. D	6. U	7. T	8 . 0	9° C	10. 0	11. F	12. N	13 . F	14. H	15. N	16. 7
	Number of EBL	Total Housing	Rental Units	Deteriorating Units	Dilapidated Units	Units Built Before 1940	Total Population	Children 숰 4 Years	Children <u></u> 5 Years	Children 左 6 Years	Female Household Heads	Median Income	Population Crowded (1.01)	Population Crowded (1.51)	Median Rent	% Built Before 1940
Number of EBL	I	.2231	.4158	• 5599	.5255	.4556	.3187	• 6009	.7242	.6793	.7690	4821	.8398	.8630	4833	.1812
Total Housing		I	.8184	.2605	.1450	.6158	.8194	.6535	.6391	.6325	.5981	.2091	.5151	.4844	.2468	2837
Rental Units			ı	.4234	.3229	•7669	.6298	• 5507	.5434	.5250	.6992	0684	. 5822	.5663	.1209	0604
Deteriorating Units				1	.7353	.6990	.2244	.2671	. 3805	.3386	.5351	5539	.4893	.5972	- 4966	.4902
bətebiqelid stinU					I	.5190	.0411	.1759	.2668	.2069	.4648	5958 -	.4223	.4637	- 4975 -	.3155
Units Built Before 1940						1	.3525	.3437	.3961	.3955	.6262	.1949	.4532	.5057	.2104	.3275 -
Total Population							I	.7488	.7757	.7913	.6386	.0586	.6552	. 5835	.1761	.3730 -
¢ Years Children ≤								ı	.9517	.8860	.7335	.0640	.8615	.7559	0657 -	.3822
2 Kears Children									1	.9511	.8223	0802	.9224	.8496	1664	2736
6 Years Children										1	.7881	- 0498	.8887	.8165	- 0959 -	- 2442
pIoyəsnoH əlemə J											1	2737	.8786	.8567 -	.1650	1956
Median Income												ı	.3213	.3569	.7146	• 4902
Population Crowded (1.01)													ı	.8551	.3153 -	.1052
Population Crowded (1.51)									0					1	.3736	.0249
Медіал Rent															I	.6200
Before 1940																I.

Table 4: Spearman Rank Correlation Goefficients

values of the correlated variables will be correctly noted. If, however, the model is used for experimental simulation, e.g., varying selected parameters while leaving others fixed, serious distortions will ensue, very likely invalidating the experiment. A clarifying example follows:

Suppose we estimate that some variable Z is related to variables X and Y,

$$Z = aX^{b}Y^{c}$$
,

where we think that X and Y are independent of each other. Suppose, then, that the formulation is correct except that in fact, X and Y obey the relationship

$$Y = gX$$

so that in reality, the relationship between the dependent variable (Z) and the independent variable X is

$$Z = a' X^{b'}$$
.

Therefore, formally

$$Z = aX^{b}Y^{c} = aX^{b}(gX)^{c} = ag^{c}X^{b+c}.$$

Thus a calibration process for determining the numerical values of a, b and c may properly yield any values as long as they satisfy

$$ag^{c} = a'$$
$$b + c = b',$$

which has infinitely many solutions for fixed a', b', g. It is easy to see what varying X without changing Y (after a, b, and c have been calculated) will lead to incorrect values for Z.

Correlations among observations need not always give cause for alarm. They can be utilized fruitfully by allowing us to choose a variable when

there are several available with differing precision of observation or when a desirable variable is unavailable. This is indeed true of the lead paint poisoning model. Values of several census descriptors believed to be directly related to the incidence of elevated blood lead levels are not included in the Census First Count tabulation, and are therefore unavailable for a preliminary model. Substitute parameters drawn from the available data were selected by examining the correlation coefficients in Tables 3 and 4. As an example, the incidence of lead poisoning is higher among the poor, but the income data are not yet available. Therefore, rent has been used as a substitute for income in the model. This process is of course, something of a gamble. The example at the beginning of this discussion used a pure linear correlation between variables. Nothing descriptive of human populations in an uncontrolled small sample can be expected to yield completely unambiguous results. We felt reasonably justified in making substitutions of variables where both correlation coefficients for a pair were greater than .7. After all, the substitution of one variable for another was to be made before a calibration, which means that the curve fitting program is determining coefficients and/or exponents for the substitute variables, and thus it is less likely to generate distortions than it would if the substitution of variables occurred after the model calibration.

4.2.3. Data Gaps

In addition to using the correlations to fill in gaps in the available data items, some extra modelling has been necessary. The most serious data gap is the lack of 1970 data on age and condition of housing.

To remedy the discontinuation in 1970, of tabulation of "condition of housing" data the Census Bureau has developed a method for predicting that information from the 1970 data and 1960 Fourth Count Census items including (1) units with central heating facilities, (2) rent, (3) units with crowded population; 1.01 per room, (4) multiple unit structures, (5) educational level of household head, (6) race of household head, (7) owned or rented, and (8) vacant units. The dwelling units are divided into categories determined by cutoff levels of the above attributes, and separate growth patterns are applied to each of the categories. However, since the Census Fourth Count data are not yet available, this model could not be used to furnish inputs to the lead poisoning models at the present stage.

