A Note on the Galvanomagnetic and Thermoelectric Coefficients of Tetragonal Crystalline Materials

W. C. Hernandez, Jr., and A. H. Kahn

(March 2, 1963)

The independent Hall, magnetoresistive, and thermoelectric coefficients for a tetragonal crystal have been tabulated and geometric configurations for their experimental measurement have been determined. These coefficients have been calculated on assumptions of several simple ellipsoidal models, in the range of nondegenerate statistics. Implications of experimentally observed isotropy or anisotropy of transport properties on the structure of the energy surfaces are noted.

1. Introduction

Detailed studies on the transport properties of rutile require tabulations of independent tensor coefficients. In this report we display these transport coefficients and method of measurement for galvanomagnetic and thermoelectric effects in tetragonal crystal structures. In anticipation of future experimental and theoretical results these transport coefficients have been calculated on the basis of various ellipsoidal energy band structures compatible with tetragonal symmetry.

2. Galvanomagnetic Coefficients

The electric current density components J_i and electric field components E_i are related by the conductivity tensor σ_{ij} in the following way:

$$J_i = \sigma_{ij}(\mathbf{H}) E_j, \tag{1}$$

where the conductivity is understood to be a function of the magnetic field vector **H** and the Einstein summation convention is used. For weak field galvanomagnetic effects a series expansion of σ in powers of H up to second order is frequently sufficient. To second order the current is given by

$$J_{i} = \sigma_{ij}^{(0)} E_{j} + \sigma_{ijk}^{(1)} E_{j} H_{k} + \sigma_{ijkl}^{(2)} E_{j} H_{k} H_{l}.$$
(2)

The superscripts indicate the corresponding power of H, but these will frequently be omitted without causing ambiguity. The restrictions of crystal symmetry [1]¹ and the Onsager reciprocal relations [2, 3] greatly limit the number of independent conductivity coefficients in eq (2). The symmetry conditions of the tetragonal point group of rutile $(D_{4\hbar})$ require that eq (2) remain invariant under rotations about three perpendicular 2-fold axes, one

¹ Figures in brackets indicate the literature references at the end of this paper.

of which is also a 4-fold axis. The Onsager relations require that $\sigma_{ij}^{(0)}$ and $\sigma_{ijkl}^{(2)}$ be symmetric, while $\sigma_{ijk}^{(1)}$ must be antisymmetric on interchange of *i* and *j*. The resulting independent components are produced in tables 1, 2 and 3. Note that for zero order there are two coefficients, for first order two, and for second order there are seven.

TABLE 1. Zero order magneto-conductive coefficients

Asterisks denote the coefficients which will be taken as the independent quantities. Indices 1, 2, 3 refer to crystal axes, 3 being the 4-fold axis.

> $\sigma_{11}^* = \sigma_{22}$ σ_{33}^*

All others vanish.

TABLE 2. First order magneto-conductive coefficients

 $\sigma_{123}^* = -\sigma_{213} \ \sigma_{132}^* = \sigma_{321} = -\sigma_{312} = -\sigma_{231}$

All others vanish.

TABLE 3. Second order magneto-conductive coefficients

				_
	$\sigma_{1111}^* = \sigma_{2222}$ $\sigma_{3333}^* \sigma_{1122}^* = \sigma_{2211}$ $\sigma_{1212}^* = \sigma_{2121} \sigma_{2121} = \sigma_{2121}$ $\sigma_{1133}^* = \sigma_{2233} = \sigma_{2332} = \sigma_{2332} = \sigma_{3311}^* = \sigma_{3322}$	σ_{2112} $\sigma_{3232} = \sigma_{3223} = \sigma_{1331} = \sigma_{3}$	$a_{3131} = \sigma_{3113}$	
the observe i terretere				

More often than not, experimental measurements yield resistivity coefficients, the reciprocal of the conductivity. The resistivity coefficients are given up to the second order by

$$E_{i} = [\rho_{ij}^{(0)} + \rho_{ijk}^{(1)}H_{k} + \rho_{ijkl}^{(2)}H_{k}H_{l}]J_{j}.$$
(3)

A

The same symmetry conditions apply as before, and the independent resistive coefficients will be identified by the same subscripts. The coefficients are given in table 4.

