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# Shape Parameter for a Non-Axisymmetric Isothermal Dendrite 

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#### Abstract

In previous work, we found approximate solutions for paraboloids having perturbations with four-fold axial symmetry in order to model dendritic growth in cubic materials. These solutions provide self-consistent corrections through second order in a shape parameter $\epsilon$ to the Peclet number - supercooling relation of the Ivantsov solution. The parameter $\epsilon$ is proportional to the amplitude of the four-fold correction to the dendrite shape, as measured from the Ivantsov paraboloid of revolution. We calculate $\epsilon$ by comparing the dendrite tip shape to the portion of the equilibrium shape near the growth direction, [001], for anisotropic surface free energy of the form $\gamma=\gamma_{0}\left[1+4 \epsilon_{4}\left(n_{x}^{4}+n_{y}^{4}+n_{z}^{4}\right)\right]$, where the $n_{i}$ are components of the unit normal of the crystal surface. This comparison results in $\epsilon=-2 \epsilon_{4}$, independent of the Peclet number. From the experimental value of $\epsilon_{4}$, we find $\epsilon \approx-0.011$, in good agreement with the measured value $\epsilon \approx-0.008$ of LaCombe et al.


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## 1 Introduction

In a previous paper [1] we calculated the correction to the relationship between the Peclet number $P$ and the dimensionless supercooling, $S$, for a non-axisymmetric isothermal dendrite growing from a pure supercooled melt. For four-fold axial symmetry, the dendrite shape in cylindrical coordinates $(r, \phi, z)$ is of the form

$$
\begin{equation*}
\frac{z}{\rho}=\frac{1}{2}-\frac{1}{2}\left(\frac{r}{\rho}\right)^{2}-\frac{\epsilon}{2} \cos 4 \phi\left(\frac{r}{\rho}\right)^{4}+\frac{\epsilon^{2}}{2}\left[\alpha(P)\left(\frac{r}{\rho}\right)^{4}+\beta(P)\left(\frac{r}{\rho}\right)^{6}\right]+O\left(\epsilon^{3}\right) \tag{1}
\end{equation*}
$$

where the shape parameter $\epsilon$ represents the amplitude of the four-fold perturbation to the axisymmetric paraboloid, and $\rho$ is the radius of curvature of the dendrite tip. Specifically, $P=V \rho / 2 \kappa$ and $S=c_{V}\left(T_{M}-T_{\infty}\right) / L_{V}$, where $V$ is the dendrite growth speed, $\kappa$ is the thermal diffusivity of the melt, $c_{V}$ is heat capacity per unit volume, $L_{V}$ is the latent heat per unit volume, $T_{M}$ is the melting point, and $T_{\infty}$ is the far-field temperature of the supercooled melt. The corresponding correction to the $P-S$ relation is found to have the form

$$
\begin{equation*}
S=P e^{P} E_{\mathbf{1}}(P)+\frac{\epsilon^{2}}{2} S^{(2)}(P)+O\left(\epsilon^{3}\right) \tag{2}
\end{equation*}
$$

The specific dependence of the coefficients $\alpha$ and $\beta$, and the correction $S^{(2)}$, on Peclet number are worked out in detail in Ref. [1]. Here, the function $E_{1}$ is the exponential integral [2]. For $\epsilon=0$ this yields the well-known result of Ivantsov [3]. Other researchers have also noted that the first-order term proportional to $r^{4} \cos 4 \phi$ is consistent with an isothermal solution that has been employed in microscopic solvability theory [4-7].

Based on the experimental measurements of LaCombe et al [8], for succinonitrile (SCN) at $P \approx 0.004$, we estimated a value of $\epsilon \approx-0.008$, with the convention that $\phi=0$ corresponds to the [100] direction. The corresponding correction to $S$ was about a $9 \%$ increase, in general agreement with the experimental results [8-10].