The following make shift housing adjustment therefore was applied to the <u>census tract</u> level data, on the assumption that the results, if crude were likely to be more consistent with available 1970 EBL incidence data from New Haven tracts, than raw 1960 condition of housing figures.

Let: H_{60} - total 1960 housing units H_{70} - total 1970 housing units D_{60} - total 1960 unsound units D_{70} - total 1970 unsound units

If $H_{70} \ge H_{60}$, then

$$D_{70} = D_{60}$$

If $H_{70} < H_{60}$, then

$$D_{70} = \max [.05D_{60}, (D_{60} - .9(H_{60} - H_{70}))].$$

This means that, if the number of housing units in a tract has increased, we don't attempt to adjust the number of unsound units. If housing has decreased, it was assumed that 90 percent of the decrease represents demolition of unsound housing. However, if "net demolitions" appear to exceed the total 1960 unsound housing stock, then 5 percent of this unsound stock was assumed still standing. The choices of the .05 and .9 are arbitrary but have been chosen to approximate patterns stated to exist in New Haven.^{*} (This "model" could not be applied usefully at the SMSA level because it would predict no change in unsound stock since almost all metropolitan areas have grown in the last 10 years. Units demolished in the central cities are more than counter-balanced by ones built in the suburbs.) Thus the housing figures used in the modelling process are not as current as one would desire and may be altered in future modelling procedures.

In response to a request from the Department of Housing and Urban Development, the present study includes a method for rough estimation of housing units in each SMSA believed to contain sufficient concentrations of lead on painted surfaces to constitute lead poisoning hazards. (Although this estimated number of "hazardous environments" has not yet been incorporated as a variable into the EBL models tested, the formulation of the method is presented here to make the current record complete.)

The housing estimation embodies the following assumptions:

(1) Almost all housing units constructed before 1940 in urbanized regions contain lead paint from original surfacing and/or

Reported orally during field trip to office at New Haven Lead Poisoning Prevention Program.

subsequent refurbishment.

- (2) About half of the housing units built between 1940 and 1949 in urbanized areas are similarly contaminated. (This is an informal estimate based on the reduction in the quantity of lead paint manufactured in this decade, relative to housing construction in the same period.)*
- (3) Only 5% of housing constructed between 1950 and 1959 has interior lead paint, and post 1960 housing can be ignored in the estimates.
- (4) Areas in which non-negligible fractions of the housing stock were largely unpainted or merely whitewashed (substantially in the rural South) or other areas in which the climate allowed painting materials to be selected without great concern for weathering properties, such as the south west and far west, can be distinguished crudely from high hazard concentration areas by differences in the fraction of dwelling units which are single unit structures.

Reasoning from (4) above, the estimates by age in (1), (2), and (3) are attenuated in the model by the fourth root of the fraction of dwelling units in multi-unit structures. (Weighting by the <u>actual</u> fractions of multi-unit structures leads to counts of contaminated dwelling units which are suspiciously low, so they have been adjusted upward by using the fourth root, the square root of the square root, of these fractions. The fourth root has desirable properties of being easy to calculate, and

^{*}Census of Manufactures and Minerals Yearbook were analyzed to calculate these figures.

remaining smaller than 1.0 for fractions. It also compresses the range of the weights.) Typical values of these fractions in southern cities and SMSA's^{*} are .3 and .2, respectively, in northeastern cities and SMSA's .7 and .5 respectively. The fourth roots of these numbers are, in the order listed, .74, .67, .91, and .84.

The count of housing units by age in each SMSA has to be estimated, because while 1970 national figures for % of housing by decade of construction have been published by the Bureau of the Census, counts by SMSA are not yet available. The estimates are made by multiplying the 1960 census counts by the ratios of national percentages in 1960 and 1970. Thus the estimate has the form

$$HL = \sqrt[4]{M} \left(H_{40/60} \frac{h_{40/70}}{h_{40/60}} + .5H_{50/60} \frac{h_{50/70}}{h_{50/60}} + .05H_{60/60} \frac{h_{60/70}}{h_{60/60}} \right)$$

where HL is the estimated number of contaminated housing units in an SMSA H_{40/60}, H_{50/60}, H_{60/60} are the counts of 1960 housing stock in the SMSA built before 1940, during 1940-49, and during 1950-59, according to 1960 census data.

- ^h40/60, ^h50/60, ^h60/60 are the fractions of the 1960 national housing stock built before 1940, during 1940-49, and during 1950-59, according to 1960 census data
- ^h40/70, ^h50/70, ^h60/70 are the fractions of the 1970 national housing stock built before 1940, during 1940-49 and during 1950-59, according to 1970 census data.

^{*}<u>City and County Data Book 1967</u>, U.S. Department of Commerce, Bureau of the Census.