 TABLE 4.
 Magnetoresistive coefficients in terms of magnetoconductive coefficients

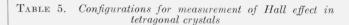
Expressions are correct to second order in the magnetic field

$$\begin{split} \rho_{ii} &= \frac{1}{\sigma_{ii}} \qquad i = 1, 2, 3 \\ \rho_{ijk} &= -\frac{\sigma_{ijk}}{\sigma_{ii}\sigma_{ij}} \qquad i, j, k = 1, 2, 3 \\ \rho_{iiii} &= -\frac{\sigma_{iiii}}{\sigma_{ij}^{2}} \qquad i = 1, 2, 3 \\ \rho_{ijij} &= \frac{\sigma_{ikj}\sigma_{kji}}{2\sigma_{ii}\sigma_{ij}\sigma_{kk}} - \frac{\sigma_{ijjij}}{\sigma_{ii}\sigma_{ij}} \qquad i, j = 1, 2, 3 \\ \rho_{iijj} &= \frac{\sigma_{ikj}\sigma_{kji}}{2\sigma_{ii}\sigma_{ij}\sigma_{kk}} - \frac{\sigma_{iijj}}{\sigma_{ii}\sigma_{ij}} \qquad i, j = 1, 2, 3 \\ \rho_{iijj} &= \frac{\sigma_{ikj}\sigma_{kij}}{\sigma_{ii}^{2}\sigma_{kk}} - \frac{\sigma_{iijj}}{\sigma_{ii}^{2}} \qquad i, j = 1, 2, 3 \\ \rho_{iijj} &= \frac{\sigma_{ikj}\sigma_{kij}}{\sigma_{ii}^{2}\sigma_{kk}} - \frac{\sigma_{iijj}}{\sigma_{ii}^{2}} \qquad i, j = 1, 2, 3 \\ \rho_{iijj} &= \frac{\sigma_{ikj}\sigma_{kij}}{\sigma_{ii}^{2}\sigma_{kk}} - \frac{\sigma_{iijj}}{\sigma_{ii}^{2}} \qquad i, j = 1, 2, 3 \\ \rho_{iijj} &= \frac{\sigma_{ikj}\sigma_{kij}}{\sigma_{ii}^{2}\sigma_{kk}} - \frac{\sigma_{iijj}}{\sigma_{ii}^{2}} \qquad i, j = 1, 2, 3 \\ \rho_{iijj} &= \frac{\sigma_{ikj}\sigma_{kij}}{\sigma_{ii}^{2}\sigma_{kk}} - \frac{\sigma_{iijj}}{\sigma_{ii}^{2}} \qquad j, j = 1, 2, 3 \\ \rho_{iijj} &= \frac{\sigma_{ikj}\sigma_{kij}}{\sigma_{ii}^{2}\sigma_{kk}} - \frac{\sigma_{iijj}}{\sigma_{ii}^{2}} \qquad j, j = 1, 2, 3 \\ \rho_{iijj} &= \frac{\sigma_{ikj}\sigma_{kij}}{\sigma_{ii}^{2}\sigma_{kk}} - \frac{\sigma_{iijj}}{\sigma_{ii}^{2}} \qquad j, j = 1, 2, 3 \\ \rho_{iijj} &= \frac{\sigma_{ikj}\sigma_{kij}}{\sigma_{ii}^{2}\sigma_{kk}} - \frac{\sigma_{iijj}}{\sigma_{ii}^{2}} \qquad j, j = 1, 2, 3 \\ \rho_{iijj} &= \frac{\sigma_{ikj}\sigma_{kij}}{\sigma_{ii}^{2}\sigma_{ki}} - \frac{\sigma_{iijj}}{\sigma_{ii}^{2}\sigma_{ki}} - \frac{\sigma_{iijj}}{\sigma_{ii}^{2}\sigma_{kij}} - \frac{\sigma_{iij}}{\sigma_{ii}^{2}\sigma_{kij}} - \frac{\sigma_{iij}}{\sigma_{kij}} - \frac{\sigma_{iij}$$

3. Experimental Determination of Magnetoresistive Coefficients

The zero order resistivities are measured in the usual way. The two coefficients ρ_{11} and ρ_{33} refer to the resistivities perpendicular and parallel to the 4-fold axis, respectively. Typical experimental ori-

entations and geometries have been determined for obtaining the magnetoresistive coefficients. The first order, or Hall, coefficients are described in table 5, and the second order in table 6. Numerals 1, 2, 3 in the tables refer to crystal axes; 1 and 2 being 2-fold and 3 being the 4-fold axis.



