

Triangles Generated by Powers of Triplets on the Unit Circle

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Let α, β, γ be three distinct complex numbers of modulus 1. It is shown that there is essentially one exception to the following statement: For some positive integer m , 0 is in the closed convex hull of $\alpha^m, \beta^m, \gamma^m$. The exception occurs for the normalized triple

$$1, e^{2\pi i/7}, e^{2k\pi i/7},$$

where $k=3$ or 5. This question was motivated by the problem of determining when a positive integer m and a nonzero $n \times 1$ vector x exist such that

$$x^* A^m x = 0,$$

where A is a given matrix of $M_n(C)$.

Key words: Convex hull; unit circle; Weyl's Theorem.

In connection with determining for what $A \in M_n(C)$ the equation

$$x^* A^m x = 0$$

is solvable by some positive integer [1] m and some $n \times 1$ vector $x \neq 0$, the following question arose: How many distinct points $\alpha_1, \dots, \alpha_l$ on the unit circle are in general required to insure that for some positive integer m , 0 lies in the convex hull of $\{\alpha_1^m, \dots, \alpha_l^m\}$? We find that in general $l=4$ such points are required. However, our main result is that under appropriate normalization in the case $l=3$ there is exactly one exceptional set.

Throughout α, β, γ will denote three distinct points on the unit circle in the complex-plane. We shall denote the triangular solid generated by their m th powers by

$$T_m(\alpha, \beta, \gamma) = \text{Co}\{\alpha^m, \beta^m, \gamma^m\}.$$

Our goal is to determine for which triples α, β, γ , there is a positive integer m such that

$$0 \in T_m(\alpha, \beta, \gamma).$$

For this purpose we shall identify two triples (α, β, γ) , and $(\alpha', \beta', \gamma')$ if one may be obtained from the other via any combination of permutation, reflection, and simultaneous rotation. We shall also identify these two triples if

$$\{T_m(\alpha, \beta, \gamma) \mid m \in I^+\} = \{T_m(\alpha', \beta', \gamma') \mid m \in I^+\}.$$

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Subsequent to this identification there is exactly one exception (α, β, γ) to the statement:

There is an $m \in I^+$ such that $0 \in T_m(\alpha, \beta, \gamma)$.

With respect to the identification mentioned above, we shall take our triples to be in normalized form:

$$\alpha = e^{2\pi iz_1}, \beta = e^{2\pi iz_2}, \gamma = 1$$

where $0 < z_1 < z_2 < 1$. Letting $\{r\}$ denote the fractional part of the real number r , we shall then say that the positive integer m is a solution to the normalized problem (*) if

$$(i) \{mz_{j_1}\} \leq 1/2$$

$$(ii) \{mz_{j_2}\} \geq 1/2,$$

and

$$(iii) \{mz_{j_2}\} - \{mz_{j_1}\} \leq 1/2,$$

where $(j_1, j_2) = (1, 2)$ or $(2, 1)$. It is clear that m is a solution to the normalized problem (*) if and only if $0 \in T_m(\alpha, \beta, \gamma)$.

Example. If $z_1 = \frac{1}{7}$ and $z_2 = \frac{3}{7}$ or $\frac{5}{7}$, then the system (*) is not solvable. Only the values $1 \leq m \leq 7$ need be considered and it is routine to check that for each of these values at least one of (i), (ii), or (iii) is not satisfied.

Our main result is:

THEOREM: *Let α, β, γ be distinct complex numbers such that $|\alpha| = |\beta| = |\gamma| = 1$. Then there is a positive integer m such that $0 \in T_m(\alpha, \beta, \gamma)$ if and only if the normalized form of $\{\alpha, \beta, \gamma\}$ is not*

$$\left\{ e^{\frac{2\pi i}{7}}, e^{\frac{2k\pi i}{7}}, 1 \right\}, k = 3 \text{ or } 5.$$

PROOF: It suffices to consider the normalized problem (*). The necessity then follows from the given example. For the sufficiency we distinguish 5 possibilities (1) z_1 and z_2 are irrational and rationally independent; (2) z_1 and z_2 are irrational and rationally dependent; (3) exactly one of z_1 and z_2 is rational; (4) z_1 and z_2 are rational with distinct denominators in reduced form; (5) z_1 and z_2 are rational with the same denominator in reduced form.