It should be noted that the estimates of EBL in children presuppose at least surface deterioration in the bulk of aging housing units, making paint with significant lead content easily accessible to the risk population of children 6 years of age or younger. The estimates of the above housing model, therefore, would identify only <u>potential</u> sources of lead poisoning.

Three <u>obiter dicta</u> are in order here: first, areas of potential hazard of the type described become active hazards rather shortly in periods of economic change and rapid migration, under the kind of dereliction of maintenance that usually accompanies such sociological phenomena, so that in effect, tracts of latent contaminated housing are apt to lose their latency virtually en masse.

Second, we, and others, have tacitly assumed that housing units dating from the period of pervasive use of lead paint, but not deteriorating or dilapidated according to census criteria, presented no <u>immediate</u> hazard. In fact, however, housing units with (possibly extensive) paint peeling from wall surfaces could be judged <u>sound</u> by census criteria if no other defects were present, because peeling paint can be repaired by simple maintenance effort.

Third, all housing conditions and socio-economic factors aside, any curious very young child, unless under physical restraint or under literally continuous supervision, can readily fracture the integument of a wall surface and ingest sufficient quantities of lead, (if present) to result in lead pathologies.

While these observations don't pertain to model construction, we offer them as insights gleaned from the analytical activities in the model development, which advert to an underlying motivation for the models: assessment of the lead paint hazard.

5. THE MODEL FORM

The choice of an initial model structure in the absence of a highly detailed explanatory hypothesis rests on two principal criteria: simplicity and conceptual plausibility. The first, which is more or less objective, affects ease of calibration and amenability to modification when inevitable improvements in data availability occur. (It is the nature of the universe that the data base for a first model effort is invariably so bad as to elicit desperate thoughts of total infeasibility.) Conceptual plausibility is somewhat subjective and is really a measure of how comfortable one is with whatever ideas support a given simple formulation.

The two simplest kinds of representation of the dependancy of a numerical measure of some phenomenon on the values of a set of others are grouping them additively (linear models) or multiplicatively (expoential models).

A linear model with 5 independent variables is of the form

(1)
$$Y = a_0 + a_1 X_1 + a_2 X_2 + a_3 X_3 + a_4 X_4 + a_5 X_5$$

A multiplicative model in 5 variables would be

(2) $Y = a_0 \cdot X_1^{a_1} \cdot X_2^{a_2} \cdot X_3^{a_3} \cdot X_4^{a_4} \cdot X_5^{a_5}$

Notice that each of these has 6 formal parameters; a constant term (which defines an initial level in the additive model and which serves as a scaling factor in the multiplicative model) and a parameter for each variable, which are additive coefficients in the linear model or multiplicative exponents in the exponential model. Notice also in the multiplicative version, that unless a_0 is zero (in which case the model is just the constant, Y = 0), it is not possible to represent zero values of the dependent variable, which would require one of the exponents to be $-\infty$ (leading again to the constant model, Y = 0).

Barring the feasibility of a child-by-child enumeration by blood levels estimated along the lines of the very detailed physiological model of Batelle Institute,⁴⁰ no attempt at formulation of a linear model was remotely successful.

The notion of rates of incidence in the population as conditional probabilities, i.e., defining incidences as the intersection or concomitance of various conditions believed to be factors in ingestion of lead by children, leads naturally to a formulation multiplying relative trequencies of occurrence of suspected causative factors. For example, one might think of the expected number of elevated blood lead levels as the child population times the probability that a child will live in a house offering a hazard, times the probability that the child will ingest the hazardous material. Of course, this last probability is not known per se, but factors believed relating to it are available from the data base.

The simplest multiplicative model would apply if the concurrent presence of the listed factors <u>insured</u> the presence of lead poisoning. However, the data base and our understanding of the lead poisoning process do not lend themselves to such an exact interpretation. Exponents therefore, are introduced to represent the less sure situation and to reflect the different levels of contributions of the factors. That is, the "probability" factors are exponentiated yielding additional degrees of freedom. Thus, instead of an estimating expression for a quantity E of the form $E = p_1 p_2 \cdots p_k$, which is fixed, given the values of factors p_i , we would have $E = p_1 p_2 \cdots p_k$ where the values of the exponents a_i can be varied to obtain values for E consistent with observations. These exponents also may help overcome difficulties occurring because of dependencies between the supposedly independent variables.

5.1. Curve Fitting for Parameter Estimation - Log Linearity

In the models described below several parameters must be estimated; this procedure is called curve fitting. The standard procedure involves the "least squares estimate of a straight line." However, the model form chosen for the lead paint poisoning model is multiplicative rather than linear.

While the equation Y = aX + b with a and b as parameters represents only straight lines, the equation $Y = bX^a$ can represent a mathematically simple, but wide ranging, family of curves. This exponential form "induces" additional degrees of freedom for attempts to fit simple curves to observed data points and still <u>permits one to use linear curve fitting</u> methods by taking logs of both sides of the equation. Thus if

(1) $Y = bX^{a}$ then

(2) $\log Y = a \log X + \log b$,

and changing variables just for neatness: letting $Z = \log Y$, $W = \log X$, and b' = log b, we have

(3)
$$Z = aW + b'$$
. Similarly for many variables, the equation
(1) $Y = a_0 X_1 X_2 \dots X_k$ is equivalent to
(3) $Z = a'_0 + a_1 W_1 + a_2 W_2 + \dots a_1 W_k$.