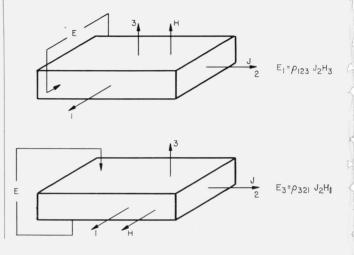
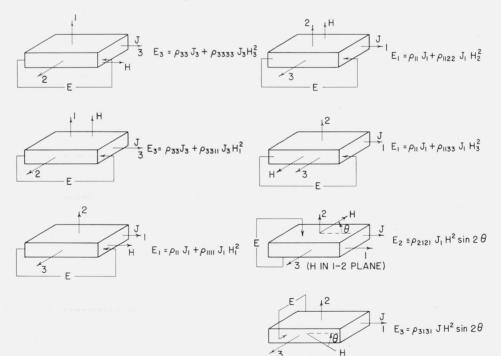


TABLE 6. Configurations for measurement of magnetoresistive coefficients of tetragonal crystals



(H IN I-3 PLANE)

4. Magnetoconductive Coefficients on the Basis of Simple Ellipsoidal Models

Experimental and theoretical studies of band structure and transport coefficients may be aided by calculations of the transport properties of simple many ellipsoid energy surface configurations. This type of analysis of magnetoresistance has been very successful in the determination of the band structures of silicon and germanium [4, 5].

First we discuss the magnetoconductive coefficients of a single ellipsoid. Rather than using a Boltzmann equation, we follow Brooks [5] method of following the motion of a single charge carrier on the energy surface under the influence of applied fields, and then averaging over a Maxwell distribution of carriers. The equations of motion for an electron on an ellipsoidal energy surface, in the effective mass approximation, are the three equations

$$\frac{dv_i}{dt} = \frac{e}{m_i} E_i + \frac{e}{m_i c} (v_j H_k - v_k H_j), \qquad (4)$$

where the subscripts indicate components or effective masses along principal directions of the ellipsoid, and i, j, k are cyclic permutations of 1, 2, 3. These equations of motion are solved to second order in **H**, giving the velocity as a function of time. The acquired motion is obtained by averaging over a distribution of free drift times given by $(1/\tau)e^{-t/\tau}$. The resultant acquired current averaged over the Maxwell distribution of electrons is

$$\begin{split} \langle ev_i \rangle &= \frac{e^2 \langle \tau \rangle}{m_i} E_i + \frac{e^3 \langle \tau^2 \rangle}{m_i m_j c} E_j H_k - \frac{e^3 \langle \tau^2 \rangle}{m_i m_k c} E_k H_j \\ &- \frac{e^4 \langle \tau^3 \rangle}{m_i^2 m_k c^2} E_i H_j^2 - \frac{e^4 \langle \tau^3 \rangle}{m_i^2 m_j c^2} E_i H_k^2 \\ &+ \frac{e^4 \langle \tau^3 \rangle}{m_i m_j m_k c^2} E_k H_i H_k + \frac{e^4 \langle \tau^3 \rangle}{m_i m_j m_k c^2} E_j H_j H_k. \end{split}$$
(5)

In eq (5) it is understood that the relaxation time τ is independent of direction, but perhaps dependent on energy. The angular brackets indicate averages of τ over the Maxwellian energy distribution. The total current is just the average current multiplied by the number of electrons in the ellipsoid.

We shall consider now the resistivity coefficients for several configurations of ellipsoids compatible with crystal symmetry. The assumption is made that the total conductivity of the array is the sum of the conductivities of the individual ellipsoids. Thus we assume that a relaxation time exists for each ellipsoid, and that intervalley scattering is negligible.

4.1. Single Ellipsoid

In this case $m_1 = m_2 \neq m_3$. The configuration is shown in figure 1. The results for the resistive coefficients are as follows:

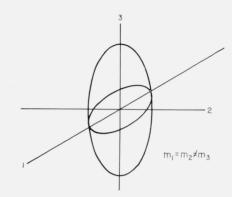


FIGURE 1. Single ellipsoid for tetragonal symmetry.

$$\rho_{123} = -\rho_{132} = \frac{-1}{nec} \frac{\langle \tau^2 \rangle}{\langle \tau \rangle^2}$$

$$\rho_{1212} = \frac{m_1}{m_3} \rho_{1313} = \frac{1}{2m_3 nc^2 \langle \tau \rangle} \left[\frac{\langle \tau^3 \rangle \langle \tau \rangle - \langle \tau^2 \rangle^2}{\langle \tau \rangle^2} \right]$$

$$\rho_{1133} = \rho_{3311} = \frac{m_3}{m_1} \rho_{1122} = \frac{1}{m_1 nc^2 \langle \tau \rangle} \left[\frac{\langle \tau^3 \rangle \langle \tau \rangle - \langle \tau^2 \rangle^2}{\langle \tau \rangle^2} \right]$$