For cases 1, 2, and 3 we shall employ a well-known theorem of Weyl:

LEMMA 1 (Weyl [2]): (a) If z is irrational, then the sequence $\{nz\}_{n=1}^{\infty}$ is uniformly distributed on the unit interval. (b) If z_1 and z_2 are rationally independent, then the ordered pairs $(\{nz_1\}, \{nz_2\})$, $n = 1, 2, \dots$, are uniformly distributed on the unit square.

In case 1, the normalized problem (*) is easily solved because of Lemma 1, part (b). For case 2 we assume without loss of generality that the pair z_1, z_2 is of the form

$$bz, az + r$$

where z is irrational, $r = \frac{l_1}{l_2}$ is rational, and a, b, l_1, l_2 are integers with $a, b, l_2 > 0$. If $a = b$, this

case may be transformed into case 3 by rotation. Thus we also assume without loss of generality that $a > b$. Suppose $m = m'l_2$, $m' > 0$ and integral, and let

$$c = \{mz\} = \{m'(l_2z)\}.$$

Then by Lemma 1, part (a), we may obtain c arbitrarily close to any real number between 0 and 1 by choice of m' . Since $a > b > 0$, we may choose ϵ_1, ϵ_2 so that

$$\epsilon_1 > 0, \epsilon_2 > 0, \epsilon_1 + \epsilon_2 < \frac{1}{2}$$

and

$$\frac{b}{a} = \frac{1 - 2\epsilon_2}{1 + 2\epsilon_1}.$$

Next choose

$$0 < \epsilon < \min \left\{ \frac{1}{2a} - \frac{\epsilon_1}{a}, \frac{\epsilon_2}{b} \right\}.$$

Then choose m' so that

$$0 \leq c - \left(\frac{1}{2a} + \frac{\epsilon_1}{a} \right) < \epsilon.$$

We then have

$$\{m(az+r)\} = \{ac\} = ac$$

$$\{m(bz)\} = \{bc\} = bc$$

and

$$\{m(az+r)\} - \{m(bz)\} = ac - bc.$$

Since

$$\frac{1}{2} + \epsilon_1 \leq ac < \frac{1}{2} + \epsilon_1 + \epsilon a$$

and

$$\frac{1}{2} - \epsilon_1 \leq bc < \frac{1}{2} - \epsilon_2 + \epsilon b,$$

it follows that

$$\frac{1}{2} \leq ac \leq 1$$

$$0 \leq bc \leq \frac{1}{2}$$

and

$$0 \leq ac - bc \leq \frac{1}{2}$$

so that (*) is solvable in case 2. In case 3, (*) may be solved using Lemma 1, part (a).

For the remaining cases we assume that in reduced form

$$z_1 = \frac{h}{n_1}, z_2 = \frac{k}{n_2}.$$

Without loss of generality we may assume that h or k is 1. If either n_i is even, then $0\epsilon T_{n_i/2}(\alpha, \beta, 1)$ and we are finished. Thus we may assume that n_1 and n_2 are odd. Suppose (case 4) that $n_1 \neq n_2$, and $n = \text{g.c.d.}(n_1, n_2)$. By the Chinese Remainder Theorem, the congruences

$$2hm \equiv n_1 - n \pmod{n_1}$$

and

$$2km \equiv n_2 + n \pmod{n_2}$$

have a solution m , which may be taken positive. It then follows that for such an m , (i), (ii), and (iii) are satisfied which completes the discussion of this case.

Finally we assume (case 5) that $n_1 = n_2 = n$ which is odd. Without loss of generality we take $h = 1$ and since z_2 is in reduced form we have $\text{g.c.d.}(k, n) = 1$.

LEMMA 2: If $n > 2$, $1 < k < n$ are integers, $z_1 = \frac{1}{n}$, $z_2 = \frac{k}{n}$, and $(k, n) = 1$, then the following are equivalent:

- (1) the system (*) is solvable for $k = x$;
- (2) the system (*) is solvable for $k = x'$, where $xx' \equiv 1 \pmod{n}$; and
- (3) the system (*) is solvable for $k \equiv (1 - x) \pmod{n}$.