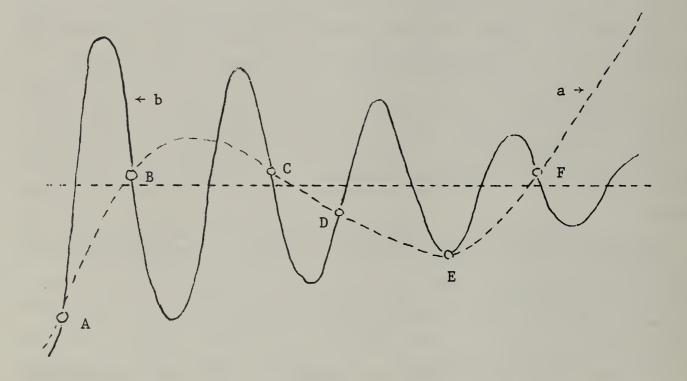
The name "log linear" is used to describe multiplicative relationships of form (1), (1)' for reasons which are obvious from (3), (3)'. There is one special danger (a psychological flaw) in using models of this type, in that it is easy to forget that the linear form used in the calculations must be exponentiated to interpret results. Let us clarify this with an example.

Supposing we have deduced the model Y = 1.6X + 2 from observations of some physical phenomenon. Now, if e.g., for X = 2 the true value of Y is 5, then the relative error is $\frac{1.6 \cdot 2 + 2 - 5}{5} = \frac{5.2 - 5}{5} = \frac{.2}{5}$ or .04 if X and Y are the variables of interest. If, however, they are the <u>logarithms</u> of these variables then our error is the relative error in the logarithms and the actual relative error is $\frac{e^{5.2} - e^5}{e^5} = .22$, more than 5 times as much.

5.2. Judging the Quality of a Model

A common practice in judging the validity of a model is to calculate on the original data set, the average difference in magnitude between predicted and observed values of the variable to be estimated, divided by the value of a typical observation, that is, the prediction error as a

percentage of the observed value. If this figure is small, the model is asserted to be a good one. In fact it may be nothing of the kind. The number described is an excellent measure of how well the formulation fit a given set of observations in question but purely by luck the fit <u>could</u> be perfect while the underlying hypothesis by which the functional form was articulated is dead wrong, because a given set of points can lie on more than one curve. The figure below illustrates this



In the figure the points A through F lie on the broken line <u>a</u> and on the solid curve <u>b</u>. If we were predicting behavior based on the fit to curve <u>a</u> of a phenomenon actually governed by the curve <u>b</u>, we would certainly have more and more to regret the further we moved to the right because <u>b</u> is presumably converging to the value on the level dotted line while <u>a</u> is growing.^{*}

^{*}Note that in general, k + 1 points can be fitted exactly with a polynomial curve of degree k.

Therefore, judging the quality of a model, requires, in addition to mechanical criteria used in curve fitting, some determination of whether the model actually mirrors the process it is supposed to describe. Referring back for a moment to the determination of "goodness of fit", Appendix A consists of the output from a computer run of a standard curve fitting program. The printout is from a calibration of one of the versions of the EBL incidence model. ("Calibration" is the calculation of values for the parameters of the model.) The statistical measure of accuracy of the fit is a slight generalization of the one outlined in the example. It is the square of the <u>multiple correlation coefficient</u>:^{*} it is denoted by the symbol R², (usually read literally: "capital R square") and has the formulation:

$$R^{2} = 1 - \frac{\Sigma(y_{i} - \hat{y_{i}})^{2}}{\Sigma y_{i}^{2}}$$

Thus it is one (1.0) minus the ratio of the sum of the squares of the differences between the observed values of the dependent variable and their predicted values, and to the sum of the squares of the observed values, or using the terminology of the printout, 1.0 minus "the residual sum of squares divided by the total sum of squares."

Natrella, M. G., <u>Experimental Statistics</u>, National Bureau of Standards Handbook 91, Washington, D.C., August 1, 1963.

The criteria we have used for acceptability of fit in the first set of experimental model formulations are:

For a given model, whether or not R^2 exceeds .9

For refinements of acceptable models, produced by the introduction

of additional variables, whether or not R^2 for the refined model is increased by .05. (This sets a tradeoff rate for complexity vs. fit improvement.)