 $\rho_{1111} = \rho_{3333} = 0.$

4.2. Four Ellipsoid Model

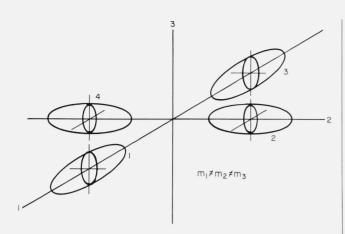
In this case the ellipsoids can have three different masses, but are placed along the 2-fold axes, as shown in figure 2. The effective masses m_1, m_2, m_3 apply to ellipsoid number 1 of figure 2. The masses for the other ellipsoids are obtained by rotation. The resistive coefficients are:

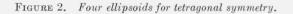
$$ho_{123} = rac{-1}{nec} rac{\langle au^2
angle}{\langle au
angle^2} rac{4m_1m_2}{(m_1+m_2)^2}
ho_{132} = rac{1}{nec} rac{\langle au^2
angle}{\langle au
angle^2}$$

Notice that $\frac{\rho_{123}}{\rho_{132}} = \frac{4 m_1 m_2}{(m_1 + m_2)^2} = \text{constant.}$

$$\begin{split} \rho_{1122} &= \frac{1}{nm_3 c^2 \langle \tau \rangle} \left[\frac{2(m_1^2 + m_2^2)}{(m_1 + m_2)^2} \frac{\langle \tau^3 \rangle \langle \tau \rangle}{\langle \tau \rangle^2} - \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^2} \right] \\ \rho_{1212} &= \frac{-1}{2nm_3 c^2 \langle \tau \rangle} \left[\frac{4m_1m_2}{(m_1 + m_2)^2} \langle \tau^3 \rangle \langle \tau \rangle - \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^2} \right] \\ \rho_{1133} &= \frac{2}{(m_1 + m_2)mc^2 \langle \tau \rangle} \left[\frac{\langle \tau^3 \rangle \langle \tau \rangle}{\langle \tau \rangle^2} - \frac{4m_1m_2}{(m_1 + m_2)^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^2} \right] \\ \rho_{1313} &= \frac{-1}{(m_1 + m_2)mc^2 \langle \tau \rangle} \left[\frac{\langle \tau \rangle \langle \tau^3 \rangle - \langle \tau^2 \rangle^2}{\langle \tau \rangle^2} \right] \\ \rho_{3311} &= \frac{(m_1 + m_2)}{2m_1m_2nc^2 \langle \tau \rangle} \left[\frac{\langle \tau \rangle \langle \tau^3 \rangle - \langle \tau^2 \rangle^2}{\langle \tau \rangle^2} \right] \end{split}$$

 $\rho_{1111} = \rho_{3333} = 0$





4.3. Five Ellipsoids

There is a possibility that more than one energy surface will be populated. To give an example, we shall consider a combination of cases (a) and (b), as shown in figure 3. The resultant resistivities are:

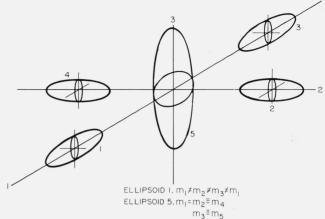


FIGURE 3. Five ellipsoids for tetragonal symmetry.

$$\begin{split} \rho_{123} &= \frac{-1}{ec} \frac{\langle \tau^2 \rangle}{\langle \tau \rangle^2} \frac{m_1 m_2 m_4^2 n_1 + m_1^2 m_2^2 n_2}{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2 \right]^2} \\ \rho_{132} &= \frac{1}{ec} \frac{\langle \tau^2 \rangle}{\langle \tau \rangle} \frac{\left[(m_1 + m_2) m_4 m_5 \frac{n_1}{2} + m_1 m_2 m_3 n_2 \right]}{(m_5 n_1 + m_3 n_2) \left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2 \right]} \end{split}$$