In this paper, we estimate the shape parameter $\epsilon$ theoretically on the basis of a simple idea, namely, that the shape of the isothermal but anisotropic dendrite tip is approximately the same as a portion of the equilibrium shape of an isothermal body with slightly anisotropic surface free cnergy. For a cubic crystal, such as SCN, we assume a surface free energy $\gamma(\widehat{\mathbf{n}})$
of the form

$$
\begin{equation*}
\gamma=\gamma_{0}\left[1+4 \epsilon_{4}\left(n_{x}^{4}+n_{y}^{4}+n_{z}^{4}\right)\right] \tag{3}
\end{equation*}
$$

where $\gamma_{0}$ and $\epsilon_{4}$ are constants, and $\widehat{\mathbf{n}}=\left(n_{x}, n_{y}, n_{z}\right)$ is the unit normal of the crystal surface. This corresponds to the leading order expansion of $\gamma$ in spherical harmonics compatible with cubic symmetry; the next non-vanishing term is of sixth degree in $\widehat{\mathbf{n}}$. In the subsequent analysis, we will assume $\left|\epsilon_{4}\right| \ll 1$ and neglect all higher order contributions of $\epsilon_{4}[11,12]$. We note that the equilibrium shape is a closed convex body in a strictly isothermal environment, whereas our dendrite model [1] corresponds to a semi-infinite body with an isothermal surface that is growing from a non-isothermal melt. For small supercoolings, however, we expect the dendrite tip shape to be similar to the portion of the equilibrium shape near the growth direction, which is [001] for SCN.

## 2 Analysis

It is well-known that for small anisotropy, the equilibrium shape is geometrically similar to a polar plot of the surface free energy [12-15]. Thus the equilibrium shape can be written in the form

$$
\begin{equation*}
\frac{r_{s}}{R}=1+4 \epsilon_{4}\left[\cos ^{4} \Theta+\sin ^{4} \Theta\left(\frac{3}{4}+\frac{1}{4} \cos 4 \Phi\right)\right]+O\left(\left|\epsilon_{4}\right|^{2}\right) \tag{4}
\end{equation*}
$$

where $\mathbf{r}_{\mathrm{s}}$ is the position vector of the equilibrium shape, $r_{s}=\left|\mathbf{r}_{\mathrm{s}}\right|, R$ is a constant scale factor, and $\Theta$ and $\Phi$ are the spherical angles of the unit normal, so that $\widehat{\mathbf{n}}=(\sin \Theta \cos \Phi, \sin \Theta \sin \Phi, \cos \Theta)$. Furthermore, to first order in the anisotropic term, $\Theta$ and $\Phi$ can be replaced by the angles $\theta$ and $\phi$ that specify the orientation of the vector $\mathbf{r}_{\mathbf{s}}$. Thus a polar plot of the equilibrium shape has the form

$$
\begin{equation*}
\frac{r_{s}(\theta, \phi)}{R}=1+4 \epsilon_{4}\left[\cos ^{4} \theta+\sin ^{4} \theta\left(\frac{3}{4}+\frac{1}{4} \cos 4 \phi\right)\right]+O\left(\left|\epsilon_{4}\right|^{2}\right) \tag{5}
\end{equation*}
$$

We proceed to write this expression in terms of cylindrical coordinates to compare with

Eq. (1). Using $r_{s}=\sqrt{r^{2}+z^{2}}, \cos \theta=z / \sqrt{r^{2}+z^{2}}$ and $\sin \theta=r / \sqrt{r^{2}+z^{2}}$, we have

$$
\begin{equation*}
\frac{\sqrt{r^{2}+z^{2}}}{R}=1+\frac{4 \epsilon_{4}}{\left(r^{2}+z^{2}\right)^{2}}\left[z^{4}+r^{4}\left(\frac{3}{4}+\frac{1}{4} \cos 4 \phi\right)\right]+O\left(\left|\epsilon_{4}\right|^{2}\right) \tag{6}
\end{equation*}
$$

Near the [001] direction, $|r / z| \ll 1$, so we can expand Eq. (6) to obtain

$$
\begin{equation*}
\frac{z}{R}=1+4 \epsilon_{4}-\frac{1}{2} \frac{r^{2}}{R^{2}}\left(1+12 \epsilon_{4}\right)-\frac{1}{8} \frac{r^{4}}{R^{4}}\left(1-36 \epsilon_{4}\right)+\epsilon_{4} \frac{r^{4}}{R^{4}} \cos 4 \phi+O\left(\left|\epsilon_{4}\right|^{2},(r / R)^{6}\right) \tag{7}
\end{equation*}
$$