As we have implied previously, "fitness" of a model involves more than the "quality of fit". One relatively simple test that can be applied individually to the calculated parameters (coefficients and exponents) is whether their signs are consistent with our judgments (or prejudices). For example, we would expect the variable "family income" to appear here in a multiplicative model, with a negative exponent, because we believe the incidence of EBL in an area to decrease as the income level rises. Enumerating a few more examples, we expect positive exponents for

Crowding (a concomitant of bad housing and poverty)

Proportion of "bad" housing

Population of young children

Population

Number of female household heads (implying straitened economic circumstances and reduced capability for supervision of young children)

If the characteristics of a parameter are "counterintuitive" the data sample may be distorted, the general formulation of the model may be inappropriate, the variable may have been selected by erroneous reasoning, or indeed, the rationale for the sign criterion for the parameter might be mistaken. One immediate example of possible ambiguity occurs in considering the "crowding" variables. Early in the study, during initial selection of relevant variables, several staff members put forth the idea that extreme crowding might militate <u>against</u> lead ingestion, because it would tend to afford a paint eater less opportunity to remove chips from walls undetected.

In general, in the models that follow, wherever we state that a calculated parameter is "wrong" or "incorrect" it is to be interpreted in the sense described above.

One final comment. The "Model" implied by the title of this paper is at this stage a composite of models 1 and 2.9 below, which was employed to produce sample estimates. It should not be assumed that we consider this model output as authoritative in any way. It is an interim model from a study in progress and should be regarded that way. If the reader requires a further caveat, recall section 5.1 and consider that the values of R^2 for the models below pertain to calibration runs with the models entered in "log linear" form and that the true measures of fit would be somewhat less impressive.

6. THE MODELS

6.1. The Variables and Symbols Used in the Models

^a 0, ^a 1,	parameters estimated by the curve fitting process
C ₁₀₁	crowded population (\geq 1.01 per room)
C ₁₅₁	crowded population (\geq 1.51 per room)
C _F	crowded(1.01) population with female household head
D	dilapidated or deteriorating housing units (number)
E	number of children with elevated blood lead levels
	(≥ 40 µg/100 ml.)
F	number of female household heads
Н	number of housing units
I	fraction of child population with elevated blood lead
	levels
К4	number of children 4 years old or younger
к ₄ к ₆	number of children 4 years old or younger number of children 6 years old or younger
-	
^К 6	number of children 6 years old or younger
^К 6	number of children 6 years old or younger number of housing units lacking one or more plumbing
K ₆ L	number of children 6 years old or younger number of housing units lacking one or more plumbing facilities
K ₆ L P	number of children 6 years old or younger number of housing units lacking one or more plumbing facilities total population
K ₆ L P R	<pre>number of children 6 years old or younger number of housing units lacking one or more plumbing facilities total population median rent \$/mo.</pre>

6.2. Model 1

$$E = K_6 \left(\frac{D}{H}\right) a_0$$

where $a_0 = .24$.

In this model a_0 was estimated by averaging known incidence rates from existing programs.^{*} These programs have for the most part screened children living in neighborhoods with poor housing. If one makes the assumption that the number of children per dwelling unit is the same for poor housing as for all housing, then $K_6\left(\frac{D}{H}\right)$ is the number of children 6 years old or less who live in poor housing.

6.3. Model 2

The versions of Model 2 are exponential in form and all use unsound housing as a variable.

6.3.1. Model 2.1

$$E = a_0 \left(\frac{F}{P}\right)^{a_1} \left(\frac{D}{H}\right)^{a_2} \left(\frac{K_4}{P}\right)^{a_3} \left(\frac{C_{151}}{H}\right)^{a_4}$$

where $a_0 = e^{-2.222}$

a₁ = .3197

 $a_2 = .1305$

 $a_3 = -.7846$

 $a_{L} = 1.757$

Residual sum of squares 7.37

Total sum of squares 26.24 $R^2 = .719$

The fit of this model is not too good and a₃ has the wrong sign, since one would expect areas with more children to have a higher incidence of lead poisoning.

^{*} See Table 4 of "National Estimates of Lead Based Paint Poisoning of Children (Estimated by Standard Metropolitan Statistical Area)"

6.3.2. Model 2.2

$$E = a_0 \left(\frac{F}{P}\right)^{a_1} \left(\frac{D}{H}\right)^{a_2} \left(\frac{K_4}{P}\right)^{a_3} (C_{151})^{a_4}$$

where $a_0 = e^{-3.912}$ $a_1 = -.1911$ $a_2 = .3232$ $a_3 = .1969$ $a_4 = 1.052$

Residual sum of squares 5.53

Total sum of squares 122.75 $R^2 = .955$

Although the fit for this model is very good, the sign of a_1 is wrong.

6.3.3. Model 2.3

$$E = a_0 \left(\frac{F}{P}\right)^{a_1} \left(\frac{D}{H}\right)^{a_2} (Y)^{a_3} \left(\frac{K_4}{P}\right)^{a_4} (C_{151})^{a_5}$$
where $a_0 = e^{12.798}$
 $a_1 = -.6470$
 $a_2 = .3483$
 $a_3 = -2.1726$
 $a_4 = .4338$
 $a_5 = .9413$
Residual sum of squares 4.93
Total sum of squares 122.75 $R^2 = .960$

Although the fit is quite good, the sign of a1, is incorrect.