$$\rho_{1212} = 1 \frac{1}{c^2} \frac{\langle \tau^3 \rangle}{\langle \tau \rangle^2} \frac{\left[m_4^2 m_5 n_1 + m_1 m_2 m_3 n_2\right] m_1 m_2}{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2\right]^2 m_3 m_5} + \frac{1}{2c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2\right]^2 \left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2\right]^2 \left[(m_5 n_1 + m_3 n_2) m_3 m_5\right]^2}{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2\right]^2 \left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_3 n_2\right]} - \frac{1}{2c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 m_3 n_2\right]^2 \left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 m_3 n_2\right]^2}{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2\right]^2 m_3 m_5} - \frac{1}{2c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 m_3 n_2\right]^2}{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2\right]^2 \left[(m_5 n_1 + m_3 n_2) m_3 m_5\right]^2} - \frac{1}{2c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 m_3 n_2\right]^2}{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2\right]^2 \left[(m_5 n_1 + m_3 n_2) m_3 m_5\right]^2} - \frac{1}{2c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 m_3 n_2\right]^2}{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2\right]^2 \left[(m_5 n_1 + m_3 n_2) m_3 m_5\right]^2} - \frac{1}{2c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 m_3 n_2\right]^2}{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2\right]^2 \left[(m_1 + m_2 m_3 m_5 m_5\right]^2} - \frac{1}{2c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 m_3 n_2\right]^2}{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2\right]^2 \left[(m_1 + m_2 m_3 m_5 m_5 m_5\right]^2} - \frac{1}{2c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{\left[(m_1 + m_2 m_3 m_5 \frac{n_1}{2} + m_1 m_2 m_3 m_5\right]^2}{\left[(m_1 + m_2 m_3 m_5 \frac{n_1}{2} + m_1 m_2 m_3 m_5\right]^2} - \frac{1}{2c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{\left[(m_1 + m_2 m_3 m_5 \frac{n_1}{2} + m_1 m_2 m_3 m_5\right]^2}{\left[(m_1 + m_2 m_3 m_5 \frac{n_1}{2} + m_1 m_2 m_2\right]^2} - \frac{1}{2c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{\left[(m_1 + m_2 m_3 m_5 \frac{n_1}{2} + m_1 m_2 m_2\right]^2}{\left[(m_1 + m_2 m_3 m_5 \frac{n_1}{2} + m_1 m_2 m_3 m_5\right]^2} - \frac{1}{2c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{\left[(m_1 + m_2 m_3 m_5 \frac{n_1}{2} + m_1 m_3 m_2\right]^2}{\left[(m_1 + m_2 m_3 m_5 \frac{n_1}{2} + m_1 m_3 m_5\right]^2} - \frac{1}{2c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{\left[(m_1$$

$$\rho_{1133} = \frac{1}{c^2} \frac{\langle \tau^3 \rangle}{\langle \tau \rangle^2} \frac{\left[(m_1 + m_2) m_4^3 \frac{n_1}{2} + m_1^2 m_2^2 n_2 \right]}{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2 \right]^2 m_4} - \frac{1}{c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{[m_4^2 n_1 + m_1 m_2 n_2]^2 m_1 m_2}{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2 \right]^3 m_4}$$

$$p_{1313} = \frac{-1}{c^2} \frac{\langle \tau^3 \rangle}{\langle \tau \rangle^2} \frac{[m_4^2 m_5 n_1 + m_1 m_2 m_3 n_2]}{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2 \right] [m_5 n_1 + m_3 n_2] m_4} + \frac{1}{2c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{[m_4^2 n_1 + m_1 m_2 n_2] \left[(m_1 + m_2) m_4 m_5 \frac{n_1}{2} + m_1 m_2 m_3 m_4 \frac{n_1}{2} + m_1 m_2 m_3 m_4 \frac{n_1}{2} + m_1 m_2 m_3 m_4 m_5 \frac{n_1}{2} + m_1 m_2 m_3 m_4 \frac{n_1}{2} + m_1 m_2 m_4 \frac{n_1}{2} + m_1 m_2 m_4 \frac{n_1}{2} + m_1 m_2 m_3 m_4 \frac{n_1}{2} + m_1 m_2 \frac{n$$

$$\rho_{3311} = \frac{1}{c^2} \frac{\langle \tau^3 \rangle}{\langle \tau \rangle^2} \frac{\left[(m_1 + m_2) m_4^2 m_5 \frac{n_1}{2} + m_1 m_2 m_3^2 n_2 \right]}{[m_5 n_1 + m_3 n_2]^2 m_1 m_2 m_4} - \frac{1}{c^2} \frac{\langle \tau^2 \rangle^2}{\langle \tau \rangle^3} \frac{\left[(m_1 + m_2) m_4 m_5 \frac{n_1}{2} + m_1 m_2 m_3 n_2 \right]^2}{\left[(m_1 + m_2) m_4 \frac{n_1}{2} + m_1 m_2 n_2 \right] [m_5 n_1 + m_3 n_2]^2 m_1 m_2 m_4}.$$

In all the previous formulas the temperature dependence of the resistivities depends upon averages of several powers of τ . For power law scattering, $\tau = \tau_0 \epsilon^{-p}$, where ϵ is the energy and p the power, we have

$$\langle \tau^n \rangle = \tau_0^n \frac{(kT)^{-np} \Gamma\left(\frac{5}{2} - np\right)}{\Gamma\left(\frac{5}{2}\right)}.$$
 (6)

It might be worth noting that in tetragonal crystals anisotropic effects make themselves known in lower order magnetoresistive coefficients than for cubic cases. Thus, for example, information concerning anisotropy of the energy surfaces can be obtained from the Hall coefficient of TiO_2 , while one must go to magnetoresistive to see the lowest order effects of cubic anisotropy in Si or Ge.