In order to compare Eqs. (1) and (7), we first recognize that the origin of $z$ is arbitrary, so that the constant terms may be ignored. Multiplication of Eq. (7) by $R / \rho$ and comparison of the term in $r^{2}$ with the corresponding term in Eq. (1) shows that $R=\rho\left(1+12 \epsilon_{4}\right)+O\left(\left|\epsilon_{4}\right|^{2}\right)$. Then comparison of the terms in $\cos 4 \phi$ yields our central result,

$$
\begin{equation*}
\epsilon=-2 \epsilon_{4}+O\left(\left|\epsilon_{4}\right|^{2}\right) \tag{8}
\end{equation*}
$$

We note that the the axisymmetric term proportional to $r^{4}$ in Eq. (7) has no counterpart in Eq. (1). This arises because the equilibrium shape is a closed convex body, whereas the dendrite is a semi-infinite body. The closure of this equilibrium shape is described properly by Eqs. (4)-(6), but is lost once one resorts to the expansion in Eq. (7).

## 3 Discussion

The anisotropy of the surface free energy for SCN has been measured by Glicksman and Singh [11] and Muschol et al. [12], resulting in $\epsilon_{4}=0.0055 \pm 0.0015$, which from Eq. (8) yields $\epsilon=-0.011 \pm 0.003$. This compares favorably with the direct measurements of LaCombe et al. [8] which result in $\epsilon \approx-0.008$. Note, however, that the experimental determination of $\epsilon$ is based on measurements of the dendrite shape for distances of up to ten tip radii from the tip, whereas our comparison to the equilibrium shape is only valid within a fraction of a tip radius from the tip. Another theoretical estimate of $\epsilon$ has been made by Brener et al. [6, 7] based on microscopic solvability theory, and, in our notation, results in $|\epsilon|=1 / 48 \approx 0.02$, which is about a factor of two larger than the experimental value. Their result is independent of $\epsilon_{4}$.

By means of numerical computations based on a phase-field model, Karma and Rappel [16] calculated a shape anisotropy for $S=0.45$ and an effective surface free energy anisotropy of 0.0066 , resulting in $|\epsilon|=0.019$, close to the value of Brener et al. Karma and Rappel find that $|\epsilon|$ increases for larger values of the effective anisotropy.

A value of $\epsilon_{4}=0.025$ has been measured for pivalic acid [12]. This anisotropy is about five times larger than that of SCN. No measurements of the actual shape anisotropy are yet available, but we caution that this value of $\epsilon_{4}$ might be too large for our expansion to be valid. One could, however, extend the equilibrium shape to higher order in $\epsilon_{4}$, which would also delineate the range of validity of the linear expansion.

Note that the value of $\epsilon$ given by Eq. (8) is independent of the Peclet number $P$. This is supported by preliminary measurements by LaCombe [17] over a limited range of supercoolings. Accordingly, in Fig. 1 we plot the value of $S$ from Eq. (2) for $\epsilon=-0.008$. For the smaller values of $P$ in the figure, our corrections to $S$ are too large for our expansion in $\epsilon$ to be valid, resulting in a nearly vertical curve near $P=0.001$. In the range $0.004<P<0.01$, our results resemble the experimental values measured by Koss et al., which also lie slightly below the Ivantsov curve (see Fig. 6 of Ref. [9]). For $P$ much below 0.004, the experimental data actually lie above the Ivantsov curve, possibly due to the effects of finite container size and/or convection $[18,19]$. Thus, the effects of non-axisymmetry versus those due to finite container sizes and/or convection tend to affect $S$ in an opposing manner.

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Figure 1: The Peclet number $P$ as a function of dimensionless supercooling $S$ for shape parameter $\epsilon=-0.008$ (solid curve) and $\epsilon=0$ (dashed curve); the dashed curve corresponds to the Ivantsov solution.


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