6.3.4. Model 2.4

$$I = a_0 \left(\frac{F}{P}\right)^{a_1} \left(\frac{D}{H}\right)^{a_2} (Y)^{a_3} \left(\frac{K_4}{P}\right)^{a_4} (C_{151})^{a_5}$$

where
$$a_0 = e^{6.895}$$

 $a_1 = -.4757$
 $a_2 = .3560$
 $a_3 = -.9926$
 $a_4 = .2663$
 $a_5 = -.3433$
Residual sum of squares 1.59
Total sum of squares 40.2 $R^2 = .960$

Although the fit is quite good, the signs of both a_1 and a_5 are wrong.

6.3.5. Model 2.5

$$I = a_0 \left(\frac{K_6}{P}\right)^{a_1} \left(\frac{F}{P}\right)^{a_2} \left(\frac{D}{H}\right)^{a_3} (Y)^{a_4} \left(\frac{C_{101}}{P}\right)^{a_5}$$
where $a_0 = e^{7.654}$
 $a_1 = .9697$
 $a_2 = -.5163$
 $a_3 = .3113$
 $a_4 = -.9008$
 $a_5 = -.374$
Residual sum of squares 1.34

Total sum of squares
$$40.2$$
 $R^2 = .967$

Although the fit is quite good, the signs of a_1 and a_5 are wrong.

6.3.6. Model 2.6

$$I = a_0 \left(\frac{K_6}{P}\right)^a \left(\frac{F}{P}\right)^a \left(\frac{D}{H}\right)^a (R)^{a_4} \left(\frac{C_{101}}{P}\right)^{a_5}$$

where
$$a_0 = e^{2.4785}$$

 $a_1 = .8806$
 $a_2 = -.3186$
 $a_3 = .3062$
 $a_4 = -.6207$
 $a_5 = -.6908$
Residual sum of squares 1.32

 $R^2 = .967$ Total sum of squares 40.2

Although the fit is quite good, the signs of a_2 and a_5 are wrong.

6.3.7. Model 2.7

$$I = a_0 \left(\frac{K_6}{P}\right)^a \left(\frac{F}{P}\right)^{a_2} \left(\frac{D}{H}\right)^{a_3} R^{a_4}$$
where $a = e^{\frac{5306}{4}}$

a0

$$a_1 = .6416$$

 $a_2 = -.4574$
 $a_3 = .3001$
 $a_4 = .1729$

Residual sum of squares 1.5

40.2 $R^2 = .963$ Total sum of squares

Although the fit is quite good, the signs of a_2 and a_4 are wrong.

6.3.8. Model 2.8

$$I = a_0 \left(\frac{K_6}{P}\right)^{a_1} \left(\frac{D}{H}\right)^{a_2} (R)^{a_3}$$

where $a_0 = e^{-.3362}$ $a_1 = .3003$ $a_2 = .2485$ $a_3 = .0129$ Residual sum of squares 2.21 Total sum of squares 40.2 $R^2 = .945$

Although the fit is quite good, the sign of a_3 is wrong.

6.3.9. Model 2.9

$$I = a_0 \left(\frac{K_6}{P}\right)^a \left(\frac{D}{H}\right)^{a_2}$$
where $a_0 = e^{-.2914}$
 $a_1 = .2967$

 $a_2 = .2484$

Residual sum of squares 2.21

Total sum of squares 40.2 $R^2 = .945$

The fit is good, and the signs of all parameters are correct. This is the "best" Model 2 version.

6.4. Model 3

All of the versions of Models 1 and 2 involved the poor housing variable. However, because this will not be available for 1970, an attempt was made to construct a model using only currently available 1970 Census First Count Data items.

6.4.1. Model 3.1

$$I = a_0 \left(\frac{K_6}{P}\right)^{a_1} (L)^{a_2}$$

where $a_0 = e^{.8428}$ $a_1 = .6747$ $a_2 = .2479$ Residual sum of squares 3.71 Total sum of squares 40.20 $R^2 = .908$

The fit is good and the signs of all parameters are correct.

6.4.2. Model 3.2

$$I = a_0 \left(\frac{K_6}{P}\right)^{a_1} (L)^{a_2} \left(\frac{C_F}{P}\right)^{a_3}$$
where $a_2 = e^{-8853}$

where $a_0 = e^{.0033}$ $a_1 = .5825$ $a_2 = .0712$ $a_3 = .2354$

Residual sum of squares 3.68

Total sum of squares 40.2 $R^2 = .908$

The fit is good and all signs of parameters are correct. However the third factor only reduces the residual sum of squares .03 from model 3.1, so that is the preferred model.

6.4.3. Model 3.3 $I = a_0 \left(\frac{K_6}{P}\right)^{a_1} (L)^{a_2} \left(\frac{C_F}{P}\right)^{a_3} (R_{40})^{a_4}$

where $a_0 = e^{1.9422}$ $a_1 = .6104$ $a_2 = .4743$ $a_3 = .3481$ $a_4 = -.3603$ desidual sum of squares 3.18 lotar sum of squares 40.2 $R^2 = .921$

Although the residual sum of squares has been reduced from Model 3.2, the sign of a_4 is wrong.