5. Thermoelectric Power

The electric current density components J_i and the thermal current components Q_i are related to the electric field components E_i and the temperature gradient components $\frac{\partial T}{\partial X_i}$ in the following way:

$$J_i = A_{ij}^{11} E_j + A_{ij}^{12} \frac{\partial T}{\partial X_j} \tag{7}$$

$$Q_i = A_{ij}^{21} E_j + A_{ij}^{22} \frac{\partial T}{\partial X_j}.$$
 (8)

However, in order to apply Onsager's theorem to the above coefficients we must rewrite the equations in terms of affinities and fluxes [6]. Thus (7) and (8) become

$$-\frac{J_i}{e} = B_{ij}^{11} \frac{1}{T} \frac{\partial M}{\partial X_j} + B_{ij}^{12} \frac{\partial}{\partial X_j} \frac{1}{T}$$
(9)

$$Q_i = B_{ij}^{21} \frac{1}{T} \frac{\partial M}{\partial X_j} + B_{ij}^{22} \frac{\partial}{\partial X_j} \frac{1}{T}, \qquad (10)$$

where e is the charge of an electron and μ is the electrochemical potential. The Onsager theorem states that $B_{ij}^{kl} = B_{ji}^{lk}$ and thereby reduces the number of independent coefficients. Accordingly, the number of the independent coefficients A_{ij}^{kl} is also reduced. Applying the restrictions of crystal symmetry to the coefficients A_{ij}^{kl} it follows that there are two independent elements $A_{11}^{kl} = A_{22}^{kl}$ and A_{33}^{kl} .

The absolute thermoelectric power is defined as the change in voltage per unit change in temperature difference at zero current. So setting J_i equal to zero and using the above results we get:

$$E_i = -\frac{A_{ii}^{12}}{A_{ii}^{11}} \frac{\partial T}{\partial X_i}.$$
 (11)

The voltage V is given by

$$V_{i} = \int_{x_{1}}^{x_{2}} E_{i} dx_{i} = -\int_{x_{1}}^{x_{2}} \frac{A_{ii}^{12}}{A_{ii}^{11}} \frac{\partial T}{\partial X_{i}} dx_{i}$$
$$= -\int_{T_{1}}^{T_{2}} \frac{A_{ii}^{12}}{A_{ii}^{11}} dT_{i}.$$
(12)

The absolute thermoelectric power is

$$\frac{dV_i}{dT} = -\frac{A_{ii}^{12}}{A_{ii}^{11}} = S_i.$$
(13)

It follows that we have two possible values of the thermoelectric power, $-\frac{A_{11}^{12}}{A_{11}^{11}}$ and $-\frac{A_{33}^{12}}{A_{33}^{11}}$, corresponding to the one and three axes respectively. We see also that the Onsager theorem does not give us any limitation on the possible numbers of values of the thermoelectric power but only gives us rela-

6. Thermoelectric Power on the Basis of Simple Ellipsoidal Models

tions between it and other thermoelectric coefficients.

We begin with the Boltzmann transport equation [7]:

$$-\frac{2\pi e}{\hbar} E \cdot \nabla_k f + \frac{\partial f}{\partial T} v \cdot \nabla T = \frac{f - f_0}{\tau(\epsilon)}.$$
 (14)

Since the electric field and temperature gradient are always small and their squares and products can be neglected, we obtain an approximate solution of eq (14) by putting $f=f_0$ on the left side where f_0 is the equilibrium distribution. Using

$$\frac{\partial f_0}{\partial T} = T \frac{\partial}{\partial T} \left(\frac{\epsilon - \zeta}{T} \right) \frac{\partial f_0}{\partial \epsilon}$$

we get

$$f = f_0 + \tau v_j \frac{\partial f_0}{\partial E} \left(\frac{\partial T}{\partial X_j} \frac{\epsilon}{T} + T \frac{\partial T}{\partial X_j} \frac{\partial}{\partial T} \left(\frac{\zeta}{T} \right) + eE_j \right), \quad (15)$$

where ζ is the Fermi energy, and ϵ the energy. The electric current density is given by [7]:

$$J = \frac{-e}{4\pi^3} \int v f dk. \tag{16}$$

Using our solution for f and writing in terms of components of J we get:

$$J_{i} = K_{ij} \left[e^{2} E_{j} + eT \frac{\partial}{\partial X_{j}} \left(\frac{\xi}{T} \right) \right] + L_{ij} \left[\frac{e}{T} \frac{\partial T}{\partial X_{j}} \right], \quad (17)$$

where

$$-\frac{1}{4\pi^3} \int_0^\infty \tau v_i v_j \, \frac{\partial f_0}{\partial \epsilon} \, dk = K_{ij} \tag{18}$$

$$-\frac{1}{4\pi^3} \int_0^\infty \tau v_i v_j \, \frac{\partial f_0}{\partial \epsilon} \, E dk = L_{ij}. \tag{19}$$

Setting $J_i=0$, we calculate the thermoelectric power in the same manner as section 5. The result is:

$$S_i = -\frac{1}{e} \left[\frac{L_{ii} - \zeta K_{ii}}{K_{ii}} \right] \frac{1}{T}.$$
 (20)

(Here we anticipated the results $K_{ij}=L_{ij}=0$ if $i \neq j$.) Assuming a single ellipsoidal energy surface and using $dk = \frac{d\epsilon dS}{\operatorname{grad}_k \epsilon}$ as the element of volume where dS is an element of surface of the ellipsoid, we find upon performing the indicated integration:

$$K_{ii} = \frac{\sigma_{ii}(p)}{e^2}$$
$$L_{ii} = \frac{kT}{e^2} \left(\frac{5}{2} - p\right) \sigma_{ii}(p). \tag{21}$$

We have again assumed $\tau = \tau_0 \epsilon^{-p}$. (The above results are obvious on comparison with the expression for σ_{ii} by Abeles and Meiboom [3].) The thermoelectric power for a single ellipsoid may be written:

$$S_{i} = \frac{-1}{eT} \left[\frac{\left(\frac{5}{2} - p\right) kT \sigma_{ii} - \zeta \sigma_{ii}}{\sigma_{ii}} \right].$$
(22)

The case for many ellipsoids is easily gotten from the above result. Since conductivities simply add we have the result:

$$S_{i} = -\frac{1}{eT} \frac{\left[\left(\frac{5}{2} - p\right)kT \sum_{k} \sigma_{ii}^{(k)} - \sum_{k} \zeta^{(k)}\sigma_{ii}^{(k)}\right]}{\sum_{k} \sigma_{ii}^{(k)}}, \quad (23)$$

where k refers to the kth ellipsoid. We note that if ζ , measured from the bottom of the ellipsoid, is constant for all ellipsoids of the configuration then the thermoelectric power is independent of direction and is given by

$$S = -\frac{1}{eT} \left[\left(\frac{5}{2} - p \right) kT - \zeta \right]$$
(24)

Thus the simplest case which could yield an anisotropic thermoelectric power and also have tetragonal symmetry would be the five ellipsoid case discussed earlier.

Using the relationship

$$e^{\sharp/kT} = \frac{1}{2} nh^3 (2\pi m kT)^{-3/2}$$
 (25)

we can express the thermoelectric power in terms of the density of electrons, temperature, and effective masses.

6.1. Single-Ellipsoid Case

The masses are those indicated in figure 1. Using eqs (24) and (25) we get for the case of a single ellipsoid:

$$S_i = S = -\frac{1}{e} G, \qquad (26)$$

where

$$G = 2k - k \log n + \frac{3}{2}k \log T - k \log \frac{1}{2}h^3(2\pi mk)^{-3/2}$$
 (27)

and

$$m = (m_1 m_2 m_2)^{1/3}. \tag{28}$$

6.2. Four Ellipsoid Case, Figure 2

Carrying out a similar calculation for the four ellipsoid case we get:

$$G = 2k - k \log \frac{n}{4} + \frac{3}{4} k \log T - k \log \frac{1}{2} h^3 (2\pi m k)^{-3/2},$$

with eqs (26) and (28) still true. Note that the only change is the division of the density of electrons by 4. This is just what we would expect since equal voltage sources in parallel produce a voltage equal to one of the sources. Thus the presence of many ellipsoids is seen as an effective reduction of the number of carriers.