PROOF: The equivalence of (1) and (2) follows from the fact

$$\begin{aligned} & \{T_m(e^{\frac{2\pi i}{n}}, e^{\frac{2\pi i x}{n}}, 1) | m \in I^+\} \\ &= \{T_m(e^{\frac{2\pi i}{n}}, e^{\frac{2\pi i x'}{n}}, 1) | m \in I^+\}. \end{aligned}$$

The equivalence of (1) and (3) follows from the fact that

$$e^{\frac{2\pi i}{n}}(e^{\frac{2\pi i}{n}}, e^{\frac{2\pi i x}{n}}, 1) = (1, e^{\frac{2\pi i(1-x)}{n}}, e^{\frac{2\pi i}{n}}).$$

Now if k is even, we may choose $m = \frac{n-1}{2}$ to satisfy (*) since $n \neq 1$ is odd. Thus we may assume because of Lemma 2 that k and k' are both odd, where $1 < k' < n$ is the unique solution to $kk' \equiv 1 \pmod{n}$. Because of Lemma 2, we may also assume that

$$1 < k \leq \frac{p+1}{2}.$$

However since $\left(\frac{p+1}{2}\right)' = 2$ which is even, we need only consider

$$1 < k \leq \frac{p-1}{2}.$$

We now wish to determine for which n (*) is solvable under our present assumptions.

Let $m \equiv k' \left(\frac{n+j}{2}\right) \pmod{n}$ where j is odd and $n < k'j < 2n$. Then since k' is odd, we have $\left\{\frac{m}{n}\right\} = \left\{\frac{1}{2} + \frac{k'j}{2n}\right\} = \left\{1 + \frac{k'j-n}{2n}\right\} = \left\{\frac{k'j-n}{2n}\right\} = \frac{k'j-n}{2n} < \frac{n}{2n} = \frac{1}{2}$ which means that (i) is satisfied.

If $1 < j < n$ also, then $\left\{\frac{mk}{n}\right\} = \left\{\frac{n+j}{2n}\right\} = \left\{\frac{1}{2} + \frac{j}{2n}\right\} = \frac{1}{2} + \frac{j}{2n} > \frac{1}{2}$ so that (ii) is satisfied.

Finally $\left\{ \frac{mk}{n} \right\} - \left\{ \frac{m}{n} \right\} = \frac{1}{2} + \frac{j}{n} - \frac{k'j-n}{2n} = 1 - \left(\frac{(k'-1)j}{2n} \right)$ which is less than $\frac{1}{2}$ if $(k'-1)j > n$.

We now have 4 requirements on j for (*) to be solvable. Since $k' \neq 1$ is odd they reduce to

$$j \text{ odd}$$

and

$$\frac{n}{k'-1} < j < \frac{2n}{k'}$$

Thus if the interval $\left(\frac{n}{k'-1}, \frac{2n}{k'} \right)$ is of length greater than or equal to 2, there will be a solution with j odd and integral. Thus we require

$$\frac{2n}{k'} - \frac{n}{k'-1} \geq 2$$

or

$$\frac{2(k'-1)n - nk'}{k'(k'-1)} \geq 2$$

or

$$n \geq 2 \frac{k'(k'-1)}{(k'-2)}.$$

As a function of k' , $2 \frac{k'(k'-1)}{(k'-2)}$ is increasing for $k' > 2 + \sqrt{2}$. If $k' = \frac{n-3}{2}$, our requirement becomes

$$n \geq \frac{(n-3)(n-5)}{(n-7)}$$

or

$$n \geq 15.$$

It remains to check the cases in which $k' = 3$ or $\frac{n-1}{2}$ for general odd n and the cases $n = 3, 5, 7, 9, 11, 13$. By straightforward computation, the latter yield that (*) is solvable in all cases except that mentioned in the example. In the former case we have that

$$1 - \left(\frac{n-1}{2} \right)' \equiv 3 \pmod{n}$$

since n is odd. Thus by Lemma 2 it suffices to check $k = 3$.

In case $k = 3$, it is easily checked that $m = \left[\frac{n}{6} \right] + 1$, where $[\cdot]$ denotes the greatest integer function, satisfies (*) for $n \geq 12$. The remaining case $n < 12$ have already been checked so that the proof is complete.

COROLLARY: If $l \geq 4$ and $\alpha_1, \alpha_2, \dots, \alpha_l$ are distinct complex numbers of absolute value 1, then there is a positive integer m such that

$$0 \in \text{Co}\{\alpha_1^m, \dots, \alpha_l^m\}.$$

PROOF: Because of the Theorem, it suffices to check the set of four points $e^{\frac{2\pi i}{7}}, e^{\frac{6\pi i}{7}}, e^{\frac{10\pi i}{7}}, 1$. Since 0 is actually in their convex hull, the result is confirmed.

References

- [1] Johnson, C.R., Powers of Matrices with Positive Definite Real Part, to appear.
 [2] Weyl, H. Über die Gleichverteilung von Zahlen mod Eins, Math. Ann. 77 (1916), pp. 313-352.

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