5.4.4. Model 3.4

$$I = a_0 \left(\frac{K_6}{P}\right)^a (L)^{a_2} \left(\frac{C_F}{P}\right)^{a_3} (R_{60})^{a_4}$$

$$I = a_0 \left(\frac{K_6}{P}\right)^a (L)^{a_2} \left(\frac{C_F}{P}\right)^{a_3} (R_{60})^{a_4}$$

where $a_0 = e^{1.6604}$

 $a_1 = .6869$ $a_2 = .3898$ $a_3 = .1670$ $a_4 = -.2349$

Residual sum of squares .32

Total sum of squares 4.78 $R^2 = .933$

This fit was done as a weighted regression where the weights were the fraction of total child population of each census tract screened. The sign of a_4 is incorrect.

7. FUTURE MODELLING WORK

Future modelling work can be divided into four areas:

- a. further variations similar to those described above
- b. modelling of the dilapidated and deteriorating housing for 1970
- c. correction for the bias in the New Haven data

d. modelling poisoning in areas of less high risk.

Several other variations of models similar to those described above can be tried. However, it should be noted that in all the models tried

so far the child population and the extent of unsound housing have been the strongest factors in the reduction of the sum of squared residuals. All other variables have contributed significantly less. Therefore, although in the interests of completeness other variations should be tried, little improvement is to be expected of this effort.

Since the quality of housing is apparently a major determinant of lead poisoning, it is hoped that obtaining a model for more current unsound housing figures will improve the simple version of Models 1 and 2. A procedure for this improvement was described earlier in this report but has not yet been implemented.

The rates obtained from the New Haven data may have led to too high a national projection. This is believed to be because screening in New Haven has been only in very high risk areas. A possible way to overcome the difficulty is to apply the rate obtained from the model only to high risk children (children in bad housing, e.g.) rather than to all children under 6 years old. This procedure has been used in the current effort. Another possibility is to consider only children in the central city instead of all children in the SMSA. If there were data on lead poisoning of children from middle income families, then one might be able to calculate different rates for high risk and lower risk children. The lack of good incidence data is the major difficulty in the modelling process. Chapter 4 of "Data Collection and Assimilation for the Lead Paint Poisoning Model is devoted entirely to a discussion of this problem." As the data from Aurora, Illinois, mentioned in that report, become available this will be alleviated somewhat. However, if these data are used for model development as it seems it will be necessary to do, another source must be found for model validation.