6.3. Five Ellipsoid Models

We shall consider the simplest anisotropic case of five ellipsoids as shown in figure 3. Using eqs (23) and (25), we obtain for the two values of the thermoelectric power:

$$G_{a} = \frac{\frac{n_{1}}{2} \left(\frac{1}{m_{1}} + \frac{1}{m_{2}}\right) G_{1} + \frac{n_{2}}{m_{4}} G_{2}}{\frac{n_{1}}{2} \left(\frac{1}{m_{1}} + \frac{1}{m_{2}}\right) + \frac{n_{2}}{m_{4}}}$$
$$G_{c} = \frac{\frac{n_{1}}{m_{3}} G_{1} + \frac{n_{2}}{m_{5}} G_{2}}{\frac{n_{1}}{m_{3}} + \frac{n_{2}}{m_{5}}},$$

where

$$\begin{aligned} G^{1} &= 2k - k \log \frac{n_{1}}{4} + \frac{3}{2} k \log T - k \log \frac{1}{2} h^{3} (2\pi m^{1} k)^{-3/2} \\ G^{2} &= 2k - k \log n_{2} + \frac{3}{2} k \log T - k \log \frac{1}{2} h^{3} (2\pi m^{2} k)^{-3/2} \\ m^{1} &= (m_{1} m_{2} m_{3})^{1/3} \\ m^{2} &= (m_{4}^{2} m_{5})^{1/3}. \end{aligned}$$

298

Here $S_a = -\frac{G_a}{a}$ is the thermoelectric power perpendicular to the 4-fold axis and $-\frac{G_c}{c}$ is the thermoelectric power along the 4-fold axis.

7. Phonon-Drag Contribution to the Thermoelectric Power

In all the former work, the phonon-drag contribution [8, 9] to the thermoelectric power was ignored. According to Frederikse [9] the thermoelectric power is given by:

$$S = -\frac{1}{e} \left[\frac{K_2}{K_1 T} - \frac{\zeta}{T} + \frac{K^1}{K_1} \right], \tag{29}$$

where $-\frac{1}{e}\frac{K^{1}}{K_{1}}$ is the extra term due to phonon drag. For the case of lattice scattering $(p=\frac{1}{2})$, i.e., $\Lambda_{e_{j}} >> \Lambda_{e_{\varphi}},$

$$\frac{K_{1}}{K_{1}} = \frac{3}{4} \pi^{1/2} \frac{\Lambda_{ph}}{\Lambda_{e\varphi}} \left(\frac{2ms^{2}}{kT}\right)^{1/2} k, \qquad (30)$$

where

s = speed of sound,

 Λ_{ph} =phonon-phonon mean free path,

 $\Lambda_{e\varphi}$ = electron-phonon mean free path,

 $\Lambda_{ei} =$ electron-impurity ion mean free path, $m = (m_1 m_2 m_3)^{1/3}$.

We have the result that the thermoelectric power of a single ellipsoid is given by:

$$S = -\frac{1}{e} \left[2k - \frac{\zeta}{T} + \frac{3}{4} \pi^{1/2} \frac{\Lambda_{ph}}{\Lambda_{e\varphi}} \left(\frac{2ms^2}{kT} \right)^{1/2} k \right]$$
(31)

Any easy extension to the case of many ellipsoids is obtained by use of [10]

$$S_{i} = \frac{\sum_{k} S_{k} \sigma_{i}^{k}}{\sum_{s_{i}} \sigma_{i}^{k}}$$
(32)

Here S_k indicates the thermoelectric power of a single ellipsoid and σ_i^k its conductivity in the *i*th direction. This is easily seen to be equivalent to our former method of treating the case of many ellipsoids.

Note: The formulas of sections 4.3 and 6.3 are derived on the basis of a single relaxation time for the different bands. Generalization to different relaxation times for each band is straightforward.

8. References

- [1] W. A. Wooster, A textbook on crystal physics, ch. 1
- [1] W. A. Wooster, A texpoor of crystal physics, ed. (Cambridge University Press, 1938).
 [2] L. Onsager, Phys. Rev. **37**, 405 (1931); **38**, 2265 (1931).
 [3] J. Yahia and J. A. Marcus, Phys. Rev. **113**, 137 (1959).
 [4] B. Abeles and S. Meiboon, Phys. Rev. **95**, 31 (1954).

- [1] B. Horoks, Advances in electronics and electron physics, vol. 8, p. 127 (Academic Press Inc., 1955).
 [6] H. B. Callen, Thermodynamics, p. 295 (John Wiley &
- Son Inc., 1960).
- [7] A. H. Wilson, The theory of metals, 2d edition, p. 200 (Cambridge University Press, 1953).

- [8] C. Herring, Phys. Rev. 96, 1163 (1954).
 [9] H. P. R. Frederikse, Phys. Rev. 92, 248 (1953).
 [10] D. K. C. MacDonald, Thermoelectricity: an introduction to the principles, p. 114 (John Wiley & Sons, 1962).

(Paper 67A4-218)