APPENDIX A

Sample Calibration Output

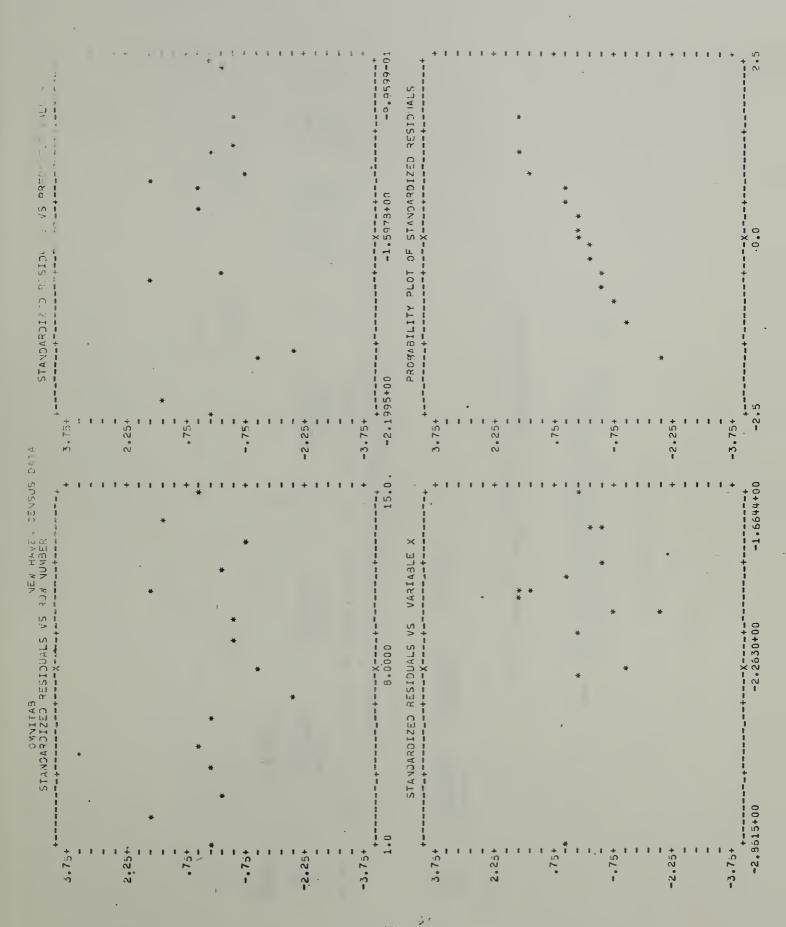
VEN HAVEN CENSUS DATA

APPENDIX A

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	WFIGHTS	1.000	1.000	1.000	1.001	1.000	1.005	1.000	1.000	1.000	1.000	1.000	1.000	1.000	3.000	1.000
	ST7. RES.	.17	1.75	- 10	90°	h th *	•01	-1.93	-1.10	- 58	- 59	1.55	22	- , 98	1.33	• 34
34, 35	RESIDUALS	.06839764a	.72107319	074077189	 028565505 	• 088999155	• 00229204 37	76075988	42330494	23114563	23795703	.53847335	084455612	<u>-</u> .35950326	.48879943	01407951.
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LEAST SQUARES FIT FOR DATA IN COLUMN FUNCTION OF 3 PREDICTOR VARIABLES IN COLUMNS USING 15 NON-ZERO WEIGHTS = 1.0000000	PREJICTED VALUES	-1.3051603	-1.7396428	-1.0034817	99594669	-1.4959116	2.1995166	-1.9592692	-1.9994434	-1.1776215	-1.2751707	-1.3856878	-1.7073039	-1.3610603	-2.1355239	-1.4275584
LEAST SQUARES FI R FUNCTION OF 3 PI USING 15 NON-21	DATA COL• 32	-1.2367626	-1.0185595	-1.0775599	96742009	-1.4069137	-2.1972246	-2.7300291	-2.4277452	-1.4087672	-1.5141277	-•74721441	-1.7917595	-1.7206536	-1.6469254	-1.2878543
As a linear	PREDICTOR VARIABLES IN Col. 34 Col. 36	-1.54542	-3.42361	751974	848304	-1.43077	-4.96638	-4.28063	-4.18523	-1.30305	-1.54264	-2.02337	-3.59498	-1.85715	-5.05303	-2.24700
	PREDICTOR COL. 34	-2.12192	-2.01433	-i.75201	-1.65438	-2.86152	-2.27201	-2.07053	-2.25221	-1.39577	-1.77509	-1.98925	-1.76195	-2.05034	-1.98450	-1.94773
	Row	-	2	n	3	S	9	7	80	6	10	11	·12	13	14	15



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NEW HAVEN CENSUS DATA

LEAST SQUARES FIT FOR DATA IN COLUMN 32 As a linear function of 3 predictor variables in columns 33, 34, 35 USING 15 NON-ZERO WEIGHTS = 1.0000000

VARIANCE-COVARIANCE MATRIX OF THE ESTIMATED COEFFICIENTS

36	
34	16135000
33	•65900306 31255067
COLUAN	55

34 .31256067 .16135990 36 .0048561032 -.0056088332 .0051944655

-JEPENDENT ON ORDER VARIABLES ARE ENTERED, JYLESS VECTORS ARE ORTHOGOVAL-

(F)	.000 .017 .008	
(J)e (055520) J(t)e (055520) t C	58.532 5.°52 9.°31	
(±)c	.300 .211 .309	
= (COEF=0)	194.173 1.741 9.991	
.Э.Е.	4 F F F	
CUN. RESIDUAL NS	.31260395 .31190432 .18447027	
cuv.		
0 • F •	4 (V 19	12
CUM. MEAN & REDUCTION	35.819093 18.070396 12.560635	
SSERED. DUE TO COEF.	55.819093 .32159908 1.8411130	2.2136432 40.195548
COLUMN	33 34 36	RESIJJAL TOTAL

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VEN HAVEN CENSUS DATA

CANITAB

LEAST SQJARES FIT FOR DATA IN COLUMN 32 As a linear function of 3 predictor variables in columns 33, 34, 35 JSING 15 NON-ZERO_WEIGHTS = 1.00000000

	ESTINATES FROW	FROW LEAST SQUARES FIT	S FIT		FI	FIT DWITTING LAST COLUMN	7
NACICO	COEFFICIENT	S.J. OF COEFF.	RATIO	*ACC. DIGITS	COEFFICIENT	S.D. OF COEFF.	OITLA
33 36 36	29137449 .29672059 .24644378	.81179003 .40159516 .078641372	36 .74 3.16	6.66 7.30 7.65	48645498 .522n3993	1.0525219 .51403125	- 46 1.02
RESIJJAL Based	RESIDJAL STANDARD DEVIATION = Based on degrees of freedow	15	.42950002 15-3 = 12		15+	.55948395 15-2 = 13	

SN

* THE NUMBER OF CORRECTLY COMPUTED DIGITS IN EACH COEFFICIENT USUALLY DIFFERS BY LESS THAN I FROW THE NUMBER STVEN HERE

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Omnitab II User's Reference Manual by D. Hogben, S. T. Peavy, and R. N. Varner, p. 152 ff, Oct. 1971. The preceeding four pages of printed computer output are described fully in NBS Technical Note 552,

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